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SUPERINDUSTRIA Y COMERCIO
Radicación : 00091760 00000003 Folios: 6
Fecha (AMD): 2001-06-21 16:42:41
Trámite : 002 PATENTES D 1 REGISTRO/ 444 REPUESTA
Dependencia: 2020 DIVISION DE NUEVAS CREACIONES

AS: 83.869

Señores

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

DIVISION DE NUEVAS CREACIONES

E. S. D.

REF: Expediente No.00 091.760 - Solicitud de Patente
a favor de GTC TECHNOLOGY CORPORATION

Titulada: PROCESO PARA EXTRAER COMPUESTOS DE AZUFRE
DE LA GASOLINA

RAMIRO CASTRO DUQUE, vecino de Bogotá, portador de la tarjeta profesional No. 1003, obrando como apoderado de la sociedad **GTC TECHNOLOGY CORPORATION**, según lo acredita el documento de poder presentado en esa División, para dar cumplimiento al requerimiento notificado por fijación en lista el 26 de Abril de 2001, cuyo término fue prorrogado mediante escrito radicado el 14 de Mayo de 2001 y de acuerdo con los Artículos 38 y 39 de la Decisión 486 de la Comunidad Andina, me permito anexar e informar al presente escrito los siguientes documentos:

1. Documento donde consta la cesión del derecho a la Patente otorgado por los inventores al solicitante, debidamente apostillado.

Del señor Jefe atentamente,



RAMIRO CASTRO DUQUE

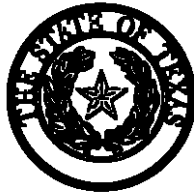
C.C. No. 170.322 de Bogotá

Código 340

Cra 7a. No. 16-56 Piso 9

Bogotá, Junio 21, 2001

CPB/har.



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The State of Texas
Secretary of State
APOSTILLE

SUPERINDUSTRIA Y COMERCIO
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(Convention de La Haye du 5 Octobre 1961)

1. Country: United States of America

This public document

2. has been signed by KRISTINE F LOETHEN

3. acting in the capacity of Notary Public, State of Texas

4. and bears the seal/stamp of KRISTINE F LOETHEN, Notary Public, State of Texas, Commission Expires: 02-10-03

CERTIFIED

5. at Austin, Texas

6. on June 8, 2001

7. by the Secretary of State of Texas

8. Certificate No. N-254224

9. Seal

10. Signature:



Henry Cuellar

Henry Cuellar
Secretary of State

ST/clk

A 364875



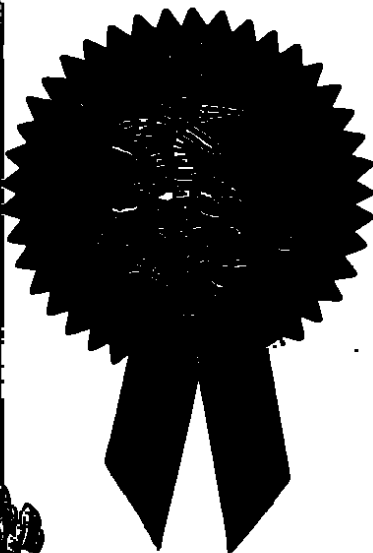
THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

February 13, 2001

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
October 12, 2000.



By Authority of the
COMMISSIONER OF PATENTS AND TRADEMARKS

A handwritten signature in dark ink, appearing to read "H. L. Jackson". The signature is fluid and cursive, written over the printed name.

H. L. JACKSON
Certifying Officer

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11-21-2000

Form-PTO-1395 1-31-92		TRA	ORDINATION		Atty. Deckert 1762.5600
To the Commission			all documents or copy thereof.		
1. Name of conveying party(ies): FU-MING LEE, JOSEPH C. GENTRY, RANDI WRIGHT WYTCHERLEY, LUCIA CRETOIU and CALAMBUR SHYAMKUMAR Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No			101523214 10-12-00 Name: <u>GTC TECHNOLOGY CORPORATION</u> Foreign Address: _____ Domestic Address: <u>2020 S. Dairy Ashford</u> City: <u>Houston</u> State: <u>Texas</u> ZIP: <u>77077</u> Additional name(s) & address(es) attached? ☐ Yes ☒ No		
3. Nature of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other _____ Execution Date: <u>October 4, 2000</u>			4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: <u>October 2 and October 4, 2000</u>		
A. Patent Application Number: Filing Date: <u>Herewith</u>			B. Title of Invention: <u>PROCESS OF REMOVING SULFUR COMPOUNDS FROM GASOLINE</u>		
09/16812885 Additional numbers attached? ☐ Yes ☒ No					
5. Name and address of party to whom correspondence concerning documents should be mailed: Name: <u>Fitzpatrick, Call, Harper & Scinto</u> <u>30 Rockefeller Plaza</u> <u>New York, New York 10112-3801</u> Telephone No.: <u>(212) 218-2100</u> Facsimile No.: <u>(212) 218-2200</u>			6. Number of applications and patents involved: <u>One</u> 7. Total fee (37 CFR 3.41): \$ <u>40.00</u> ☒ Enclosed ☐ Authorized to be charged to deposit account 8. Deposit account number (for deficiency or excess) <u>06-1205</u> (Attach duplicate copy of this page if paying by deposit account):		
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document. <u>HENRY J. RENK, Reg. No. 23,429</u> Name of Person Signing <u>Henry J. Renk</u> Signature <u>October 11, 2000</u> Date Total number of pages including cover sheet, attachments, and documents: <u>3</u>					

REEL: 011249 FRAME: 0877

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ASSIGNMENT

For value received, we, Fu-Ming Lee, Joseph C. Gentry, Randi Wright Wycherley, Lucia Cretolu and Calambur Sivanikumar

citizens, respectively, of the United States (first three), Romania and India

residing, respectively, at 2102 Cherrington Drive, Katy, Texas; 419 Wilchester, Houston, Texas; 6933 Springhill Community Road, Belgrade, Montana; 2300 Briarwest Boulevard, Apt. 3704, Houston, Texas and 2600 Westerland, No. 924, Houston, Texas

hereby sell, assign, transfer and convey unto **QTC TECHNOLOGY CORPORATION**

a corporation of

Delaware

having a place of business at

2020 S. Dairy Ashford
Houston, Texas 77077

(its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for all countries, in and to certain inventions relating to

**PROCESS OF REMOVING SULFUR
COMPOUNDS FROM GASOLINE**

and described in a provisional application for Letters Patent of the United States filed by us on February 11, 2000, and which has been accorded Application No. 60/182,022 and in and to said application, all applications claiming priority therein, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof, and all applications for Letters Patent or other grants of protection of proprietary rights including, but not limited to, inventor's certificate, utility model, utility certificate, patent of importation, registration of patent and industrial design registration which may be filed, and which may be granted, upon said inventions in any countries or regions foreign to the United States, and all reissues, renewals and extensions thereof; and we hereby authorize and request the Commissioner for Patents and Trademarks of the United States, and all officials of countries or regions foreign to the United States having authority so to do, to issue all such Letters Patent or other grants of protection upon said inventions to the Assignee or to such nominee as it may designate.

AND we authorize and empower the said Assignee or nominee to invoke and claim for any application for such Letters Patent or other grants of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by a convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby covenant that a copy of this assignment shall be deemed a full and formal equivalent of any assignment, consent to file or file document which may be required in any country or region for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not, executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominee all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts not usury or proper to aid said Assignee or nominee in obtaining, maintaining and enforcing all lawful patent or other grants of protection of said inventions in any and all countries and regions.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal this 4 day of October, 2000.

Fu-Ming Lee L.S.
Fu-Ming Lee

Joseph C. Gentry L.S.
Joseph C. Gentry

Randi Wright Wycherley L.S.
Randi Wright Wycherley

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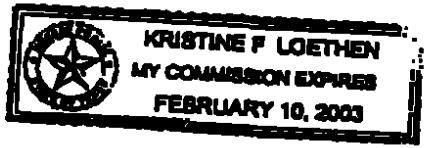
Quint L.S.
Linda Cristofu

C. Shyamkumar L.S.
Calambur Shyamkumar

STATE OF Texas)
COUNTY OF Harris) ss.:

On this 4 day of October, 2000 before me personally appeared the above named individuals to me known and known to me to be the individuals described herein and who executed the foregoing instrument, and who thereupon acknowledged to me that they executed the same for the purposes therein set forth.

(Seal)



Kristine F. Loethen
Notary Public
(Notary Stamp)

NY_MAIN 113464 v 1

2do. EXAMEN DE FORMA PATENTE DE INVENCION

Folio 151

Radicación no 00-91760

Concepto Técnico no 666

Clasificación Internacional de Patentes C106⁷/08

Practicado el examen de acuerdo a lo establecido en el Art. 38 de la Decisión 486 se determinó que esta solicitud de Patente de Invención:

- ☒ SI ☐ NO ☐ Contiene un resumen de la invención (Art.26-e).
- ☒ SI ☐ NO ☐ Contiene el título o nombre de la invención (Art.27-d).
- ☒ SI ☐ NO ☐ Contiene la descripción de la invención (Art.26-b).
- ☒ SI ☐ NO ☐ NO ES APLICABLE ☐ Contiene los dibujos necesarios para comprender la invención (Art.26-d)
- ☒ SI ☐ NO ☐ Contiene una o más reivindicaciones. (Art.26-c).
- ☐ SI ☐ NO ☐ NO ES APLICABLE ☒ Han sido desarrollados los productos y/o procedimientos a partir de recursos genéticos o de sus productos derivados de los que cualquiera de los Países Miembros es país de origen. (Art. 26- h).
- ☐ SI ☐ NO ☐ NO ES APLICABLE ☒ Los productos y/o procedimientos han sido obtenidos o desarrollados a partir de los conocimientos tradicionales de las comunidades indígenas, afroamericanas, o locales de los países miembros, de acuerdo a lo establecido en la Decisión 391 y sus modificaciones y reglamentaciones vigentes.(Art.26-i).
- ☒ SI ☒ NO ☒ La invención se refiere a un producto relativo a un material biológico. (Art.26-j).
- ☒ SI ☐ NO ☐ La prioridad coincide con la materia reivindicada.
- ☒ SI ☐ NO ☐ **CUMPLE LOS REQUISITOS TÉCNICOS**

OBSERVACIONES Y OTROS: SI ☐ NO ☒ ver hoja(s) anexa(s)

EXAMINADOR TÉCNICO

202092

Bogotá D. C., 5 ABR 02

Carrera 13 No. 27-00 Pisos 5, 7 y 10
Conmutador: 382 0840
Fax: 382 2695
E-mail: info@sic.gov.co
www.sic.gov.co
Bogotá, D.C., Colombia

Folio 152

2020
Bogotá DC

Doctor
RAMIRO CASTRO DUQUE
Apoderado

REFERENCIA:	Radicación No.	00-91760
	Trámite	002
	Evento	001
	Actuación	416
	Oficio No.	1030
	Folios	001

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en los artículos 26 y 27 de la Decisión 486 de la Comisión de la Comunidad Andina, y en las demás disposiciones vigentes sobre la materia, se envía el extracto de la solicitud a la Oficina de Comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial, conforme lo establece el artículo 40 de la misma Decisión.

Cúmplase
Dado en Bogotá DC, a los 21 días 2002


ALIX CARMENZA CÉSPEDES DE VERGEL
Jefe de la División de Nuevas Creaciones

Bogotá, DC, El extracto de esta solicitud fue publicado en el número de
la Gaceta de Propiedad Industrial, de fecha . El término hábil señalado por la
ley expiró el y SI ____ NO ____ se presentaron oposiciones por parte de terceros.

202042

Carrera 13 No. 27-00 Pisos 5, 7 y 10
Conmutador: 382 0840
Fax: 382 2695
E-mail: info@sic.gov.co
Bogotá, D.C., Colombia

DIVISION DE NUEVAS CREACIONES

RADICACIÓN EXPEDIENTE No. 00-91760

**EL EXTRACTO DE LA PRESENTE SOLICITUD DE PATENTE DE INVENCION FUE PUBLICADO EN
LA GACETA DE PROPIEDAD INDUSTRIAL No 520, DE FECHA 27 DE SEPTIEMBRE DE 2002, EL
TERMINO HABIL SEÑALADO POR LA LEY EXPIRA EL 26 DE DICIEMBRE DE 2002.**

NOTA: Dentro del plazo de los seis (6) meses siguientes a partir de la fecha de publicación en la gaceta de Propiedad Industrial, deberá solicitar y cancelar el examen de patentabilidad so pena de que la solicitud caiga en abandono, de acuerdo con lo establecido en el Artículo 44 de la Decisión 486/2000.

SE PRESENTARON OPOSICIONES POR PARTE DE TERCEROS.

SI _____

NO _____