



Clarke, Modet & Co.

COLOMBIA

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SANTAFÉ DE BOGOTÁ

SUPERINDUSTRIA Y COMERCIO

Radicacion : 00090148 00000005

Folios: 9

Fecha (AMD): 2001-07-23 16:32:33

Tramite : 002 PATENTES D 1 REGISTRO/ 329 COMPLEMEN

Dependencia: 2020 DIVISION DE NUEVAS CREACIONES

SEÑORES

DIVISION DE NUEVAS CREACIONES

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

E.

S.

D.

COD:08

REF: SOLICITUD N° 00090148

FECHA SOLICITUD: noviembre 24, 2000

PATENTE: FORMULACIONES PARA AGENTES FARMACÉUTICOS

IONIZABLES COMO ÁCIDOS LIBRES O BASES LIBRES

SOLICITANTE: SUGEN, INC.

ASUNTO: PRESENTACIÓN DE DOCUMENTOS

DILIA RODRÍGUEZ D'ALEMAN, en mi condición de apoderada de la sociedad solicitante, por el presente escrito doy alcance a mi escrito de Julio 16 de 2.001, por el cual di respuesta al requerimiento oficial notificado por estado de 15 de Marzo de 2.001 del cual solicité una prórroga y me permito presentar:

El documento original de cesión del derecho de los inventores al solicitante. En breve estaré presentando traducción oficial de dicho documento.

Agradezco continuar con el presente trámite.

Señor Jefe,

DILIA RODRÍGUEZ D'ALEMAN

LCD/sir

P. 382

355 461
460
CALIFORNIA

ALL-PURPOSE

ACKNOWLEDGEMENT

STATE OF CALIFORNIA)

COUNTY OF)

SAN MATEO

On

7/11/01
DATE

before me,

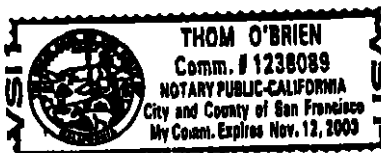
THOM O'BRIEN, NOTARY PUBLIC
NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"

personally appeared,

GEORGE MCMAHON

personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



[Signature]
NOTARY PUBLIC SIGNATURE

(SEAL)

OPTIONAL INFORMATION

TITLE OR TYPE OF DOCUMENT

DECLARATION

DATE OF DOCUMENT

7/11/01

NUMBER OF PAGES

1

SIGNER(S) OTHER THAN NAMED ABOVE

0



APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. *District of Columbia*
United States of America

2. This public document has been signed by WENDY HUBER

3. acting in the capacity of NOTARY PUBLIC IN AND FOR THE DISTRICT OF COLUMBIA

4. bears the seal/stamp of WENDY HUBER, NOTARY PUBLIC, D.C.

CERTIFIED

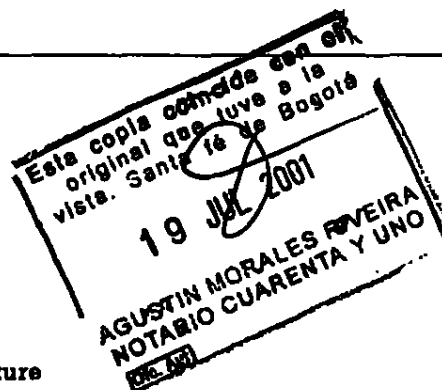
5. at Washington, D. C.

6. the 16TH day of JULY 2001

7. by Secretary of the District of Columbia

8. No. 82743

9. Seal/Stamp



10. Signature

Beverly D. Rivers
BEVERLY D. RIVERS

NOTARIZATION OF ASSIGNMENT AS FILED WITH
U.S. PATENT AND TRADEMARK OFFICE

Applicant:

Narmada SHENOY et al.

Title:

FORMULATIONS FOR PHARMACEUTICAL AGENTS IONIZABLE AS
FREE ACIDS OR FREE BASES

Appl. No.:

09/716,332

Filing Date:

11/21/2000

Examiner:

Unassigned

Art Unit:

1615

I, Josefina Cheng, legal assistant at Foley & Lardner, attest that the attached document is a true and correct copy of the original Assignment and Agreement filed with the U.S. Patent and Trademark Office on May 29, 2001 for the above-identified application.

By:

Josefina Cheng
Josefina Cheng
Legal Assistant,
FOLEY & LARDNER

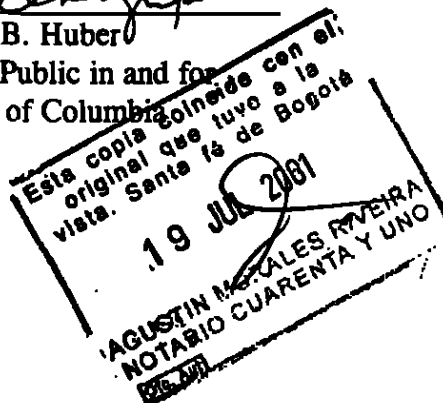
SUBSCRIBED AND SWORN TO before me this 13th day of July, 2001.

Wendy B. Huber
Wendy B. Huber

Notary Public in and for
District of Columbia

My Commission Expires:

My Commission Expires
August 14, 2003



ASSIGNMENT AND AGREEMENT

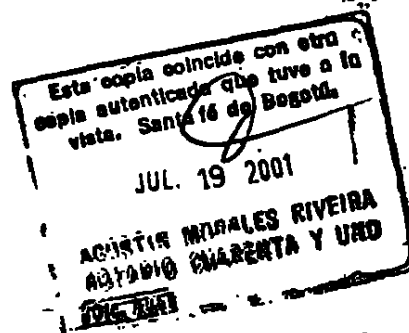
WHEREAS, Narmada Shenoy of 1520 Samedra Street, Sunnyvale, CA 94087, Waramush Sorasuchart of 19/251 Petchburi 19 Road, Rajtavi, Bangkok, Thailand 10400, and Arun Koparkar of 1296 Mountain Quail Circle, San Jose, CA 95120, (hereinafter referred to singly and collectively as "ASSIGNOR") have invented a certain invention entitled **FORMULATIONS FOR PHARMACEUTICAL AGENTS IONIZABLE AS FREE ACIDS OR FREE BASES** (Atty. Dkt. No. 038602/1060) for which an application for United States Letters Patent was filed on November 21, 2000 as Application No. 09/716,332; and

WHEREAS, SUGEN Inc., a corporation duly organized and existing under the laws of the State of California, and having its principal place of business at 230 East Grand Avenue, South San Francisco, CA 94080 (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest therein;

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representatives or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings; whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and



protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY AUTHORIZES the law firm of Foley & Lardner to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of Foley & Lardner do not personally represent ASSIGNOR or ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Executed this 10th day of May, 2001.

Narmada Shenoy

Narmada Shenoy

B. R. Patel

Witness

Date: 5/10/2001

Executed this 28th day of February, 2001.

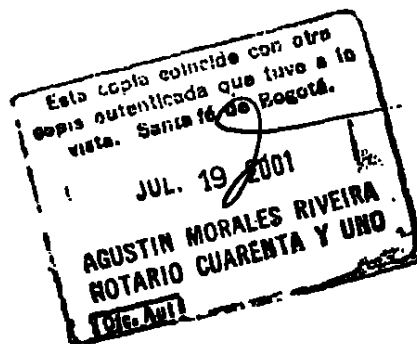
Waranush Borasuchart

Waranush Borasuchart

Chul M.

Witness

Date: 3/26/01



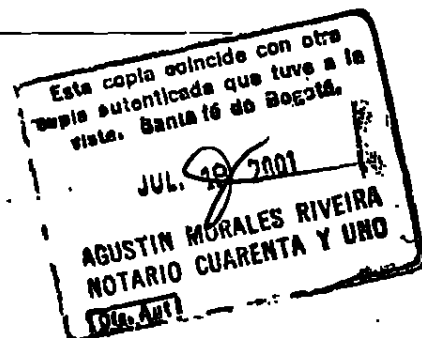
Executed this 15 day of May, 20 01



Arun Koparkar
Arun Koparkar

[Signature]
Witness
Date: 5/19/01

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.



FORM PTO-1535 (modified)

U.S. DEPARTMENT OF COMMERCE

(May 1999)

RECORDATION FORM COVER SHEET PATENTS ONLY

466

Patent and Trademark Office

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

Narmada Sheng (May 10, 2001)
Waranush Sorasuchart (February 28, 2001)
Arun Koparkar (May 15, 2001)

2. Name and address of receiving party(ies):

SUGEN Inc.
230 East Grand Avenue
South San Francisco, CA 94080

Additional conveying party(ies) attached? NO

3. Nature of conveyance:

ASSIGNMENT

Execution Date:

May 10, 2001, February 28, 2001 and May 15, 2001

Additional name(s) & address(es) attached? NO

4. Application number(s) or patent number(s):

If this is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):

09/716,332

B. Patent Number(s):

Additional numbers attached? NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Beth A. Burrous
FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5109

6. Total number of applications/patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Check Enclosed

Charge to deposit account

8. Deposit account number: 19-0741

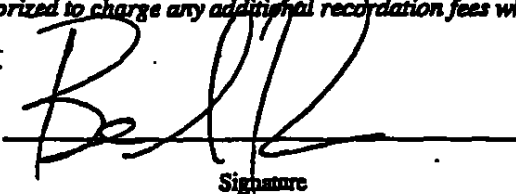
DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.

Beth A. Burrous

Name of person signing



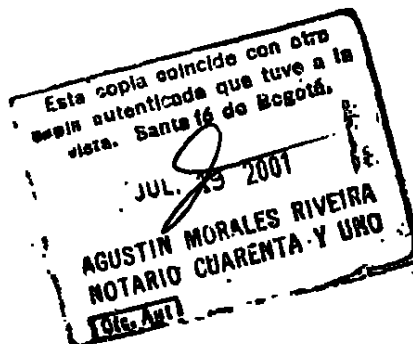
Signature

May 29, 2001

Date

Total number of pages including cover sheet, attachments, and document: 4

02.588586.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DE

Narmada SHENOY et al.

Title: FORMULATIONS FOR PHARMACEUTICAL AGENTS IONIZABLE AS FREE ACIDS OR FREE BASES

Appl. No.: 09/716,332

Filing Date: 11/21/2000

Examiner: Unassigned

Art Unit: 1615

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

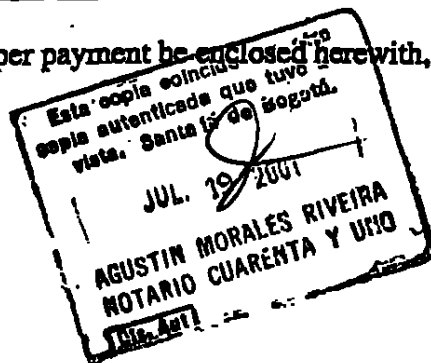
In response to the Notice to File Missing Parts of Application mailed on March 29, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [X] Declaration and Power of Attorney (4 pages)
- [X] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- [X] Check in the amount of \$2,422.00 in payment of \$710.00 Official Government filing fee, \$1,152.00 additional dependent claims over 20; \$160.00 additional independent claims over 3, \$270.00 multiple dependent claim, and \$130.00 surcharge fee (37 C.F.R. § 1.16(e)).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment.

to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a



check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

By

Beth A. Burrous
Attorney for Applicant
Registration No. 35,087

Date May 29, 2001

FOLEY & LARDNER
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Washington, D.C. 20007-5109
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