

# ESCOBAR - URIBE ASOCIADOS

A B O G A D O S

Carrera 11A No. 94-76 Of. 305 - P.O. Box 94948, Santafé de Bogotá, Colombia, S.A.

Tel.: (571) 6162051 - 6162061 - 6161859 - 6161869

Fax: (571) 6161889

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## SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO DIVISIÓN DE NUEVAS CREACIONES

E. S. D.

Re: Expediente No. 00.098.175  
Solicitud de Patente en nombre de  
ETHICON, INC.

SUPERINTENDENCIA Y COMERCIO  
Radicación: 0098175 Correo  
Fecha (RCO): 2001-02-21 16:19:25  
Trámite: C32 PATENTES D 1 REGISTRAR 329 DETALLEN  
Dependencia: 2329 DIVISION DE NUEVAS CREACIONES  
Folios: 29

Yo, JIMENA ESCOBAR URIBE, identificada como aparece al pie de mi firma, domiciliada en Bogotá, en la Carrera 11 A No. 94-76 Of. 305, obrando como apoderada de la sociedad ETHICON, INC., solicitante de la patente de la referencia, anexo al presente memorial los siguientes documentos:

Copia certificada de la solicitud de Patente Número 09/476,429 presentada en los Estados Unidos de América el día 30 de diciembre de 1999, con la debida traducción de lo pertinente, incluyendo el capítulo reivindicatorio.

A este mismo respecto, debe tenerse en cuenta que en la primera página de la copia en mención la Oficina de Patentes correspondiente certificó la fecha de presentación de esas solicitudes, cumpliéndose de esta forma todos y cada uno de los requisitos establecidos en el inciso segundo del artículo 10 de la Decisión 486 de la Comisión de la Comunidad Andina.

Señor Superintendente,

  
JIMENA ESCOBAR URIBE  
C.C.39.779.452 de usaquén  
T.P. 68228  
Cód. 5376

**ESTADOS UNIDOS DE AMERICA  
A TODOS AQUELLOS A QUIENES EL PRESENTE  
LLEGUE:**

**DEPARTAMENTO DE COMERCIO DE LOS ESTADOS  
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**Febrero 6, 2001**

**La presente es para certificar que el anexo es una copia fiel de los registros de la Oficina de Patentes y Marcas de los Estados Unidos, de los documentos de la solicitud de patente identificada más adelante, que cumplieron con los requerimientos para concederse una fecha de presentación de acuerdo con 35 USC 111.**

**Número de Solicitud: 09/476,429**

**Fecha de Presentación: Diciembre 30, 1999**

**Por la autoridad del  
Comisionado de Patentes y Marca**

**(fdo) E. BORNETT  
Oficial Certificador  
Sello oficial de la Oficina de Patentes y Marcas de los  
Estados Unidos de América**

## **REIVINDICACIONES**

1.- Un aparato que comprende: una cánula para aguja que tiene una punta distal, un extremo proximal y que además tiene una flecha con una circunferencia; un protector de punta que tiene una base, el protector de punta define una abertura para recibir la flecha de cánula para aguja, y el protector de punta se monta de manera deslizable sobre la misma; medios acoplados al protector de punta para bloquear la abertura del protector de punta con el fin de cerrar la punta distal de la cánula para aguja dentro del protector de punta; un empaque acoplado a la base del protector de punta definiendo una abertura de un tamaño tal que pueda recibir la flecha de cánula para aguja; medios acoplados a la flecha de cánula para aguja que impiden el movimiento del protector de punta a lo largo de la flecha de cánula para aguja más allá de una distancia predeterminada desde la punta distal de la cánula para aguja.

2.- El aparato de conformidad con la reivindicación 1, caracterizado además porque comprende una cámara de vacío acoplada a la cánula para aguja en el extremo proximal de la cánula para aguja.

3.- El aparato de conformidad con la reivindicación 1, caracterizado además porque el empaque se forma en su lugar apropiado en la base del protector de punta de un material adhesivo.

4.- El aparato de conformidad con la reivindicación 3, caracterizado además porque el material adhesivo de empaque se selecciona del grupo que consiste en parafina, poliéster y poliamida.

5.- El aparato de conformidad con la reivindicación 3, caracterizado además porque el material adhesivo de empaque es curado por exposición a radiaciones ultravioletas.

6.- El aparato de conformidad con la reivindicación 1, caracterizado además porque el medio de bloqueo comprende: una lengüeta que tiene una longitud suficiente para bloquear la abertura del protector de punta, la lengüeta se acopla de manera giratoria al protector de punta dentro de la abertura del protector de punta y se acopla de manera deslizable a la flecha de cánula para aguja en una primera posición desviada para que después de remover la flecha de cánula para aguja, la lengüeta esté libre para girar a una segunda posición que se extiende a través de la abertura del protector de punta.

7.- El aparato de conformidad con la reivindicación 1, caracterizado además porque el medio para obstaculizar comprende: una irregularidad en la circunferencia de la flecha de cánula para aguja a distancia predeterminada desde la punta distal de la cánula para aguja ocluyendo el paso de la flecha de cánula para aguja a través de la abertura del empaque.

8.- El aparato de conformidad con la reivindicación 6, caracterizado además porque la lengüeta es una lengüeta de metal anti-adhesión.

9.- El aparato de conformidad con la reivindicación 7, caracterizado además porque la irregularidad es un pliegue inscrito en la flecha de cánula para aguja.

10.- El aparato de conformidad con la reivindicación 6, caracterizado además porque el protector de punta también comprende: una superestructura acoplada a la base; una presilla de metal anti-adhesión cilíndrica alojada dentro de la superestructura que define una abertura para recibir la flecha de cánula para aguja, la presilla alojando la lengüeta, la lengüeta dispuesta dentro de la abertura de la presilla para que en su primera posición, la lengüeta sea desviada hacia la flecha de cánula para aguja y en su segunda posición, la lengüeta gire para bloquear la abertura de la presilla.

11.- El aparato de conformidad con la reivindicación 10, caracterizado además porque el protector de punta es ópticamente transparente, la abertura de la presilla cilíndrica es una primera abertura, y la presilla también define una segunda abertura que se extiende sobre una porción de la circunferencia cilíndrica que expone una porción de la primera abertura.

12.- Un catéter intravenoso médico que comprende: una cánula para aguja que tiene una punta distal, un extremo proximal y una flecha que tiene una circunferencia; un protector de punta de una longitud predeterminada que tiene un extremo proximal que incluye una base y un extremo distal que incluye una superestructura, el protector de punta define una abertura para recibir la flecha de cánula para aguja y está montado de

manera deslizable sobre la misma; una cámara de vacío que tiene un extremo distal y un extremo proximal, en donde el extremo distal de la cámara de vacío se acopla al extremo proximal de la cánula para aguja, la cámara de vacío también tiene paredes que se extienden desde el extremo distal de la cámara de vacío definiendo un espacio para recibir al protector de punta; una presilla de metal anti-adhesión alojada dentro de la superestructura del protector de punta que define una abertura concéntrica con la abertura del protector de punta; una lengüeta desviada de longitud suficiente para extenderse a través de la abertura de la presilla colocada de manera giratoria dentro de la abertura de la presilla para que, en su primera posición, la lengüeta se acople a la flecha de cánula para aguja y cuando la flecha es retirada, la lengüeta gire para ocupar una segunda posición que bloquea la abertura de la presilla; un empaque formado en su lugar apropiado en la base del protector de punta que define una abertura de tamaño tal que pueda recibir la flecha de cánula para aguja; un pliegue inscrito en la flecha de cánula para aguja a una distancia predeterminada desde la punta distal de la cánula para aguja ocluyendo el paso de la flecha de cánula para aguja a través de la abertura del empaque, la distancia predeterminada del pliegue siendo proporcional a la longitud del protector de punta para que al mover el protector de punta al punto en donde el pliegue ocluye la flecha de cánula para aguja también la lengüeta se mueva más allá de la flecha de cánula para aguja con el fin de liberar la lengüeta para que gire a su segunda posición; un alojamiento para catéter, que tiene un cubo de catéter y que también define una abertura, acoplado con el protector

de punta para cubrir el protector de punta y por lo menos una porción de la cánula para aguja, en donde la punta distal de la cánula para aguja se extiende a través de la abertura del alojamiento para catéter; un tapón de vacío acoplado al extremo proximal de la cámara de vacío.

13.- El catéter médico IV de conformidad con la reivindicación 12, caracterizado además porque la lengüeta comprende una superficie lubricada que empalma la flecha de cánula para aguja en su primera posición.

14.- El catéter médico IV de conformidad con la reivindicación 12, caracterizado además porque la flecha de cánula para aguja también comprende una superficie lubricada.

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# THE UNITED STATES OF AMERICA

**TO ALL TO WHOM THESE PRESENTS SHALL COME:**

**UNITED STATES DEPARTMENT OF COMMERCE**

**United States Patent and Trademark Office**

**February 06, 2001**

**THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE UNDER 35 USC 111.**

**APPLICATION NUMBER: 09/476,429**

**FILING DATE: *December 30, 1999***



**By Authority of the  
COMMISSIONER OF PATENTS AND TRADEMARKS**

*E. Bornett*

**E. BORNETT**

**Certifying Officer**

01-03-00

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PATENT APPLICATION  
TRANSMITTAL

(Only for non-provisional applications under 37 CFR 1.52(a))

Attorney Docket No. 036301.P482  
First Inventor or Application Identifier Joseph J. Chang  
Title SAFETY INTRAVENOUS CATHETER  
Express Mail Label No. EM020281557USAPPLICATION ELEMENTS  
See MPEP chapter 800 concerning utility patent application contentsADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Attach an original, and a duplicate for fee processing)
2. ☒ Specification (Total Pages 16)  
(Include arrangement set forth below)  
- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 2)
4. Oath or Declaration (Total Pages 1)  
a. ☒ Newly executed (original copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(Indicate date and page(s) if applicable)
5. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

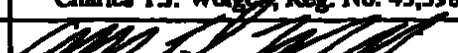
7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignment) ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO - 1448 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 803)  
(Should be specifically marked)
13. ☐ "Small Entity" Statement filed in prior application, Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
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NOTE: ITEM 1A IS IN ORDER TO BE ENTITLED TO A SMALL ENTITY FEE. A SMALL ENTITY STATEMENT IS REQUIRED ON C.F.R. § 1.29, EXCEPT ONE FILED IN A PRIORITY APPLICATION IS RELIED UPON (37 CFR § 1.29).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_  
Prior application Information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
For CONTINUATION or DIVISIONAL APPLICATIONS: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

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|------------------------------------------------------------|-----------------------------------------------------|---------------------------------------------------------------------|
| <input type="checkbox"/> Customer Number of Bar Code Label | (Insert Customer No. or Attach bar code label here) | or <input checked="" type="checkbox"/> Correspondence address below |
| Name                                                       | BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP              |                                                                     |
| Address                                                    | 12400 Wilshire Boulevard, Seventh Floor             |                                                                     |
| City                                                       | Los Angeles                                         | State California Zip Code 90025                                     |
| Country                                                    | U.S.A.                                              | Telephone (310) 207-3800 Fax (310) 820-5988                         |

|                   |                                                                                     |               |
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Attorney's Docket No. 056301.P482  
Express Mail No. EM020281557US

**UNITED STATES PATENT APPLICATION**

**FOR**

**SAFETY INTRAVENOUS CATHETER**

**Inventors:**

**Joseph J. Chang**

**Prepared by:**

**BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP**  
12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
(310) 207-3800

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## **SAFETY INTRAVENOUS CATHETER**

### **Field of the Invention**

This invention relates to the field of medical devices and in particular to a safety intravenous (IV) catheter.

### **5 Background**

10 Blood borne diseases such as AIDS and Hepatitis present significant risks to medical personnel administering vascular injections. The means by which a patient's vessel and skin are pierced to either draw or introduce fluids can just as effectively pierce the hands and arms of attending medical personnel. Gloves or similar protective garb may provide some protection, but making such items entirely resistant to needle penetration oftentimes sacrifices the wearer's mobility and dexterity proportionate to the degree of protection. Therefore, protective wear is not a total answer to the problem.

15 In order to adequately protect medical personnel from inadvertent puncture and wounding, catheter systems have been developed to cover and shield the distal needle point after its withdrawal from the patient. These systems have taken a number of embodiments and have various degrees of elaboration. One such mechanism includes a cylindrical sheath of plastic which telescopes out from the flash chamber to surround the needle shaft, including the distal tip. Such mechanism increases costs of  
20 manufacture substantially and may malfunction, especially in a fluid filled environment where it may stick or slip. The need for locking parts under these circumstances also increases risk of failure. Other types of needle caps require moving parts, such as a spring activation, to close off the needle in the cap after its withdrawal. These sometimes combine moving parts with specially tooled needles having two or more

separate widths so that the larger circumference and diameter either trips the spring and/or blocks the needle's removal from the cap.

Given that the needle protector, however configured, will be contaminated upon each use, cost-benefit requirements dictate that a desirable shielding system be

5 disposable along with the needle. Furthermore, the system must be quick and easy to use as to present as little imposition as possible to the administration and function of the catheter. Moving parts which may malfunction or stick such as springs and similar biasing mechanisms, as well as telescoping sheaths requiring deployment from the flash chamber, are less desirable in this regard and can drive up the manufacturing cost for a  
10 disposable unit. Lathering the needle circumference to alter the circumference over particular segments requires precise tooling and hence substantially added cost. The further requirements for sealing the system against fluid leakage and backflow may also show such designs to be problematic.

Therefore, it is desirable that a protective system be simple and dependable in its  
15 deployment, cheap to manufacture, expedient in its operation and effective in sealing off the distal point and preventing fluid leakage or backflow.

**SUMMARY**

A medical intravenous (IV) catheter is described comprising a needle cannula having a distal point, a proximal end and further having a shaft with a circumference, a tip protector having a base and defining an opening to receive the needle cannula shaft.

- 5 The tip protector is slideably mounted on the cannula shaft. The catheter also include means coupled to the tip protector for blocking the tip protector opening so as to enclose the needle cannula distal point within the tip protector, a gasket coupled to the tip protector base defining an opening of a size to receive the needle cannula shaft, means coupled to the needle cannula shaft impeding movement of the tip protector along the needle cannula shaft beyond a pre-determined distance from the needle cannula distal point, and a flash chamber coupled to the needle cannula at the needle cannula proximal end.

Other features and advantages of the invention will be apparent from the accompanying drawings and from the detailed description that follows below.

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# **BRIEF DESCRIPTION OF THE DRAWINGS**

The invention is illustrated by way of example and not by way of limitation in the figures of the accompanying drawings in which like references indicate similar elements and in which:

5        Figure 1 shows the IV catheter apparatus according to an embodiment of the invention.

      Figure 2 shows the IV catheter apparatus according to an embodiment of the invention as employed with catheter cover and hub.

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## DETAILED DESCRIPTION

Referring to Figure 1, depicting a catheter and needle cannula 10 including distal tip protector 20 according to the invention, tip protector 20 defines an opening 77 through which extends needle cannula 10. Tip protector 20 is slideably movable along the length of needle cannula shaft 11 from the point where needle cannula 10 engages the flash chamber 30 to a pre-determined distance from distal tip 40 of needle cannula 10. In one embodiment, flash chamber 30 includes walls 35 which define a slot 37 into which tip protector base 25 snugly fits before it is moved along needle cannula 10 toward distal tip 40. Needle cannula 10 defines a lumen pathway (not pictured) and comprises an insertion needle having pointed distal end 40. Proximal end 50 of needle cannula 10 is fixed to distal end of flash chamber 30 so as to be in fluid communication therewith. Needle cannula 10 may be affixed by adhesive such as epoxy to form a plug sealing flash chamber 30. Needle cannula 10 may also be affixed to the flash chamber 30 and sealed thereon by other ways known in the art.

Tip protector 20 has, at its proximal end, tip protector base 25 coupled to a superstructure 85 which together define the opening 77 through which needle cannula shaft 11 extends. At tip protector base 25, where needle cannula shaft 11 extends into the tip protector 20 from its proximal end 50, a gasket 28 surrounds the needle cannula shaft 11 and creates an opening almost identical in circumference to needle cannula shaft 11. In one embodiment, the gasket is formed in place to seal the opening, yet allowing the needle cannula 10 to slide therethrough; in one embodiment this may be further assisted by the application of lubricant to needle cannula shaft 11. An example of suitable lubricant may be silicone in a hexane solvent applied by spraying or dipping. Suitable gasketing material may include adhesive such as LV3021-69, an ultraviolet (UV) curable acrylic base material available from Loctite, Inc. An ultraviolet cured

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cured adhesive is desirable for its rapid curing in a high volume manufacturing process and its ability to form a 100% solid gasket. Application of the adhesive and ultraviolet exposure may be accomplished by methods known in the art (for instance in the manner described in U.S. Patent No. 5,092,845). Other suitable adhesives that may act

as gaskets include paraffin-polyester or polyamide-type material.

At a pre-determined distance from the distal tip 40 of needle cannula 10, a crimp 70 is made into the needle cannula shaft 11 which may be located in one embodiment between 0.05"-0.15" below heel 42 of distal point 40. Crimp 70 may be simply a deformity in the uniform circumference of the needle cannula shaft 11, collapsing the cannula needle lumen diameter (not pictured), but not closing off the needle cannula lumen. Crimp 70 may be stamped upon the needle surface by machining techniques known in the art. In one embodiment, crimp 70 compresses the uniformly circular needle cannula shaft 11, giving it an irregular or basically oval cross-sectional shape. In one embodiment, the compression does not seal the lumen, but merely elongates it so that the area of the lumen through which fluid passes is unchanged and fluid flow unimpeded. As an alternative to a crimp in needle cannula shaft 11, a protuberance may be formed on the outer surface of needle cannula shaft 11, such as by soldering or pressure fitting a durable O-ring around needle shaft 11.

In its irregular or oval shape, the needle cannula shaft 11 outer diameter is lengthened in one direction, *i.e.*, the compression diameter. This lengthening prevents needle cannula shaft 11, and hence distal point 40, from being removed through the opening at tip protector base 25 defined by gasket 28. The circular gasket opening is nearly equivalent to the diameter and circumference of needle cannula shaft 11 when uniformly circular. Needle cannula crimp 70 compression diameter exceeds that of the gasketed opening and prevents further movement of the tip protector 20 along the

needle cannula shaft 11 toward the distal point 40. Thus crimp 70 prevents the tip protector from being moved the entirety of needle cannula 10 length and hence prevents its removal from its position covering distal tip 40 of the needle cannula 10.

Thus, the tip protector 20 slides from a proximal location where the tip protector base 25 is received within slot 37 defined by walls 35 of flash chamber 30, along needle cannula shaft 11 to the point where crimp 70 on needle cannula shaft 11 blocks the opening of gasket 28, thus preventing further movement of tip protector 20 along needle cannula shaft 11. The positioning of crimp 70 on needle cannula shaft 11 is predetermined so that when crimp 70 engages gasket 28, tip protector superstructure 85 covers distal end 40 of needle cannula 10. Resiliently mounted within tip protector superstructure 85 in the passage occupied by needle cannula shaft 11 is tab 18 removing the impediment of needle cannula shaft 11. The presence of needle cannula shaft 11 in tip protector superstructure 85 holds tab 18 in a stressed position against shaft 11. Once distal tip 40 of needle cannula 10 is drawn below the level of tab 18, the tab is free to pivot across the opening 77 of tip protector superstructure 85 blocking needle cannula distal tip 40 from re-emerging from tip protector 40 which now covers it.

Tab 18 is substantially of the type described in U.S. Patent No. 5,419,766. It is stamped and formed of stainless steel metal and heat treated to create a resilient property or spring action in order that the tab, if biased or stressed, retains memory to return to a flexed position.

Tab 18 is located a pre-determined distance from the top opening of the tip protector superstructure 85 so that distal tip 40 of needle cannula 10 passes tab 18, releasing the tab to pivot and block the top opening of superstructure 85, before the crimp 70 engages gasket 28 opening at tip protector base blocking further movement of tip protector 20 along needle cannula shaft 11. As an illustration, in one embodiment,

tip protector superstructure 85 may have an overall length of approximately 0.250 inches and tab 18 may be located approximately between 0.03 inches and 0.07 inches from the top opening of tip protector superstructure 85. Thus, preferably tip protector 20, from superstructure 85 to base 25, will be a predetermined length so as to encompass needle cannula 10 from its distal tip 40 to crimp 70, within tip protector 20.

Figure 2 illustrates an embodiment of the invention as used with a catheter assembly. Catheter 21 sheathes the needle cannula 10, where the needle cannula distal point 40 extends beyond the catheter sheath to make the incision. Catheter 21 then provides the lumen which maintains fluid communication with the patient after distal tip 40 of needle cannula 10 is withdrawn. Catheter hub 24 fits over tip protector 20 while tip protector base 25 fits within flash chamber slot 37, defined by flash chamber walls 35. Flash plug 90, is coupled to flash chamber 30 proximate end of flash chamber 30 partially sealing the chamber. Flash plug 90, in one aspect, vents air accumulated through venipuncture, permitting back flow of blood into the chamber as appropriate, while containing blood within flash chamber 30.

In one embodiment, the invention operates as follows: when needle cannula distal tip 40 and catheter distal tip 22 is inserted into a patient and fluid communication is established with flash chamber 30, tip protector 20 remains coupled to flash chamber 30 which receives tip protector base 25 tucked inside slot 37 defined by flash chamber walls 35. Upon completion of the insertion, the catheter 21 and catheter hub 24 are left as inserted, distal tip 40 of needle cannula 10 is removed and withdrawn gradually through catheter 21 and catheter hub 24. Meanwhile, tip protector 20 is manually slid along the length of needle cannula shaft 11. At approximately the point where gasket 28 defining the tip protector base opening 16 engages needle cannula crimp 70, blocking further movement of protector tip 20 along needle cannula shaft 11, distal tip 40 of

needle cannula 10 slides below biased tab 18 pressing against needle cannula 10, thus removing the resistance to the tab bias. Tab 18, now free to move, then pivots to block the tip protector opening 77, sealing distal tip 40 within tip protector 20. Where distal tip 40 of needle cannula 10 is prevented from re-emerging from tip protector

5 superstructure 85, needle cannula crimp 70 prevents tip protector 20 from sliding further up needle cannula shaft 11 and off distal tip 40. At such time the apparatus can be safely handled and disposed of by medical personnel, with catheter 21 and catheter hub 24 remaining with the patient.

10 In one embodiment, tab 18 is metal that is part of metal clip 75 housed within tip protector superstructure 85 and defining opening 77 as a through hole through which needle cannula shaft 11 extends when in operation. Through hole 77, typically will have a diameter slightly greater than the needle cannula shaft 11 outer diameter and will vary depending on the needle gauge used. Metal clip 75 may be made from a cylindrical piece of metal such as tube stock which has tab 18 punched therein and bent  
15 toward the inside of cylindrical metal clip 75. Tab 18 is formed by being cut from the clip cylinder interior, heat treated for spring action, and pressed inward, retaining an elastic bias or memory to flex outwards and across through hole 77. Tab 18, in this embodiment, thus may be formed from metal clip 75, at a point intermediate the clip cylinder or may extend all of the way to the end of the cylinder so long as the length of  
20 the cut creating tab 18 enables the tab to flex outward and across through hole 77, so as to either bridge the opening entirely or to block movement of the needle cannula shaft therethrough.

Retraction of distal tip 40 of needle cannula 10 into metal clip 75 portion below the level of tab 18 releases the biased tab 18 which flexes to close through hole 77 of  
25 metal clip 75 over distal tip 40. Metal clip 75 may include a windowed opening (not

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**כח  
המלכות  
עליונה  
בא  
אלו  
הנביאים  
הגדולים**

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**CLAIMS**

What is claimed is:

- 1        1.     An apparatus comprising:  
2           a needle cannula having a distal point, a proximal end and further having a shaft  
3 with a circumference;  
4           a tip protector having a base, the tip protector defining an opening to receive the  
5 needle cannula shaft and the tip protector is slideably mounted thereon;  
6           means coupled to the tip protector for blocking the tip protector opening so as to  
7 enclose the distal point of the needle cannula within the tip protector;  
8           a gasket coupled to the tip protector base defining an opening of a size to receive  
9 the needle cannula shaft;  
10          means coupled to the needle cannula shaft impeding movement of the tip  
11 protector along the needle cannula shaft beyond a pre-determined distance from the  
12 needle cannula distal point.
- 1        2.     The apparatus of claim 1 further comprising a flash chamber coupled to  
2 the needle cannula at the needle cannula proximal end
- 3        3.     The apparatus of claim 1, wherein the gasket is formed in place at the tip  
4 protector base of an adhesive material.
- 1        4.     The apparatus of claim 3, wherein the gasket adhesive material is selected  
2 from the group consisting of paraffin, polyester and polyamide.
- 1        5.     The apparatus of claim 3, wherein the gasket adhesive material is cured by  
2 exposure to ultraviolet light.

6. The apparatus of claim 1 wherein the blocking means comprises:  
a tab having a length sufficient to block the tip protector opening, the tab  
pivotably coupled to the tip protector within the tip protector opening and slideably  
engaging the needle cannula shaft in a first biased position such that upon removal of  
the needle cannula shaft the tab is free to pivot to a second position extending across the  
tip protector opening.

7. The apparatus of claim 1 wherein the impeding means comprises:  
an irregularity in the needle cannula shaft circumference a pre-determined  
distance from the needle cannula distal point occluding passage of the needle cannula  
shaft through the gasket opening.

8. The apparatus of claim 6, wherein the tab is an anti-stick metal tab.

9. The apparatus of claim 7, wherein the irregularity is a crimp inscribed in  
the needle cannula shaft.

10. The apparatus of claim 6, wherein the tip protector further comprises:  
a superstructure coupled to the base;  
a cylindrical anti-stick metal clip housed within the superstructure defining an  
opening to receive the needle cannula shaft, the clip housing the tab, the tab disposed  
within the clip opening such that in its first position the tab is biased against the needle  
cannula shaft and in its second position the tab pivots to block the clip opening.

11. The apparatus of claim 10, wherein the tip protector is optically  
transparent, the cylindrical clip opening is a first opening, and the clip further defines a

3 second opening extending over a portion of the cylindrical circumference exposing a  
4 portion of the first opening.

1 12. A medical intravenous catheter comprising:

2 a needle cannula having a distal point, a proximal end and a shaft having a  
3 circumference;

4 a tip protector of a pre-determined length having a proximal end including a  
5 base and a distal end including a superstructure, the tip protector defining an opening  
6 to receive the needle cannula shaft and slideably mounted thereon;

7 a flash chamber having a distal end and a proximal end, wherein the flash  
8 chamber distal end is coupled to the needle cannula proximal end, the flash chamber  
9 further having walls extending from the flash chamber distal end defining a space to  
10 receive the tip protector;

11 an anti-stick metal clip housed within the tip protector superstructure defining  
12 an opening concentric with the tip protector opening;

13 a biased tab of a length sufficient to extend across the clip opening pivotably  
14 disposed within the clip opening such that in its first position the tab engages the  
15 needle cannula shaft and when the shaft is withdrawn, the tab pivots to occupy a  
16 second position blocking the clip opening;

17 a formed in place gasket at the tip protector base defining an opening of a size to  
18 receive the needle cannula shaft;

19 a crimp inscribed into the needle cannula shaft at a pre-determined distance from  
20 the needle cannula distal point occluding passage of the needle cannula shaft through  
21 the gasket opening, the pre-determined distance of the crimp being commensurate with  
22 the tip protector length such that moving the tip protector to the point where the crimp

23 occludes the needle cannula shaft also moves the tab beyond the needle cannula shaft  
24 so as to free the tab to pivot to its second position;  
25 a catheter housing, having a catheter hub and further defining an opening,  
26 coupled to the tip protector so as to cover the tip protector and at least a portion of the  
27 needle cannula wherein the needle cannula distal point extends through the catheter  
28 housing opening;  
29 a flash plug coupled to the flash chamber proximal end.

1 13. The medical IV catheter of claim 12, wherein the tab further comprises a  
2 lubricated surface engaging the needle cannula shaft in its first position.

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14. The medical IV catheter of claim 12, wherein the needle cannula shaft  
further comprises a lubricated surface.

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## **ABSTRACT**

**A medical IV catheter is described comprising a needle cannula having a distal point, a proximal end and further having a shaft with a circumference, a tip protector having a base the tip protector defining an opening to receive the needle cannula shaft and the tip protector is slideably mounted thereon, means coupled to the tip protector for blocking the tip protector opening so as to enclose the needle cannula distal point within the tip protector, a gasket coupled to the tip protector base defining an opening of a size to receive the needle cannula shaft, means coupled to the needle cannula shaft impeding movement of the tip protector along the needle cannula shaft beyond a pre-determined distance from the needle cannula distal point, and a flash chamber coupled to the needle cannula at the needle cannula proximal end.**

**10**

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or any original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SAFETY INTRAVENOUS CATHETER

the specification of which ☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ as  
United States Application Number \_\_\_\_\_  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

| APPLICATION NUMBER | COUNTRY (OR INDICATE IF PCT) | DATE OF FILING (day, month, year) | PRIORITY CLAIMED                                         |
|--------------------|------------------------------|-----------------------------------|----------------------------------------------------------|
|                    |                              |                                   | <input type="checkbox"/> No <input type="checkbox"/> Yes |
|                    |                              |                                   | <input type="checkbox"/> No <input type="checkbox"/> Yes |
|                    |                              |                                   | <input type="checkbox"/> No <input type="checkbox"/> Yes |

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

| APPLICATION NUMBER | FILING DATE |
|--------------------|-------------|
|                    |             |
|                    |             |

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I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| APPLICATION<br>NUMBER | FILING DATE | STATUS (ISSUED,<br>PENDING, ABANDONED) |
|-----------------------|-------------|----------------------------------------|
|                       |             |                                        |
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|                       |             |                                        |

I hereby appoint BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, a firm including: William E. Alford, Reg. 37,764; Farzad E. Amini, Reg. No. 42,261; Amy M. Armstrong, Reg. No. 42,265; Aloysius T. C. AuYeung, Reg. No. 35,432; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Berznak, Reg. No. 33,474; Michael A. Bernadicon, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Ronald C. Card, Reg. No. P44,587; Thomas M. Coester, Reg. No. 39,637; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W. Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Dag H. Johansen, Reg. No. 36,172; William W. Kidd, Reg. No. 31,772; Erica W. Kuo, Reg. No. 42,775; Michael J. Maltia, Reg. No. 36,591; Paul A. Mendonsa, Reg. No. 42,879; Darren J. Milliken, Reg. No. 42,004; Thinh V. Nguyen, Reg. No. 42,034; Dennis A. Nicholls, Reg. No. 42,036; Kimberley G. Nobles, Reg. No. 38,255; Lisa A. Norris, Reg. No. P44,976; Daniel E. Ovanessian, Reg. No. 41,236; Babak Redjaian, Reg. No. 42,096; William F. Ryan, Reg. No. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey S. Smith, Reg. No. 39,377; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; George G. C. Tseng, Reg. No. 41,355; Joseph A. Twarowski, Reg. No. 42,191; Lester J. Vincent, Reg. No. 31,460; John Patrick Ward, Reg. No. 40,216; Charles T. J. Weigell, Reg. No. 43,398; Kirk D. Williams, Reg. No. 42,229; James M. Wu, Reg. No. P45,241; Steven D. Yates, Reg. No. 42,242; Ben J. Yorks, Reg. No. 33,609; and Norman Zafman, Reg. No. 26,250; my attorneys; and Justin M. Dillon, Reg. No. 42,486, Edwin A. Sloane, Reg. No. 34,728; and John F. Travia, Reg. No. 43,203; my patent agents, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor (given name, family name) Joseph J. Chang

Inventor's Signature *Joseph J. Chang* Date 12/27/99

Residence Irving, Texas Citizenship U.S.A.  
(City, State) (Country)

P. O. Address 8612 Wellington Point Drive

Irving, Texas 75063 U.S.A.

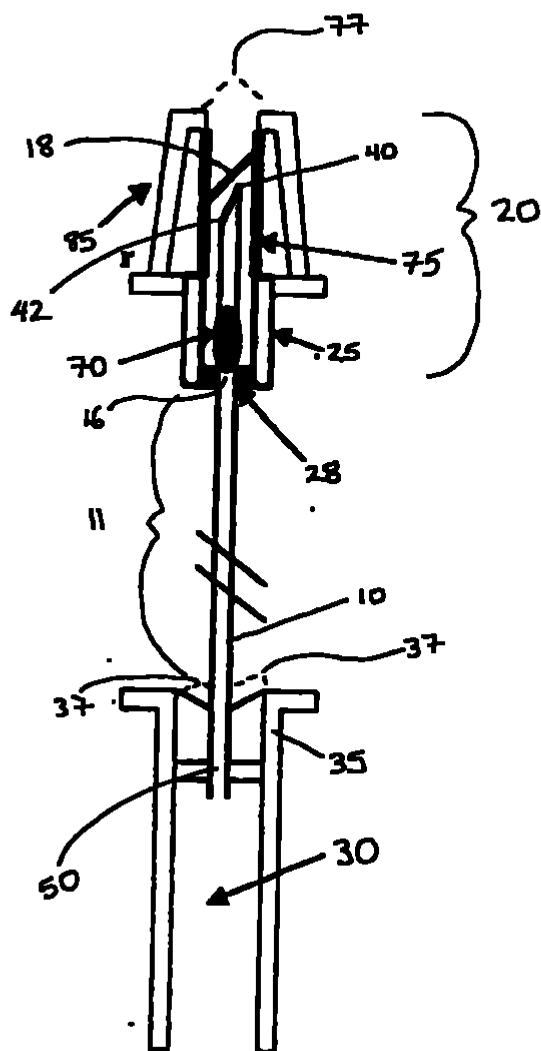


FIGURE 1

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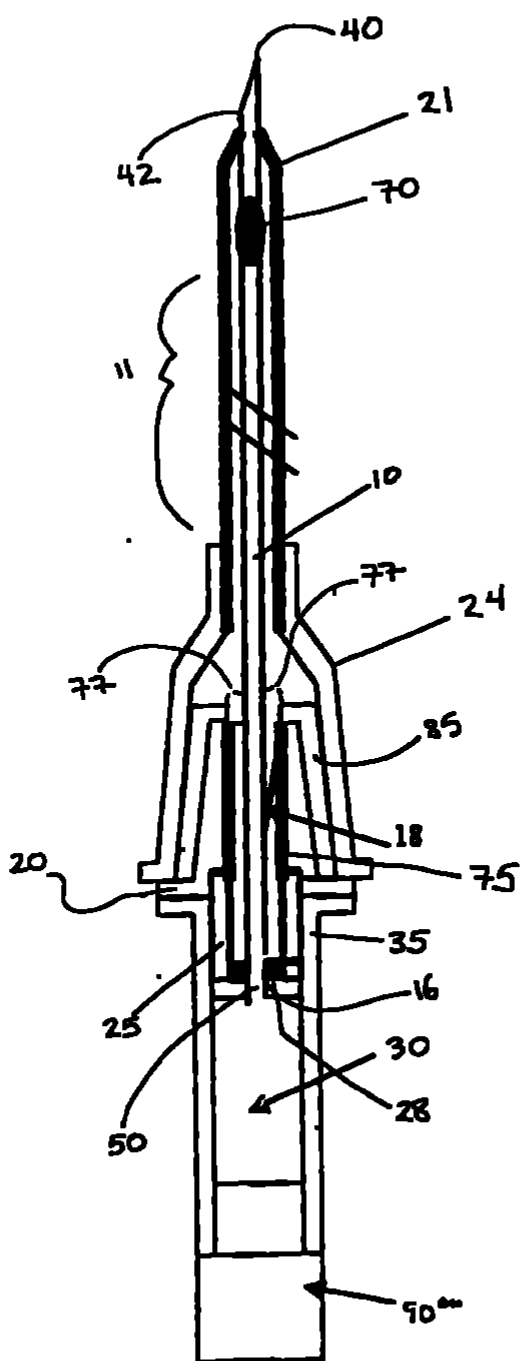


FIGURE 2