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ABOGADOS

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BOGOTÁ 8, COLOMBIA

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Señora Jefe de la
DIVISION DE NUEVAS CREACIONES
Superintendencia de Industria y Comercio
E. S. D.

57 483
Radicación: 01039467 00000004
Fecha (ADM): 2001-10-08 17:17:49
Trámite: 002 PATENTES D 1 REGISTRO/ 444 MEMORIA
Dependencia: 2000 DIVISION DE NUEVAS CREACIONES

Referencia : Expediente No. 01.039.467
Solicitante : KNOLL GMBH
Asunto : Solicitud de patente para: "DERIVADOS DE
PIRAZOL TRICICLICOS"
Fecha de la solicitud : 17 de mayo de 2001

TRAMITE:02 - EVENTO:01 - ACTUACION:444

1. Yo, Emilio FERRERO, obrando como apoderado del solicitante en el asunto antes identificado, me refiero al oficio número 1719, notificado por fijación en lista del 7 de junio de 2001.

Para atender a la providencia anterior solicité un plazo por medio de mi memorial número 01039467 00000003 radicado el 1 de agosto de 2001.

2. Mediante el oficio número 1719 se puso en conocimiento del solicitante el informe que contiene las observaciones hechas por su Despacho sobre la presente solicitud de patente. Atiendo a las observaciones de la manera siguiente:

2.1. Copia Certificada. Me permito informarle que la copia certificada de la solicitud de patente americana número 09/573,366 del 17 de mayo de 2000 junto con su respectiva traducción de sus reivindicaciones y carátula, en la cual se basa la reivindicación de la prioridad fue presentada junto con mi memorial 01039467 00000002 del día 27 de julio de 2001.

Por otra parte, presento junto con este escrito copia del

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documento, debidamente legalizado en que consta el traspaso de la solicitud de patente estadounidense número 09/573,366 de la sociedad BASF AG a la sociedad KNOLL GMBH.

El original de dicho documento se presentará tan pronto el mismo llegue al país. Solicito me conceda un tiempo prudencial para presentar dicho documento.

2.2. Poder. Presento con este memorial poder conferido por la sociedad KNOLL GMBH, debidamente legalizado, y que me faculta para actuar en esta solicitud de patente.

Ruego a Usted reconocerme personería como apoderado de la solicitante.

2.3. Recibos. Presento junto con este escrito, el recibo de pago No. 01-63.232 por valor de \$ 508.680.00 por concepto de los derechos de publicación por palabra adicional.

2.4. Documento de cesión del derecho a la patente. Presento junto con este escrito el documento de cesión de los inventores al solicitante debidamente legalizado.

3. Puesto que se han atendido a cabalidad las observaciones hechas por su Despacho sobre la presente solicitud de patente, atentamente solicito que se continúe su tramitación.

Bogotá, - 8 OCT. 2001
Señora Jefe,


EMILIO FERRERO

T.P.A. No. 31.929

WLR
COLO12745-801-001-5
JJC-ID-11

(A)

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210-61490**ASSIGNMENT**

We, BASF Aktiengesellschaft,

a corporation organized and existing under the laws of Germany, and having its usual place of business at D-67056 Ludwigshafen, Rheinland-Pfalz, Federal Republic of Germany, for good and valuable consideration paid to us by

Knoll GmbH (hereinafter "ASSIGNEE"),

a corporation organized and existing under the laws of Germany, and having its usual place of business at D-67061 Ludwigshafen, Rheinland-Pfalz, Federal Republic of Germany, the receipt and sufficiency of said consideration which in fact was paid prior to May 17, 2001 and said payment is hereby acknowledged herein, do hereby sell, assign and transfer unto said ASSIGNEE, its successors and assigns, the entire right, title, and interest for the United States of America, and for all other countries in the world, including all rights of priority under any and all international agreements, including the International Convention for the Protection of Industrial Property, in a certain invention or improvement in

Tricyclic Pyrazole Derivatives

described in an application Serial Number 09/573,366, filed on May 17, 2000, in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. We hereby acknowledge that this Assignment, being the entire right, title, and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States, or any such competent authority in any country of the world, to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE.

WITNESS our hands and seals

KNOLL GmbH

Knoll GmbH Postfach 210660
87008 Ludwigshafen

By:

Printed Name: Dr. S. Bollinger
(Managing Director)

Title:

Date: 16. Aug. 2001

WITNESS

By:

Printed Name: Schmidchen

Date:

16. Aug. 2001

BASF Aktiengesellschaft

By:

Printed Name: Dr. Udo Meyer Dr. Reinhold Köster

Title:

directors

Date:

20. September 2001

WITNESS

By:

Printed Name: Karin Werner

Date:

20. September 2001

SP5
492V.R.Nr. 3946/2001

I, Alfred Kluge, notary public at Ludwigshafen/Rhine, Federal Republic of Germany, hereby testify and confirm that the foregoing signatures of

1. Dr. Steffen Roellinger, Managing Director at Ludwigshafen/Rhine, identified by identity card,
2. Dr. Alexander Gehmichen, lawyer at Ludwigshafen/Rhine, personally known to me,

are true and valid.

After having checked today the competent register of commerce, I herewith confirm that Dr. Gehmichen is Director and Dr. Röllinger is member of the Board of Executive Directors of Knoll GmbH at Ludwigshafen/Rhine and that they are duly authorized to sign Knoll GmbH at Ludwigshafen/Rhine (District Court of Ludwigshafen/Germany HR B 4800).

Ludwigshafen/Rhine, this 16th day of August, 2001



(K l u g e)
Notar

Wert: DM 20.000,--

№ 1840 596 83

A P O S T I L L E

(Convention de La Haye du 5 octobre 1961)

1. Land: Bundesrepublik Deutschland
2. Diese öffentliche Urkunde
3. ist unterschrieben von Notar
4. Alfred Kluge
5. in seiner Eigenschaft als
6. öffentlicher Notar
7. in Ludwigshafen am Rhein
8. sie ist versehen mit dem Siegel/Stempel
9. des(der) Notars Alfred Kluge
10. in Ludwigshafen am Rhein

Bestätigt

1. in Frankenthal (Pfalz)
2. am 20. August 2001
3. durch den Präsidenten des Landgerichts
4. unter Nr. 91 Eb - 884-888/01
5. Siegel/Stempel:
6. 10. Unterschrift
7. Im Auftrag



(Christian Köneke)
Richter am Landgericht

Kostenvormerk:
Gebühr 25, -- DM

U.R.Nr. 2379/2001

I, Ludwig Draxel-Fischer, notary public at Ludwigshafen/Rhine, Federal Republic of Germany, hereby testify and confirm that the foregoing signatures of

1. a) graduate chemist Dr. Udo Meyer,
chemist at Ludwigshafen/Rhine, personally
known to me,
- b) graduate chemist Dr. Reinhold Köster,
chemist, at Ludwigshafen/Rhine, personally
known to me,

are true and valid.

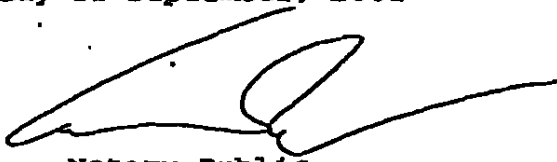
After having checked today the competent register of commerce, I herewith confirm that graduate chemist Dr. Meyer and graduate chemist Dr. Köster are directors who are authorized to jointly represent BASF Aktiengesellschaft, Ludwigshafen/Rhine. I certify that this firm is a legal person duly organized under the laws of the Federal Republic of Germany and that it is registered at the District Court of Ludwigshafen/Germany (HR B 3000).

2. Mrs. Karin Werner, Patentadministrator,
residing at 23 Mundenheimer Straße, 67061
Ludwigshafen,

is true and valid.

Mrs. Werner is acting as witness and is personally known to me.

Ludwigshafen/Rhine, this 20th day of September, 2001



Notary Public

Wert: 20.000,-- DM

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A P O S T I L L E

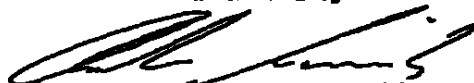
(Convention de La Haye du 5 octobre 1961)

1. Land : Bundesrepublik Deutschland
Diese öffentliche Urkunde
2. ist unterschrieben von Notar
Ludwig Draxel-Fischer
3. in seiner Eigenschaft als
öffentlicher Notar
4. sie ist versehen mit dem Siegel/Stempel
des Notars Ludwig Draxel-Fischer
in Ludwigshafen am Rhein

Bestätigt

5. in Frankenthal (Pfalz)
6. am 24.09.2001
7. durch den Präsidenten des Landgerichts
8. unter Nr. 91 Kb - 994-995/01

9. Siegel/Stempel:

10. Unterschrift
Im Auftrag
.....
(Christian Koneke)
Richter am LandgerichtKostenvermerk:
Gebühr 25,00 DM

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TRADUCCION OFICIAL No. 8621

Traducción de los sellos y autenticaciones en alemán que aparecen en el documento de cesión, escrito en español e inglés, y sus respectivas legalizaciones en inglés, otorgado por la BASF AKTIENGESELLSCHAFT, sociedad domiciliada en D-67061 Ludwigshafen am Rhein, República Federal de Alemania, a la firma KNOLL GMBH, domiciliada en Knollstraße 67061 Ludwigshafen am Rhein, Alemania.

Firmado el 16 de agosto 2001 en 67061 Ludwigshafen, RFA, por los señores Roellinger y Oemichen, representantes legales de la Knoll GmbH y legalizado por el notario de Ludwigshafen am Rhein, Alfred Kluge bajo el No. 3946/2001.

APOSTILLA

(Convención de La Haya del 5 de octubre 1961)

1. País: República Federal de Alemania

Este documento público

2. Ha sido firmado por el notario Alfred Kluge

3. Actuando en calidad de notario público en Ludwigshafen am Rhein

4. Lleva el sello oficial del notario de Ludwigshafen am Rhein, Alfred Kluge

Certificado

5. en Frankenthal (Pfalz)

6. El 20 de agosto 2001

1917 APR 11 10 30 AM '01
ALFRED KLUGE
NOTARIO OFICIAL
F. Kluge

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7. por el Presidente del Tribunal Regional

8. bajo el No. 91 Eb - 884-888/01

9. Sello

(Hilo y oblea del
del Tribunal Regional

10. Firma

Fdo.: firma ilegible

(Christian Köneke)

Juez del Tribunal Regional

Nota de costas:

Derechos: 25,-- DM

Firmado el 20 de septiembre 2001 por los señores Meyer y Köster como representantes legales de la BASF Aktiengesellschaft y por la señora Karin Werner como testigo y legalizado por el notario de Ludwigshafen am Rhein, Ludwig Draxler-Fischer bajo el No. 2379/2001.

APOSTILLA

(Convención de La Haya del 5 de octubre 1961)

1. País: República Federal de Alemania

Este documento público

2. Ha sido firmado por el notario Ludwig Draxler-Fischer

3. Actuando en calidad de notario público

Handwritten signature

57/998

4. Lleva el sello oficial del notario de Ludwigshafen am Rhein, Ludwig Draxler-Fischer

Certificado

5. en Frankenthal (Pfalz)

6. El 24.09.2001

7. por el Presidente del Tribunal Regional

8. bajo el No. 91 Eb - 994-99995/01

9. Sello

(Hilo y oblea del
del Tribunal Regional

10. Firma

Fdo.: firma ilegible
(Christian Köneke)

Nota de Costas

Derechos: 25,- DM

ES TRADUCCION FIEL Y COMPLETA, de la cual se deja copia en los archivos de estas oficinas para su confrontación.

Bogotá, 24 de septiembre 2001.

Andrés Amador

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TRADUCCIÓN OFICIAL No. 04.10/01b realizada por Diego Vargas,
Traductor Oficial, Resolución No. 0001 de 1999 del Ministerio de
Justicia y registrado ante el Ministerio de Relaciones Exteriores
de Colombia.

TRASPASO

Nosotros, BASF Aktiengesellschaft,
una corporación constituida y existente de acuerdo a las leyes de
Alemania, que tiene su domicilio en el D-67056 Ludwigshafen,
Rheinland-Pfalz, República Federal de Alemania, por válida y
valiosa contraprestación pagada a nosotros por

Knoll GmbH (en adelante "CESIONARIO")

una corporación constituida y existente de acuerdo a las leyes de
Alemania, que tiene su domicilio en el D-67061 Ludwigshafen,
Rheinland-Pfalz, República Federal de Alemania, el recibo y
suficiencia de dicha contraprestación que de hecho fue pagada
antes del 17 de Mayo de 2001 y dicho pago por el presente es
reconocido, por el presente vende, traspasa y transfiere a dicho
CESIONARIO, sus sucesores y cesionarios, el derecho, título e
interés completo en los Estados Unidos de América, y en todos los
demás países del mundo, incluyendo todos los derechos de
prioridad según cualquier y todos los acuerdos internacionales,
incluyendo la Convención Internacional para la Protección de
Propiedad Industrial, sobre cierto invento ó mejora en

Derivados de Pirazol Tricíclicos

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descritos en la solicitud con Número de Serie 09/573,366, presentada el 17 de Mayo de 2000 ante la Oficina de Marcas Y Patentes de los Estados Unidos y en todas las Patentes que puedan ó sean concedidas en los Estados Unidos y en todos los demás países sobre dicho invento, ó sobre cualquier parte del mismo, ó sobre dicha solicitud ó cualquier división, continuación ó re-expedición u otras solicitudes basadas total o parcialmente en este. Por el presente reconocemos que este Traspaso, del derecho, título e interés completo en y a dicho invento, origina el derecho en el CESIONARIO a solicitar y obtener de las autoridades competentes en todos los países del mundo cualquier y todas las Patentes de invención por parte de Abogados y Agente de la escogencia del CESIONARIO y el derecho a conseguir la expedición de todas aquellas Patentes de invención al CESIONARIO para su propio nombre como cesionario del derecho, título e interés completo en este.

Y por el presente autorizamos y solicitamos al Comisionado de Marcas y Patentes de los Estados Unidos, ó a cualquier autoridad igualmente competente en cualquier país del mundo, expedir dichas Patentes de invención según sean concedidas sobre dicha solicitud ó solicitudes basadas en estas a dicho CESIONARIO.

TESTIFICAN nuestras firmas y sellos

Sello: KNOLL GmbH
Postfach 210859
C7008 Ludwigshafen

Knoll GmbH

BASF Aktiengesellschaft

Por: Firma ilegible

Por: Firma ilegible

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Nombre Impreso: Dr. S Roellinger

Nombre Impreso: Dr. Udo Meyer

Dr. Reinhold Koster

Cargo: Director Gerente

Cargo: Directores

Fecha: Agosto 16 de 2001

Fecha: Septiembre 20 de 2001

Dr. A. Oehmichen

Testigo (Jefe del Departamento Legal)

TESTIGO

Por: Firma ilegible

Por: Firma ilegible

Nombre Impreso: Oehmichen

Por: Karin Werner

Fecha: Agosto 16 de 2001

Fecha: Septiembre 20 de 2001

Urk.R.Nr. 3946/2000

Yo, Alfred Kluge, notario público en Ludwigshafen/Rhine, República Federal de Alemania, por el presente testifico y confirmo que las firmas anteriores de

1. El Dr. Steffen Roellinger, Director Gerente en Ludwigshafen/Rhine, identificado por tarjeta de identificación,

2. El Dr. Alexander Oehmichen, abogado en Ludwigshafen/Rhine, a quien conozco personalmente,

son fieles y válidas.

Después de haber revisado el registro competente de comercio, por el presente confirmo que el Dr. Oemichen es Director y el Dr. Röllinger es miembro de la Junta de Directores Ejecutivos de Knoll GmbH en Ludwigshafen/Rhine y que están debidamente autorizados para firmar a nombre de Knoll GmbH en Ludwigshafen/Rhine (Corte Distrital de Ludwigshafen / Alemania HR B 4800),

Ludwigshafen/Rhine, hoy 16 de Agosto de 2001

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Firma Ilegible

(K l u g e)

Notar

Sello autoadhesivo rojo que sujeta al hilo que une las hojas que comprende este documento con la inscripción: Alfred Kluge Notar in Ludwigshafen am Rhein

Wert : DM 20.000, --

Apostilla

Se anexa una Apostilla escrita en alemán con fecha del 20 de agosto de 2001 y el Nr. 91 Eb - 884-888/01 y firmada por Christian Köneke.

En la parte inferior aparece un sello autoadhesivo

Diego F. Vargas
DIEGO F. VARGAS
TRADUCTOR E INTERPRETE OFICIAL
ESPAÑOL - INGLES - INGLES - ESPAÑOL
RES. 0001 DE ENERO 4 DE 1999
MINISTERIO DE JUSTICIA

Urk.R.Nr. 2379/2000

Yo, Ludwig Draxel-Fischer, notario público en Ludwigshafen/Rhine, República Federal de Alemania, por el presente testifico y confirmo que las firmas anteriores de

- 1.a) químico graduado Dr. Udo Meyer, Químico en Ludwigshafen/Rhine, a quien conozco personalmente,
 - b) químico graduado Dr. Reinhold Köster, químico en Ludwigshafen/Rhine, a quien conozco personalmente,
- son fieles y válidas.

Después de haber revisado el registro competente de comercio, por el presente confirmo que el químico graduado Dr. Meyer y el químico graduado Dr. Köster son directores

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que están autorizados a representar conjuntamente a BASF Aktiengesellschaft, Ludwigshafen/Rhine. Certifico que esta firma es una entidad legal debidamente constituida de acuerdo a las leyes de la República Federal de Alemania y que está registrada ante la Corte Distrital de Ludwigshafen/Rhine (HR B 3000),

2. La Sra. Karin Werner, Administradora de Patentes,

residente en el 23 Mundenheimer Straße, 67061

Ludwigshafen,

es verdadera y válida.

La Sra. Werner actúa como testigo y la conozco personalmente.

Ludwigshafen/Rhine, hoy 20 de Septiembre de 2001

Firma Ilegible

Notario Público

Sello autoadhesivo que sujeta al hilo que une las hojas que comprenden este documento.

Wert : DM 20.000, --

Apostilla

Se anexa una Apostilla escrita en alemán con fecha 24.09.2001 y el Nr. 91 Eb - 994-995/01 y firmada por Christian Köneke.

~ En la parte inferior aparece un sello autoadhesivo

Es traducción fiel y completa de la cual se deja copia en esta oficina para su confrontación.

/COLO 12745-801-001-5/DVZ/ ID: 2713/WPCL002G/

Diego F. Vargas
DIEGO F. VARGAS
TRADUCTOR E INTERPRETE OFICIAL
ESPAÑOL - INGLES - INGLES - ESPAÑOL
RES. 0001 DE ENERO 4 DE 1999
MINISTERIO DE JUSTICIA



MINISTERIO DE JUSTICIA Y DEL DERECHO

RESOLUCION NUMERO 00001 DE 19

714 ENE 1999

Por la cual se expide una licencia para ejercer funciones de Traductor e Intérprete Oficial

EL SECRETARIO GENERAL DEL MINISTERIO DE JUSTICIA Y DEL DERECHO

en ejercicio de la facultad conferida por la Resolución 0404 del 22 de mayo de 1997, y

CONSIDERANDO

Que DIEGO FELIPE VARGAS ZAMORA, identificado con la cédula de ciudadanía No. 79.554.011 de Bogotá solicita a este Ministerio le sea expedida licencia para ejercer funciones de Traductor e Intérprete Oficial de conformidad con los Decretos 382 y 2257 de 1951.

Que el peticionario ha acompañado a su solicitud los siguientes documentos:

- Fotocopia autenticada de la cédula de ciudadanía No. 79.554.011 de Bogotá, en donde se establece la mayoría de edad.
- Certificación expedida por la Jefe de la Oficina Jurídica del Ministerio de Educación Nacional, en la cual hace constar que el señor DIEGO FELIPE VARGAS ZAMORA, reúne las condiciones de idoneidad que lo acredita como Traductor Oficial en los idiomas INGLES- ESPAÑOL- ESPAÑOL- INGLES, de conformidad con lo establecido en el Acta No. 694 del 25 de octubre de 1998.
- Tres declaraciones de personas que manifiestan que La solicitante es persona de buena conducta.
- Fotocopia autenticada del certificado judicial registrado bajo el T.D. No. 79.554.011, expedido por el Departamento Administrativo de Seguridad DAS, en donde consta que DIEGO FELIPE VARGAS ZAMORA no tiene asuntos pendientes con las autoridades judiciales o de policía.

Que revisados y analizados los documentos remitidos por el peticionario se encontró que reúne los requisitos legales para el otorgamiento de la licencia de Traductor e Intérprete Oficial.

MINISTERIO DE JUSTICIA Y DEL DERECHO
OFICINA JURIDICA
ES FIEL COPIA DE SU ORIGINAL

JEFE

Hoja 2 de la Resolución "Por la cual se expide una licencia para ejercer funciones de Traductor e Intérprete Oficial a DIEGO FELIPE VARGAS ZAMORA"

Que mediante Resolución 0404 del 22 de mayo de 1997, la Ministra de Justicia y del Derecho, ha delegado en la Secretaría General el otorgamiento de licencias para Traductores e Intérpretes Oficiales.

Que por lo anteriormente expuesto,

RESUELVE

ARTICULO PRIMERO: Expedir licencia a DIEGO FELIPE VARGAS ZAMORA identificado con la cédula de ciudadanía No 79.554.011 de Bogotá, para ejercer las funciones de Traductor e Intérprete Oficial en los idiomas INGLES-ESPAÑOL, ESPAÑOL-INGLES.

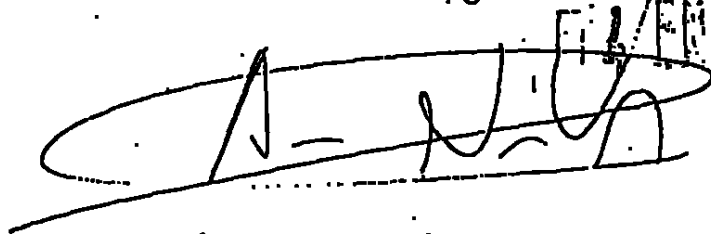
ARTICULO SEGUNDO: Comunicar el texto de la Resolución a la Subsecretaría de Asuntos Administrativos del Ministerio de Relaciones Exteriores.

ARTICULO TERCERO: El interesado deberá registrar su firma y sello ante la Oficina de Autenticaciones del Ministerio de Relaciones Exteriores, e inscribir su nombre ante el Tribunal Superior del Distrito Judicial correspondiente al territorio donde vaya a desempeñarse.

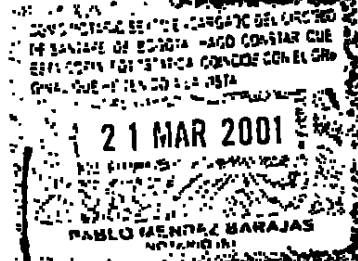
ARTICULO CUARTO: La presente Resolución rige a partir de la fecha de su expedición, y contra ella procede el recurso de reposición el cual deberá ser interpuesto dentro de los cinco días siguientes a su notificación.

NOTIFÍQUESE, COMUNÍQUESE Y CÚMPLASE

Dada en Santa Fe de Bogotá, D.C. a



ÁLVARO NAMÉN VARGAS



MINISTERIO DE JUSTICIA Y DEL DERECHO
OFICINA JURIDICA
ES FIEL COPIA DE SU ORIGINAL

Notario
JIFE



AB 17485683 579 586

ESCRITURA PUBLICA NUMERO: 5.884 -
DE 1.986, PROTOCOLIZADA EN LA NOTARIA
SEXTA (6a.) DEL CIRCULO DE BOGOTA,
DISTRITO ESPECIAL. - - - - -
No. 5.884. NUMERO: cinco
mil Ochocientos Ochenta y Cuatro.

En la ciudad de Bogotá, Distrito Especial, Departamento de Cundinamarca, República de Colombia, a *Veintidos (22)* del mes de *Septiembre* de mil novecientos ochenta y seis (1986), ante mí, JAIME CAMARGO VARGAS, Notario Sexto (6o.) del Círculo de Bogotá, se otorgó la escritura pública que se consigna en los siguientes términos: - - - - -
Compareció el señor Doctor Don ERNESTO CAVELIER, mayor de -
edad, vecino de ésta ciudad, y dijo: - - - - -

ESTIPULACIONES

PRIMERO.- Que presenta para su protocolización: copia auténtica de la Resolución número quinientos noventa y nueve (599) del Ministerio de Justicia, fechada el ocho (8) de marzo de mil novecientos setenta y ocho (1978), por medio de la cual se expide licencia de Intérprete Oficial en los idiomas Español-Alemán y Alemán Español a la señora LUZ ARRIAGA DE HERBER; copia auténtica del Acuerdo número setenta y cuatro (74) del veintiocho (28) de marzo de mil novecientos setenta y ocho (1978), por medio de la cual se posesionó del cargo de Intérprete Oficial la dicha señora LUZ ARRIAGA DE HERBER ante el Tribunal Superior de Bogotá; y Certificado del Ministerio de Relaciones Exteriores de la inscripción de dicha señora LUZ ARRIAGA DE HERBER como traductora e Intérprete Oficial para los idiomas Español-Alemán y Alemán-Español. - - - - -

OTORGAMIENTO Y AUTORIZACION.- Leída la presente escritura por el compareciente y advertido sobre las formalidades y trámites de rigor, le impartió su aprobación y en constancia

Notario Jaime Camargo Vargas

la firma por ante mí y conmigo el Notario que la autorizo.-

DERECHOS NOTARIALES.- Decretos 1772 de 1979 y 1134 de 1986

\$400.00 Esta escritura se extendió de acuerdo con la minuta presentada y queda protocolizada en la hoja de papel autorizado número AB 06685568 /

Ernesto Cavelier

ERNESTO CAVELIER

C. C. No. 1908/620 BCG

LM.D 109.344 DM 4.

EL NOTARIO SEXTO, ENCARGADO

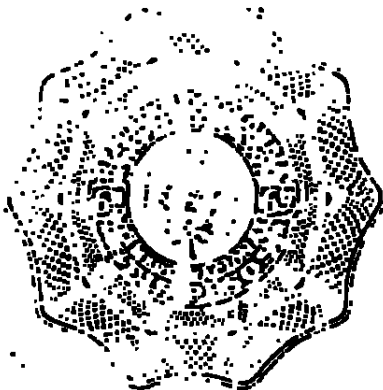
REPUBLICA DE COLOMBIA	IMPUESTOS NACIONALES
MINISTERIO DE ECONOMIA Y FINANZAS	
AUTORIZACION	
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BOGOTÁ D.F.
NOTARIA 15
TABLA
NAL-MAL



C.C. No. 1908/620

136049



MINISTERIO DE JUSTICIA - ORDEN JUDICIAL -

RESOLUCION NUMERO 599 DE 1978

por la cual se expide una licencia para ejercer funciones de Traductora e Intérprete Oficial.

EL MINISTRO DE JUSTICIA ENCARGADO -

en ejercicio de la facultad que le confieren los Decretos 382, 2257 de 1951 y 576 de 1974, y

CONSIDERANDO:

Que la Señora LUISA LÓPEZ DE VARGAS, de nacionalidad colombiana, portadora de la cédula de ciudadanía número 20'272.962 de Bogotá solicita de este Ministerio la ser expedida licencia para ejercer funciones de Traductora e Intérprete Oficial conforme a los Decretos 382 y 2257 de 1951;

Que para comprobar el cumplimiento de los requisitos exigidos, la peticionante presentó los siguientes documentos:

- a) Documento autenticado por el Notario Cuarto del Municipio de Bogotá, sobre Partida Matrimonial expedida por el Párroco de la Iglesia de la Virgen de Medellín con la cual consta ser Colombiana de nacimiento y de edad;
- b) Certificado No. 63 expedido por el Jefe de la División de Negocios Generales del Ministerio de Educación Nacional, con el cual acredita condiciones para desempeñar la función de Traductora e Intérprete Oficial en los Idiomas Español-Alemán y Alemán-Español;
- c) Tres declaraciones o jurisdicciones rendidas ante el Jefe de la División Civil Municipal de Bogotá, con auspicio del Ministerio Público, con las cuales demuestra su buena conducta y antecedentes;
- d) Certificado expedido por el Jefe de la División de Censos de la Registraduría Nacional del Estado Civil, según el cual la cédula de ciudadanía número 20'272.962 de Bogotá, se encuentra vigente;
- e) Certificado Judicial registrado bajo el T.D. No. 350272, expedido por el Departamento Administrativo de Seguridad (D.A.S.), con el cual se asegura no tener antecedentes penales ni de policía;

Que acreditados los anteriores requisitos y de acuerdo con los Decretos 382,

REPUBLICA DE COLOMBIA
MINISTERIO DE JUSTICIA
VICERREINADO JUDICIAL

EUIRRIA SUEA DE BOGOTÁ
 Jaime Camargo Vargas
 NOTARIO ENCARGADO

ESTUDIO PRELIMINAR :

La presente Resolución rige a partir de la fecha de su expedición.

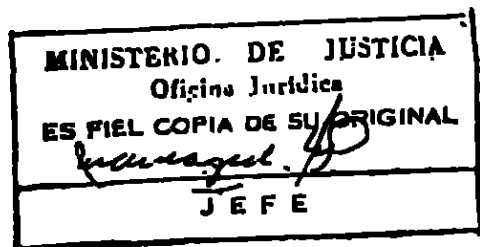
COMUNIQUESE Y CUMPLASE

Dada en Bogotá, D.E. a

Manuel García Cárdenas

MANUEL GARCÍA CÁRDENAS

EL SECRETARIO GENERAL



15 AGO. 1986

GUSTAVO IGNACIO JARAMILLO

521
AA- 8696161 508

EL SUSCRITO SECRETARIO DEL H. TRIBUNAL SUPERIOR DEL DISTRITO JUDICIAL DE BOGOTÁ, D. E. COMPULSA LAS SIGUIENTE COPIA:

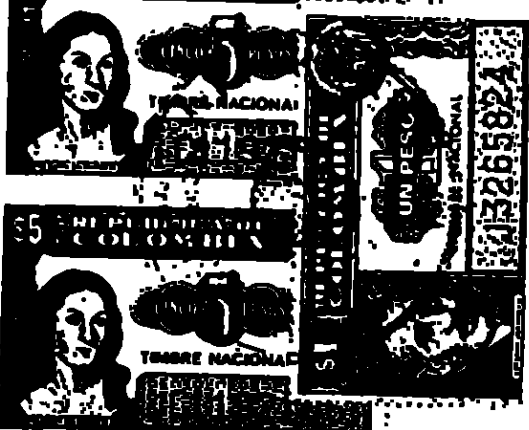
" ACUERDO Nº 74 DE 28 DE MARZO DE 1978.-

DILIGENCIA DE POSESION DE LA SEÑORA LUZ ARRIAGA DE HERBER, COMO INTERPRETE OFICIAL EN LOS IDIOMAS ESPAÑOL- ALEMAN; ALEMAN-ESPAÑOL Y TRADUCTORA.- En Bogotá, a los veintiocho días del mes de marzo de mil novecientos setenta y ocho (1978) se presentó ante el Señor Presidente del Tribunal Superior de Bogotá, la Señora Luz Arriaga de Herber, con cédula de ciudadanía número 20.272.962 de Bogotá, con el fin de tomar posesión del cargo de Interprete y Traductora en los idiomas Aleman-Español Español-Aleman por lo cual fué admitida según resolución Nº 599 de 6 de marzo de 1978.- Para tal efecto el Señor Presidente del Tribunal previas las formalidades legales le recibió el juramento de rigor por el cual prometió cumplir bien y fielmente con los deberes que el cargo le impone según su leal saber y entender.- Para constancia se firma por quienes en ella intervinieron, haciendo constar que la copia que se le expide a la interesada de la presente diligencia le sirve a la interesada para acreditar su calidad de interprete y traductora Oficial en el idioma, Aleman- Español; Español-Aleman, para lo cual se expiden a su costa las copias que se deseen.- No siendo otro el objeto de la presente diligencia se firma por los que en ella intervinieron despues de leída y aprobada.- El Presidente, (Fdo.) firma ilegible.- El Posesionado, (Fdo.) Firma ilegible.- El Secretario, (Fdo.) Firma ilegible.-

SECRETARIA DE BOGOTÁ
JANE C. GARCIA
NOTARIO PUBLICO

ES FIEL COPIA.-

BOGOTÁ, D. E. SEPTIEMBRE 12 de 1986.-



RICARDO A. QUIMBAY GOMEZ

Secretario,

21

REPUBLICA DE COLOMBIA
MINISTERIO DE RELACIONES EXTERIORES

CERTIFICACION

Se hace constar que el Señor(a) LUZ ARRIAGA DE HERBER,
se encuentra debidamente registrado(a) ante el Ministerio de Relaciones
Exteriores, como traductor e interprete oficial para los idiomas ESPAÑOL
ALEMAN, ALEMAN ESPAÑOL/ según resolución N° 599
de fecha MARZO 8 DE 1978 del Ministerio de Justicia.

Bogotá , Julio 18 de 1986

Atentamente,



RAFAEL HUMBERTO PEÑA FLOREZ
JEFE DE NEGOCIOS GENERALES



BOGOTA, D.E. SEP. 29 DE 1.986

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47-1172-11090

NAME CHANGE VERNO
NOTARIO EN GARGALLO

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NOTA

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U.S. DEPT. OF AGRICULTURE

WASHINGTON, D.C.

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CAVELIER

ABOGADOS
EDIFICIO SISKI
CARRERA 4a N° 72-35
BOGOTÁ, 8 - COLOMBIA

181

Representación y Poder con autenticación notarial y Apostille para patentes, modelos de utilidad, diseños industriales, marcas de fábrica, nombres comerciales, enseñas, nombres de dominio, lemas comerciales, licencias.

Representation and Power-of-Attorney with notarial authentication and Apostille for patents, utility models, industrial designs, trademarks, commercial names, trade emblems, domain names, slogans, licenses.

REPRESENTACION Y PODER

REPRESENTATION AND POWER-OF-ATTORNEY

Yo (nosotros), abajo firmado (s)/I (we), the undersigned

Knoll GmbH

domiciliado (s) en/or Knollstrasse 50

Ludwigshafen 67061, Germany

hereby ratifies the patent application No. 01.039.467 filed by EMILIO FERRERO on May 17, 2001 and

por el presente nombramos a EMILIO FERRERO, tpa. 31929; GONZALO PERDOMO, tpa. 831; LUZ CLEMENCIA DE PAEZ, tpa. 23555 y GERMAN CAVELIER, tpa. 832, domiciliados en la Carrera 4a N° 72-35, Bogotá, Colombia, en obediencia a lo dispuesto en el parágrafo 1o del Artículo 543 del Código de Comercio, el primero como principal y los demás como suplentes, en su orden, como mis (nuestros) representantes en todos los asuntos de propiedad industrial con facultad para recibir notificaciones y nombrar apoderados judiciales o extrajudiciales. El primer representante suplente reemplazará al principal en caso de ausencia o impedimento temporal o definitivo de aquél. La misma regla se aplicará cuando el segundo representante suplente haya de reemplazar al primero, o el tercero al segundo. En tales casos, el representante principal, o el primero, o segundo suplente, en su caso, o ambos, declararán su intención de no ejercer la representación mediante declaración autenticada por Notario Público en Colombia, o por Notario u otro Funcionario Público, o Cónsul de Colombia, en el extranjero; y si se tratare de impedimento, con el certificado respectivo. Si alguno de los nombrados faltare definitivamente, el siguiente tomará su lugar. Cuando cesare la ausencia o impedimento del representante principal, o de su primero o segundo suplente, en su caso, podrán ejercer la representación, en su orden, uno u otros según el caso, asumiéndola por el solo hecho de ejercerla, cesando en ese momento el ejercicio de la representación por el primero, segundo o tercer suplente en su caso. Además, confiero (conferimos) poder a los abogados arriba nombrados, para obtener la protección de mi (nuestra) propiedad industrial y contra la competencia desleal, a cuyo efecto autorizo (amos) a los dichos apoderados para que me (nos) representen ante cualesquiera entidades o personas, ejerzan o no jurisdicción, especialmente ante las del orden administrativo, contencioso-administrativo y judicial, así como los tribunales de arbitramento, en todo lo concerniente a los siguientes asuntos: a) Obtención de patentes de invención, modelos de utilidad y diseños industriales; el registro de marcas de fábrica, colectivas, defensivas, de servicio, lemas comerciales, nombres comerciales, enseñas, nombres de dominio, variedades vegetales y denominaciones de origen; su renovación, traspaso, cambio de nombre o domicilio, cancelación voluntaria; y el registro de licencias de uso de esos derechos; b) Las acciones de competencia desleal, protección del consumidor, oposiciones u observaciones, cancelación administrativa y judicial, nulidad, medidas cautelares, concesión de licencias, la tutela, la protección de secretos industriales, y en general los juicios civiles, penales, administrativos o contencioso administrativos relacionados con

in due compliance with the terms of paragraph 1st. of article 543 of the Commercial Code, do hereby appoint EMILIO FERRERO, tpa. 31929; GONZALO PERDOMO, tpa. 831; LUZ CLEMENCIA DE PAEZ, tpa. 23555 and GERMAN CAVELIER, tpa. 832, domiciled at Carrera 4a N° 72 35, Bogotá, Colombia, the first as principal and the others as alternates, in the order of their appointment, as our representatives for all industrial property matters with faculty to receive notifications and to appoint attorneys in and out of Court. The first alternate shall replace the principal in case of his absence or of temporal or definitive impediment. The same rule will apply when the second alternate representative is to replace the first one, or when the third is to replace the first or second alternates in their turn, or both of them, second. In such cases, the principal representative, or the first or second alternates in their turn, or both of them, shall state their intention not to act as representatives through a declaration given before a Notary Public in Colombia, or before a Notary or another Public Official or a Colombian Consul abroad; and in case of impediment, the appropriate certificate shall suffice. If any of the appointees were to be definitely absent, the following alternate shall take his place. When the absence or impediment of the principal representative, or of the first or second alternate in their turn ceases, the representation can be taken again in their sequence, by the principal, the first or second alternate depending on case, and the mere fact or its exercise will suffice. The exercise of the representation by the first, second or third alternates in their turn shall end at such time. In addition, we grant a Power of Attorney in favor of the above named attorneys at law so that they may obtain the protection of my (our) industrial property and against the unfair competition, for which purpose I (we) authorize the said attorneys to represent me (us) before any authorities or persons, with or without jurisdiction, specially those administrative, administrative contentious and judicial, as well as before Arbitration Court, in all matters concerning the following: a) Obtainment of patents, utility models and industrial designs; the registration of trademarks, collective, defensive and service marks, slogans, commercial names, trade emblems, domain names, vegetal varieties and denominations of origin; its renewal, assignment, change of name or domicile, voluntary cancellation; and the registration of licenses of use of the above rights; b) Actions of unfair competition, protection to the consumer, oppositions or observations, administrative and judicial cancellation, nullity, infringement, precautionary measures, the action for writ mandamus, the protection of trade secrets, and in general the civil, penal, and administrative suits relating to the rights and actions and suits

CAVELIER ABOGADOS

WPPODE01-181 (MINUTA N° 12.1.1.2.6 USA)

JUAN MANUE

os derechos, acciones y procesos que promovamos o se nos
muevan. Y para que en todos los asuntos arriba determinados, puedan
stituir este mandato, transigir, conciliar, admitir los hechos del
ceso, desistir, cancelar, recibir, renunciar, y ratificar los actos de
ntes oficiales.

instituted by me (us) or against me (us). And that in all the above
they may substitute this Power Of Attorney, compromise, conciliate, adin
the facts of the case, desist, cancel, receive, resign, and ratify acts done
representatives without a power of attorney.

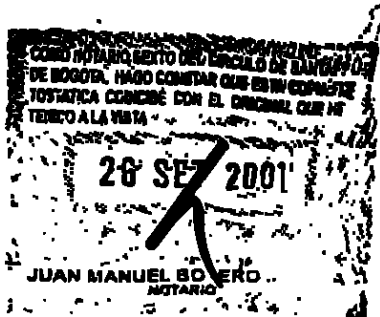
Dado y firmado hoy/Given and signed this 26 June 2001

en/in Ludwigshafen

por/by

Firma
KNOLL GmbH
Postfach 210850
67008 Ludwigshafen.

Signature
Dr. S. Roellinger
(Managing Director)



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: name
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done t

U.R.Nr. 3181/2001

I, Alfred Kluge, notary public at Ludwigshafen/Rhine,
Federal Republic of Germany, hereby testify and
confirm that the foregoing signatures of

1. Dr. Steffen Roellinger, Managing Director
at Ludwigshafen/Rhine, personally known to me,
2. Dr. Alexander Oehmichen, lawyer at
Ludwigshafen/Rhine, personally known to me,

are true and valid.

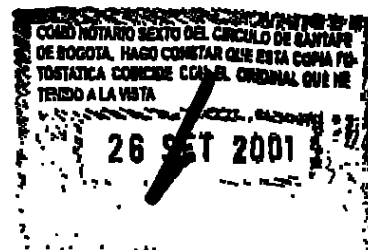
After having checked today the competent register of
commerce, I herewith confirm that Dr. Roellinger and
Dr. Oehmichen are directors who are authorized to jointly
represent Knoll GmbH, Ludwigshafen/Rhine. I certify that
this firm is a legal person duly organized under the laws
of the Federal Republic of Germany and that it is
registred at the District Court of Ludwigshafen/Germany
(HR B 4800).

Ludwigshafen/Rhine, this 26th day of June, 2001



[Handwritten signature]

(K l u g e)
notary public



Wert: DM 20.000,--

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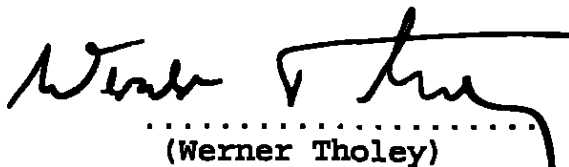
A P O S T I L L E

(Convention de La Haye du 5 octobre 1961)

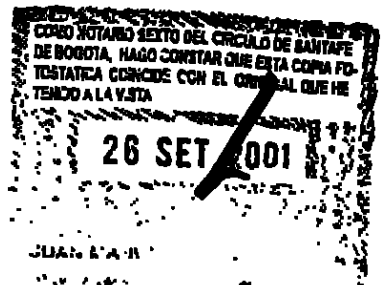
1. Land: Bundesrepublik Deutschland
Diese öffentliche Urkunde
2. ist unterschrieben von Notar
K l u g e
3. in seiner Eigenschaft als
öffentlicher Notar
4. sie ist versehen mit dem Siegel/Stempel
des(der) Notars Alfred Kluge
in Ludwigshafen am Rhein

Bestätigt

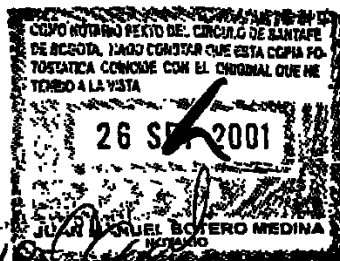
5. in Frankenthal (Pfalz)
6. am 22. Juni 2001
7. durch den Präsidenten des Landgerichts
8. unter Nr. 91 E b -658-659/2001
9. Siegel/Stempel: 10. Unterschrift


.....
(Werner Tholey)

Kostenvermerk:
Gebühr 25.-DM



21- Krell Embli
CCLC 13743-01/015
Sept 16 de 2001



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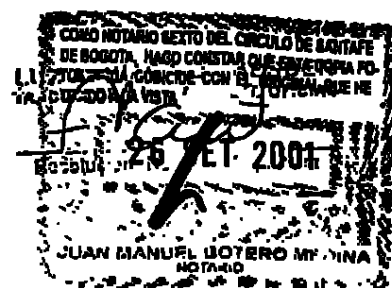
TRADUCCION OFICIAL No. 8610

de un documento escrito en Alemán, el cual para su identificación se sella con el sello de la traductora, lo mismo que la presente.-

Traducción de los sellos y autenticaciones que aparecen en el documento de representación y poder, escrito en español e inglés, otorgado por KNOLL AKTIENGESELLSCHAFT, sociedad domiciliada en Knollstraße 67061 Ludwigshafen am Rhein, Alemania, por medio del cual otorga poder a los doctores EMILIO FERRERO, tpa. 31929, GONZALO PERDOMO, tpa. 831; LUZ CLEMENCIA DE PAEZ, tpa 23555 y GERMAN CAVELIER, tpa. 832, domiciliados en la Carrera 4 No. 72-35, Bogotá, Colombia. Certificación notarial en inglés No. 3181/2001 firmada por el notario de 67061 Ludwigshafen, Alfred Kluge, de fecha 26 de junio de 2001. Dado y firmado en 67061 Ludwigshafen, RFA.

(Hilo y oblea notarial en relieve)

Valor: DM 20.000.-



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514

APOSTILLA

(Convención de La Haya del 5 de octubre 1961)

1. País: República Federal de Alemania

Este documento público

2. Ha sido firmado por el notario Kluge

3. Actuando en calidad de notario público

4. Lleva el sello oficial del notario de Ludwigshafen am Rhein, Alfred Kluge

Certificado

5. en Frankenthal (Pfalz)

6. El 15 de agosto 2001

7. por el Presidente del Tribunal Regional

8. bajo el No. 91 E b - 658-659/2001

9. Sello

10. Firma

(Hilo y oblea del

Fdo.: firma ilegible

del Tribunal Regional

(Werner Tholey)

Derechos: 25,- DM

RECEIVED
146361-1
COPIA FIRMA ALFRED KLUGE
DOCUMENTO DESEMPLEADO
LAS FIRMAS DE LOS NOTARIOS

NO SE ASUME
RESPONSABILIDAD POR EL TEXTO
2001 SEP 25 11:56
JEFE DE LA OFICINA DE REGISTROS
SECRETARÍA DE GOBIERNO C.O.C.

ES TRADUCCION FIEL Y COMPLETA, de la cual se deja copia en los archivos de estas oficinas para su confrontación.

Bogotá, 24 de septiembre 2001.

1117 ARRI... DE HERSEN
12.000000
JUAN MANUEL NOTERO
26 SET 2001
BOGOTÁ, HAGO CONSTAR QUE ESTA COPIA FOTOGRÁFICA CONCORDA CON EL ORIGINAL QUE HE TENIDO A LA VISTA

COMO NOTARIO SEXTO DEL CIRCULO DE SANTAFE DE BOGOTA, HAGO CONSTAR QUE LAS ANTERIORES FIR-
MAS) PUSIERON POR

[Signature]

[Signature]

CONFIRMADO POR D.C. No. (S)

SEALADO AUTENTICO

SANTAFE DE BOGOTA, 24 SET. 2001

[Circular Stamp: REPUBLICA DE COLOMBIA, MINISTERIO DE JUSTICIA, OFICINA DE NOTARIAS, BOGOTA]

NO SE ASUME
RESPONSABILIDAD DEL TRAMITANTE

2001 SEP 20 A 11:40

[Signature]
DE LA LEGALIZACION
DE LA FASE DE EJECUCION

MINISTERIO DE DEL AGROPECUARIO
Y PESQUERIA

173384

[Signature]
DE LA FASE DE EJECUCION
DE LA FASE DE EJECUCION
DE LA FASE DE EJECUCION

COMO NOTARIO SEXTO DEL CIRCULO DE SANTAFE DE BOGOTA, HAGO CONSTAR QUE ESTA COPIA FOTOSTATICA CONCORDA CON EL ORIGINAL QUE HE TENIDO A LA VISTA

26 SET 2001

JUAN MANUEL BOTERO MEDINA
NOTARIO

827/515

TRADUCCIÓN OFICIAL No.25.09/01 realizada por Diego Vargas,
Traductor Oficial; Resolución No.0001 de 1999 del Ministerio de
Justicia y registrado ante el Ministerio de Relaciones Exteriores
de Colombia.

A continuación se traducen los sellos y legalizaciones de un
documento escrito en inglés y en español mediante el cual Knoll
GmbH domiciliado en Knollstrasse 50, Ludwigshafen 67061,
Alemania, por el presente ratifica la solicitud de patente
No.01.039.467 presentada por el Dr. EMILIO FERRERO el 17 de mayo
de 2001 y otorga derechos de Representación y Poder a EMILIO
FERRERO, tpa. 31929, GONZALO PERDOMO, tpa. 831; LUZ CLEMENCIA DE
PÁEZ, tpa. 23555 y GERMÁN CAVELIER, tpa. 832.

Dado y firmado 26 de Junio de 2001

en Ludwigshafen

por _____

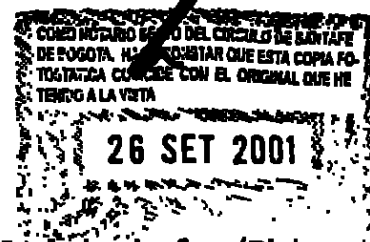
Firma
KNOLL GmbH
Postfach 210850
67008 Ludwigshafen

Firma ilegible
Dr. S. Roellinger
Director Gerente

Firma
Firma ilegible
Dr. Oshmichen
Jefe del Dept.
Legal

U.R.Nr. 3181/2001

Yo, Alfred Kluge, notario público de Ludwigshafen/Rhine,
República Federal de Alemania, por el presente testifico y
confirmo que las firmas anteriores de



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1. El Dr. Steffen Roellinger, Director Gerente en Ludwigshafen/Rhine, a quien conozco personalmente,

2. El Dr. Alexander Oehmichen, abogado en Ludwigshafen/Rhine, a quien conozco personalmente,

son fieles y válidas.

Ludwigshafen/Rhine, este 29 de junio de 2000

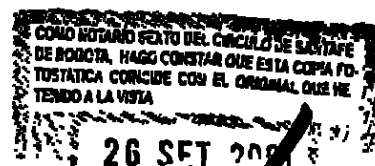
Hoy, después de haber confrontado el registro competente de comercio, confirmo adicionalmente que el Dr. Roellinger y el Dr. Oehmichen son directores que están autorizados para representar conjuntamente a Knoll GmbH, Ludwigshafen/Rhine. Yo certifico que esta es una entidad legal debidamente constituida bajo las leyes de la República Federal de Alemania y que está registrada en la Corte Distrital de Ludwigshafen/Rhine (HR B 4800).

Ludwigshafen/Rhine, hoy 26 de junio de 2001.

Firma ilegible

(K l u g e)

notario público



Wert : DM 20.000, --

Sello autoadhesivo rojo que sujeta al hilo que une las tres primeras hojas de este documento con la inscripción:

Alfred Kluge Notar in Ludwigshafen am Rhein

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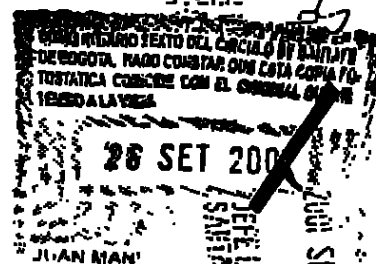
Se anexa APOSTILLA en alemán con fecha del 22 de junio de 2001 y bajo el Número 91 E b-658-659/2001 firmada por Werner Tholey.

Así mismo se anexa la traducción oficial de la Apostilla al español por Luz Arriaga de Herber, Traductora e Intérprete Oficial, Resolución No.599 del Ministerio de Justicia.

Es traducción fiel y completa de la cual se deja copia en esta oficina para su confrontación.

/COLO 12745-801-001-5/DVZ/ID-2685/WPCL002G/

Diego F. Vargas
DIEGO F. VARGAS Z.
TRADUCTOR E INTERPRETE OFICIAL
ESPAÑOL - INGLES - INGLES - ESPAÑOL
RES. 0001 DE ENERO 4 DE 1999
MINISTERIO DE JUSTICIA



COMO NO HAYO CERTO DEL CIRCULO DE SANTAFE DE BOGOTA, HAGO CONSTAR QUE LA(S) ANTERIORES FIRMA(S) PUESTA(S) POR

[Handwritten signature]

IDENTIFICADOS CON E.C. No. (S)

ES/SON AUTENTICAS(S)

SANTAFE DE BOGOTA, 25 SET. 2001

[Circular stamp with signature]

COMO NO HAYO CERTO DEL CIRCULO DE SANTAFE DE BOGOTA, HAGO CONSTAR QUE ESTA COPIA FOTOGRAFICA CORRESPONDE CON EL ORIGINAL QUE ME FUE ENTREGADO

26 SET 2001

JUAN MANUEL ROTERO MEDINA

NIT : 800176089-2

SUPERINDUSTRIA Y COMERCIO
 Radicacion : B1839467 00000004 Folios: 51
 Fecha (A+B): 2001-10-08 17:17:49
 Trámite : 002 PATENTES E 1 REGISTRO/ 444 RESPUESTA
 Dependencia: 2020 DIVISION DE NUEVAS CREACIONES

RECIBO OFICIAL DE CAJA : 01 - 63,232
 FECHA : OCTUBRE 8 DE 2001

***** CONSIGNACION *****

DEPOSITANTE	TIPO PAGO	BANCO	CUENTA	No. PAGO	FECHA PGO	Vr. PAGO
CAVELIER ABOGADOS	CONSIGNACION	BANCO POPULAR	050-00110-6	4050793	08/10/2001	27,804,688.00

***** CONCEPTO *****

CANT.	CONCEPTO	TOTAL CONCEPTO
942 50005-01-01 SOLICITUDES	9 POR PALABRA ADICIONAL (A PARTIR D	508,680.00
	TOTAL :	\$ 508,680.00

SON: QUINIENTOS OCHO MIL SEISCIENTOS OCHENTA PESOS

RESPONSABLE : _____

RECIBO DE CAJA APLICADO AL EXPEDIENTE No. _____

Colo 12745-801-001-5.

Carrera 13 No. 27-00 Pisos 5, 7 y 10
 Conmutador 382 0840
 Fax 350 5220
 E-mail: info@sic.gov.co
 www.sic.gov.co
 Bogotá, D.C., Colombia

501519

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: *United States of America*

This public document

2. has been signed by T. Lawrence

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of Patent and Trademark Office

Certified

5. at Washington, D.C.

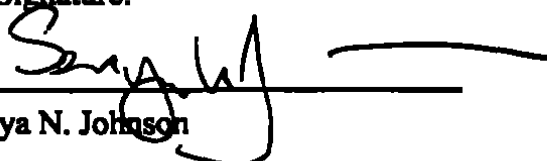
6. the thirtieth of May, 2001

7. by *Assistant Authentication Officer, United States Department of State*

8. No. 01018930-2

9. Seal/Stamp:

10. Signature:


Sonya N. Johnson

\$50520 -

A 413049



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

**UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office**

May 22, 2001

**THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
SEPTEMBER 29, 2000.**



**By Authority of the
COMMISSIONER OF PATENTS AND TRADEMARKS**

T. Lawrence

**T. LAWRENCE
Certifying Officer**

533
521

DDMAN\HODMAN\Merge:151406:1

Docket No.: 2079.1021-011

REC

10-20-2000

SHEET



101495437

use attached original documents or copy thereof.

To the Honorable Commissioner of

1. Name of conveying party(ies)

- 1. Kevin J. Doyle
- 2. Paul Rafferty
- 3. Robert W. Steele
- 4. David J. Wilkins
- 5. Lee D. Arnold
- 6. Michael Hockley
- 7. Anna M. Ericsson
- 8. Nobuhiko Iwasaki
- 9. Nobuo Ogawa

9-29-00

2. Name and address of receiving party(ies)

Name: BASF Aktiengesellschaft

Internal Address:

Street Address: D-67056 Ludwigshafen

City: Rheinland-Pfalz State: Germany ZIP:

Additional name(s) & address(es) attached? [] Yes [X] No

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

3. Nature of conveyance:

- [X] Assignment [] Merger
- [] Security Agreement [] Change of Name
- [] Other

Execution Date: 1. 07/14/00; 2. 07/14/00; 3. 07/14/00;
4. 07/14/00; 5. 07/11/00; 6. 07/19/00;
7. 07/13/00; 8. 07/26/00; 9. 07/26/00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/573,366

B. Patent No.(s)

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard W. Wagner, Esq.

Internal Address:

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02421-4799

6. Total number of applications and patents involved: [1]

7. Total Fee (37 C.F.R. 3.41)..... \$ 40.00

- [X] Enclosed
- [X] Authorized to charge any deficiencies or credit any overpayment to deposit account
- [] Authorized to be charged to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

1/20/0000 KTH011 00000009 09573366

Theresa A. Devlin 40.00

Name of Person Signing

Theresa A. Devlin

Signature

9/25/00

Date

Total number of pages including cover sheet, attachments, and document: [49]

PATENT
REEL: 011163 FRAME: 0313

Joint

ASSIGNMENT

WHEREAS, we, Kevin J. Doyle, Paul Rafferty, Robert W. Steele, David J. Wilkins, Lee D. Arnold, Michael Hockley, Anna M. Ericsson, Nobuhiko Iwasaki, and Nobuo Ogawa, have invented a certain improvement in Tricyclic Pyrazole Derivatives described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☒ was filed on May 17, 2000 as Application No. 09/573,366;

☐ was patented under U.S. Patent No. ☐ on ☐.

WHEREAS, BASF Aktiengesellschaft (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Germany, and having a usual place of business at D-67056 Ludwigshafen, Rheinland-Pfalz, Germany desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

PATENT
REEL: 011163 FRAME: 0314

535 523

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor Kevin J. Doyle Date 14/7/00

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness N. Athey-Pollard Date 14/7/00

Address Pennyfoot Street
Nottingham NG1 1GF, England

Witness C. Markin Date 14.7.2000

Address Pennyfoot Street
Nottingham NG1 1GF ENGLAND.

Inventor Paul Rafferty Date _____

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

536 524

Inventor _____ Date _____
Robert W. Steele

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

Inventor _____ Date _____
David J. Wilkins

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

528 525 =

Inventor's Signature: _____
Lee D. Arnold
State/Commonwealth _____
of _____
County of _____

Then personally appeared before me the above-named Lee D. Arnold and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor _____ Date _____
Michael Hockley
Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____
Address _____

Witness _____ Date _____
Address _____

528 526 =

Inventor's Signature: _____

Anna M. Ericsson

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named Anna M. Ericsson and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor _____ Date _____

Nobuhiko Iwasaki

Address 1-1 Inokuchi 87

Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

PATENT
REEL: 011163 FRAME: 0318

527

Inventor _____ Date _____
Nobuo Ogawa

Address 1-1 Inokuchi 87
Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

::ODMA\HODMA\Manage;143007;1

PATENT
REEL: 011163 FRAME: 0319

528

840 528

Docket No. 2079.1021-011

Joint

ASSIGNMENT

WHEREAS, we, Kevin J. Doyle, Paul Rafferty, Robert W. Steele, David J. Wilkins, Lee D. Arnold, Michael Hockley, Anna M. Ericsson, Nobuhiko Iwasaki, and Nobuo Ogawa, have invented a certain improvement in Tricyclic Pyrazole Derivatives described in an application for Letters Patent of the United States, the specification of which:

- ☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;
- ☒ was filed on May 17, 2000 as Application No. 09/573,366;
- ☐ was patented under U.S. Patent No. [] on [].

WHEREAS, BASF Aktiengesellschaft (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Germany, and having a usual place of business at D-67056 Ludwigshafen, Rheinland-Pfalz, Germany desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

PATENT
REEL: 011163 FRAME: 0320

541 529

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor _____ Date _____
Kevin J. Doyle

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

Inventor P-1 _____ Date 14.7.00
Paul Rafferty

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness RDBaone _____ Date 14/7/00

Address KNOLL Pharmaceuticals
Pennyfoot St, Nottingham

Witness In Part _____ Date 14/7/00

Address KNOLL Pharmaceuticals, Pennyfoot St.
Nottingham

PATENT
REEL: 011163 FRAME: 0321

542 530

-3-

Inventor _____ Date _____
Robert W. Steele

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

Inventor _____ Date _____
David J. Wilkins

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

PATENT
REEL: 011163 FRAME: 0322

548 5315

Inventor's Signature: _____
Lee D. Arnold

State/Commonwealth _____
of _____
County of _____

Then personally appeared before me the above-named Lee D. Arnold and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor _____ Date _____
Michael Hockley

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

532

Inventor's Signature: _____

Anna M. Ericsson

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named Anna M. Ericsson and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor _____ Date _____

Nobuhiko Iwasaki

Address 1-1 Inokuchi 87 _____

Katsuyama Fukui, 911-8555, Japan _____

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

548
533

Inventor _____ Date _____
Nobuo Ogawa

Address 1-1 Inokuchi 87
Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

::ODMA\HODMA\Manage\143007;1

548
534

Docket No. 2079.1021-011

Joint

ASSIGNMENT

WHEREAS, we, Kevin J. Doyle, Paul Rafferty, Robert W. Steele, David J. Wilkins, Lee D. Arnold, Michael Hockley, Anna M. Ericsson, Nobuhiko Iwasaki, and Nobuo Ogawa, have invented a certain improvement in Tricyclic Pyrazole Derivatives described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☒ was filed on May 17, 2000 as Application No. 09/573,366;

☐ was patented under U.S. Patent No. ☐ on ☐.

WHEREAS, BASF Aktiengesellschaft (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Germany, and having a usual place of business at D-67056 Ludwigshafen, Rheinland-Pfalz, Germany desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

PATENT

REEL: 011163 FRAME: 0326

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635

-2-

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor _____ Date _____
Kevin J. Doyle

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

Inventor _____ Date _____
Paul Rafferty

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

PATENT
REEL: 011163 FRAME: 0327

548 536

Inventor R. W. Steele Date 14-JUL-2000
Robert W. Steele

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness R. Shwler. Date 14.7.00

Address PENNYFOOT STREET
NOTTINGHAM, NG1 1GF, England

Witness Jadeen Wil- Date 14-7-2000

Address PENNYFOOT STREET
NOTTINGHAM, NG1 1GF, England

Inventor _____ Date _____
David J. Wilkins

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

PATENT
REEL: 011163 FRAME: 0328

547
537

Inventor's Signature: _____
Lee D. Arnold

State/Commonwealth _____
of _____
County of _____

Then personally appeared before me the above-named Lee D. Arnold and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor _____ Date _____
Michael Hockley

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

538

Inventor's Signature: _____
Anna M. Ericsson

State/Commonwealth _____
of _____
County of _____

Then personally appeared before me the above-named Anna M. Ericsson and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor _____ Date _____
Nobuhiko Iwasaki

Address 1-1 Inokuchi 87
Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

PATENT
REEL: 011163 FRAME: 0330

589
539

Inventor _____ Date _____
Nobuo Ogawa

Address 1-1 Inokuchi 87
Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

ODMAVMHODMAVMImage;145007;1

Joint

ASSIGNMENT

WHEREAS, we, Kevin J. Doyle, Paul Rafferty, Robert W. Steele, David J. Wilkins, Lee D. Arnold, Michael Hockley, Anna M. Ericsson, Nobuhiko Iwasaki, and Nobuo Ogawa, have invented a certain improvement in Triazole Pyrazole Derivatives described in an application for Letters Patent of the United States, the specification of which:

- ☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;
- ☒ was filed on May 17, 2000 as Application No. 09/573,366;
- ☐ was patented under U.S. Patent No. [] on [].

WHEREAS, BASF Aktiengesellschaft (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Germany, and having a usual place of business at D-67056 Ludwigshafen, Rheinland-Pfalz, Germany desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

PATENT
REEL: 011163 FRAME: 0332

555-541-

PATENT
REEL: 011163 FRAME: 0333

554
542

-3-

Inventor Robert W. Steele Date _____

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

Inventor David J. Wilkins Date 14/7/2000

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness C. Martin Date 14.7.2000

Address Pennyfoot Street
Nottingham, NG1 1GF ENGLAND

Witness N. Athey-Killard Date 14/7/00

Address Pennyfoot Street, Nottingham, NG1 1GF, England

PATENT
REEL: 011163 FRAME: 0334

850
543

Inventor's Signature: _____
Lee D. Arnold
State/Commonwealth _____
of _____
County of _____

Then personally appeared before me the above-named Lee D. Arnold and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor _____ Date _____
Michael Hockley
Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____
Address _____

Witness _____ Date _____
Address _____

556
544

Inventor's Signature: _____
Anna M. Ericsson

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named Anna M. Ericsson and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor _____ Nobuhiko Iwasaki _____ Date _____

Address 1-1 Inokuchi 87
Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

887
545

-6-

Inventor _____ Date _____
Nobuo Ogawa

Address 1-1 Inokuchi 87
Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

::ODMA\HODMA\Manage;145007;1

PATENT
REEL: 011163 FRAME: 0337

Joint

ASSIGNMENT

WHEREAS, we, Kevin J. Doyle, Paul Rafferty, Robert W. Steele, David J. Wilkins, Leo J. Arnold, Michael Hockley, Anna M. Ericsson, Nobuhiko Iwasaki, and Nobuo Ogawa, have invented a certain improvement in Tricyclic Pyrazole Derivatives described in an application for Letters Patent of the United States, the specification of which:

- ☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;
- ☒ was filed on May 17, 2000 as Application No. 09/573,366;
- ☐ was patented under U.S. Patent No. ☐ on ☐.

WHEREAS, BASF Aktiengesellschaft (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Germany, and having a usual place of business at D-67056 Ludwigshafen, Rheinland-Pfalz, Germany desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

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PATENT
REEL: 011163 FRAME: 0338

889
547

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor _____ Date _____
Kevin J. Doyle

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

Inventor _____ Date _____
Paul Rafferty

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

PATENT
REEL: 011163 FRAME: 0339

560
549

Inventor _____ Date _____
Robert W. Steele

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

Inventor _____ Date _____
David J. Wilkins

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

561
549

Inventor's Signature: _____

Lee D. Arnold

Lee D. Arnold

State/Commonwealth

of Massachusetts

County of Worcester

Then personally appeared before me the above-named Lee D. Arnold and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 11th day of July, 20 00.

(SEAL)

Gayle Buck O'Brien

Notary Public

Gayle Buck O'Brien

(print name)

My Commission expires 07 / 20 / 01

Inventor _____

Michael Hockley

Date _____

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness _____

Date _____

Address _____

Witness _____

Date _____

Address _____

PATENT
REEL: 011163 FRAME: 0341

SGT
SSO

Inventor's Signature:

Anna Ericsson

Anna M. Ericsson

State/Commonwealth

of Massachusetts

County of Worcester

Then personally appeared before me the above-named Anna M. Ericsson and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 13th day of July, 2000.

(SEAL)

Gayle Brock O'Brien

Notary Public

Gayle Brock O'Brien

(print name)

My Commission expires 07 / 20 / 01

Inventor

Nobuhiko Iwasaki

Date

Address

1-1 Inokuchi 87

Katsuyama Fukui, 911-8555, Japan

Witness

Date

Address

Witness

Date

Address

PATENT
REEL: 011163 FRAME: 0342

663
551

-6-

Inventor _____ Date _____
Nobuo Ogawa

Address 1-1 Inokuchi 87
Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

..ODMA\HODMA\Manage\145007\1

PATENT
REEL: 011163 FRAME: 0343

Joint

ASSIGNMENT

WHEREAS, we, Kevin J. Doyle, Paul Rafferty, Robert W. Steele, David J. Wilkins, Lee D. Arnold, Michael Hockley, Anna M. Ericsson, Nobuhiko Iwasaki, and Nobuo Ogawa, have invented a certain improvement in Tricyclic Pyrazole Derivatives described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☒ was filed on May 17, 2000 as Application No. 09/573,366;

☐ was patented under U.S. Patent No. [] on [].

WHEREAS, BASF Aktiengesellschaft (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Germany, and having a usual place of business at D-67056 Ludwigshafen, Rheinland-Pfalz, Germany desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

PATENT
REEL: 011163 FRAME: 0344

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Address Pennyfoot Street
Nottingham, NG1 1GF England

Address _____

Address _____

Address Pennyfoot Street
Nottingham, NG1 1GF England

Address _____

Address _____

PATENT
REEL: 011163 FRAME: 0345

566
554

Inventor _____ Date _____
Robert W. Steele
Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____
Address _____

Witness _____ Date _____
Address _____

Inventor _____ Date _____
David J. Wilkins
Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____
Address _____

Witness _____ Date _____
Address _____

567
568

Inventor's Signature: _____
Lee D. Arnold

State/Commonwealth
of _____
County of _____

Then personally appeared before me the above-named Lee D. Arnold and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor Michael Hickley Date 19.7.2000
Michael Hickley

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness J. Miller Date 19/7/2000
Address 24 Pennyfoot Street
Nottingham, NG1 1GF England

Witness [Signature] Date 19/7/2000
Address 24 Pennyfoot St Nottingham, NG1 1GF England

556 568

Inventor's Signature: _____
Anna M. Ericsson

State/Commonwealth _____
of _____
County of _____

Then personally appeared before me the above-named Anna M. Ericsson and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor _____ Date _____
Nobuhiko Iwasaki

Address 1-1 Inokuchi 87
Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____
Address _____

Witness _____ Date _____
Address _____

69 569

Inventor _____ Date _____
Nobuo Ogawa

Address 1-1 Inokuchi 87
Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

::ODMA\MHODMA\Manage;143007;1

PATENT
REEL: 011163 FRAME: 0349

Joint

ASSIGNMENT

WHEREAS, we, Kevin J. Doyle, Paul Rafferty, Robert W. Steele, David J. Wilkins, Lee D. Arnold, Michael Hockley, Anna M. Ericsson, Nobuhiko Iwasaki, and Nobuo Ogawa, have invented a certain improvement in Tricyclic Pyrazole Derivatives described in an application for Letters Patent of the United States, the specification of which:

- ☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;
- ☒ was filed on May 17, 2000 as Application No. 09/573,366;
- ☐ was patented under U.S. Patent No. [] on [].

WHEREAS, BASF Aktiengesellschaft (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Germany, and having a usual place of business at D-67056 Ludwigshafen, Rheinland-Pfalz, Germany desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

PATENT
REEL: 011163 FRAME: 0350

559
871

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor _____ Date _____
Kevin J. Doyle

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

Inventor _____ Date _____
Paul Rafferty

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

580 527

Inventor _____ Date _____
Robert W. Steele

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

Inventor _____ Date _____
David J. Wilkins

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

561
523

Inventor's Signature: _____
Lee D. Arnold

State/Commonwealth _____

County of _____

Then personally appeared before me the above-named Lee D. Arnold and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor _____ Date _____
Michael Hockley

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

562
S-7A

Inventor's Signature: _____
Anna M. Ericsson

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named Anna M. Ericsson and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor Nobuhiko Iwasaki Date 07/26/00
Nobuhiko Iwasaki

Address 1-1 Inokuchi 37
Katsuyama Fukui, 911-8555, Japan

Witness Miyoko Ishizuka Date 7/26/00

Address 1-1 Inokuchi 37 Katsuyama Fukui, 911-8555, Japan

Witness Toshihiko Yoshida Date 7/26/00

Address 1-1 Inokuchi 37 Katsuyama Fukui, 911-8555, Japan

PATENT
REEL: 011163 FRAME: 0364

Inventor _____ Date _____
Nobuo Ogawa

Address 1-1 Inokuchi 87
Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

:ODMA\HODMA\Manager;143007;1

PATENT
REEL: 011163 FRAME: 0355

Joint

ASSIGNMENT

WHEREAS, we, Kevin J. Doyle, Paul Rafferty, Robert W. Steele, David J. Wilkins, Lee J. Arnold, Michael Hockley, Anna M. Ericsson, Nobuhiko Iwasaki, and Nobuo Ogawa, have invented a certain improvement in Tricyclic Pyrazole Derivatives described in an application for Letters Patent of the United States, the specification of which:

- ☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;
- ☒ was filed on May 17, 2000 as Application No. 09/573,366;
- ☐ was patented under U.S. Patent No. [] on [].

WHEREAS, BASF Aktiengesellschaft (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Germany, and having a usual place of business at D-67056 Ludwigshafen, Rheinland-Pfalz, Germany desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

PATENT
REEL: 011163 FRAME: 0356

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\$77

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor	_____	Date	_____
	Kevin J. Doyle		
Address	Pennyfoot Street		
	Nottingham, NG1 1GF England		
Witness	_____	Date	_____
Address	_____		

Witness	_____	Date	_____
Address	_____		

Inventor	_____	Date	_____
	Paul Rafferty		
Address	Pennyfoot Street		
	Nottingham, NG1 1GF England		
Witness	_____	Date	_____
Address	_____		

Witness	_____	Date	_____
Address	_____		

566
578

Inventor _____ Date _____
Robert W. Steele

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

Inventor _____ Date _____
David J. Wilkins

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

PATENT
REEL: 011163 FRAME: 0358

567
579

Inventor's Signature: _____
Lee D. Arnold

State/Commonwealth _____
of _____
County of _____

Then personally appeared before me the above-named Lee D. Arnold and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor _____ Date _____
Michael Hockley

Address Pennyfoot Street
Nottingham, NG1 1GF England

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

568
520

Inventor's Signature: _____

Anna M. Ericsson

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named Anna M. Ericsson and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor _____ Date _____

Nobuhiko Iwasaki

Address 1-1 Inokuchi 87

Katsuyama Fukui, 911-8555, Japan

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

PATENT
REEL: 011163 FRAME: 0360

569
584

Inventor Nobuo Ogawa Date 7/26/00
Nobuo Ogawa

Address 1-1 Inokuchi 37
Katsuyama Fukui, 911-8555, Japan

o. 7/26/00

Witness Miyoko Ishizuka Date 7/26/00

Address 1-1 Inokuchi 37 Katsuyama Fukui, 911-8555, Japan

Witness Toshihiko Yoshida Date 7/26/00

Address 1-1 Inokuchi 37 Katsuyama Fukui, 911-8555, Japan

ODMANHODMANManager;143007;1