

**Clarke, Mc**  
COLOM

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 09-137697- -00002-0000

Fecha: 2010-02-18 15:10:43 Dep. 2020 DIR.NUEVASCR  
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Act. 444 RESPUESTAREQUE Folios: 9

P-2360

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO  
DIVISION DE NUEVAS CREACIONES

E. S. D.

Ref.: Expediente No. 09.137.697  
Solicitud de Patente a nombre de  
PORTOLA PHARMACEUTICALS, INC.

Yo, DILIA MARÍA RODRÍGUEZ D´ALEMAN, identificada como aparece al pie de mi firma, domiciliada en la Carrera 11 No. 86-53, piso 6, obrando como apoderada de la sociedad PORTOLA PHARMACEUTICALS, INC. solicitante de la patente de la referencia, en respuesta al oficio No. 402 notificado por fijación en lista el día 15 de enero de 2010, atentamente me permito presentar:

1. Documentos donde consta la cesión del derecho a la patente otorgada por los inventores a favor de mi mandante.

Sobre el particular, es importante resaltar que de conformidad con las normas legales vigentes, artículo 1 de la Resolución 210 de 2001, expedida por el Superintendente de Industria y Comercio y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por otro lado, la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, el documento de cesión anexo a este memorial es válidamente presentado en idioma inglés y con la correspondiente apostilla.

2. En relación con el título de la solicitud informo que cambio el título a:  
**"Composiciones farmacéuticas que comprende [4-(6-fluoro-7-metilamino-2,4-dioxo-1,4-dihidro-2H-quinazolin-3-il)-fenil]-5-cloro-tiofen-2-il-sulfonilurea o sales de la misma para la inhibición de la agregación de plaquetas inducidas por ADP"**

Espero con esto haber dado cabal cumplimiento a lo solicitado por ese despacho y de conformidad con lo indicado en los artículos 3º, inciso 3, 35 inciso 2 y 59 inciso 2 del CCA, al analizar este memorial, atentamente ruego se traten todas las cuestiones planteadas con motivo del mismo.

Señor Superintendente.

DILIA MARÍA RODRÍGUEZ D´ALEMAN  
C.C. 41.654.882 de Bogotá.  
T.P. 20824 CSJ

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**Clarke, Modet & C<sup>o</sup>**

COLOMBIA

E-MAIL: info@clarkemodet.com.co  
SANTAFÉ DE BOGOTÁ, A.A. 92397 COLOMBIA**CESION**

El (la) abajo firmante (En adelante EL CEDENTE)

SCARBOROUGH, Carroll, Anna, Crew  
GRETHER, Daniel, D.  
CONLEY, Pamela, B.  
ANDRE, Patrick

en su carácter de inventor/diseñador con domicilios en: 22 Greenbrier Court, Half Moon Bay, California 94019, Estados Unidos de América. // 230 27th Street, San Francisco, California 94131, Estados Unidos de América // 116 Lois Lane, Palo Alto, California 94303, Estados Unidos de América

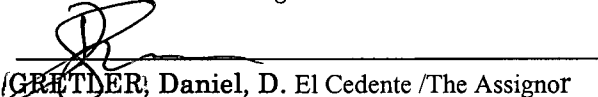
950 N. Humboldt Street, San Mateo, // California 94401, Estados Unidos de América.

por el presente documento declara que cede todos y cada uno de los derechos que le corresponden o puedan corresponderle sobre la siguiente invención, modelo de utilidad, diseño industrial No. 09.137.697

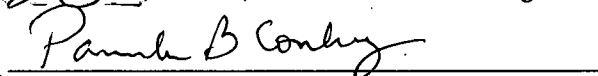
a: PORTOLA PHARMACEUTICALS, INC. con domicilio en 270 E. Grand Avenue, Suite 22, South San Francisco, California, 94080, Estados Unidos de América.

En prueba de la mutua aceptación de esta cesión ambas partes CEDENTE y CESIONARIO firman el presente documento.

Dado y firmado en

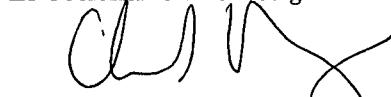
A los 11 Días de *December* De 2009SCARBOROUGH, Carroll, Anna, Crew  
El Cedente /The Assignor

GRETHER, Daniel, D. El Cedente /The Assignor



CONLEY, Pamela, B. El Cedente /The Assignor

ANDRE, Patrick El Cedente /The Assignor

Portola Pharmaceuticals, Inc.  
El Cesionario/The Assignee**ASSIGNMENT**

The undersigned (Hereinafter THE ASSIGNOR)

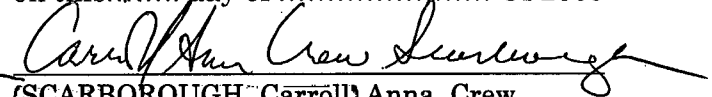
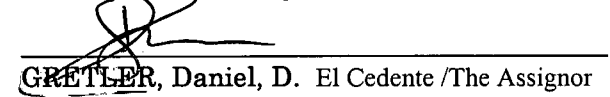
SCARBOROUGH, Carroll, Anna, Crew  
GRETHER, Daniel, D.  
CONLEY, Pamela, B.  
ANDRE, Patrick

Inventor /designor of domiciled at 22 Greenbrier Court, Half Moon Bay, California 94019, United States of America. // 230 27th Street, San Francisco, California 94131, United States of America. // 116 Lois Lane, Palo Alto, California 94303, United States of America. // 950 N. Humboldt Street, San Mateo, // California 94401, United States of America.

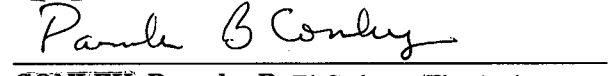
do hereby declares that it assigns all and each of his rights and privileges on the following invention, industrial design, utility model No. 09.137.697

to PORTOLA PHARMACEUTICALS, INC. with domicile in 270 E. Grand Avenue, Suite 22, South San Francisco, California, 94080, United States of America.

As a proof of the mutual acceptance of this assignment, both parties ASSIGNOR and ASSIGNEE sign the present document.

Granted and signed at .....  
(city/state/country)on this 11 day of *December* Of 2009SCARBOROUGH, Carroll, Anna, Crew  
El Cedente /The Assignor

GRETHER, Daniel, D. El Cedente /The Assignor



CONLEY, Pamela, B. El Cedente /The Assignor

ANDRE, Patrick El Cedente /The Assignor

Portola Pharmaceuticals, Inc.  
El Cesionario/The AssigneeName: *Charles J. Honey, M.D.*  
Title: *President and Chief Executive Officer***NOTARIZATION REQUIRED**

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**Clarke, Modet & C<sup>o</sup>**

COLOMBIA

E-MAIL: info@clarkemodet.com.co  
SANTAFÉ DE BOGOTÁ, A.A. 92397 COLOMBIA**CESION**

El (la) abajo firmante (En adelante EL CEDENTE)

HUTCHALEELAHA, Athiwat  
PHILLIPS, David, R.  
PANDEY, Anjali  
HUANG, Wolin

en su carácter de inventor/diseñador con domicilios en: 234 Biarritz Court, Redwood City, California 94065, Estados Unidos de América. // 520 Harvard Road, San Mateo, California 94402, Estados Unidos de América. // 4506 Amiens Avenue, Fremont, California 94555, Estados Unidos de América. // 872 Arcturus Circle, Foster City, California 94404, Estados Unidos de América.

por el presente documento declara que cede todos y cada uno de los derechos que le corresponden o puedan corresponderle sobre la siguiente invención, modelo de utilidad, diseño industrial No. 09-137.697

a: PORTOLA PHARMACEUTICALS, INC. con domicilio en 270 E. Grand Avenue, Suite 22, South San Francisco, California, 94080, Estados Unidos de América.

En prueba de la mutua aceptación de esta cesión ambas partes CEDENTE y CESIONARIO firman el presente documento.

Dado y firmado en South San Francisco, CA, U.S.A.A los 11 Días de December De 2009Athiwat Hutchaleelaha  
HUTCHALEELAHA, Athiwat  
El Cedente /The AssignorDavid R. Phillips  
PHILLIPS, David, R. El Cedente /The AssignorAnjali Pandey  
PANDEY, Anjali El Cedente /The AssignorWolin Huang  
HUANG, Wolin El Cedente /The AssignorPortola Pharmaceuticals, Inc.  
El Cesionario/The Assignee**ASSIGNMENT**

The undersigned (Hereinafter THE ASSIGNOR)

HUTCHALEELAHA, Athiwat  
PHILLIPS, David, R.  
PANDEY, Anjali  
HUANG, Wolin

Inventor /designor of domiciled at 234 Biarritz Court, Redwood City, California 94065, United States of America. // 520 Harvard Road, San Mateo, California 94402, United States of America. // 4506 Amiens Avenue, Fremont, California 94555, United States of America. // 872 Arcturus Circle, Foster City, California 94404, United States of America.

do hereby declares that it assigns all and each of his rights and privileges on the following invention, industrial design, utility model No. 09-137.697

to PORTOLA PHARMACEUTICALS, INC. with domicile in 270 E. Grand Avenue, Suite 22, South San Francisco, California, 94080, United States of America.

As a proof of the mutual acceptance of this assignment, both parties ASSIGNOR and ASSIGNEE sign the present document.

Granted and signed at South San Francisco, California, U.S.A.  
(city/state/country)on this 11.... day of December.... Of 2009Athiwat Hutchaleelaha  
HUTCHALEELAHA, Athiwat  
El Cedente /The AssignorDavid R. Phillips  
PHILLIPS, David, R. El Cedente /The AssignorAnjali Pandey  
PANDEY, Anjali El Cedente /The AssignorWolin Huang  
HUANG, Wolin El Cedente /The AssignorPortola Pharmaceuticals, Inc.  
El Cesionario/The AssigneeName: Charles J. Homay, M.D.  
Title: President and Chief Executive Officer**NOTARIZATION REQUIRED**

# State of California

## SECRETARY OF STATE

*Requested for use in Colombia.*

*Not for use within the United States of America.*

The purpose of the Apostille is to certify the authenticity of the signature of the official signing the document, the capacity in which the official signing the document has acted, and, where appropriate, the identity of the seal or stamp.

### APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: *United States of America*  
*This public document*
2. *has been signed by Ting Su*
3. *acting in the capacity of Notary Public, State of California*
4. *bears the seal/stamp of Ting Su, Notary Public,*  
*State of California*

### CERTIFIED

5. *At Sacramento, California*
6. *the 22nd day of December 2009*
7. *by Deputy Secretary of State, State of California*
8. *No. 764600*
9. *Seal/Stamp:*



10. Signature

*Jana Bowen*  
Secretary of State

BY \_\_\_\_\_



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9

# CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

State of California

County of San Mateo

On December 11, 2009 before me, Ting Su, Notary Public,

Personally appeared Daniel, D. Gretler, Pamela, B. Conley, Patrick Andre, Athiwat

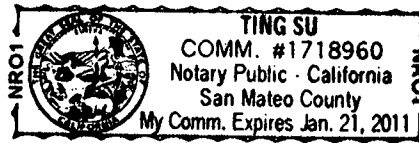
Hutchaleelaha, David, R. Phillips, Anjali Pandey, and Wolin Huang,

Who proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity, and that by their signatures on the instrument the persons, or the entity upon behalf of which the persons acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public



(Notary Seal)

## ADDITIONAL OPTIONAL INFORMATION

### DESCRIPTION OF THE ATTACHED DOCUMENT

Assignment, 022104-003010CO

(Title or description of attached document)

Number of Pages 2 Document Date

CAPACITY CLAIMED BY THE SIGNER:

Individuals

159  
5

# State of California

## SECRETARY OF STATE

*Requested for use in Colombia.*

*Not for use within the United States of America.*

The purpose of the Apostille is to certify the authenticity of the signature of the official signing the document, the capacity in which the official signing the document has acted, and, where appropriate, the identity of the seal or stamp.

### APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: *United States of America*  
*This public document*
2. *has been signed by Ting Su*
3. *acting in the capacity of Notary Public, State of California*
4. *bears the seal/stamp of Ting Su, Notary Public,*  
*State of California*

### CERTIFIED

5. *At Sacramento, California*
6. *the 22nd day of December 2009*
7. *by Deputy Secretary of State, State of California*
8. *No. 764601*
9. *Seal/Stamp:*



10. Signature

*Jana Bowen*  
Secretary of State

BY *[Signature]*



160  
6

# CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

State of California

County of San Mateo

On December 15, 2009 before me, Ting Su, Notary Public  
(Here insert name and title of the officer)

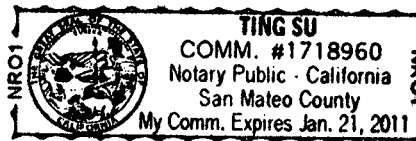
personally appeared Carroll Anna crew Scarborough

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public



(Notary Seal)

## ADDITIONAL OPTIONAL INFORMATION

### DESCRIPTION OF THE ATTACHED DOCUMENT

Assignment 022104-023010 CD  
(Title or description of attached document)

(Title or description of attached document continued)

Number of Pages 2 Document Date \_\_\_\_\_

(Additional information)

### CAPACITY CLAIMED BY THE SIGNER

- ☒ Individual (s)  
☐ Corporate Officer

(Title)

- ☐ Partner(s)  
☐ Attorney-in-Fact  
☐ Trustee(s)  
☐ Other \_\_\_\_\_

### INSTRUCTIONS FOR COMPLETING THIS FORM

Any acknowledgment completed in California must contain verbiage exactly as appears above in the notary section or a separate acknowledgment form must be properly completed and attached to that document. The only exception is if a document is to be recorded outside of California. In such instances, any alternative acknowledgment verbiage as may be printed on such a document so long as the verbiage does not require the notary to do something that is illegal for a notary in California (i.e. certifying the authorized capacity of the signer). Please check the document carefully for proper notarial wording and attach this form if required.

- State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.
- The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. he/she/they, is/are) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different acknowledgment form.
- Signature of the notary public must match the signature on file with the office of the county clerk.
  - ❖ Additional information is not required but could help to ensure this acknowledgment is not misused or attached to a different document.
  - ❖ Indicate title or type of attached document, number of pages and date.
  - ❖ Indicate the capacity claimed by the signer. If the claimed capacity is a corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely attach this document to the signed document



## SECRETARY OF STATE

*Requested for use in Colombia.*

*Not for use within the United States of America.*

The purpose of the Apostille is to certify the authenticity of the signature of the official signing the document, the capacity in which the official signing the document has acted, and, where appropriate, the identity of the seal or stamp.

### APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. *Country: United States of America*  
*This public document*
2. *has been signed by Catherine C. Villegas*
3. *acting in the capacity of Notary Public, State of California*
4. *bears the seal/stamp of Catherine C. Villegas, Notary Public,*  
*State of California*

### CERTIFIED

5. *At Sacramento, California*
6. *the 22nd day of December 2009*
7. *by Deputy Secretary of State, State of California*
8. *No. 764603*
9. *Seal/Stamp:*



10. *Signature*

*Jana Bowen*  
Secretary of State

BY \_\_\_\_\_

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

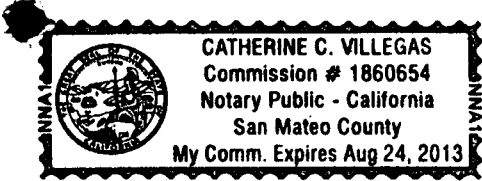
State of California }  
County of San Mateo }  
On December 11, 2009 before me, Catherine C. Villegas, Notary Public  
Date Here Insert Name and Title of the Officer  
personally appeared Charles J. Homcy  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Catherine C. Villegas  
Signature of Notary Public



Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

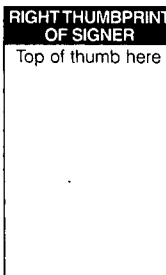
Description of Attached Document

Title or Type of Document: Assignment (Clarke, Modet & Co., Colombia)  
Document Date: December 11, 2009 Number of Pages: Two  
Signer(s) Other Than Named Above: A. Hutehaleelaha; D. Phillips; A. Pandey;  
W. Huang; C. Scarborough; D. Gretter; P. Conley;  
Capacity(ies) Claimed by Signer(s) P. Andre

Signer's Name: Charles J. Homcy  
☐ Individual  
☒ Corporate Officer — Title(s): President and Chief Executive Officer  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other:



Signer's Name:  
☐ Individual  
☐ Corporate Officer — Title(s):  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other:



Signer Is Representing: Portole Pharmaceuticals, Inc.

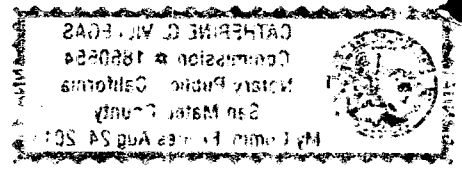
Signer Is Representing:

San Francisco, California

Oct. 11, 1908

Dear Mr. [Name]

Thank you for the [Name]



Very truly yours,

John D. [Name]

Enc.

Postage paid

San Francisco, California

John D. [Name]

San Francisco, California

John D. [Name]



2020  
Bogotá D.C.,

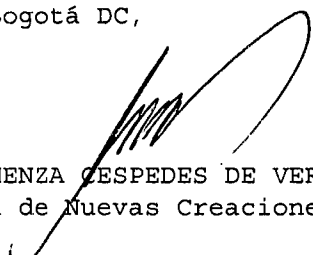
Doctor(a)  
RODRIGUEZ D` ALEMAN DILIA MARIA  
Apoderado(a) y/o Representante de  
PORTOLA PHARMACEUTICALS INC

|            |                            |                   |
|------------|----------------------------|-------------------|
| REFERENCIA | Radicación No.             | 9 137697          |
|            | Solicitud internacional    | PCT/US2008/062518 |
|            | Fecha inicio fase nacional | 02/12/2009        |
|            | Trámite                    | 11                |
|            | Evento                     | 378               |
|            | Actuación                  | 416               |
|            | Oficio No.                 | 11446             |
|            | Folios                     | 1                 |

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en la Decisión 486 de la Comisión de la Comunidad Andina, el Tratado de Cooperación en Materia de Patentes (PCT), el Reglamento y la Circular Única de la Superintendencia de Industria y Comercio, se envía el extracto de la solicitud a la Oficina de Comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial.

Cúmplase  
Dado en Bogotá DC,

26 OCT. 2010

  
ALIX CARMENZA CESPEDES DE VERGEL  
Directora de Nuevas Creaciones (c)

jfernand