

Castillo Grau & Asociados

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 10-093896- -00005-0000

Fecha: 2010-12-15 13:15:23 Dep. 2020 DIR. NUEVASOR
Tra. 11 PATENTEIYII Eva: 378 FASENACIONALI
Act. 444 RESPUESTAREQUE Folios: 10

Señora Directora
DIVISIÓN DE NUEVAS CREACIONES
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
Bogotá, D.C.

Re.: Expediente No. 10 93.896
Fase nacional Solicitud
de Patente PCT a nombre de
GLENMARK PHARMACEUTICALS S.A.
Código 011- 378 - 444

En relación con la solicitud contenida en el expediente de la referencia y, en particular, para dar respuesta a su requerimiento contenido en el oficio No. 10878, dentro del término conferido, por medio del presente escrito me permito manifestarle:

1. Acompaño el documento en que consta la cesión del derecho a la patente de los Inventores

- Elias Lazarides
- Catherine Woods
- Xiaomin Fan

a favor de la sociedad solicitante Glenmark Pharmaceuticals S.A., debidamente firmado, autenticado ante Notario Público y legalizado por Apostilla

2. Acompaño el documento en que consta la cesión del derecho a la patente de los Inventores

- Samuel Hou
- Harald Mottl
- Stanislas Blein

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– Martin Bertschinger

a favor de la sociedad solicitante Glenmark Pharmaceuticals S.A.,
debidamente firmado, autenticado ante Notario Público y legalizado por
Apostilla.

Le solicito se sirva agregar este escrito y sus anexos al expediente y conceder
el privilegio solicitado.

De Usted Atentamente,

GERMAN CASTILLO GRAU
T.P. No 2.978 del C.S.J.

APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

1. COUNTRY: UNITED STATES OF AMERICA
2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:
DESPINA PARELIS
3. ACTING IN THE CAPACITY OF:
NOTARY PUBLIC OF NEW JERSEY
4. BEARS THE SEAL/STAMP OF:
DESPINA PARELIS, NOTARY

CERTIFIED

5. AT TRENTON, NEW JERSEY
6. THE 22ND DAY OF NOVEMBER 2010
7. BY: Andrew P Sidamon-Eristoff
State Treasurer
8. NO: A410742
9. SEAL/STAMP: 10. SIGNATURE



A handwritten signature in black ink, appearing to be "A. P. Sidamon-Eristoff".

Certificate Number: 118794693

Verify this certificate at
http://www1.state.nj.us/TYTP_StandingCerti/JSP/Verify_Cert.jsp

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CERTIFICATION

1. I, Sean Ryder, Esq., do hereby declare as follows:
2. My name is Sean Ryder.
3. I am an attorney in the state of New Jersey and Director of Legal for Glenmark Pharmaceuticals S.A.

4. I hereby certify that the annexed is the original "Patent of Invention Assignment" executed by the inventors of patent application entitled "Humanized Antibodies Specific for Von Willebrand Factor," Elias Lazarides, Catherine Woods, Xiaomin Fan, Samuel Hou, Harald Mottl, Stanislas Blein and Martin Bertschinger, on October 25 and October 26 respectively.

All statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment; or both, under Section 1001 of Title 18 of the U.S. Code and that such willful false statements may jeopardize the validity of any patent application issuing thereon.

Dated: November 16, 2010


Sean Ryder

State of New Jersey)
)SS:
County of Bergen)

On this, the 16th day of November, 2010, the undersigned Sean Ryder, personally appeared, known to me (or satisfactorily proven) to be the person whose name is subscribed to the within instrument, and signed and attested before me the same for the purposes therein contained.

In witness hereof, I hereunto set my hand and official seal.

My commission Expires:


NOTARY SIGNATURE

November 16, 2010
DATE

DESPINA PARELIS
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires December 17, 2013

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PATENT OF INVENTION ASSIGNMENT

The undersigned

**Elias Lazarides; Catherine Woods; Xiaomin Fan; Samuel Hou; Harald Mottl;
Stanislas Blein; Martin Bertschinger**

domiciled in 7260 Romero Drive; 7260 Romero Drive, La Jolla, California, U.S.A.;
12240 Katydid Circle, San Diego, California, U.S.A.; Chemin de la Combeta, 5, La
Chaux-de-Fonds, Switzerland, respectively

hereby appoint(s) Dr. GERMAN CASTILLO GRAU, and/or Dr. ADRIANA
CASTILLO GIBSON and/or Dr. LUIS FELIPE CASTILLO GIBSON, domiciled in
Bogotá, Colombia, with offices at Carrera 13 No. 37-43, 12th Floor, as attorneys
with ample and sufficient power, including that of receiving notifications and
appointing judicial and extra-judicial attorneys, to apply for and obtain from the
Colombian offices and authorities the Patent of Invention for

HUMANIZED ANTIBODIES SPECIFIC FOR VON WILLEBRAND FACTOR

to be issued in the name of

GLENMARK PHARMACEUTICALS S.A.

domiciled in

Chemin de la Combeta, 5, La Chaux-de-Fonds, Switzerland

to whom assignment has been made of all rights and titles on said
invention/creation without any restriction whatsoever.

Given and signed in

PLACE

La Jolla, CA

DATE

10-25-2010

INVENTOR(S)

Elias Lazarides

Elias Lazarides

La Jolla CA, USA

Oct 25, 2010

Catherine Woods

Catherine Woods

Xiaomin Fan

Samuel Hou

Harald Mottl

Stanislas Blein

Martin Bertschinger

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CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

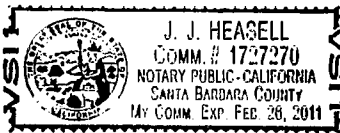
State of California

County of San Diego

On Oct. 25, 2010 before me, J.J. Heasell, Notary Public

personally appeared Catherine Woods Lazarides & Elias Lazarides

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he~~ ~~she~~ they executed the same in ~~his~~ ~~her~~ their authorized capacity(ies), and that by ~~his~~ ~~her~~ their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature J.J. Heasell

Place Notary Seal Above

Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Patent of Invention Assignment

Document Date: _____ Number of Pages: 1

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

4

PATENT OF INVENTION ASSIGNMENT

The undersigned

**Elias Lazarides; Catherine Woods; Xiaomin Fan; Samuel Hou; Harald Mottl;
Stanislas Blein; Martin Bertschinger**

domiciled in 7260 Romero Drive; 7260 Romero Drive, La Jolla, California, U.S.A.;
12240 Katydid Circle, San Diego, California, U.S.A.; Chemin de la Combeta, 5, La
Chaux-de-Fonds, Switzerland, respectively

hereby appoint(s) Dr. GERMAN CASTILLO GRAU, and/or Dr. ADRIANA
CASTILLO GIBSON and/or Dr. LUIS FELIPE CASTILLO GIBSON, domiciled in
Bogotá, Colombia, with offices at Carrera 13 No. 37-43, 12th Floor, as attorneys
with ample and sufficient power, including that of receiving notifications and
appointing judicial and extra-judicial attorneys, to apply for and obtain from the
Colombian offices and authorities the Patent of Invention for

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to be issued in the name of

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domiciled in

Chemin de la Combeta, 5, La Chaux-de-Fonds, Switzerland

to whom assignment has been made of all rights and titles on said
invention/creation without any restriction whatsoever.

Given and signed in

PLACE

DATE

INVENTOR(S)

Elias Lazarides

Catherine Woods

San Diego, CA

10/26/2010

Xiaomin Fan

Xiaomin Fan

Samuel Hou

Harald Mottl

Stanislas Blein

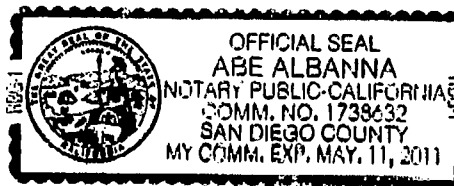
Martin Bertschinger

Jurat

State of California
County of San Diego

Subscribed and sworn to (or affirmed) before me on this 26 day of 10
2010 by Xiaomin Fan
I, the undersigned, being a Notary Public duly qualified and sworn to, do hereby certify that the foregoing is the true and correct copy of the original as the same appeared before me.

abe albanna
Signature (Notary seal)



PATENT OF INVENTION ASSIGNMENT

The undersigned

**Elias Lazarides; Catherine Woods; Xiaomin Fan; Samuel Hou; Harald Mottl;
Stanislas Blein; Martin Bertschinger**

domiciled in 7260 Romero Drive; 7260 Romero Drive, La Jolla, California, U.S.A.;
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invention/creation without any restriction whatsoever.

Given and signed in

PLACE	DATE	INVENTOR(S)
_____	_____	_____ Elias Lazarides
_____	_____	_____ Catherine Woods
_____	_____	_____ Xiaomin Fan

La Chaux-de-Fonds

27 Oct 2010

Samuel Hou

Samuel Hou

La Chaux-de-Fonds

27. Oct. 2010

Harald Mottl

Harald Mottl

La Chaux-de-Fonds

27 OCT 2010

Stanislas Blein

Stanislas Blein

La Chaux-de-Fonds

27. Oct. 2010

Martin Bertschinger

Martin Bertschinger



LEGALIZATION OF SIGNATURES

This 3rd day of November 2010, the undersigned, Fritz Stahl, notary public of Neuchâtel/NE (Switzerland), certifies that the signatures appearing on this document are the true and genuine signatures of:

- **Mr. Samuel HOU**, born 18.07.1961, citizen of New Zealand, residing in Colombier/NE (Switzerland), ...

- **Mr. Harald MOTTL**, born 03.07.1961, German citizen, residing in Gals/BE (Switzerland), ...

- **Mr. Stanislas BEIN**, born 30.10.1971, French citizen, residing in Cernier/NE (Switzerland), and

- **Mr. Martin BERTSCHINGER**, born 12.04.1975, German citizen, residing in Cortaillod/NE (Switzerland), ...

duly verified, who are employees of **Glenmark Pharmaceuticals SA**, a Swiss company with seat in La Chaux-de-Fonds/NE (Switzerland), chemin de la Combata 5

Neuchâtel, this third day of November, two thousand ten

R.G. Vol. 176 No 79

Stahl Péquignot Lorenz Calame

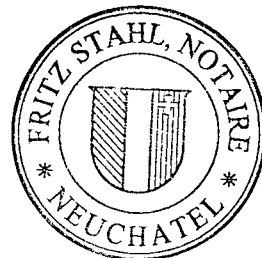
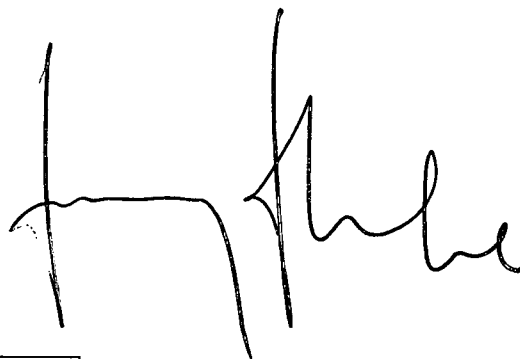
Avocats & notaire

Rue du Trésor 9 (place des Halles)

Case postale 2232 - 2001 Neuchâtel

Tél. 032 729 02 02 - Fax 032 729 02 09

E-Mail: splc@vtx.ch



APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: Switzerland

This public document

2. has been signed by Me Fritz Stahl

3. acting in his capacity of Notary

4. bears the seal/stamp of Me Fritz Stahl, Notary in Neuchâtel.---

Attesté

5. 15 Neuchâtel

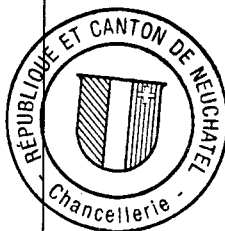
6. on 03/11/2010

7. by the State Chancellery

8. under No 2506

9. Seal/Stamp :

10. Signature



State Chancellery

J.-C. Paratte



2020
Bogotá D.C.,

Doctor(a)
CASTILLO GRAU GERMAN
Apoderado(a) y/o Representante de
GLENMARK PHARMACEUTICALS S.A.

REFERENCIA	Radicación No.	10 93896
	Solicitud internacional	PCT/IB2009/000124
	Fecha inicio fase nacional	23/08/2010
	Trámite	11
	Evento	378
	Actuación	416
	Oficio No.	12425
	Folios	1

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en la Decisión 486 de la Comisión de la Comunidad Andina, el Tratado de Cooperación en Materia de Patentes (PCT), el Reglamento y la Circular Única de la Superintendencia de Industria y Comercio, se envía el extracto de la solicitud a la Oficina de Comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial.

Cúmplase

Dado en Bogotá DC,

15 MAR. 2011



JOSE LUIS SALAZAR LOPEZ
Director de Nuevas Creaciones (C)

jfernand