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SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

DIRECCIÓN DE NUEVAS CREACIONES

E. _____ S. _____

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 10-120813- -00004-0000

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Ref: Expediente N°. 10-120.813

**Solicitud de Patente de Invención titulada: "INHIBIDORES DE
DESACETILASAS B A BASE DE HIDROXAMATO"**

Solicitante: NOVARTIS AG

Nuestra Ref: P2010/000133

MEMORIAL DE ALCANCE

CARLOS R. OLARTE, mayor de edad y vecino de Bogotá, identificado tal como aparece al pie de mi firma, en mi carácter de apoderado de la sociedad en referencia, me permito me permito aportar junto al presente memorial un (1) documento de cesión debidamente legalizado, según los artículos 259 y 260 del CPC, en el que consta la cesión de ocho (8) inventores al solicitante, junto con su correspondiente traducción, según lo previsto en el Art. 26-k de la Decisión 486. Cabe anotar que el señor Jianke Li fue adicionado como inventor en la presente solicitud en la Fase Internacional.

Atentamente,

CARLOS R. OLARTE
CC. No. 79.782.747 de Bogotá
T.P. 74.295

Adjunto: Documento de cesión

Caso de Patente: 52607

CESIÓN

Por buena y onerosa consideración, del cual se acusa recibo suficiente, nosotros

Michael SHULTZ	Ciudadano de los Estados Unidos de América	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139, US
Christine Hiu-Tung CHEN	Ciudadano de los Estados Unidos de América	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139, US
Young Shin CHO	Ciudadano de Korea del Sur/ Republica de Korea	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139, US
Lei JIANG	Ciudadano de China	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139, US
Jianmei FAN	Ciudadano de China	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139, US
Gang LIU	Ciudadano de China	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139, US
Dyuti MAJUMDAR	Ciudadano de India	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139, US
Jianke LI	Ciudadano de China	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139, US

Por medio de la presente vendemos y transferimos a NOVARTIS AG, una

MAURICE J. R. P. S. O. V.
- RAC. C. D. I. N. T. E. R. N. A. T. I. O. N. A. L.
I. N. G. I. N. I. S. T. I. T. U. T. I. O. N. A. L.
P. R. E. S. I. D. E. N. T. I. A. L. I. T. A. T. I. O. N. E.
P. R. E. S. I. D. E. N. T. I. A. L. I. T. A. T. I. O. N. E.

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INHIBIDORES DE DEACETILASAS B BASADOS EN HIDROXAMATES

MAURICE L. W. E. D. V.
INGLIS - ESPAÑOL - SPANOL - INGLIS
REC. MINUTEN - 11. 0 - 1963

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así mismo como lo haríamos si esta cesión no hubiera sido vendida y transferida.

*Nosotros por medio del presente autorizamos al CESIONARIO y sus representantes para incorporar en este instrumento el número de la solicitud y la fecha de presentación de dicha solicitud cuando esta sea notificada.

Y por medio de la presente nosotros convenimos y acordamos que en cualquier momento, (i) al requerirse y a expensas del CESIONARIO o sus sucesores asignados o representantes legales, ejecutaremos y entregaremos cualquier y todos los documentos necesarios y deseables para perfeccionar el título de los anteriores inventos o descubrimientos, solicitudes de patente, registros confirmaciones, certificados de importación, re-otorgamientos, renovaciones, extensiones, certificados de reexaminación, certificados de protección adicional dentro del rango de (8) y (9) a nombre del CESIONARIO, sus cesionarios, sucesores, u otros representantes legales, incluyendo la ejecución y consecución de cualquier y todo documento adicional que evidencie esta cesión y venta según sea necesario o deseable en la Oficina de Patentes u otra Oficina o Agencia para la Propiedad Intelectual o similar de cualquier país o región, (ii) al requerirse, pero a expensas del CESIONARIO o de sus sucesores, asignados, o representantes legales ejecutaremos todas las solicitudes dentro del rango de adicionales de Patente (3), (4) y (6) y todas las solicitudes dentro del rango (8) o (9) y (iii) y realizaremos las declaraciones necesarias juramentadas y realizaremos todos los actos jurídicos necesarios para ello, sin compensación adicional, pero a expensas del CESIONARIO, sus sucesores, cesionarios, u otros representantes legales.

Ejecutado el 22 de octubre de 2010
Firmado por Jianke Li L.S.

ACEPTACIÓN DE LA CESIÓN

En referencia con la cesión realizada a NOVARTIS AG de una invención titulada "INHIBIDORES DE DEACETILASAS B BASADOS EN HIDROXAMATES" firmada por Michael Shultz, Christine Hiu-Tung Chen, Young Shin Cho, Lei JIANG, Jianmei Fan, Gang Liu, Dyuti Majumdar, Jianke Li; certificamos que dicha cesión ha sido aceptada por **NOVARTIS AG**.

NOVARTIS AG

Por: (Firma ilegible)
Nombre: Reto Halbeisen
Cargo: Profesional Administrativo
Fecha: 09 de noviembre de 2010

(Firma ilegible)
Sabine Zeller
Profesional Administrativo

NOVARTIS AG
INTELLECTUAL PROPERTY
RES. MIN. 2010-11-09

S

TRADUCCION DE LA CERTIFICACION NOTARIAL

(2010) H.D.Z.W.J.Z. No. 1526

Esto es para certificar que LI Jianke (género masculino, nacido el 31 de octubre de 1970, Pasaporte Chino No. G19610452) acudió a esta oficina y puso su firma en el documento anterior en ingles el 22 de octubre de 2010; digo que la firma es autentica:

Esta certificación Notarial no certifica el contenido del documento mencionado.

Sello de WU YU
Notario Público

La República Popular de China
Shanghai Oriental
Oficial Notario Público

(sello)

Fecha 22 de Octubre de 2010

TESTIFICACION

Yo, el suscrito notario público de Basilea, Suiza, Dr. Matthias Staehelin, certifico por medio del presente que las firmas de la Sra. Sabine Zeller, ciudadano de Ormalingen, Suiza, residente en Gelterkinden, Suiza,; y el Sr. Reto Halbeisen, ciudadano de Wahlen/BL, Suiza, residente en Baufen, Suiza, ambos actuando por **Novartis AG**, en Basilea, Suiza, como residentes, ambas con firmas conjunta poseedoras.

La autenticidad de la firma fue demostrada bajo comparación.

BASILEA, Suiza, 10 días del mes de noviembre de 2010

(Firma) Ilegible
Dr. M. Staehelin
Notario de Basilea

Leg. Prot. Nr. 2366/2010

MAIRIE DE BASILEA
TRADUCCION DE LA CERTIFICACION NOTARIAL
INGLES - ESPANOL - FR - ROM - RUS
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POR COPIA CERTIFICADA

Yo, el firmante Notario Civil, Dr. **Matthias Staehelin**, por este medio certifico que el documento aquí adjunto es una copia fiel del documento original.

Basilea Suiza, a los 10 días del mes de noviembre de 2010

Dr. M. Staehelin
Notario

Leg. Prot. 2369/2010

APOSTILLA

(CONVENCION DE LA HAYA DEL 5 DE OCTUBRE DE 1961)

1. PAÍS: SUIZA
2. ESTE DOCUMENTO PÚBLICO HA SIDO FIRMADO POR: M. STAEHELIN
3. EN CALIDAD DE: NOTARIO PÚBLICO OFICIAL
4. CERTIFICADO CON EL SELLO DE: NOTARIO PÚBLICO ARRIBA MENCIONADO

CERTIFICÓ

5. EN BASILEA
6. EL 10 DE NOVIEMBRE DE 2010
7. POR: LA CANCELLERÍA DEL ESTADO DE CANTON DE BASILEA
8. No. 7297/15056
9. SELLO: DE LA CANCELLERÍA DE ESTADO CIUDAD DE BASILEA
10. FIRMA: ilegible
Hanna Lauener



19bro va desde folio 7 al 21.

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公 证 书

中华人民共和国上海市东方公证处

1179

CO

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公 证 书

中华人民共和国上海市东方公证处

(joint)

Patent Case 52607

ASSIGNMENT

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we

Michael SHULTZ	citizen of United States Of America	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 USA
Christine Hiu-Tung CHEN	citizen of United States Of America	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 USA
Young Shin CHO	citizen of South Korea / Republic of Korea	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 USA
Lei JIANG	citizen of China	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 USA
Jianmei FAN	citizen of China	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 USA
Gang LIU	citizen of China	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 USA
Dyuti MAJUMDAR	citizen of India	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 USA
Jianke LI	citizen of China	1413 Aspen Glen Drive Hamden, CT 06518 USA

do hereby sell, assign and transfer to Novartis AG, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, (hereinafter referred to as the "ASSIGNEE") and its successors, assigns and legal representatives, all of my right, title and interest for all countries of the world in and to (1) all of my inventions and discoveries described in the patent application(s) titled

Hydroxamate-Based Inhibitors of Deacetylases B

and filed in the United States Patent and Trademark Office on [REDACTED], 20[REDACTED] and accorded Application Number [REDACTED]* and/or filed in the RO/[REDACTED] on [REDACTED], 20[REDACTED] and accorded International Patent Application Number PCT/[REDACTED]*, (2) the patent application(s) identified in (1), (3) all national stages of any international patent application identified in (1), (4) all other patent applications in all countries and regions claiming the priority of the provisional or non-provisional patent application filed in the United States Patent and Trademark Office on March 26, 2008 and accorded Application Number 61/039674, (5) for all patent applications, all rights of priority based upon the United States patent application identified in (4) in all countries and regions under the Paris Convention for the Protection of Industrial Property, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application identified in (4) is a provisional patent application, under 35 USC 119(e) (including the right to file patent applications on said inventions and discoveries in the names of **ASSIGNEE** or their designees or in our names, at their election and in accordance with applicable law in all countries and regions), (6) all continuations and divisions of any United States patent application or international patent application designating the United States identified in (1), any national stages of any international application identified in (1) and any patent applications within the scope of (4) (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(4) and (6) hereinafter referred to collectively as "patent applications"), (7) all patents that are granted on any of said patent applications, (8) all registrations and confirmations of, and importation certificates based upon, one or more of said patents and applications for such registrations, confirmations and importation certificates and (9) all reissues, renewals and extensions of said patents, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said **ASSIGNEE** and their successors, assigns and legal representatives to the full ends of the terms for which said patents, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.

*We hereby authorize **ASSIGNEE** and their representatives to insert in this Assignment the filing date(s) and Application Number(s) of said patent application(s) when notified thereof.

And we hereby covenant and agree that we will, at any time, (i) upon the request, but at the expense, of **ASSIGNEE** or their successors, assigns or legal representatives execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates and applications within the scope of (8) and (9) in **ASSIGNEE** or their successors, assigns or legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of **ASSIGNEE** or their successors, assigns or legal representatives execute all additional patent applications within the scope of (3), (4) and (6) and all applications within the scope of (8) or (9) and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of **ASSIGNEE** or their successors, assigns or legal representatives.

This Assignment is effective as from the earliest priority date as stated above.

Michael Shultz

State of _____)
) s.s.:
County of _____)

_____ to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth.

Notary Public

Executed this _____ day of _____, 20____

ACKNOWLEDGEMENT

On this 12 day of February, 2025, personally appeared before me

(Seal)

Notary Public

Executed this _____ day of _____, 20____

Young Shin Cho L.S.

ACKNOWLEDGEMENT

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_____ to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Executed this _____ day of _____, 20____.

Jianmei Fan L.S.

ACKNOWLEDGEMENT

State of _____)
_____) s.s.:
County of _____)

On this _____ day of _____, 20____, personally
appeared before me

(Seal).

Executed this _____ day of _____, 20____.

ACKNOWLEDGEMENT

State of _____)
) s.s.:
County of _____)

On this 12 day of March, 2021, personally appeared before me

to me known and known by me to be the same person described in and who executed the foregoing

(Seal)

Notary Public

Executed this _____ day of _____, 20____.

_____ L.S.
Dyuti Majumdar

State of _____)
) s.s.:
County of _____)

On this 14 day of April, 2021, personally appeared before me

to me known and known by me to be the same person described in and who executed the foregoing assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth.

deally

Not a Public

Executed this 22 day of OCTOBER

Jianke Li

ACKNOWLEDGEMENT

State of _____)

On this 11 day of February

_____ to me known and known by me to be the same person described in and who executed the foregoing assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth.

Real)

ACCEPTANCE OF ASSIGNMENT

With reference to the Assignment to **NOVARTIS AG** of an invention entitled "Hydroxamate-Based Inhibitors of Deacetylases B" signed by Michael Shultz, Christine Hiu-Tung Chen, Young Shin Cho, Lei JIANG, Jianmei Fan, Gang Liu, Dyuti Majumdar, Jianke Li ; this will certify that **NOVARTIS AG** accepts such Assignment.

By:  _____

Name: Reto Hälbeisen

Function: Admin. Professional PS

Date: 09.11.2010

NOVARTIS AG

 _____

Sabine Zeller

Admin. Professional PS

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公 证 书

(2010)沪东证外经字第 1526 号

兹证明李建科(男,一九七〇年十月三十一日出生,中国护照号码:G19610462)于二〇一〇年十月二十二日来到本公证处,在前面的英文文件上签名,该签名行为属实。

本公证书对该文件的内容未予证明。

al certifi

ment) 中华人民共和国上海市东方公证处

公 证 员

吴 郁

Republic of

ental Note

cal) 二〇一〇年十月二十二日



D... 22, 2010

V42729577

Translation

NOTARIAL CERTIFICATE

(2010)H.D.Z.W.J.Z. No. 1526

This is to certify that LI Jianke (male, born on October 31, 1970, Chinese Passport No. G19610462) came to this office and affixed his signature to the foregoing document in English on October 22, 2010; that the said signature is genuine.

This notarial certificate does not certify the content of the said document.

WU Yu (Seal)

Notary Public

The People's Republic of China

Shanghai Oriental Notary Public Office

(Seal)

Dated: October 22, 2010

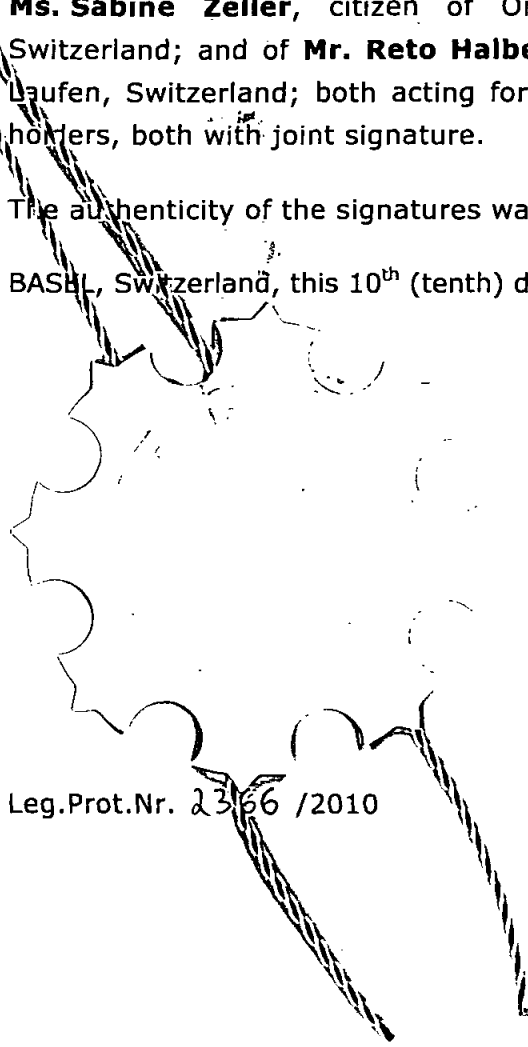
M42727857

Attestation

I, the undersigned Civil Law Notary in Basel, Switzerland, Dr. Matthias Staehelin, certify herewith that the signatures attached heretofore are the genuine signatures of **Ms. Sabine Zeller**, citizen of Ormalingen, Switzerland, residing in Gelterkinden, Switzerland; and of **Mr. Reto Halbeisen**, citizen of Wahlen/BL, Switzerland, residing in Laufen, Switzerland; both acting for **Novartis AG**, in Basel, Switzerland, both as proxy holders, both with joint signature.

The authenticity of the signatures was established by means of comparison.

BASEL, Switzerland, this 10th (tenth) day of November 2010 (two thousand and ten)



M. Staehelin, Notar

Dr. M. Staehelin -
Notar

Leg.Prot.Nr. 2366 /2010

FOR CERTIFIED COPY

I, the undersigned Civil Law Notary in Basel, Switzerland, Dr. Matthias Staehelin, hereby certify that the document attached heretofore is a true and authentic photocopy of the original document.

BASEL, Switzerland, this 10th (tenth) day of November 2010 (two thousand and ten)

M. Staehelin, Notar

Dr. M. Staehelin
Notar

Leg. Prot. Nr. 2369/2010

APOSTILLE

(Hague Convention of October 5th, 1961)

1. Country: Switzerland (Schweiz / Suisse)

This official document

2. is signed by Dr. M. Staehelin

3. in his/her function as notary public

4. and certified by the seal of the above mentioned

Certified

5. in Basel (Bâle)

6. on 10. Nov. 2010

7. by the State Chancery of the Canton of Basel-Stadt

8. no. 7297/15056

9. Seal / stamp:

Signature: Hanna Lauener

Hanna Lauener

