

Clarke, Modet & Cº

COLOMBIA

P-2724

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
DIVISION DE NUEVAS CREACIONES

E. S. D.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 10-144468- -00003-0000

Fecha: 2011-03-25 14:52:50 Dep. 2020 DIR.NUEVASCR
Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI
Act. 444 RESPUESTAREQUE Folios: 18

Ref.: Expediente No. 10.144.468
Solicitud de Patente a nombre de
REATA PHARMACEUTICALS, INC.

Yo, DILIA MARÍA RODRÍGUEZ D'ALEMAN, identificada como aparece al pie de mi firma, domiciliada en la Carrera 11 No. 86-53, piso 6, obrando como apoderada de la sociedad **REATA PHARMACEUTICALS, INC.**, solicitante de la patente de la referencia, en respuesta al oficio No. 15826 notificado por fijación en lista el día 03 de diciembre de 2010, atentamente me permito presentar:

1. Documentos (3) donde consta la cesión del derecho a la patente otorgada por los inventores a favor de mi mandante.

Sobre el particular, es necesario aclarar que si bien en uno de los documentos adjuntos se puede observar que dos de los inventores (Jack GREINER y Stephen S. SZUCS) cedieron sus derechos sobre la solicitud de la referencia a la sociedad J-STAR PESEAPCH, INC., a continuación también se procede presentar el respectivo documento de cesión donde consta que dicha sociedad cedió a su vez los derechos sobre la solicitud de la referencia a la sociedad **REATA PHARMACEUTICALS, INC.**, cumpliendo así con la cadena de legalización necesaria.

Adicionalmente, es importante resaltar que de conformidad con las normas legales vigentes, artículo 1 de la Resolución 210 de 2001, expedida por el Superintendente de Industria y Comercio y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por otro lado, la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, el documento de cesión anexo a este memorial es válidamente presentado en idioma inglés y con la correspondiente apostilla.

Espero con esto haber dado cabal cumplimiento a lo solicitado por ese despacho y de conformidad con lo indicado en los artículos 3º, inciso 3, 35 inciso 2 y 59 inciso 2 del CCA, al analizar este memorial, atentamente ruego se traten todas las cuestiones planteadas con motivo del mismo.

Señor Superintendente,

DILIA MARÍA RODRÍGUEZ D'ALEMAN
C.C. 41.654.882 de Bogotá.
T.P. 20824 CSJ

APOSTILLE*(Convention de La Haye du 5 octobre 1961)*

1. Country: United States of America

This public document

2. has been signed by W. Montgomery

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of U.S. Patent and Trademark Office

Certified

5. at Washington, D.C.

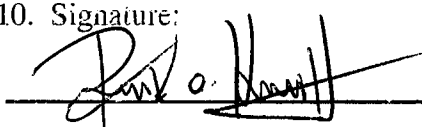
6. the fourth of March, 2011

7. by Assistant Authentication Officer, United States Department of State

8. No. 11019644-14

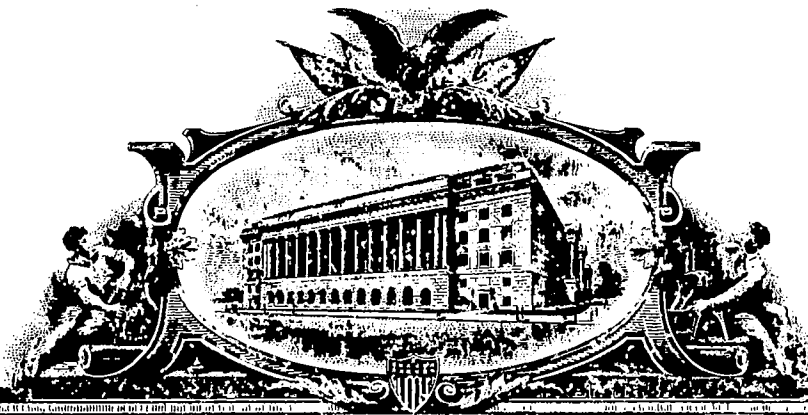
9. Seal/Stamp:

10. Signature:



Patrick O. Hatchett

Copy 126
Colon



A. 1026063

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

January 10, 2011

**THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
SEPTEMBER 11, 2009.**

By Authority of the

**Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office**



W. Montgomery
W. MONTGOMERY
Certifying Officer

439

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Xin JIANG</td> <td>07/27/2009</td> </tr> <tr> <td>Xiaofeng LIU</td> <td>07/27/2009</td> </tr> <tr> <td>Melean VISNICK</td> <td>08/05/2009</td> </tr> </tbody> </table>		Name	Execution Date	Xin JIANG	07/27/2009	Xiaofeng LIU	07/27/2009	Melean VISNICK	08/05/2009				
Name	Execution Date												
Xin JIANG	07/27/2009												
Xiaofeng LIU	07/27/2009												
Melean VISNICK	08/05/2009												
RECEIVING PARTY DATA													
<table border="1"> <tr> <td>Name:</td> <td>FEATA PHARMACEUTICALS, INC.</td> </tr> <tr> <td>Street Address:</td> <td>2801 Gateway Drive</td> </tr> <tr> <td>Internal Address:</td> <td>Suite 150</td> </tr> <tr> <td>City:</td> <td>Irving</td> </tr> <tr> <td>State/Country:</td> <td>TEXAS</td> </tr> <tr> <td>Postal Code:</td> <td>75063</td> </tr> </table>		Name:	FEATA PHARMACEUTICALS, INC.	Street Address:	2801 Gateway Drive	Internal Address:	Suite 150	City:	Irving	State/Country:	TEXAS	Postal Code:	75063
Name:	FEATA PHARMACEUTICALS, INC.												
Street Address:	2801 Gateway Drive												
Internal Address:	Suite 150												
City:	Irving												
State/Country:	TEXAS												
Postal Code:	75063												
PROPERTY NUMBERS Total: 1													
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>12426832</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	12426832								
Property Type	Number												
Application Number:	12426832												
CORRESPONDENCE DATA													
Fax Number: (512)536-4598 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Email: cnoored@fulbright.com Correspondent Name: Mark H. Voges Address Line 1: 600 Congress Ave. Address Line 2: Suite 2400 Address Line 4: Austin, TEXAS 78701													
ATTORNEY DOCKET NUMBER:	FEAT:031US / 10901556												
NAME OF SUBMITTER:	Mark H. Voges												
Total Attachments: 3 source=FEAT031US_ASSIGN_FEATA#page1.tif													

OP \$40.00 12426832

500960011

PATENT
 REEL: 023219 FRAME: 0305

source=FEAT031US_ASSIGN_FEATA#page2.tif
source=FEAT031US_ASSIGN_FEATA#page3.tif

PATENT
REEL: 023219 FRAME: 0306

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to REATA PHARMACEUTICALS, INC. (the "Assignee"), having a place of business at 2801 Gateway Drive, Suite 150, Irving, TX 75063-2648, their entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 12/426,832, which has been filed on April 20, 2009 and is entitled "ANTIOXIDANT INFLAMMATION MODULATORS: C-17 HOMOLOGATED OLEANOLIC ACID DERIVATIVES," which claims priority to U.S. Provisional Application No. 61/046,366 filed April 18, 2008 and U.S. Provisional Application No. 61/111,294 filed November 4, 2008, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

440

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Xin Jiang Date: 7-27-09
Name: Xin JIANG

STATE OF TX
COUNTY OF Dallas

BEFORE ME, the undersigned authority, on this 27th day of July, 2009 personally appeared Xin JIANG, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

Glenn K. Johnson
Notary or Consular Officer

[SEAL]

Signature: Xiaofeng LIU Date: July 27, 2009
Name: Xiaofeng LIU

STATE OF TX
COUNTY OF Dallas

BEFORE ME, the undersigned authority, on this 27th day of July, 2009 personally appeared Xiaofeng LIU, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

Glenn K. Johnson
Notary or Consular Officer

[SEAL]

85079344.1

PATENT
REEL: 023219 FRAME: 0308

Signature: Melean Visnick
Name: Melean VISNICK

Date: Aug 5, 2009

STATE OF TX
COUNTY OF Harris

BEFORE ME, the undersigned authority, on this 3rd day of Aug, 2009 personally appeared Melean VISNICK, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

Kenneth F. Johnson
Notary or Consular Officer

[SEAL]

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701

85079344.1

RECORDED: 09/11/2009

PATENT
REEL: 023219 FRAME: 0309

P-2724
244

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This public document

2. has been signed by W. Montgomery

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of U.S. Patent and Trademark Office

Certified

5. at Washington, D.C.

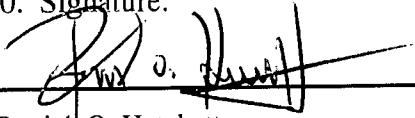
6. the fourth of March, 2011

7. by Assistant Authentication Officer, United States Department of State

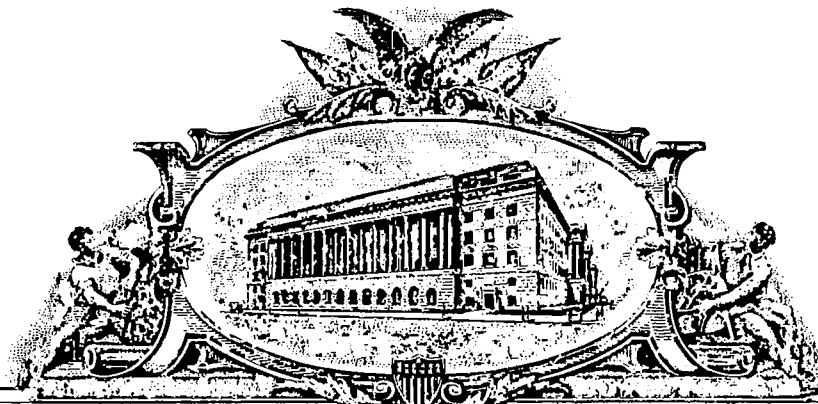
8. No. 11019644 13

9. Seal/Stamp:

10. Signature:


Patrick O. Hatchett

A 1036063



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

January 10, 2011

**THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
SEPTEMBER 11, 2009.**

By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office

W. Montgomery
W. MONTGOMERY
Certifying Officer



446

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
J-STAR RESEARCH, INC.	07/31/2009
RECEIVING PARTY DATA	
Name:	REATA PHARMACEUTICALS, INC.
Street Address:	2801 Gateway Drive
Internal Address:	Suite 150
City:	Irving
State/Country:	TEXAS
Postal Code:	75063
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12426832
CORRESPONDENCE DATA	
Fax Number:	(512)536-4588
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Email:	cnorred@fulbright.com
Correspondent Name:	Mark H. Voges
Address Line 1:	600 Congress Ave.
Address Line 2:	Suite 2400
Address Line 4:	Austin, TEXAS 78701
ATTORNEY DOCKET NUMBER:	FEAT:031US / 10901556
NAME OF SUBMITTER:	Mark H. Voges
Total Attachments: 2 source=FEAT031US_ASSIGN_JSTARTOPEATA#page1.tif source=FEAT031US_ASSIGN_JSTARTOPEATA#page2.tif	

OP \$40.00 12426832

500960092

PATENT
REEL: 023219 FRAME: 0638

11

449

PATENT
FILE NO. REAT:031US

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to REATA PHARMACEUTICALS, INC. (the "Assignee"), having a place of business at 2801 Gateway Drive, Suite 150, Irving, TX 75063-2648, its entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **12/426,832** which has been filed on **April 20, 2009** and is entitled "**ANTIOXIDANT INFLAMMATION MODULATORS: C-17 HOMOLOGATED OLEANOLIC ACID DERIVATIVES,**" which claims priority to U.S. Provisional Application No. 61/046,366 filed April 18, 2008 and U.S. Provisional Application No. 61/111,294 filed November 4, 2008, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

448

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Andrew S. Thompson
Company: J-STAR RESEARCH, INC.
By: Andrew S. Thompson
Title: President

Date: July 31st, 2009

STATE OF New Jersey
COUNTY OF Middlesex

BEFORE ME, the undersigned authority, on this 31 day of July, 2009 personally appeared Andrew S. Thompson, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

Dianne M. Hardy
Notary or Consular Officer

[SEAL]



FULERIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701

85079355.1

RECORDED: 09/11/2009

PATENT
REEL: 023219 FRAME: 0640

P-2724/451

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This public document

2. has been signed by W. Montgomery

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of U.S. Patent and Trademark Office

Certified

5. at Washington, D.C.

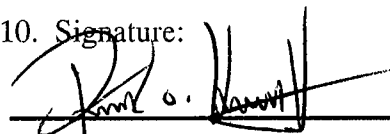
6. the fourth of March, 2011

7. by Assistant Authentication Officer, United States Department of State

8. No. 11019644-15

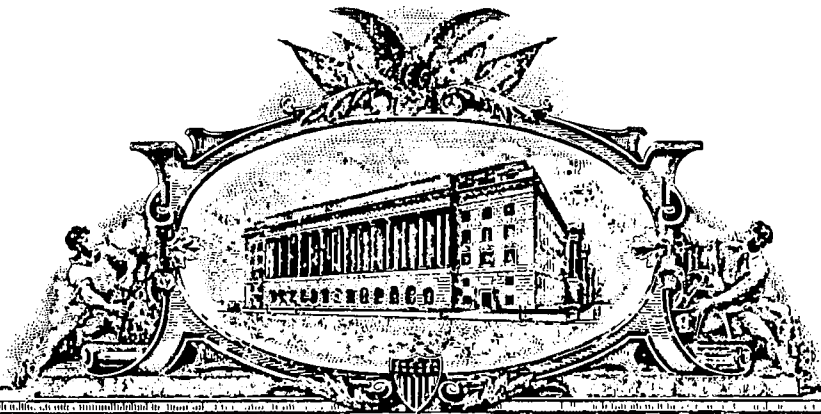
9. Seal/Stamp:

10. Signature:



Patrick O. Hatchett

A. 1026063



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

January 10, 2011

**THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
SEPTEMBER 11, 2009.**

**By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office**



W. Montgomery
W. MONTGOMERY
Certifying Officer

453

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
Name		Execution Date
Jack GREINER		07/31/2009
Stephen S. SZUCS		07/31/2009
RECEIVING PARTY DATA		
Name:	J-STAR RESEARCH, INC.	
Street Address:	3001 Hadley Road	
Internal Address:	Suites 1-4	
City:	South Plainfield	
State/Country:	NEW JERSEY	
Postal Code:	07080	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	12426832	
CORRESPONDENCE DATA		
Fax Number:	(512)536-4598	
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>		
Email:	enormed@fulbright.com	
Correspondent Name:	Mark H. Voges	
Address Line 1:	600 Congress Ave.	
Address Line 2:	Suite 2400	
Address Line 4:	Austin, TEXAS 78701	
ATTORNEY DOCKET NUMBER:	PEAT:031US / 10901556	
NAME OF SUBMITTER:	Mark H. Voges	
Total Attachments: 2 source=PEAT031US_ASSIGN_JSTAR#page1.tif source=PEAT031US_ASSIGN_JSTAR#page2.tif		

OP \$40.00 12426832

500960079

PATENT
 REEL: 023219 FRAME: 0586

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to J-STAR RESEARCH, INC. (the "Assignee"), having a place of business at 3001 Hadley Road, Suites 1-4, South Plainfield, NJ 07080, their entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **12/426,832**, which has been filed on **April 20, 2009** and is entitled "**ANTIOXIDANT INFLAMMATION MODULATORS: C-17 HOMOLOGATED OLEANOLIC ACID DERIVATIVES**," which claims priority to U.S. Provisional Application No. 61/046,366 filed April 18, 2008 and U.S. Provisional Application No. 61/111,294 filed November 4, 2008, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

455

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: [Signature]
Name: Jack GREINER

Date: July 31, 2009

STATE OF New Jersey
COUNTY OF Middlesex

BEFORE ME, the undersigned authority, on this 31 day of July, 2009 personally appeared Jack GREINER, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

Dianne M Hardy
Notary or Consular Officer

[SEAL]



Signature: [Signature]
Name: Stephen S. SZUCS

Date: July 31, 2009

STATE OF New Jersey
COUNTY OF Middlesex

BEFORE ME, the undersigned authority, on this 31 day of July, 2009 personally appeared Stephen S. SZUCS, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

Dianne M Hardy
Notary or Consular Officer

[SEAL]



FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701

85079348.1

RECORDED: 09/11/2009

PATENT
REEL: 023219 FRAME: 0588

2020
Bogotá D.C.,

Doctor(a)
RODRIGUEZ D' ALEMAN DILIA MARIA
Apoderado(a) y/o Representante de
REATA PHARMACEUTICALS INC

REFERENCIA	Radicación No.	10 144468
	Solicitud internacional	PCT/US2009/041176
	Fecha inicio fase nacional	18/11/2010
	Trámite	11
	Evento	378
	Actuación	416
	Oficio No.	13375
	Folios	1

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en la Decisión 486 de la Comisión de la Comunidad Andina, el Tratado de Cooperación en Materia de Patentes (PCT), el Reglamento y la Circular Única de la Superintendencia de Industria y Comercio, se envía el extracto de la solicitud a la Oficina de Comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial.

Cúmplase
Dado en Bogotá DC,

23 MAYO 2011



JOSE LUIS SALAZAR LOPEZ
Director de Nuevas Creaciones (C)

mcarri