

215

Clarke, Modet & C^o

COLOMBIA

P-2822

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
DIVISION DE NUEVAS CREACIONES

E. S. D.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 11-014386- -00002-0000

Fecha: 2011-05-09 15:38:31 Dep. 2020 DIR.NUEVASCR
Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI
Act. 444 RESPUESTAREQUE Folios: 11

Ref.: Expediente No. 11.014.386
Solicitud de Patente a nombre de
ONCOTHERAPY SCIENCE, INC.

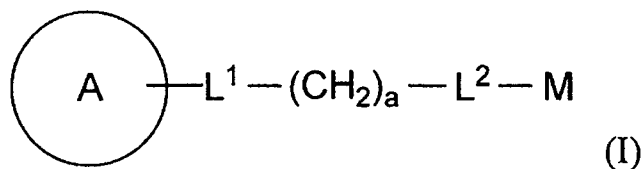
Yo, DILIA MARÍA RODRÍGUEZ D'ALEMAN, identificada como aparece al pie de mi firma, domiciliada en la Carrera 11 No. 86-53, piso 6, obrando como apoderada de la sociedad **ONCOTHERAPY SCIENCE, INC.**, solicitantes de la patente de la referencia, en respuesta al oficio No. 05741 notificado por fijación en lista el día 31 de marzo de 2011, atentamente me permito presentar:

1. Documento (1) donde consta la cesión del derecho a la patente otorgada por los inventores a favor de mi mandante.

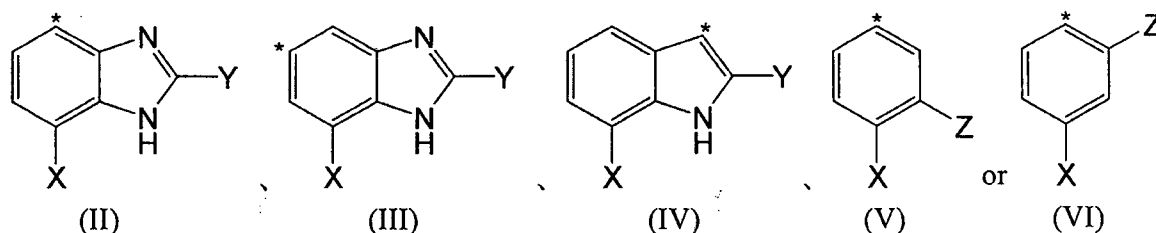
Sobre el particular, es importante resaltar que de conformidad con las normas legales vigentes, artículo 1 de la Resolución 210 de 2001, expedida por el Superintendente de Industria y Comercio y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por otro lado, la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, el documento de cesión anexo a este memorial es válidamente presentado en idioma inglés y con la correspondiente apostilla.

2. Nuevo Resumen de la solicitud:

“la presente invención se relaciona con un compuesto de fórmula (I) y una sal aceptable farmacéuticamente, hidrato, solvato o isómero del mismo:



donde,
el anillo A es (II), (III), (IV), (V) o (VI)



donde,

X es halógeno o hidroxilo;

Y es hidrógeno, fenilo, tiofen-2-ilo, furan-2-ilo, ciclopropilo o ciclopentilo;

Z es un carbonilamino sustituido con un heterociclo de 5-10 miembros; y

el anillo A está sustituido por $-L^1-(CH_2)_a-L^2-M$ en la posición *;

L^1 es $-CONH-$, $-NHCO-$ o un enlace sencillo;

L^2 es seleccionado del grupo que consiste de $-NH-$, $-O-$, $-CH(COOR^1)-$, $-CH(CH_2OH)-$, $-CH=CH-$ y un enlace sencillo, donde R^1 es hidrógeno o C1-C6 alquilo;

M es seleccionado del grupo que consiste de hidroxilo, carboxilo, amida, C₁-C₆ alquilo, C₁-C₆ alquilcarbonilo, C₆-C₁₄ arilo, C₆-C₁₄ aril C₁-C₆ alquilo, C₆-C₁₄ arilcarbonilo, C₆-C₁₄ arilsulfonilo, $-NR^2R^3$ entre otros;

donde R^2 y R^3 son independientemente C1-C6 alquilo;

los grupos alquilo y aromáticos sustituyentes se encuentran, a su vez, sustituidos opcionalmente por 1-3 sustituyentes cada uno independientemente seleccionados del grupo A;

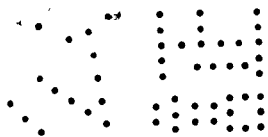
donde el grupo A consiste de hidroxilo, oxo, nitro, amino, amida, halógeno, sulfamoilo, trifluorometilo, p-toluensulfonilamino, C₁-C₆ alquilo, C₁-C₆ alcoxi, C₁-C₆ alquilcarbonilamino y C₁-C₆ alquilsulfonilamino; y

a es un número entero de 0-5.”.

Espero con esto haber dado cabal cumplimiento a lo solicitado por ese despacho y de conformidad con lo indicado en los artículos 3°, inciso 3, 35 inciso 2 y 59 inciso 2 del CCA, al analizar este memorial, atentamente ruego se traten todas las cuestiones planteadas con motivo del mismo.

Señor Superintendente,

DILIA MARÍA RODRÍGUEZ D'ALEMAN
C.C. 41.654.882 de Bogotá.
T.P. 20824 CSJ



P-2822
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Apostille

(Convention de La Haye du 5 Octobre 1961)

1. Country: United States of America
This public document
2. has been signed by **Thomas G. Clingan**
3. acting in the capacity of **County Clerk**
4. bears the seal/stamp of the county of **Albany**

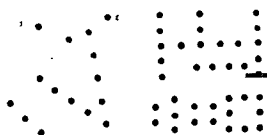
Certified

5. At Albany, New York
6. the 17th day of March 2011
7. by Special Deputy Secretary of State, State of New York
8. No. A-101090C
9. Seal/Stamp
10. Signature



Sandra J. Tallman

Sandra J. Tallman
Special Deputy Secretary of State



CESION

ASSIGNMENT

El (la) abajo firmante (En adelante EL CEDENTE)

The undersigned (Hereinafter THE ASSIGNOR)

1. OHTANI, Mitsuaki
2. MATSUO, Yo
3. OHSAWA, Ryuji
4. HISADA, Shoji
5. LI, Yingfu
6. WALKER, Joel R.
7. JENKINS, David M.
8. AHMED, Feryan

1. OHTANI, Mitsuaki
2. MATSUO, Yo
3. OHSAWA, Ryuji
4. HISADA, Shoji
5. LI, Yingfu
6. WALKER, Joel R.
7. JENKINS, David M.
8. AHMED, Feryan

en su carácter de inventor /diseñador con domicilio en:

Inventor /designor of domiciled at

1. c/o ONCOTHERAPY SCIENCE, INC.,
2-1 Sakado 3-chome, Takatsu-ku,
Kawasaki-shi, Kanagawa 2130012
Japón
2. c/o ONCOTHERAPY SCIENCE, INC.,
2-1 Sakado 3-chome, Takatsu-ku,
Kawasaki-shi, Kanagawa 2130012
Japón
3. c/o ONCOTHERAPY SCIENCE, INC.,
2-1 Sakado 3-chome, Takatsu-ku,
Kawasaki-shi, Kanagawa 2130012
Japón
4. c/o ONCOTHERAPY SCIENCE, INC.,
2-1 Sakado 3-chome, Takatsu-ku,
Kawasaki-shi, Kanagawa 2130012
Japón
5. 38 Cambridge Ave., Clifton Park, NY
12065, USA
6. 38 Terry Ave., Schenectady, NY
12303, USA
7. 96 Fonda Rd., Cohoes, NY 12047, USA
8. 23 Belle Ave., Latham, NY 12110, USA

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2-1 Sakado 3-chome, Takatsu-ku,
Kawasaki-shi, Kanagawa 2130012
Japón
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Japón
3. c/o ONCOTHERAPY SCIENCE, INC.,
2-1 Sakado 3-chome, Takatsu-ku,
Kawasaki-shi, Kanagawa 2130012
Japón
4. c/o ONCOTHERAPY SCIENCE, INC.,
2-1 Sakado 3-chome, Takatsu-ku,
Kawasaki-shi, Kanagawa 2130012
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12065, USA
6. 38 Terry Ave., Schenectady, NY
12303, USA
7. 96 Fonda Rd., Cohoes, NY 12047, USA
8. 23 Belle Ave., Latham, NY 12110, USA

por el presente documento declara que cede todos y cada uno de los derechos que le corresponden o puedan corresponderle sobre la siguiente invención **PCT/US2009/052225 de Julio 30 de 2009**

do hereby declares that it assigns all and each of his rights and privileges on the following invention, **PCT/US2009/052225 of July 30, 2009**

a: **ONCOTHERAPY SCIENCE, INC.** con domicilio en 2-1 Sakado 3-chome Takatsu-ku, Kawasaki-shi, Kanagawa 2130012 Jaón

to: **ONCOTHERAPY SCIENCE, INC.** with domicile in 2-1 Sakado 3-chome Takatsu-ku, Kawasaki-shi, Kanagawa 2130012 Jaón

En prueba de la mutua aceptación de esta cesión ambas partes CEDENTE y CESIONARIO firman el presente documento.

As a proof of the mutual acceptance of this assignment, both parties ASSIGNOR and ASSIGNEE sign the present document.

Dado y firmado en
A los Días de

De

Granted and signed at
on this day of

of

219

1. OHTANI, Mitsuaki

1. OHTANI, Mitsuaki



El Cedente /The Assignor



El Cedente /The Assignor

2. MATSUO, Yo

2. MATSUO, Yo



El Cedente /The Assignor



El Cedente /The Assignor

3. OHSAWA, Ryuji

3. OHSAWA, Ryuji



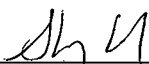
El Cedente /The Assignor



El Cedente /The Assignor

4. HISADA, Shoji

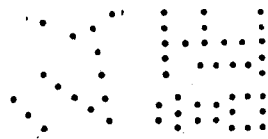
4. HISADA, Shoji



El Cedente /The Assignor



El Cedente /The Assignor



203

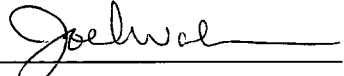
5. LI, Yingfu

Yingfu Li
El Cedente /The Assignor

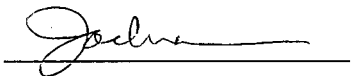
5. LI, Yingfu

Yingfu Li
El Cedente /The Assignor

6. WALKER, Joel R.


El Cedente /The Assignor

6. WALKER, Joel R.


El Cedente /The Assignor

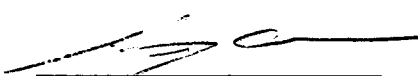
7. JENKINS, David M.


El Cedente /The Assignor

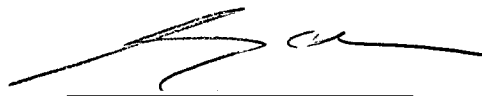
7. JENKINS, David M.


El Cedente /The Assignor

8. AHMED, Feryan


El Cedente /The Assignor

8. AHMED, Feryan


El Cedente /The Assignor

NEW YORK 'ALL-PURPOSE' ACKNOWLEDGMENT

REAL PROPERTY LAW §309-a

State of New York }
County of Albany } ss.

On the 2 day of March in the year 2011
Day Month Year

before me, the undersigned, a Notary Public in and for said state, personally appeared Idel R. Walker, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

ASHLEY V. REED
Notary Public - State of New York
No. 01RE6206249
Qualified in Albany County
My Commission Expires May 18, 2013

Ashley V. Reed
Signature of Notary Public

OPTIONAL

Though the information in this section is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

**RIGHT THUMBPRINT
OF SIGNER**
Top of thumb here

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

STATE OF NEW YORK, COUNTY OF ALBANY, CLERK'S OFFICE. } ss.:

I, THOMAS G. CLINGAN, Clerk of the said County, and also Clerk of the Supreme and County Courts, being Courts of Record held therein, and having by law a seal, do hereby

certify that ASHLEY V. REED whose name is subscribed to the certificate of proof or acknowledgment of the annexed instrument and thereon written, or whose name is subscribed to the annexed jurat, was at the time of taking such proof or acknowledgment, or of administering such oath or affirmation

a NOTARY in and for said ALBANY, residing therein, duly commissioned and sworn, and authorized by the laws of said State to take the acknowledgment and proofs of deed or conveyances for land, tenements, or hereditaments and to administer oaths or affirmations in said county. And further, that I am well acquainted with the handwriting of said officer and verily believe that the signature to said jurat or certificate of proof or acknowledgment is genuine. That impression of seal of such officer is not required by law to be filed in my office.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seal of said courts and county, the 17th day of MARCH 2011

Thomas G. Clingan Clerk

NEW YORK 'ALL-PURPOSE' ACKNOWLEDGMENT

REAL PROPERTY LAW §309-a

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State of New York }
County of Albany } ss.

ASHLEY V. REED
Notary Public - State of New York
No. 01RE6206249
Qualified in Albany County
My Commission Expires May 18, 2013

On the 2 day of March in the year 2011
before me, the undersigned, a Notary Public in and for said state,
personally appeared David M. Jenkins, personally
known to me or proved to me on the basis of satisfactory evidence to
be the individual(s) whose name(s) is (are) subscribed to the within
instrument and acknowledged to me that he/she/they executed the
same in his/her/their capacity(ies), and that by his/her/their
signature(s) on the instrument, the individual(s), or the person upon
behalf of which the individual(s) acted, executed the instrument.

Ashley V. Reed
Signature of Notary Public

OPTIONAL

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Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

STATE OF NEW YORK, COUNTY OF ALBANY, CLERK'S OFFICE. } ss.:

I, THOMAS G. CLINGAN, Clerk of the said County, and also Clerk of the Supreme and County Courts, being Courts of Record held therein, and having by law a seal, do hereby

certify that ASHLEY V. REED whose name is subscribed to the certificate of proof or acknowledgment of the annexed instrument and thereon written, or whose name is subscribed to the annexed jurat, was at the time of taking such proof or acknowledgment, or of administering such oath or affirmation

a NOTARY in and for said ALBANY residing therein, duly commissioned and sworn, and authorized by the laws of said State to take the acknowledgment and proofs of deed or conveyances for land, tenements, or hereditaments and to administer oaths or affirmations in said county. And further, that I am well acquainted with the handwriting of said officer and verily believe that the signature to said jurat or certificate of proof or acknowledgment is genuine. That impression of seal of such officer is not required by law to be filed in my office.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seal of said courts and county, the 17th day of MARCH 2011

Thomas G. Clingan
Clerk

NEW YORK 'ALL-PURPOSE' ACKNOWLEDGMENT

REAL PROPERTY LAW §309-a

229

State of New York }
County of Albany } ss.

ASHLEY V. REED
Notary Public - State of New York
No. 01RE6206249
Qualified in Albany County
My Commission Expires May 18, 2013

On the 10 day of March in the year 2011
before me, the undersigned, a Notary Public in and for said state,
personally appeared Feryan Ahmed, personally
known to me or proved to me on the basis of satisfactory evidence to
be the individual(s) whose name(s) is (are) subscribed to the within
instrument and acknowledged to me that he/she/they executed the
same in his/her/their capacity(ies), and that by his/her/their
signature(s) on the instrument, the individual(s), or the person upon
behalf of which the individual(s) acted, executed the instrument.

Ashley V. Reed
Signature of Notary Public

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RIGHT THUMBPRINT OF SIGNER

Top of thumb here

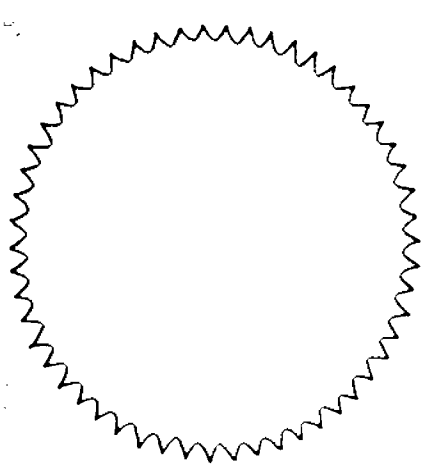
STATE OF NEW YORK, COUNTY OF ALBANY, CLERK'S OFFICE. } ss.:

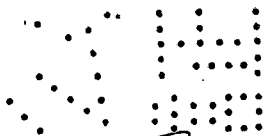
I, THOMAS G. CLINGAN, Clerk of the said County, and also Clerk of the Supreme and County Courts, being Courts of Record held therein, and having by law a seal, do hereby

certify that ASHLEY V. REED whose name is subscribed to the certificate of proof or acknowledgment of the annexed instrument and thereon written, or whose name is subscribed to the annexed jurat, was at the time of taking such proof or acknowledgment, or of administering such oath or affirmation

a NOTARY in and for said ALBANY, residing therein, duly commissioned and sworn, and authorized by the laws of said State to take the acknowledgment and proofs of deed or conveyances for land, tenements, or hereditaments and to administer oaths or affirmations in said county. And further, that I am well acquainted with the handwriting of said officer and verily believe that the signature to said jurat or certificate of proof or acknowledgment is genuine. That impression of seal of such officer is not required by law to be filed in my office.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seal of said courts and county, the 17th day of March 2011
Thomas G. Clingan Clerk



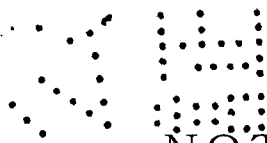


El Cesionario/The Assignee
TSUNODA, Takuya
President and CEO
ONCOTHERAPY SCIENCE, INC.

Este documento debe ser otorgado ante Notario
público y legalizado por el Consúl de Colombia) o
por Apostilla

El Cesionario/The Assignee
TSUNODA, Takuya
President and CEO
ONCOTHERAPY SCIENCE, INC.

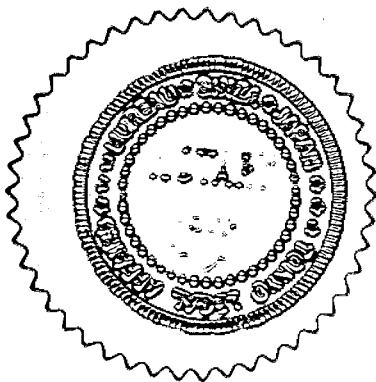
(This document must be signed before Notary
Public and legalized before the colombian Consul)
or by Apostille



NOTARIAL CERTIFICATE

This is to certify that OHTANI Mitsuaki, MATSUO Yo,
OHSAWA Ryuji, HISADA Shoji and LI Yingfu have acknowledged
themselves in my very presence that signatures on the
foregoing document are their own.

Dated this 22nd day of April, 2011

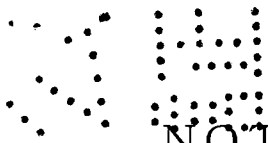


ICHIRO SHINJO

Notary

2-2-6, Ginza, Chuo-ku, Tokyo, Japan

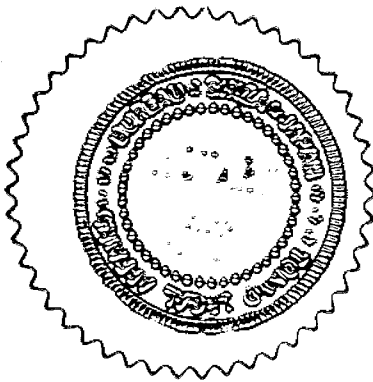
Tokyo Legal Affairs Bureau



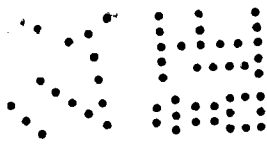
NOTARIAL CERTIFICATE

The undersigned, Notary, in and for Tokyo, Japan do hereby certify that Takuya Tsunoda, President and CEO of ONCOTHERAPY SCIENCE, INC., has been duly authorized by said corporation to sign and execute the document attached hereto and the signature of Takuya Tsunoda appearing thereon is true and correct.

Dated this 22nd day of April, 2011



ICHIRO SHINJO
Notary
5-2-1, Ginza, Chuo-ku, Tokyo, Japan
Tokyo Legal Affairs Bureau



この 譲 渡 証 の署名者大谷 光昭・松尾 洋・大沢 龍司・久田 昇二・李 英富・オンコ
セラピー・サイエンス株式会社 代表取締役社長 角田 卓也 は、本職の面前で各自この署
名を自認した。

よって、これを認証する。

平成 2 3 年 4 月 22 日、本公証人役場において
東京都中央区銀座2丁目2番6号 銀座公証役場
東 京 法 務 局 所 属

公 証 人
Notary
ICHIRO SHINJO

新主・江



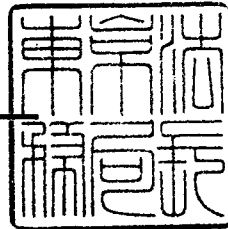
証 明

上記署名は、東京法務局所属公証人の署名に相違ないものであり、かつ、その押印は、
真実のものであることを証明する。

平成 2 3 年 4 月 22 日

東 京 法 務 局 長

相澤恵一



APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: JAPAN
This public document
2. has been signed by ICHIRO SHINJO
3. acting in the capacity of Notary of the Tokyo Legal Affairs Bureau
4. bears the seal/stamp of ICHIRO SHINJO , Notary
Certified
5. at Tokyo
6. April 22, 2011
7. by the Ministry of Foreign Affairs
8. 11- NO 026787
9. Seal/stamp:
10. Signature



K. Oyabe

Kazutoyo OYABE
For the Minister for Foreign Affairs

2020
Bogotá D.C.,

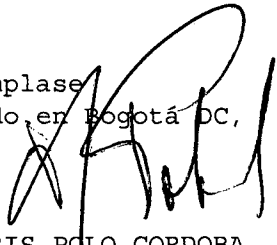
Doctor(a)
RODRIGUEZ D^a ALEMAN DILIA MARIA
Apoderado(a) y/o Representante de
ONCOTHERAPY SCIENCE, INC

REFERENCIA	Radicación No.	11 14386
	Solicitud internacional	PCT/US2009/052225
	Fecha inicio fase nacional	28/02/2011
	Trámite	11
	Evento	378
	Actuación	416
	Oficio No.	14228
	Folios	1

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en la Decisión 486 de la Comisión de la Comunidad Andina, el Tratado de Cooperación en Materia de Patentes (PCT), el Reglamento y la Circular Única de la Superintendencia de Industria y Comercio, se envía el extracto de la solicitud a la Oficina de Comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial.

Cúmplase
Dado en Bogotá DC,

06 OCT. 2011


DORIS POLO CORDOBA
Director de Nuevas Creaciones (e)

jesanchl