

Brigard & Castr
Abogados

Apartado 3892

Cra. 7 18-56
Bogotá - Colombia S.A.

Tel. Conm. 3802200
Tel./Fax: (57.1) 2827978
(57.1) 3802700

E.Mail:bricas@brigardycastro.com.co

SUPERINDUSTRIA Y COMERCIO
Radicación : 03022965 00000034
Fecha (ADM): 2004-01-16 16:27:18
Trámite : 011 PCT-PATENT 378 FASE NACI 441 REPUE
Dependencia: 2020 DIVISION DE NUEVAS CREACIONES

AS: 90.514

Señores

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

DIVISION DE NUEVAS CREACIONES

E. S. D.

RESPUESTA A OFICIO 07914 DE SEPTIEMBRE 28, 2003

REF: Expediente No. 03 022.965 - Solicitud de Patente FASE NACIONAL
Corresp. a la Solicitud Internacional PCT/US01/19855
a favor de BRISTOL - MYERS SQUIBB COMPANY
Titulada: COMPUESTOS HETEROCÍCLICOS FUNDIDOS DE SUCCINIMIDA Y
SUS ANÁLOGOS, MODULADORES DE LA FUNCIÓN NUCLEAR RECEPTORA
DE HORMONAS

RAMIRO CASTRO DUQUE, vecino de Bogotá, portador de la tarjeta profesional No. 1003, obrando como apoderado de la Sociedad BRISTOL - MYERS SQUIBB COMPANY, según lo acredita el documento de Poder presentado en esa División bajo el No. 19358, para dar cumplimiento al requerimiento notificado por fijación en lista el 28 de Septiembre de 2003, cuyo término fue prorrogado mediante escrito radicado el 21 de Noviembre de 2003 y de acuerdo con los Artículos 38 y 39 de la Decisión 486 de la Comisión de la Comunidad Andina, Artículo 27 del Tratado de Cooperación en Materia de Patentes (PCT), regla 51 bis del Reglamento y Circular Única de la SIC, me permito anexar al presente escrito los siguientes documentos:

1. Cuatro (4) documentos donde consta la cesión del derecho a la Patente otorgado por los inventores al solicitante, debidamente apostillados.
2. Extracto para publicación y Tarjeta de Archivo Temático.

De la señora jefe atentamente,



RAMIRO CASTRO DUQUE
C.C. No. 170.322 de Bogotá
Código 340
Cra 7a. No. 18-56 Piso 9

Bogotá, Enero 16, 2004
CHP/MEV/hsr

EXTRACTO PARA PUBLICACION
SOLICITUD DE PATENTE DE INVENCION
Entrada en Fase Nacional

822

DELEGATURA PROPIEDAD INDUSTRIAL

(21) No. SOLICITUD: 03 022.965

(22) FECHA DE
PRESENTACION: 19-03-2003

(30) PRIORIDAD: 60/233,519 – 60/284,730

(31) No. DE SOLICITUD: 60/284,438

(32) FECHA DE 19-09-2000 – 18-04-2001
PRESENTACION: 18-04-2001

(33) PAIS: US

(86) No. SOLICITUD INTERNACIONAL

PCT/US01/19655

(51) INT.CL.:

(71) SOLICITANTE:

BRISTOL – MYERS SQUIBB COMPANY

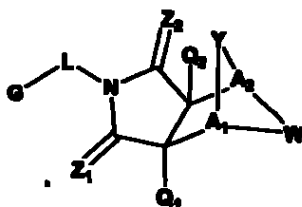
(72) INVENTOR/ES: James A. Balog, Abera Fura, Soren
Giese, Wenying Li, Dacia A. Pickering, Mark E. Salvati,
Ramesh N. Patel, Ronald L. Hanson

(74) APODERADO: RAMIRO CASTRO DUQUE

(54) TITULO: **COMPUESTOS HETEROCÍCLICOS FUNDIDOS DE SUCCINIMIDA Y SUS ANÁLOGOS,
MODULADORES DE LA FUNCIÓN NUCLEAR RECEPTORA DE HORMONAS**

(57) RESUMEN:

La presente invención se relaciona con compuestos cíclicos fusionados, con métodos de usar dichos compuestos en el tratamiento de condiciones asociadas con receptores hormonales nucleares tales como cáncer, y con composiciones farmacéuticas que contienen dichos compuestos cíclicos fusionados de la siguiente fórmula I y sus sales:



(I)

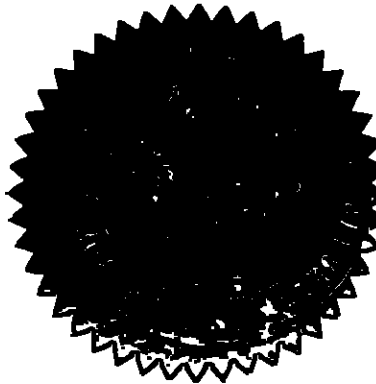
APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

1. COUNTRY: UNITED STATES OF AMERICA
2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:
MARIA CASTALDO
3. ACTING IN THE CAPACITY OF:
NOTARY PUBLIC OF NEW JERSEY
4. BEARS THE SEAL/STAMP OF:
MARIA CASTALDO, NOTARY

CERTIFIED

5. AT TRENTON, NEW JERSEY
6. THE 9TH DAY OF OCTOBER 2003
7. BY: John E McCormac, CPA, NEW JERSEY TREASURER
8. NO. A183676
9. SEAL/STAMP:
10. SIGNATURE



829 / 224

LD192PSP

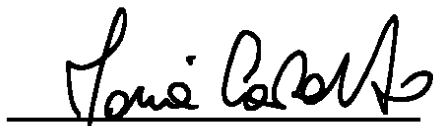
AFFIDAVIT

I, **Stephen B. Davis**, Senior Patent Counsel, Patent Department,
BRISTOL-MYERS SQUIBB COMPANY, do hereby certify that this is
a notarized true and accurate assignment signed by the inventors.


Stephen B. Davis
Senior Patent Counsel

STATE OF NEW JERSEY)
)
COUNTY OF MERCER)

Subscribed and sworn to before me this
 8 day of Oct. , 2003


Maria Castaldo
Notary Public of New Jersey
My commission expires October 6, 2008

SEAL



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

LD192

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LD192 PSP

SEPTEMBER 20, 2001

PTAS

BRISTOL-MYERS SQUIBB COMPANY
MARLA J. MATHIAS
PATENT DEPARTMENT
P.O. BOX 4000
PRINCETON, NEW JERSEY 08543-4000



101781742A

825

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/11/2001

REEL/FRAME: 011975/0302
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SALVATI, MARK E.

DOC DATE: 09/25/2000

ASSIGNOR:

BALOG, JAMES AARON

DOC DATE: 09/25/2000

ASSIGNOR:

PICKERING, DACIA A.

DOC DATE: 09/25/2000

ASSIGNEE:

BRISTOL-MYERS SQUIBB COMPANY
LAWRENCEVILLE-PRINCETON ROAD
PRINCETON, NEW JERSEY 08543-4000

SERIAL NUMBER: 60233519
PATENT NUMBER:

RECEIVED

FILED DATE: 09/19/2000
ISSUE DATE:

U.S. Patent Law

SEP 26 2001

Docketed Item

Due Date

Attorney

[Signature]

011975/0302 PAGE 2

831

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

826

07-18-2001

To the Honorable Commissioner of Patents:



101781742

original documents or copy thereof.

1. Name of conveying party(ies):

Mark E. Salvati; James Aaron Balog; Dacia A.
Pickering

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: Lawrenceville-Princeton RoadCity: Princeton State: NJ ZIP: 08543-4000Execution Date: September 25, 2000Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 7/11/01

A. Patent Application No.(s)

60/233,519

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Maria J. MathiesInternal Address: Bristol-Myers Squibb CompanyPatent DepartmentStreet Address: P.O. Box 4000City: Princeton State: NJ ZIP: 08543-40006. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

7/2001 10/100 00000000 10000000 00000000

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40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Suzanne E. BabalcoName of Person Signing
Reg. No. 32,880

Signature

July 9, 2001

Date

☐ Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document: 4Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

833

828

Please return to: Marla J. Mathias
Patent Department
Bristol-Myers Squibb Company
Post Office Box 4000
Princeton, New Jersey 08543-4000

ASSIGNMENT

Pursuant to contractual obligations heretofore assumed by us and/or for other good and valuable consideration, receipt of which is hereby acknowledged, we,

Mark E. Salvati
9 Tracey Drive
Lawrenceville, NJ 08648

James Aaron Balog
2 Sassafras Court
Scotch Plains, NJ 07076

Dacia A. Pickering
507 Drexel Avenue
Lawrenceville, NJ 08648

having made a certain invention for which a United States provisional patent application entitled: **FUSED HETEROCYCLIC SUCCINIMIDE COMPOUNDS AND ANALOGS THEREOF, MODULATORS OF NUCLEAR HORMONE RECEPTOR FUNCTION** has been prepared, do hereby assign to Bristol-Myers Squibb Company, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, New Jersey 08543-4000, its successors and assigns, the entire right, title, and interest in and to said improvements, said provisional application, any application claiming priority from said provisional application, filed in any country, and any patents to be granted thereon, and all divisions, continuations, reissues, and extensions thereof in all countries; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue any such United States patent to Bristol-Myers Squibb Company, its successors and assigns, and we agree to communicate to Bristol-Myers Squibb Company or its representatives any facts known to us respecting said improvements, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful declarations and oaths, and in general to do everything possible to aid Bristol-Myers Squibb Company, its successors, assigns and

nominees to obtain and enforce proper protection for said improvements in all countries.

IN WITNESS WHEREOF, we have hereto set our hands and seals

Mark E. Salvati
Mark E. Salvati

James Aaron Balog
James Aaron Balog

Dacia A. Pickering

STATE OF NEW JERSEY)
) ss.
COUNTY OF Mercer)

On the 23 day of September, 2000, before me Mark E. Salvati, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

**Notary Public
State of New Jersey
Julia Mary Kasaler
My Commission Expires
[SEAL] Sept. 24, 2003**

Julia Montez
Notary Public

STATE OF NEW JERSEY)
) ss.
COUNTY OF Mercer)

On the 25 day of September, 2000, before me came James Aaron Balog, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

[SEAL] Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires
Sept. 24, 2003

Julia May Gesser
Notary Public

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STATE OF NEW JERSEY)

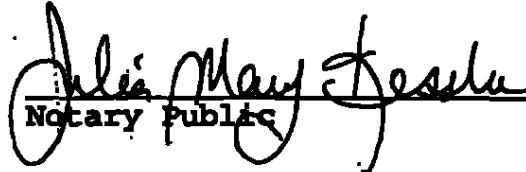
) ss.

COUNTY OF Mercer)

On the 26 day of September, 2000, before me came Dacia A. Pickering, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires
Sept. 24, 2003

[SEAL]


Notary Public

PATENTS ONLY

830

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark E. Salvati; James Aaron Balog; Dacia A.
Pickering

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Street Address: Lawrenceville-Princeton RoadCity: Princeton State: NJ ZIP: 08543-4000Additional name(s) & address(es) attached? ☐ Yes ☒ NoExecution Date: September 25, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

60/233,519

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Maria J. MathiesInternal Address: Bristol-Myers Squibb CompanyPatent DepartmentStreet Address: P.O. Box 4000City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Suzanne E. BabalcoName of Person Signing
Reg. No. 32,880

Signature

July 9, 2001

Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

1/837
832

Please return to: Marla J. Mathias
Patent Department
Bristol-Myers Squibb Company
Post Office Box 4000
Princeton, New Jersey 08543-4000

ASSIGNMENT

Pursuant to contractual obligations heretofore assumed by us and/or for other good and valuable consideration, receipt of which is hereby acknowledged, we,

Mark E. Salvati
9 Tracey Drive
Lawrenceville, NJ 08648

James Aaron Balog
2 Sassafras Court
Scotch Plains, NJ 07076

Dacia A. Pickering
507 Drexel Avenue
Lawrenceville, NJ 08648

having made a certain invention for which a United States provisional patent application entitled: **FUSED HETEROCYCLIC SUCCINIMIDE COMPOUNDS AND ANALOGS THEREOF, MODULATORS OF NUCLEAR HORMONE RECEPTOR FUNCTION** has been prepared, do hereby assign to Bristol-Myers Squibb Company, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, New Jersey 08543-4000, its successors and assigns, the entire right, title, and interest in and to said improvements, said provisional application, any application claiming priority from said provisional application, filed in any country, and any patents to be granted thereon, and all divisions, continuations, reissues, and extensions thereof in all countries; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue any such United States patent to Bristol-Myers Squibb Company, its successors and assigns, and we agree to communicate to Bristol-Myers Squibb Company or its representatives any facts known to us respecting said improvements, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful declarations and oaths, and in general to do everything possible to aid Bristol-Myers Squibb Company, its successors, assigns and

nominees to obtain and enforce proper protection for said improvements in all countries.

IN WITNESS WHEREOF, we have hereto set our hands and seals

Mark E. Salvati
Mark E. Salvati

James Aaron Balog
James Aaron Balog

Dacia A. Pickering

STATE OF NEW JERSEY)
) ss.
COUNTY OF Mercer)

On the 25 day of September, 2000, before me Mark E. Salvati, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

**Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires
[SEAL] Sept. 24, 2003**

Julia Montese
Notary Public

STATE OF NEW JERSEY)
) ss.
COUNTY OF Mercer)

On the 25 day of September, 2000, before me came James Aaron Balog, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

-[SEAL]

Julia May Jensen
Notary Public

839
834

STATE OF NEW JERSEY)
) ss.
COUNTY OF Mercer)

On the 25 day of September, 2000, before me came Dacia A. Pickering, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

[SEAL]

Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires
Sept. 24, 2003

Julia Mary Kessler
Notary Public

825

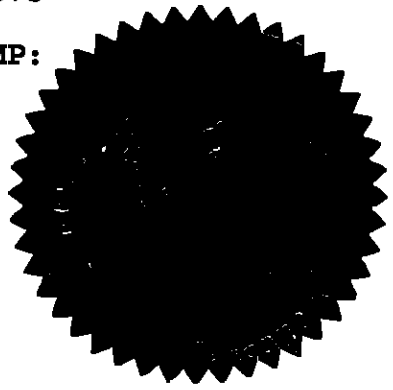
APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

- 1. COUNTRY: UNITED STATES OF AMERICA
- 2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:
MARIA CASTALDO
- 3. ACTING IN THE CAPACITY OF:
NOTARY PUBLIC OF NEW JERSEY
- 4. BEARS THE SEAL/STAMP OF:
MARIA CASTALDO, NOTARY

CERTIFIED

- 5. AT TRENTON, NEW JERSEY
- 6. THE 9TH DAY OF OCTOBER 2003
- 7. BY: John E McCormac, CPA, NEW JERSEY TREASURER
- 8. NO. A183675
- 9. SEAL/STAMP:
- 10. SIGNATURE



John E McCormac

841
f6
836

LD192PSP1

AFFIDAVIT

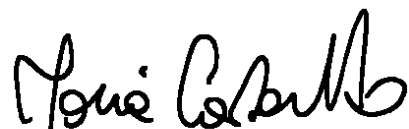
I, **Stephen B. Davis**, Senior Patent Counsel, Patent Department,
BRISTOL-MYERS SQUIBB COMPANY, do hereby certify that this is
a notarized true and accurate assignment signed by the inventors.



Stephen B. Davis
Senior Patent Counsel

STATE OF NEW JERSEY)
)
COUNTY OF MERCER)

Subscribed and sworn to before me this
Oct. 8 day of _____, 2003



Maria Castaldo
Notary Public of New Jersey
My commission expires October 6, 2008

SEAL



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PATENT AND
TRADEMARK OFFICE

RECEIVED
U.S. Patent Law

LD192 RSP1 842

NOVEMBER 15, 2001

BRISTOL-MYERS SQUIBB COMPANY
MARLA J. MATHIAS
PATENT DEPARTMENT
P.O. BOX 4000
PRINCETON, NJ 08543-4000

NOV 28 2001

Chief Financial Officer and Chief Administrative Officer
Washington, DC 20231
www.uspto.gov

Docket # _____

Due Date _____

Attorney _____



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RECORDATION DATE: 09/10/2001

REEL/FRAME: 012152/0440
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SALVATI, MARK E.

DOC DATE: 08/23/2001

ASSIGNOR:

BALOG, JAMES AARON

DOC DATE: 08/23/2001

ASSIGNOR:

PICKERING, DACIA A.

DOC DATE: 08/23/2001

ASSIGNOR:

GIESE, SOREN

DOC DATE: 08/23/2001

ASSIGNOR:

FURA, ABERRA

DOC DATE: 08/15/2001

ASSIGNOR:

LI, WENYING

DOC DATE: 08/29/2001

ASSIGNEE:

BRISTOL-MYERS SQUIBB COMPANY
LAWRENCEVILLE-PRINCETON ROAD
PRINCETON, NEW JERSEY 08543-4000

012152/0440 PAGE 2

SERIAL NUMBER: 60284730
PATENT NUMBER:

FILING DATE: 04/18/2001
ISSUE DATE:

~~17843~~
~~838~~

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



101845141

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

9-10-01
Mark E. Salvati; James Aaron Balog; Dacia A.
Pickering; Soren Glase; Abera Fura; Wenying
Li

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company 839

Internal Address:

SEP 10 2001

Street Address: Lawrenceville-Princeton Road

City: Princeton State: NJ ZIP: 08543-4000

Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 08/15/01; 08/23/01; 08/29/01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

60/284,730

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Maria J. Mathias

Internal Address: Bristol-Myers Squibb Company

Patent Department

Street Address: P.O. Box 4000

City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40

☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Suzanne E. Babalko

Name of Person Signing
Reg. No. 32,880

Signature

September 5, 2001

Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

200 045
2FO

ASSIGNMENT

We,

Mark E. Salvati	residing at	9 Tracey Drive Lawrenceville, New Jersey 08648 United States of America
James Aaron Balog	residing at	17 Terrell Road Lambertville, New Jersey 08530. United States of America
Dacia A. Pickering	residing at	507 Drexel Avenue Lawrenceville, New Jersey 08648 United States of America
Sören Glase	residing at	523 Windmere Way New Hope, Pennsylvania 18938 United States of America
Abera Fura	residing at	15 Cresthill Road Lawrenceville, New Jersey 08648 United States of America
Wenyng Li	residing at	6221 Town Place Middletown, Connecticut 06457 United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to Bristol-Myers Squibb Company, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

**FUSED HETEROCYCLIC SUCCINIMIDE COMPOUNDS AND ANALOGS THEREOF,
MODULATORS OF NUCLEAR HORMONE RECEPTOR FUNCTION**

Invented by us and described in the provisional United States patent application

Application No. 60/284,730, filed April 18, 2001,

including said provisional United States patent application and any application claiming priority from said provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 23 day of August, 2001 by

Mark E. Salvati
Mark E. Salvati

Signed this 23 day of August, 2001 by

James Aaron Balog
James Aaron Balog

Signed this 23 day of August, 2001 by

Dacia A. Pickering
Dacia A. Pickering

Signed this 23 day of August, 2001 by

Sören Giese
Sören Giese

Signed this 15 day of August, 2001 by

Abera Fura
Abera Fura

Signed this 29 day of August, 2001 by

Wenying Li
Wenying Li

STATE OF New Jersey }
COUNTY OF mercer } ss.

842847

On the 23rd day of August, 2001, before me Mark E. Salvati, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

[SEAL]

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

STATE OF New Jersey }
COUNTY OF mercer } ss.

On the 23rd day of August, 2001, before me James Aaron Balog, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

[SEAL]

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

048

243

STATE OF New Jersey)
COUNTY OF Mercer) ss.

On the 23rd day of August, 2001, before me Dacia A. Pickering, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that she executed it.

Eileen Immordino
Notary Public

[SEAL]

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

STATE OF New Jersey)
COUNTY OF Mercer) ss.

On the 23rd day of August, 2001, before me Sören Giese, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

[SEAL]

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

STATE OF New Jersey)
COUNTY OF Moran) ss.

✓ 849
244

On the 15th day of August, 2001, before me Abera Fura, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

[SEAL]

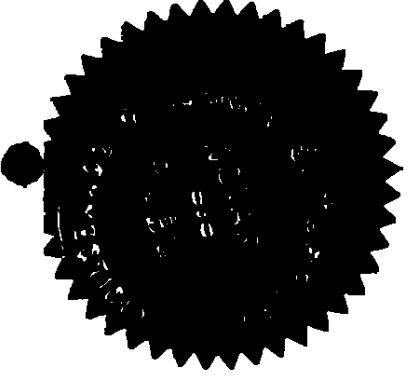
EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

STATE OF Connecticut)
COUNTY OF New Haven) ss. WALLINGFORD

On the 29th day of August, 2001, before me Wenying Li, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Nancy S. Turover
Notary Public

NANCY S. TUROVER
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2003



PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark E. Salvati; James Aaron Balog; Dacia A.
Pickering; Soren Glase; Aberna Fura; Wenying
LIAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 08/15/01; 08/23/01; 08/29/01

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Street Address: Lawrenceville-Princeton RoadCity: Princeton State: NJ ZIP: 08543-4000Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

60/284,730

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Maria J. MathiesInternal Address: Bristol-Myers Squibb CompanyPatent DepartmentStreet Address: P.O. Box 4000City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Suzanne E. BabalcoName of Person Signing
Reg. No. 32,880

Signature

September 5, 2001

Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

We,

Mark E. Salvati	residing at	9 Tracey Drive Lawrenceville, New Jersey 08648 United States of America
James Aaron Balog	residing at	17 Terrell Road Lambertville, New Jersey 08530 United States of America
Dacia A. Pickering	residing at	507 Drexel Avenue Lawrenceville, New Jersey 08648 United States of America
Sören Gleese	residing at	523 Windmere Way New Hope, Pennsylvania 18938 United States of America
Abera Fura	residing at	15 Cresthill Road Lawrenceville, New Jersey 08648 United States of America
Wenying Li	residing at	6221 Town Place Middletown, Connecticut 06457 United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to Bristol-Myers Squibb Company, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

**FUSED HETEROCYCLIC SUCCINIMIDE COMPOUNDS AND ANALOGS THEREOF,
MODULATORS OF NUCLEAR HORMONE RECEPTOR FUNCTION**

Invented by us and described in the provisional United States patent application

Application No. 60/284,730, filed April 18, 2001,

including said provisional United States patent application and any application claiming priority from said provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 23 day of August, 2001 by Mark E. Salvati
Mark E. Salvati

Signed this 23 day of August, 2001 by James Aaron Balog
James Aaron Balog

Signed this 23 day of August, 2001 by Dacia A. Pickering
Dacia A. Pickering

Signed this 23 day of August, 2001 by Soren Gless
Soren Gless

Signed this 15 day of August, 2001 by Aberra Fura
Aberra Fura

Signed this 29 day of August, 2001 by Wenying Li
Wenying Li

STATE OF *New Jersey*)
COUNTY OF *mercer*) ss.

On the 23rd day of August, 2001, before me Mark E. Salvati, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

[SEAL]

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

STATE OF *New Jersey*)
COUNTY OF *mercer*) ss.

On the 23rd day of August, 2001, before me James Aaron Balog, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

[SEAL]

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

STATE OF New Jersey)
COUNTY OF mercer) ss.

On the 23rd day of August, 2001, before me Dacia A. Pickering, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that she executed it.

Eileen Immordino
Notary Public

[SEAL]

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

STATE OF New Jersey)
COUNTY OF mercer) ss.

On the 23rd day of August, 2001, before me Sören Glese, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

[SEAL]

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

STATE OF New Jersey)
COUNTY OF Murder) ss.

30855
850

On the 15th day of August, 2001, before me Abera Fura, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

[SEAL]

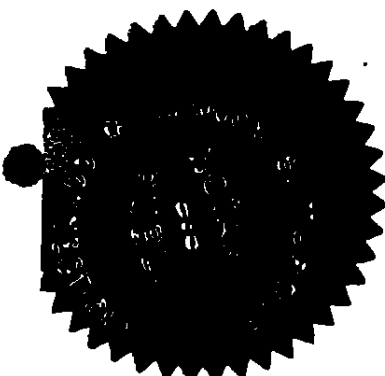
EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

STATE OF Connecticut)
COUNTY OF New Haven) ss. WALLINGFORD

On the 29th day of August, 2001, before me Wenying LI, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Nancy S. Turover
Notary Public

NANCY S. TUROVER
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2003



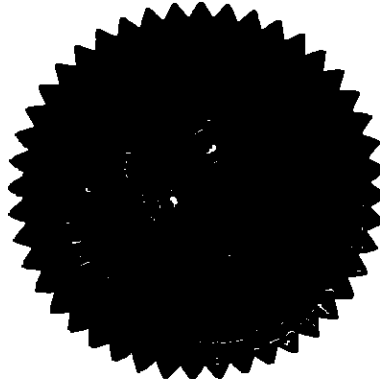
APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

1. COUNTRY: UNITED STATES OF AMERICA
2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:
MARIA CASTALDO
3. ACTING IN THE CAPACITY OF:
NOTARY PUBLIC OF NEW JERSEY
4. BEARS THE SEAL/STAMP OF:
MARIA CASTALDO, NOTARY

CERTIFIED

5. AT TRENTON, NEW JERSEY
6. THE 9TH DAY OF OCTOBER 2003
7. BY: John E McCormac, CPA, NEW JERSEY TREASURER
8. NO. A183677
9. SEAL/STAMP:
10. SIGNATURE



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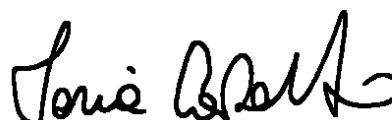
AFFIDAVIT

I, **Stephen B. Davis**, Senior Patent Counsel, Patent Department,
BRISTOL-MYERS SQUIBB COMPANY, do hereby certify that this is
a notarized true and accurate assignment signed by the inventors.


Stephen B. Davis
Senior Patent Counsel

STATE OF NEW JERSEY)
)
COUNTY OF MERCER)

Subscribed and sworn to before me this
8 day of Oct., 2003


Maria Castaldo
Notary Public of New Jersey
My commission expires October 6, 2008

SEAL



UNITED STATES
PATENT AND
TRADEMARK OFFICE

358
10250853

NOVEMBER 15, 2001

PTAS

Chief Financial Officer and Chief Administrative Officer
Washington, DC 20231
www.uspto.gov

BRISTOL-MYERS SQUIBB COMPANY
MARLA J. MATHIAS
P.O. BOX 4000
PATENT DEPARTMENT
PRINCETON, NJ 08543-400



101845027A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/10/2001

REEL/FRAME: 012152/0218
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SALVATI, MARK E.

DOC DATE: 08/14/2001

ASSIGNOR:

GOTTARDIS, MARCO M.

DOC DATE: 08/16/2001

ASSIGNOR:

ATTAR, RICARDO M.

DOC DATE: 08/14/2001

ASSIGNOR:

KRYSTEK, STANLEY R.

DOC DATE: 08/29/2001

ASSIGNOR:

SACK, JOHN S.

DOC DATE: 08/14/2001

ASSIGNEE:

BRISTOL-MYERS SQUIBB COMPANY
LAWRENCEVILLE-PRINCETON ROAD
PRINCETON, NEW JERSEY 08543-4000

RECEIVED
U.S. Patent Law

NOV 30 2001

Docketed Item _____
Due Date _____
Attorney *du* _____

012152/0218 PAGE 2

SERIAL NUMBER: 60284438
PATENT NUMBER:

FILING DATE: 04/18/2001
ISSUE DATE:

34 859.
854

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

LD0250(PSP)

09-17-2001

FORM PTO-1585
(Rev. 8-93)

RI



IEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0851-0011 (exp. 4/94)

101845027

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark E. Salvati; Marco M. Gottardis; Ricardo M. Attar; Stanley R. Krystek, Jr.; John S. Sack

09/10/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 08/14/01; 08/16/01; 08/29/01

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

SEP 10

Street Address: Lawrenceville-Princeton Road

City: Princeton State: NJ ZIP: 08543-4000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

60/284,438

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Maria J. Mathies

Internal Address: Bristol-Myers Squibb Company

Patent Department

Street Address: P.O. Box 4000

City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40

☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (In the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

14/2001 BYTME 00000190 193880 60284438

DO NOT USE THIS SPACE

PT381 44-00-01

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Suzanne E. Babajko

Name of Person Signing
Reg. No. 32,880

Signature

September 5, 2001

Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

We,

Mark E. Salvati	residing at	9 Tracey Drive Lawrenceville, New Jersey 08648 United States of America
Marco M. Gottardi	residing at	9 Harris Road Princeton, New Jersey 08540 United States of America
Ricardo M. Attar	residing at	10 Santina Court Lawrenceville, New Jersey 08648 United States of America
Stanley R. Krystek, Jr.	residing at	15 Back Brook Road Ringoes, New Jersey 08551 United States of America
John S. Sack	residing at	50 Merlon Place Lawrenceville, New Jersey 08648 United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to Bristol-Myers Squibb Company, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

**SELECTIVE ANDROGEN RECEPTOR MODULATORS AND METHODS FOR THEIR
IDENTIFICATION, DESIGN AND USE**

Invented by us and described in the provisional United States patent application

Application No. 60/284,438, filed April 18, 2001,

including said provisional United States patent application and any application claiming priority from said provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and

extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 14 day of August, 2001 by Mark E. Salvati
Mark E. Salvati

Signed this 10th day of August, 2001 by Margo M. Gottardis
Margo M. Gottardis

Signed this 14 day of August, 2001 by Ricardo M. Attar
Ricardo M. Attar

Signed this 29 day of August, 2001 by Stanley R. Krystek, Jr.
Stanley R. Krystek, Jr.

Signed this 14 day of AUGUST, 2001 by John S. Sack
John S. Sack

STATE OF New Jersey)
COUNTY OF Mercer) ss.

28 858
863

On the 14th day of August, 2001, before me Mark E. Salvati, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

[SEAL]

Eileen Immordino
Notary Public
EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

STATE OF New Jersey)
COUNTY OF Mercer) ss.

On the 16th day of August, 2001, before me Marco M. Gottardi, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

[SEAL]

Eileen Immordino
Notary Public
EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

STATE OF New Jersey)
COUNTY OF Mercer) ss.

On the 14th day of August, 2001, before me Ricardo M. Attar, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

[SEAL]

STATE OF New Jersey)
COUNTY OF Mercer) ss.

On the 29th day of August, 2001, before me Stanley R. Krystek, Jr., to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

[SEAL]

STATE OF New Jersey)
COUNTY OF Morris) ss.

865
860

On the 14th day of August, 2001, before me John S. Sack, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

[SEAL]

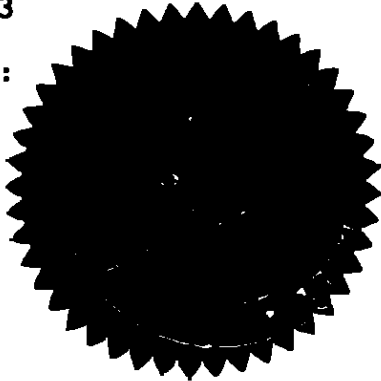
APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

1. COUNTRY: UNITED STATES OF AMERICA
2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:
CATHERINE DI CASTANZO
3. ACTING IN THE CAPACITY OF:
COUNTY CLERK
4. BEARS THE SEAL/STAMP OF:
COUNTY OF MERCER

CERTIFIED

5. AT TRENTON, NEW JERSEY
6. THE 12TH DAY OF JANUARY 2004
7. BY: John E McCormac, CPA, NEW JERSEY TREASURER
8. NO. A189873
9. SEAL/STAMP:
10. SIGNATURE



LD192-Colombia

42867
862

DOCUMENT OF ASSIGNMENT OF
PATENT APPLICATION

ASSIGNMENT

The Undersigned

Ramesh N. Patel and Ronald L. Hanson

acting as INVENTORS

of PATENT ENTITLED: FUSED HETEROCYCLIC SUCCINIMIDE COMPOUNDS AND ANALOGS THEREOF,
MODULATORS OF NUCLEAR HORMONE RECEPTOR FUNCTION
with domicile in

572 Cabot Hill Road, Bridgewater, NJ 08807, US; 54 Whitewood Drive, Morris
Plains, NJ 07950, US.

hereby declares that it assigns all rights of ownership as well as all rights and privileges
relating thereto without exception over the following patent application in Colombia:

No. 03 022.965

to

BRISTOL-MYERS SQUIBB CO.
domiciled in

PO BOX 4000, Route 206 and Province Ln. Rd., Princeton, NJ 08543

The assignor and the assignee sign this document as proof of their acceptance of the
assignment.

Given and signed on the 17 day of November
of 2003

Signature of Assignor

BRISTOL-MYERS SQUIBB CO.

Stephen B. Davis

Signature of Assignee

STEPHEN B. DAVIS

Ramesh N. Patel
Ramesh N. Patel

Ronald L. Hanson
Ronald L. Hanson

STATE OF NEW JERSEY, }
County of Mercer }

ss.

I, CATHERINE DICOSTANZO, Clerk of the County of Mercer,

Do Hereby Certify that

Esq., whose name is subscribed to the acknowledgment, proof or affidavit of the annexed instrument, and thereon written, is and was at the time of taking the same, a Notary Public in and for said State, dwelling in said County, commissioned and sworn and duly authorized by the laws thereof to take the proofs and acknowledgments of deeds or other instruments of writing, and affidavits to be recorded in said State, and that I am well acquainted with the handwriting of said official, and verily believe that the signature is genuine.

Further Certify that impression of Seal of Notaries Public not required by law be filed in my office.

In Testimony Whereof, I have hereunto set my hand and affixed the seal of said County and Courts, at Trenton this 22nd day of

December A.D. two thousand and three

Catherine DiCostanzo.....Mercer County Clerk.

LD192

STATE OF NEW JERSEY

COUNTY OF MERCER

)
)

ss.

869
43
863

On this 17 day of Nov., 2003,

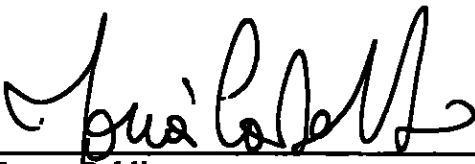
before me, a Notary Public in and for the County and State aforesaid, appeared

Stephen B. Davis, Ramesh N. Patel and Ronald L. Hanson

to me personally known to be the same person(s) whose name(s) is (are) subscribed to the attached instrument and acknowledged that he (they) executed said instrument as his (their) free and voluntary act, and for the use and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

(SEAL)



Notary Public

MARIA CASTALDO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires October 6, 2008

2020
Bogotá D C

Doctor(a)
RAMIRO CASTRO DUQUE
Apoderado(a)
BRISTOL – MYERS SQUIBB CO.

REFERENCIA:	Radicación N°	03-022965
	Solicitud internacional	PCT/US01/19655
	Fecha inicio fase nacional	19/04/2003
	Trámite	011
	Evento	379
	Actuación	416
	Oficio N°	1048
	Folios	001

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en los artículos 26 y 27 de la Decisión 486, de la Comisión de la Comunidad Andina, y en las demás disposiciones vigentes sobre la materia, se envía el extracto de la solicitud a la oficina de comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial, conforme lo establece el artículo 40 de la Decisión 486.

Cúmplase
Dado en Bogotá D C,

12 : 2004


ALIX CARMENZA CÉSPEDES DE VERGEL
Jefe División de Nuevas Creaciones.