

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
DIRECCIÓN DE NUEVAS CREACIONES

2020
Bogotá, D.C.,

Abogado
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SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



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 Actuación 519
 Oficio - - 20122
 Folios 15

Patente de Invención



Patente de Modelo de Utilidad

Vía Nacional

Vía PCT (Fase Nacional)



Capítulo I



Capítulo II

No. Solicitud Internacional

PCT/EP2009/006670

Fecha de Presentación Internacional

15/Septiembre/2009

Como resultado del Examen de Fondo realizado, con fundamento en el Artículo 45 de la Decisión 486 de la Comisión de la Comunidad Andina, se comunica:

1. Documentos estudiados

Descripción:	Folios 9 a 144	10/Marzo/2011
Reivindicaciones:	Folios 145 a 149	10/Marzo/2011
Prioridad:	EP 080 162 36.5	15/Septiembre/2008
	US 61 096 964	15/Septiembre/2008

2. Análisis de la Prioridad

Se acepta la reivindicación de Prioridad porque esta solicitud fue presentada dentro del plazo establecido (Art. 9 D 486) y su contenido técnico corresponde con el de la prioridad reivindicada.

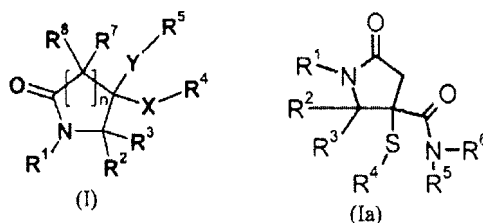
3. Objeto de la invención

Título de la solicitud: "Pirrolidin-2-onas como ligandos de HDM2"

El problema planteado en esta solicitud consiste en proporcionar compuestos ligandos de unión a la proteína HDM2, que inducen la apoptosis y la inhibición de la proliferación y que son útiles en el tratamiento del cáncer e infecciones virales.

Para resolver este problema técnico la solicitud en estudio proporciona compuestos de la fórmula (I) y (Ia) y composiciones que lo contienen.

Expediente 11 029 749 1er Art 45



El objeto de la presente Solicitud se relaciona con la Clasificación Internacional de Patentes (CIP): C 07 D 401/12, 401/14, 403/04, 403/14, 409/12, 409/14, 207/277, 401/06; A 61 K 31/4015; A 61 P 35/00, 31/00.

4. Reivindicaciones relativas a un uso o a un segundo uso (Art 14 y 21 D 486)

El uso de las reivindicaciones 15 y 16 no es patentable, de acuerdo con el Art 14.

La Reivindicación 16 a pesar de que se encabeza como un compuesto o composición farmacéutica, menciona el uso que puede dársele a dicho compuesto o dicha composición, por lo que se considera un uso.

5. De la Solicitud de Patente

Título

El título es muy general y no define el objeto de la solicitud, por lo cual se sugiere al solicitante hacer la corrección necesaria.

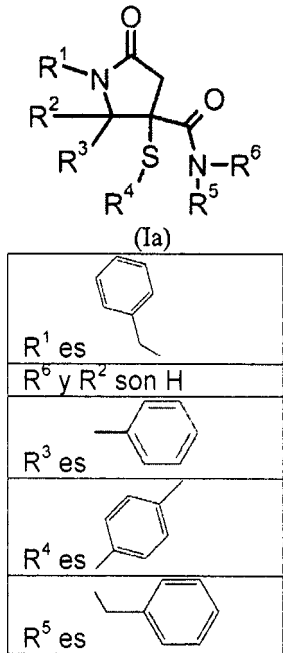
Reivindicaciones (Art 30 D 486, Art 22 PCT)

En la Reivindicación 1, si bien es cierto que para la persona del oficio versada en la materia los términos “solvatos” e “hidratos” son conocidos, no se evidencia en la descripción que éstos puedan llegar a formarse. Por lo tanto se sugiere eliminar los mencionados términos del alcance del capítulo reivindicatorio, porque no encuentran soporte en la descripción.

La Reivindicación 1 no es concisa, porque presenta una excesiva complejidad, lo cual dificulta determinar la extensión del objeto que se busca proteger. En particular, cuando menciona los términos: “alquilo”, “heteroarilo”, “arilo”, “cicloalquilo”, “alquenilo”, “alquinilo”, “heteroalquilo”, “alquilcicloalquilo”, “heteroalquilcicloalquilo”, “aralquilo”, “hetero-aralquilo”, con los cuales se pueden presentar múltiples opciones de posibles compuestos, dificultando entender el alcance de la materia reclamada en la solicitud ya que no se especifica el número de átomos de carbono que los conforman o los heteroátomos que hacen parte de los heteroarilos, heterociclos y los que correspondan.

Por tal motivo se obtienen un número indefinido de compuestos que no encuentran soporte en la descripción.

Se sugiere al solicitante restringir la solicitud a una razonable generalización del tipo de compuestos que han sido sintetizados ó probados, es decir, que se encuentran debidamente sustentados en la descripción, por ejemplo como se observa a lo largo de las realizaciones preferidas mencionadas en los ejemplos del capítulo descriptivo y en las reivindicaciones cuando:



Lo anterior aplica también para las reivindicaciones dependientes a la Reivindicación 1 que emplean tales términos. Se sugiere realizar las aclaraciones necesarias.

6. Determinación del Estado de la Técnica

El Estado de la Técnica se determinó con base en la fecha de presentación de la Solicitud de Prioridad: Septiembre 15 de 2008, y se encontraron los siguientes documentos que anticipan el objeto de esta solicitud:

Item	Documento	Título	Fecha de Publicación*
D1	Artículo ¹	Cycloaddition reactions of imines with 3-thiosuccinic anhydrides: Synthesis of the tricyclic core of martinellid acid	15/Junio/2006
D2	Artículo ²	Diastereoselective synthesis of gamma-lactams by a one-pot, four-component reaction	06/Agosto/2007
D3	Artículo ³	Antagonists as Anti-HIV agents. 1. Synthesis and Biological Evaluation of 5-Oxopyrrolidine-3-carboxamide Derivatives	24/Octubre/2003

D1: (folios 186 - 189), divulga reacciones de cicloadición de anhídridos succínicos ariltio-sustituídos con iminas para producir γ-lactamas con alto rendimiento y diastereoselectividad.

D2: (folios 190 - 193), divulga síntesis diastereoselectiva de γ-lactamas por reacción de cuatro compuestos en un paso, entre aminas, anhídridos maleicos, aldehídos y tioles.

¹ NG PUI YEE ET AL: "Cycloaddition reactions of imines with 3-thiosuccinic anhydrides: Synthesis of the tricyclic core of martinellid acid" ORGANIC LETTERS, vol. 8, no. 18, August 2006 (2006-08), pages 3999-4002.

² WEI JINGQIANG ET AL: "Diastereoselective synthesis of gamma-lactams by a one-pot, four-component reaction." ORGANIC LETTERS 27 SEP 2007, vol. 9, no. 20, 27 September 2007 (2007-09-27), pages 4077-4080

³ IMAMURA S ET AL: "CCR5 Antagonists as Anti-HIV agents. 1. Synthesis and Biological Evaluation of 5-Oxopyrrolidine-3-carboxamide Derivatives" CHEMICAL AND PHARMACEUTICAL BULLETIN, PHARMACEUTICAL SOCIETY OF JAPAN, TOKYO, JP, vol. 52, no. 1, 1 January 2004 (2004-01-01), pages 63-73.

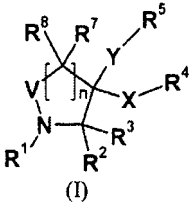
Expediente 11 029 749 1er Art 45

D3: (folios 194 - 195), divulga antagonistas CCR5 como agentes anti-VIH-1, tal como el compuesto N-{3-[4-(4-fluorobenzoyl)piperidin-1-il]propil}-1-metil-5-oxo-N-fenilpirrolidin-3-carboxamida.

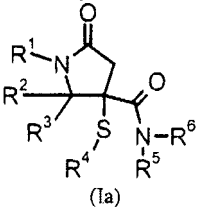
7. Examen de Patentabilidad (Art 14 D486)

Novedad (Art 16 D486)

La Reivindicación 1 consiste en compuesto de fórmula (I):



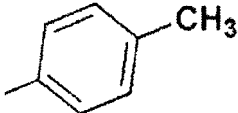
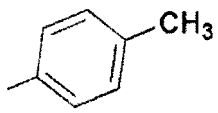
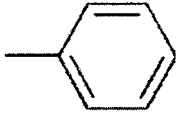
La Reivindicación 2 consiste en un compuesto de fórmula (Ia):



en donde R¹ – R⁶ son como se encuentran definidos.

Comparación de las características técnicas **esenciales**, con las del Estado de la Técnica:

Características esenciales	D1	D2	D3
Compuesto de fórmula (I) y (Ia) (I) (Ia)	 24 [[comp. 24]] (folios 186 - 189, compuesto 24 y compuesto 27)	 11 [[comp. 11]] (Folios 190 - 193)	 2a [[comp. 2-a]] (ver folios 194 - 195)
V es C=O	C=O	C=O	C=O
R ¹ es un radical alquilo, arilo o aralquilo			Me
R ³ es H o un arilo			H
R ² es H	H	H	H
X es S o un enlace	S	S	enlace

R^4 es H o un arilo			H
Y es CONR ⁶ R ⁶ es H	CONH	COO	COO
R ⁵ es H, alquilo, aralquilo		CH ₃	H
R ⁷ y R ⁸	H	H	H

Las características **esenciales** de los compuestos de las Reivindicaciones 1 y 2 habían sido divulgadas previamente en D1 – D3, que divulgan compuestos producto de reacciones de cicloadición de anhídridos succínicos ariltio-sustituídos con iminas para producir γ -lactamas con alto rendimiento y diastereoselectividad tal como las señaladas en los compuestos 24 y 27 (D1: ver folios 186 - 189), síntesis diastereoselectiva de γ -lactamas por reacción de cuatro compuestos en un paso, entre aminas, anhídridos maleicos, aldehídos y tioles (D2: ver folios 190 - 193) y antagonistas CCR5 como agentes anti-VIH-1, tal como el compuesto N-{3-[4-(4-fluorobenzoyl)piperidin-1-il]propil}-1-metil-5-oxo-N-fenilpirrolidin-3-carboxamida (D3: ver folios 194 - 195)

En consecuencia, las Reivindicaciones 1 y 2 no son nuevas ó carecen de novedad, según lo divulgado en los documento D1.

Las reivindicaciones dependientes 2 – 14 no mencionan alguna característica que haga nuevos los compuestos de las Reivindicaciones 1 y 2, por lo cual se considera que no son nuevas.

8. Conclusión

Los requisitos de Patentabilidad de la presente Solicitud están afectados así:

Item	Documento	Reivindicaciones afectadas	Requisito que afecta
D1	NG PUI YEE ET AL	1 – 14	Novedad
D2	WEI JINGQIANG ET AL	1 – 14	Novedad
D3	IMAMURA S ET AL	1 – 14	Novedad

En cumplimiento del artículo 45 de la Decisión 486 de la Comisión de la Comunidad Andina, se le indica que debe dar respuesta dentro del término de sesenta (60) días contados a partir de la fecha de notificación de la presente comunicación. En caso de no dar respuesta al presente requerimiento, o si a pesar de la respuesta subsistieran los impedimentos para la concesión, se denegará la patente.

Notifíquese el presente oficio conforme a lo dispuesto en el numeral 5.2, literal e), del capítulo quinto del título primero de la Circular Única No. 10 de 2001.


JOSÉ LUIS SALAZAR LÓPEZ
Director de Nuevas Creaciones (C)

El anterior requerimiento fue notificado por fijación en lista, de fecha 06 NOV. 2012

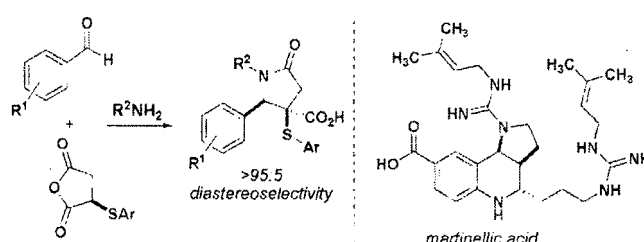
Elaborado por: Nelson Ricardo Velasco G 
Revisado por: Jacqueline Alfonso Rozo

Cycloaddition Reactions of Imines with
3-Thiosuccinic Anhydrides: Synthesis
of the Tricyclic Core of Martinellic AcidPui Yee Ng, Craig E. Masse,[†] and Jared T. Shaw*Broad Institute of Harvard and MIT, Chemical Biology Program, 7 Cambridge Center,
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Received June 15, 2006

ABSTRACT



Arylthio-substituted succinic anhydrides undergo cycloaddition reactions with imines to produce γ -lactams in high yield and with high diastereoselectivity. The origin of the selectivity is proposed to result from anion- π repulsion in the transition state. The utility of this technique is demonstrated in a synthesis of the carbon framework common to the alkaloids martinellic acid and martinelline in five steps.

The diastereoselective synthesis of heterocyclic compounds is important for the discovery of biologically relevant compounds in drug discovery, chemical biology, and the synthesis of natural products.¹ γ -Lactams are an important class of unsaturated heterocycles featured in natural products and drug candidates.² The reaction of imines with succinic anhydrides represents an efficient, one-step synthesis of these compounds. The isolated³ reports of lactam syntheses using this reaction suggest that the substrate scope is quite narrow (Figure 1, eqs 1 and 2). A recent report from our laboratory⁴ details the importance of electronic factors on the reactivity

of the anhydride component (Figure 1, eq 3). In this report, we exploit this effect to establish the reaction of imines with succinic anhydrides as a general method for γ -lactam synthesis.

Our observations on how substituent effects influence the rate of the reaction between aryl-substituted anhydrides and imines prompted us to examine heteroatom-substituted anhydrides. An inspection of substituted acetophenone acidity data⁵ suggests that oxygen, nitrogen, and sulfur substituents confer various levels of stabilization to the conjugate base (Figure 2). On the basis of this trend, we hypothesized that heteroatom-substituted anhydrides would facilitate the imine-anhydride cycloaddition.

We tested this hypothesis by employing a series of heteroatom-substituted anhydrides⁶ in addition reactions with imine **15**. Anhydrides **16–20** were allowed to react with the *N*-benzyl imine of *ortho*-bromobenzaldehyde (**15**) using conditions optimized for phenylsuccinic anhydride.⁴ The reactions of nitrogen- and oxygen-substituted anhydrides

[†] Current address: Amgen, Inc., One Kendall Square, Bldg 1000, Cambridge, MA 02139.

(1) Katritzky, A. R. *Chem. Rev.* **2004**, *104*, 2125–2126 and references therein.

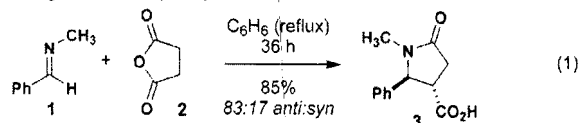
(2) (a) Najera, C.; Yus, M. *Tetrahedron: Asymmetry* **1999**, *10*, 2245–2303. (b) Smith, M. B. *Sci. Synth.* **2005**, *21*, 647–711.

(3) (a) Castagnoli, N., Jr.; *J. Org. Chem.* **1969**, *34*, 3187–3189. (b) Castagnoli, N., Jr.; Cushman, M. *J. Org. Chem.* **1971**, *36*, 3404–3406. (c) Cushman, M.; Castagnoli, N., Jr.; *J. Org. Chem.* **1972**, *37*, 1268–1271. (d) Dallacker, F.; Jouck, W. *Chem.-Ztg.* **1985**, *109*, 82–84. (e) Cushman, M.; Madaj, E. *J. Org. Chem.* **1987**, *52*, 907–915.

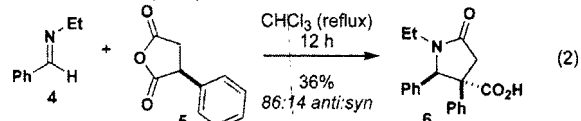
(4) Masse, C. E.; Ng, P. Y.; Fukase, Y.; Sanchez-Rosello, M.; Shaw, J. T. *J. Comb. Chem.* **2006**, *8*, 293–296.

(5) (a) Bordwell, F. G. *Acc. Chem. Res.* **1988**, *21*, 456–463. (b) Bordwell, F. G.; Lynch, T. Y. *J. Am. Chem. Soc.* **1989**, *111*, 7558–7562.

Castagnoli, Ref. 3a (1969):



Cushman, Ref. 3b (1987):



Shaw, Ref. 4 (2006):

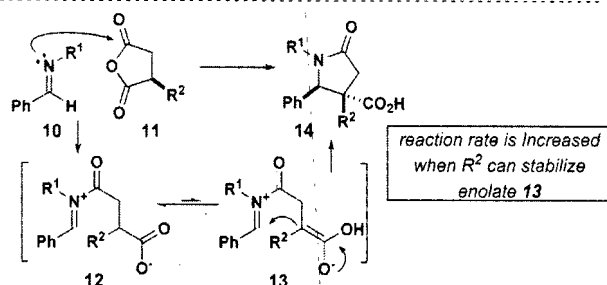
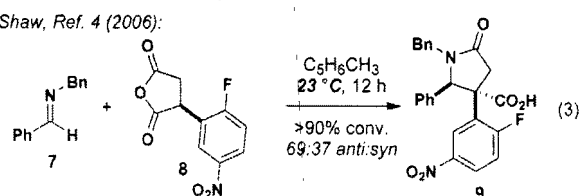


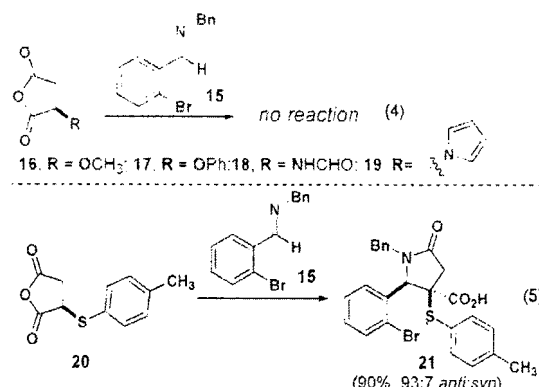
Figure 1. Reactions of imines with succinic anhydrides: increasing the enolate stabilization facilitates the reaction.

<i>R</i>	H	OCH ₃	OPh	NPh ₂	Ph	SPh
<i>pK_a</i>	24.7	22.9	21.1	20.3	17.7	16.9

Figure 2. Effect of heteroatom substitution on enolate stability.

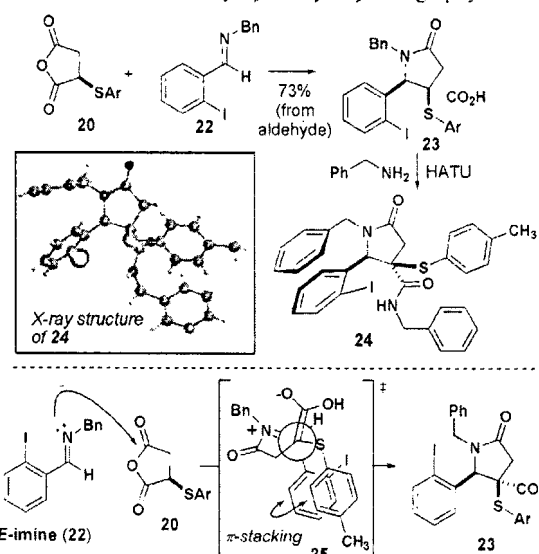
showed either no conversion or the formation of unidentified byproducts (eq 4). In contrast, sulfur-substituted anhydride **20** afforded the desired lactam product **21** in high yields and with the highest diastereoselectivity yet observed in this reaction (eq 5).

The reaction of imines with anhydride **20** preferentially leads to the anti diastereomer, as found with phenylsuccinic



anhydride. Iodo-substituted acid **23** was prepared in analogy to **21** and converted to amide **24**, which yielded a crystalline sample that was analyzed using X-ray crystallography (Scheme 1). The observed stereochemical outcome is con-

Scheme 1. Synthesis of **24** and Establishment of the Relative Stereochemistry by X-ray Crystallography



sistent with a favorable π -stacking interaction in the transition state **25** between the two aromatic rings (eq 6).

The importance of π -stacking was evaluated by testing the reactivity of a thioalkyl-substituted succinic anhydride. *N*-Butylthio-substituted anhydride **26** was allowed to react with imine **15** under the standard conditions, and a nearly identical selectivity was observed for the anti diastereomer.⁷ This result demonstrates that steric and/or electrostatic factors, rather than a π -stacking interaction, are mainly responsible for the selectivity observed in this reaction.

The observed selectivity in the reactions of thio-substituted anhydrides with imines can be rationalized by invoking a repulsive interaction between the negative charge of the

(6) Anhydride **18** was purchased from Sigma-Aldrich. Anhydrides **16**, **17**, **19**, and **20** were synthesized by reported methods, with minor modifications (see Supporting Information). **16**: (a) Barton, D. H. R.; Benechie, M.; Khuong-Huu, F.; Potier, P.; Reyna-Pinedo, V. *Tetrahedron Lett.* **1982**, 23, 651–654. **17**: (b) Sheppard, G. S. *Synlett* **1999**, 1207–1210. **19**: (c) Box, S. J.; Corbett, D. F. *Tetrahedron Lett.* **1981**, 22, 3293–3296. (d) D'Silva, C.; Walker, D. A. *J. Org. Chem.* **1998**, 63, 6715–6718. **20**: (e) Zienty, F. B.; Vineyard, B. D.; Schleppek, A. A. *J. Org. Chem.* **1962**, 27, 3140–3146.

(7) The relative configuration of this compound was proven by conversion to the *p*-methoxyphenethyl amide and NOE spectroscopy. See Supporting Information.

carboxylate and the aromatic ring of the imine in the transition state (Figure 3). Although the attractive interactions

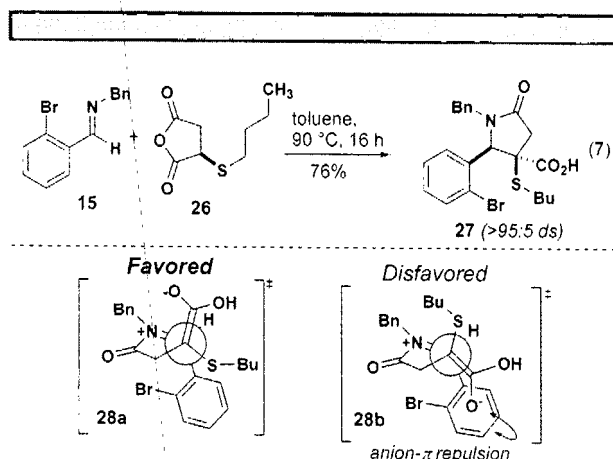


Figure 3. Reaction of **15** with thiobutyl succinic anhydride **26** (eq 7). The stereoselectivity is explained by anion- π repulsion in transition state **28b**.

of cations with π -systems have been extensively studied,⁸ analogous studies of anion- π interactions are less common. Deya has described the attraction of anions to electron-deficient arenes in detail.⁹ This interaction has also been used to explain the bonding of aromatic heterocycles in macromolecular structures.¹⁰ Although one would assume that the interaction of an anion with an electron-rich arene would be repulsive, this phenomenon has not been well-documented. One study of Lewis acids and bases interacting with arenes concludes that ammonia experiences an attractive interaction with hexafluoro-benzene and a repulsive interaction with the π -face of benzene (Figure 4).¹¹ Although the repulsion of the carboxylate and the aryl ring in the transition state of the imine-anhydride reaction (Figure 3) is consistent with the observed stereochemistry, further experimentation will bolster this argument.¹² To our knowledge, this is the first instance in which anion- π repulsion has been invoked in a transition state to explain the stereochemical outcome of a reaction.

We explored the scope of this reaction with a variety of substituted imines (Table 1). Regardless of the N-substitution or the presence or absence of ortho substituents on the aromatic ring, good yields and excellent diastereoselectivities were observed for the reactions of imines with anhydride **20**.

(8) Dougherty, D. A. *Science* **1996**, *271*, 163–168.

(9) (a) Quinonero, D.; Garau, C.; Rotger, C.; Frontera, A.; Ballester, P.; Costa, A.; Deya, P. M. *Angew. Chem., Int. Ed.* **2002**, *41*, 3389–3392. (b) Garau, C.; Quinonero, D.; Frontera, A.; Ballester, P.; Costa, A.; Deya, P. M. *New J. Chem.* **2003**, *27*, 211–214. (c) Garau, C.; Frontera, A.; Quinonero, D.; Ballester, P.; Costa, A.; Deya, P. M. *Chem. Phys. Lett.* **2004**, *399*, 220–225.

(10) (a) Schottel, B. L.; Chifotides, H. T.; Shatruk, M.; Chouai, A.; Perez, L. M.; Baesa, J.; Dunbar, K. R. *J. Am. Chem. Soc.* **2006**, *128*, 5895–5912.

(11) Kawahara, S.-i.; Tsuzuki, S.; Uchimaru, T. *Chem.-Eur. J.* **2005**, *11*, 4458–4464.

(12) We have attempted a reaction between the benzylimine of pentafluorobenzaldehyde and anhydride **20**, which was expected to give the lactam product with reduced diastereoselectivity. No conversion was observed, possibly due to reduced basicity of the imine nitrogen.

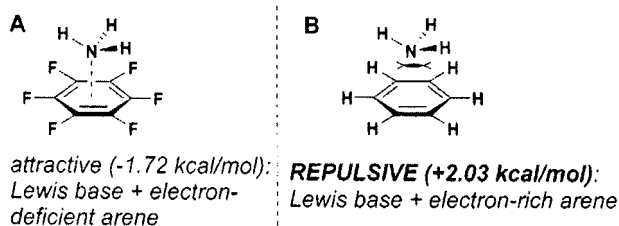


Figure 4. Interaction between a Lewis base (ammonia) which has been calculated to be attractive (A) when the arene is electron deficient and to be repulsive (B) when the arene is electron rich. Interaction energy calculated at the MP2/aug-ccpVDZ/B3LYP 6-311G(d) level.¹¹

To demonstrate the utility of this technique, a cycloaddition/reduction sequence was used to prepare the tricyclic core shared by martinellie acid and martinelline in a short synthetic sequence. These two natural products were isolated

Table 1. Reaction of Anhydride **20** with Various Imines

entry	R ¹	R ²	yield ^a	diastereoselectivity ^b
1	NO ₂	Bn	80%	> 95:5
2	N ₃	Bn	85%	> 95:5
3	H	CH ₂ (ⁱ Pr)	62%	> 95:5
4	H	ⁱ Pr	58%	> 95:5
5	H	PMB ^c	76%	> 95:5

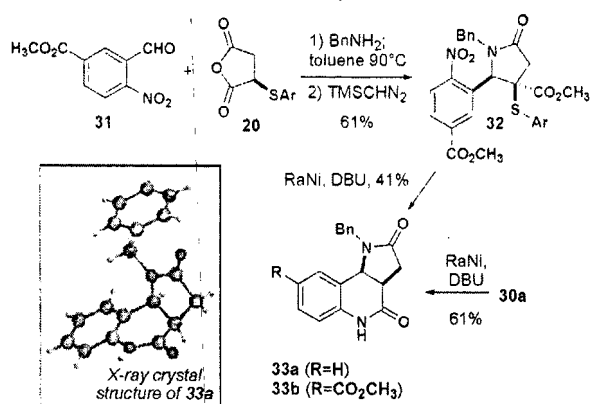
^a Yield over two steps from aldehyde starting material. ^b Determined by ¹H NMR spectroscopy. ^c PMB = *p*-methoxybenzyl.

from the tropical plant *Martinella iquitosensis*.¹³ These two compounds share a common tricyclic core and their “deceptively simple” structures have attracted much synthetic effort.¹⁴ Commercially available aldehyde **31** was treated with benzylamine, followed by anhydride **20**, to produce lactam

(13) Witherup, K. M.; Ransom, R. W.; Graham, A. C.; Bernard, A. M.; Salvatore, M. J.; Lumma, W. C.; Anderson, P. S.; Pitzemberger, S. M.; Varga, S. L. *J. Am. Chem. Soc.* **1995**, *117*, 6682–6685.

(14) Enantioselective synthesis of martinellie acid: (a) Ma, D.; Xia, C.; Jiang, J.; Zhang, J. *Org. Lett.* **2001**, *3*, 2189–2191. Syntheses of racemic martinellie acid and martinelline: (b) Snider, B. B.; Ahn, Y.; O'Hare, S. M. *Org. Lett.* **2001**, *3*, 4217–4220. (c) Xia, C.; Heng, L.; Ma, D. *Tetrahedron Lett.* **2002**, *43*, 9405–9409. (d) Powell, D. A.; Bailey, R. A. *Org. Lett.* **2002**, *4*, 2913–2916. Syntheses of the tricyclic core: (e) He, Y.; Moninga, R.; Lovely, C. J. *Tetrahedron Lett.* **2005**, *46*, 1251–1254. (f) Takeda, Y.; Nakabayashi, T.; Shirai, A.; Fukumoto, D.; Kiguchi, T.; Naito, T. *Tetrahedron Lett.* **2004**, *45*, 3481–3484. (g) Hadden, M.; Nieuwenhuysen, M.; Osborne, D.; Stevenson, P. J.; Thompson, N. *Tetrahedron Lett.* **2001**, *42*, 6417–6419. (h) Hara, O.; Sugimoto, K.; Makino, K.; Hamada, Y. *Synlett* **2004**, 1625–1627. (i) Hara, O.; Sugimoto, K.; Hamada, Y. *Tetrahedron* **2004**, *60*, 9381–9390. (j) Hadden, M.; Nieuwenhuysen, M.; Osborne, D.; Stevenson, P. J.; Thompson, N.; Walker, A. D. *Tetrahedron* **2006**, *62*, 3977–3984.

Scheme 2. Imine–Anhydride Cycloaddition and Reductive Desulfurization/Cyclization

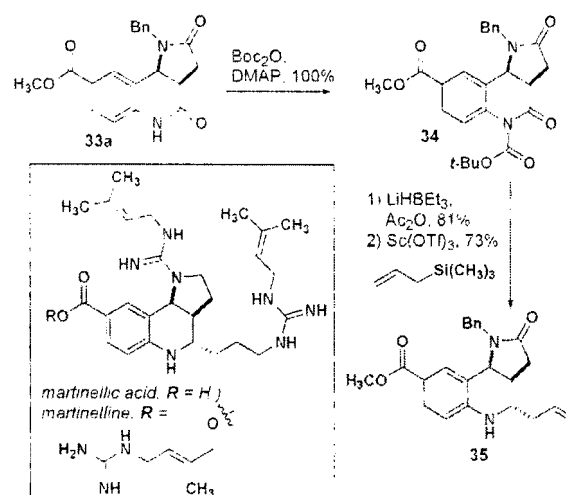


32 in 61% yield and >95:5 diastereoselectivity (Scheme 2). Treatment of this compound with Raney nickel effected reductive cleavage of the arylthio group as well as reduction of the nitro group to the corresponding aniline, which underwent partial cyclization with the ester to form tricyclic lactam **33b**. The resultant mixture of cyclized and uncyclized material was treated with DBU to complete cyclization in 41% yield. In a model study, a similar sequence was used to convert **30a** into **33a**, for which we obtained crystals suitable for X-ray crystallography, confirming the expected *cis* ring fusion. Thus, the complete core connectivity of martinellie acid is produced in two linear steps, the shortest preparation of this intermediate to date.

Tricyclic core **33b** was converted to the complete carbon framework of martinellie acid and martinelline in three steps (Scheme 3). Attachment of a Boc group to the amine produced imide **34**. This compound was chemoselectively reduced to the *N,O*-acetal using LiHBEt₃ and acetic anhydride.¹⁵ Formation of an *N*-acyliminium ion using Sc(OTf)₃ and trapping with allyltrimethylsilane were accompanied by simultaneous loss of the *N*-Boc group in 73% overall yield. A single diastereomer of tricyclic lactam **35** was observed, and the relative configuration was assigned with NOE spectroscopy.¹⁶ Although a related approach using an iminium ion precursor was attempted by Lovely, it was unsuccessful.^{14c} We attribute the higher conversion and lack of byproduct formation in our case to the increased reactivity of the *N*-acyl iminium ion intermediate.¹⁷

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Scheme 3. Completion of the Tricyclic Core of Martinellie Acid and Martinelline



In summary, we report a diastereoselective cycloaddition reaction that is used to produce 1,4,5-trisubstituted γ -lactams. The two-step sequence is synthetically equivalent to the direct reaction of succinic anhydride with imines but more efficient and with higher diastereoselectivity. We have used this process to synthesize the tricyclic core of martinellie acid and martinelline, and we are currently executing a short, enantioselective synthesis of these natural products.

Acknowledgment. This research was supported by the NIGMS under the aegis of the Center for Methodology and Library Development (CMLD). J.T.S. thanks Amgen Pharmaceuticals for financial support. The authors thank Prof. Stuart Schreiber (Broad Institute, Harvard University, and the Howard Hughes Medical Institute) for enlightening discussions and Richard Staples for X-ray crystallographic analyses.

Supporting Information Available: Experimental procedures and NMR spectra for all new compounds, as well as X-ray crystallographic data for **24** and **33a**. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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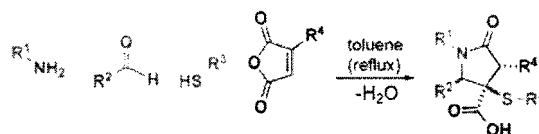
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Diastereoselective Synthesis of
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Four-Component ReactionJingqiang Wei and Jared T. Shaw^{*,†}Broad Institute of Harvard and MIT, 7 Cambridge Center,
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ABSTRACT



A new one-pot, four-component reaction (4CR) between amines, maleic anhydrides, aldehydes, and thiols has been discovered to form tetra- and pentasubstituted γ -lactams with high diastereoselectivity. Each component is independently variable and employed in equivalent stoichiometry, producing only water as a byproduct.

Multicomponent reactions (MCRs) are an important class of chemical transformations for the efficient synthesis of natural products and screening compounds for the discovery of biological probes and drugs.¹ The Hantzsch² and Biginelli³ reactions are important for the synthesis of heterocycles, whereas the Passerini,⁴ Ugi,⁵ and Petasis⁶ MCRs are useful methods for preparing α -hydroxy and α -amino carboxylic acid derivatives.⁷ To date, few four-component reactions (4CRs) have been reported to form multiple stereogenic centers with a high level of diastereoselection.⁸ Herein, we disclose the first 4CR for the diastereoselective synthesis of

γ -lactams wherein either two or three stereocenters are formed with high selectivity.

Our recent discovery of the formal cycloaddition of imines to 2-arylthiosuccinic anhydrides⁹ prompted us to probe the mechanism of this reaction. Of particular interest was the high regioselectivity in the attack of the imine on the anhydride. The opening of 2-substituted succinic anhydrides by amine nucleophiles is known to be unselective,¹⁰ presumably due to competing steric and stereoelectronic effects.¹¹ On the basis of the high regioselectivity required for the yields that we observe, we reasoned that the reactions of anhydride **2** with imines must be reversible (Scheme 1) and that only the isomer with the α -thioaryl substituent (**5a**) was sufficiently enolizable to proceed on to the observed product **6**.

The reversibility of imine attack on anhydride **2** was tested using alternate conditions to access intermediates **3** and **5a**. The reaction of benzylamine with anhydride **2** produces the

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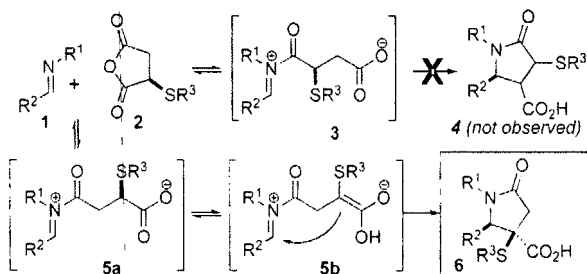
(8) For direct comparison, we are limiting our description of 3CRs and 4CRs to those in which all of the components can be independently varied. The Hantzsch, Biginelli, Passerini, and Petasis 3CRs and the Ugi 4CR are all examples of MCRs in which each component can, to a certain extent, be varied independently. For a comprehensive discussion of many MCRs discovered to date, see ref 1.

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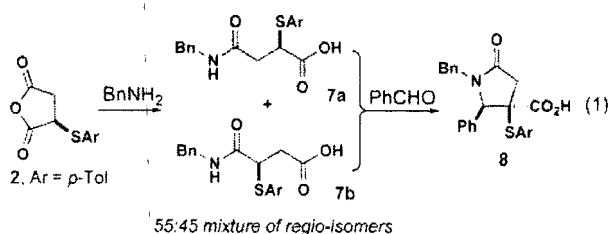
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Scheme 1. Reversibility of the Imine–Anhydride Reaction

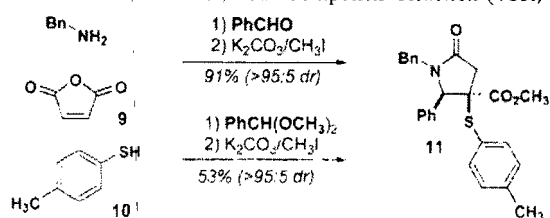


hemi-acid products **7a** and **7b** in a 55:45 ratio (eq 1). The mixture of amides **7a** and **7b** was allowed to react with benzaldehyde in refluxing toluene under dehydrating conditions. Nearly quantitative conversion to lactam **8** was observed. In addition, **7a** and **7b** were prepared, separated, and carried on to **8** in independent reactions.¹² These results are consistent with the intermediacy of *N*-acyl iminium ion **5a** in the formation of lactam **8**. A three-component reaction (3CR) whereby benzylamine, anhydride **2**, and benzaldehyde were combined in a single operation also produced lactam **8** in high yield.



The demonstration that amides **7a** and **7b** produce the same product as the imine anhydride reaction by a completely different mechanism prompted us to attempt a four-component reaction (4CR). We hypothesized that the thiol could react with an initially formed maleic amide to form amides **7a** and **7b** and thus enable a four-component reaction. We were delighted to find that combining benzylamine, maleic anhydride (**9**), *p*-thiocresol (**10**), and benzaldehyde in toluene at reflux produced lactam **11** in high yield (Scheme 2). Benzaldehyde dimethyl acetal can be used in place of

Scheme 2. One-Pot, Four-Component Reaction (4CR)



benzaldehyde, but the yield is lower. In each case, >95% selectivity for the 4,5-*syn* isomer was observed.

The 4CR of thiols, amines, and aldehydes with maleic anhydride works well for a variety of substrates (eq 2, Figure 1). Alkyl primary amines can be α - or β -branched, as

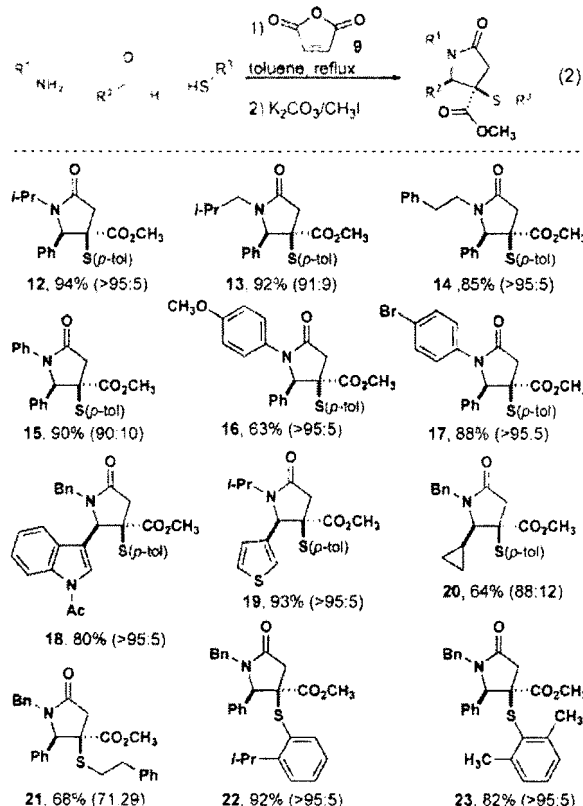


Figure 1. One-pot, four-component syntheses of tetrasubstituted γ -lactams (*p*-tol = *p*-C₆H₄CH₃). All reactions were conducted by combining the four components in equimolar ratios and heating to reflux in toluene for 24 h. Yields are reported for conversion to the methyl ester and purification by flash chromatography (see Supporting Information).

previously reported for the imine–anhydride reaction, and in this case, anilines now produce *N*-aryl lactams in excellent yields. Although the reaction is limited to nonenolizable aldehydes due to enamide formation, the successful reaction of cyclopropane carboxaldehyde demonstrates that enolization only needs to be energetically suppressed and not completely prevented. The thiol component can be aryl- or alkyl-substituted, though in the latter case the diastereoselectivity is reduced.¹³ Ortho-substitution on the thiols is well tolerated, opening the possibility for asymmetric induction from a substituent with a stereogenic center. Given the importance of γ -lactams in drug discovery and natural

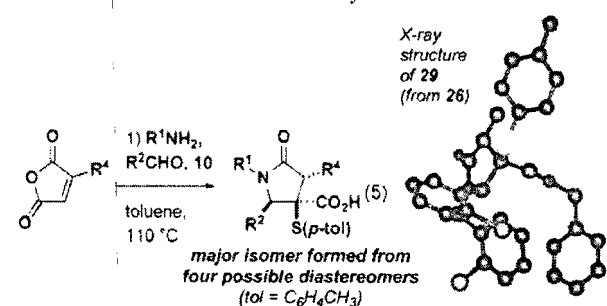
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product synthesis, we anticipate that the wide substrate tolerance will enable the preparation of useful synthetic intermediates.

Pentasubstituted lactams can be prepared from 3-substituted maleic anhydrides (eq 3, Table 1) with high (>83%)

Table 1. β -Substituted Maleic Anhydrides



entry (product)	R^1	R^2	R^4	yield ^{a,b}	ds
1 (24)	<i>i</i> -Pr	Ph	CH_3	70%	86% ^c
2 (25)	Bn	Ph	CH_3	65%	83% ^d
3 (26)	<i>o</i> -Br C_6H_5	<i>o</i> -Br C_6H_5	<i>i</i> -Bu	49% ^e	— ^f
4 (27)	<i>i</i> -Pr	Ph	<i>i</i> -Bu	68%	83% ^{c,d}
5 (28)	Bn	Ph	<i>i</i> -Bu	62%	— ^f

^a Combined yield of product mixture after conversion to the methyl ester (see Supporting Information). ^b Major isomer out of the mixture of up to four isomers. ^c Based on GC analysis of the unpurified mixture of methyl esters. ^d Lower limit based on analysis of 1H NMR spectroscopy. ^e Yield of isolated major diastereomer based on conversion of the intermediate acid to benzyl amide 29 and isolation of the major diastereomer (see Supporting Information). ^f Baseline resolution of a minor isomer was not possible using GC or HPLC.

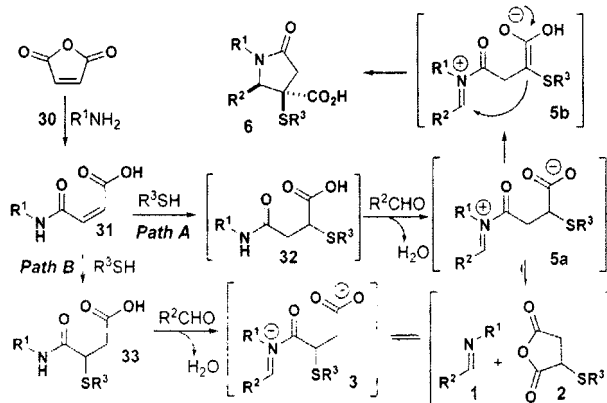
diastereoselectivity for the formation of one of the four possible diastereomers. Methyl and isobutyl¹⁴ substituents both exhibit high selectivity. The configuration of acid 26 (entry 3) was determined by conversion to benzyl amide 29 and X-ray crystallographic analysis. We are currently investigating the origin of the high diastereoselectivity observed in this reaction.

The mechanism of this new 4CR relies on the ability of both regioisomers of the initially formed maleic amide to converge on a single regioisomer of the iminium ion capable of proceeding to the lactam product (Scheme 3). Reaction of amine 30 with maleic anhydride is rapid at ambient temperature, while the subsequent conjugate addition of the thiol to the amide in the absence of base¹⁵ is slower and proceeds at elevated temperatures. Thiols are known to react

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Scheme 3. Mechanism of the One-Pot, 4CR^a



^a Iminium ion 3 (path B) equilibrates with iminium ion 5a (path A) to proceed on to product.

at either terminus (paths A and B, Scheme 3) of the double bond of unsymmetrical maleic amides, depending on the conditions.¹⁶ Condensation with the aldehyde results in the formation of the iminium zwitterions 5a and 3, from paths A and B, respectively. In the case of path A, iminium ion 5a can tautomerize at the thio-substituted carboxylate to form enolate 5b, which proceeds on to product. In the case of path B, iminium ion intermediate 3 intermediate can partition to imine 1 and anhydride 2, which can recombine to iminium ion 5a and proceed on to the observed product. The mechanism for the formation of the pentasubstituted lactam products is complicated by regioselectivity of the reactions of substituted maleic anhydrides,¹⁷ and further control experiments will be required to fully dissect this process.

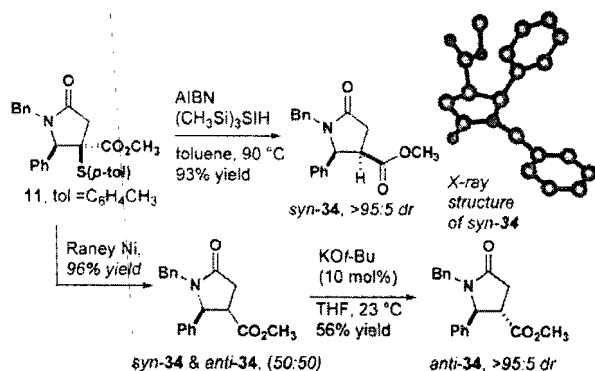
The products of the 4CR are useful precursors to stereochemically defined trisubstituted lactams. Radical reductive desulfurization yields the corresponding disubstituted carboxy lactam *syn*-34 in high yield and with high diastereoselection (Scheme 4). The 4,5-*anti* diastereomer of 34 is easily

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Scheme 4. Stereoselective Synthesis of 4,5-*syn*- and 4,5-*anti*- γ -Lactams



accessed by desulfurization with Raney nickel to a mixture of diastereomers that can be epimerized with potassium *tert*-butoxide at ambient temperature to yield a single isomer of product.

We have demonstrated that densely substituted γ -lactams are formed from a remarkable four-component reaction that forms up to three stereogenic centers in a single step. This

reaction should prove useful in the rapid synthesis of collections of compounds for the discovery of drugs and biological probes. We are currently pursuing the synthesis of several natural product targets using this transformation.

Acknowledgment. This work was conducted under the aegis of the Broad Institute Center for Methodology and Library Development (CMLD, NIGMS P50 GM069721) and supported by Amgen in the form of a new faculty award to J.T.S. J.T.S. thanks Prof. Stuart Schreiber (Harvard University, Broad Institute, and Howard Hughes Medical Institute) and Prof. David Evans (Harvard University) for insightful discussions. Dr. Richard Staples (Harvard University) is acknowledged for X-ray crystallographic analysis. X-ray structures of **29** and **34** were rendered with imol (<http://www.pirx.com/iMol/>), and Piotr Rotkiewicz (pirx.com) is acknowledged for helpful input.

Supporting Information Available: Experimental procedures for the preparation of all new compounds and X-ray crystallographic data for **29** and **34**. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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CCR5 Antagonists as Anti-HIV-1 Agents. 1. Synthesis and Biological Evaluation of 5-Oxopyrrolidine-3-carboxamide Derivatives

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A novel lead compound, *N*-{3-[4-(4-fluorobenzoyl)piperidin-1-yl]propyl}-1-methyl-5-oxo-*N*-phenylpyrrolidine-3-carboxamide (**1**), was identified as a CCR5 antagonist by high-throughput screening using [¹²⁵I]RANTES and CCR5-expressing CHO cells. The IC₅₀ value of **1** was 1.9 μM. In an effort to improve the binding affinity of **1**, a series of 5-oxopyrrolidine-3-carboxamides was synthesized. Introduction of 3,4-dichloro substituents to the central phenyl ring (**10i**, IC₅₀=0.057 μM; **11b**, IC₅₀=0.050 μM) or replacing the 1-methyl group of the 5-oxopyrrolidine moiety with a 1-benzyl group (**12e**, IC₅₀=0.038 μM) was found to be effective for improving CCR5 affinity. Compound **10i**, **11b**, and **12e** also inhibited CCR5-using HIV-1 envelope-mediated membrane fusion with IC₅₀ values of 0.44, 0.19, and 0.49 μM, respectively.

Key words CCR5 antagonist; chemokine; human immunodeficiency virus type 1 (HIV-1); 5-oxopyrrolidine-3-carboxamide

The development of combination antiretroviral therapy with human immunodeficiency virus type 1 (HIV-1) reverse transcriptase inhibitors and protease inhibitors has provided a clinically effective method of suppressing viral load in HIV-1-infected individuals, and has resulted in dramatic reductions in HIV-associated morbidity and mortality.¹⁾ However, no current therapies are curative,²⁾ and HIV-1 replicates again rapidly when treatment ceases.³⁾ The complexity of the dosing regimens and the toxicity of the current anti-HIV-1 therapy make it difficult to maintain patient compliance.⁴⁾ In addition, resistance to the currently available drugs is increasing.⁵⁾ Therefore, there remains a need to identify new classes of agents with improved efficacy and less toxicity.

The process of HIV-1 entry into host cells is one of the attractive targets for inhibition of HIV-1 replication.⁶⁾ Recent successful studies with enfuvirtide (T-20), a peptide inhibitor of gp41-mediated HIV-1 entry, have confirmed this process as a clinically relevant target.⁷⁾ It has been reported that HIV-1 strains that cause the initial infection predominantly utilize CC chemokine receptor 5 (CCR5) as a coreceptor.⁸⁾ CCR5-using (R5) HIV-1 is isolated exclusively during the asymptomatic stage, which usually persists for 5 to 10 years.⁹⁾ CCR5 is a member of the seven-transmembrane G protein-coupled receptor superfamily.¹⁰⁾ The natural ligands for CCR5 are the CC chemokines [regulated on activation, normal T cell expressed and secreted (RANTES), macrophage inflammatory protein 1α (MIP-1α), and MIP-1β], which have been reported to inhibit R5 HIV-1 infection *in vitro*.¹¹⁾ Individuals homozygous for a defect in CCR5 expression have been identified as being highly resistant to HIV-1 infection, while this defect does not cause a significant health problem.^{12–14)} In addition, infected individuals heterozygous for the defective gene appear to exhibit delayed disease progression.¹⁵⁾ These observations suggest that appropriate, small-molecule CCR5 antagonists functioning as HIV-1 entry inhibitors could be promising anti-HIV-1 therapeutic agents.

Several research groups have reported structurally diverse CCR5 antagonists.^{16–22)} Our laboratories have previously described the discovery of TAK-779, an anilide derivative with

a quaternary ammonium moiety, as a small-molecule CCR5 antagonist.^{23,24)} Continued screening of Takeda compound libraries using [¹²⁵I]RANTES and Chinese hamster ovary (CHO) cells expressing human CCR5 has now identified a novel lead compound, *N*-{3-[4-(4-fluorobenzoyl)piperidin-1-yl]propyl}-1-methyl-5-oxo-*N*-phenylpyrrolidine-3-carboxamide (**1**), as a CCR5 antagonist (Fig. 1). In this paper, we describe the discovery of the lead compound and the subsequent optimization, focused on a series of 5-oxopyrrolidine-3-carboxamides, to obtain potent CCR5 antagonists which can inhibit the HIV-1 cell entry.

Chemistry

Target compounds were prepared by two general methods as outlined in Charts 2 and 3. The first method (Chart 2) was utilized to investigate structure–activity relationships (SARs) of alkyl linker length (Fig. 1, C) and piperidine moiety modifications (Fig. 1, D). The starting carboxylic acid **2a** was prepared by condensation of itaconic acid and methylamine (Chart 1).²⁵⁾ Coupling of the carboxylic acid **2a** with aniline using 1-[3-(dimethylamino)propyl]-3-ethylcarbodiimide hydrochloride (EDC) and 1-hydroxybenzotriazole (HOBt) gave anilide **3**. Treatment of **3** with sodium hydride followed by the appropriate bromochloroalkane produced chlorides **4a–c**. The 2-chloroethyl derivative **4d** was prepared in a different manner as follows. *N*-Alkylation of the anilide **3** with ethyl bromoacetate followed by hydrolysis gave the carboxylic acid. The reduction of the mixed anhydride of the acid gave the alcohol, which on reaction with carbon tetrachloride and triphenylphosphine yielded **4d**. The obtained chlorides **4a–d** were coupled with a variety of amines in the presence of

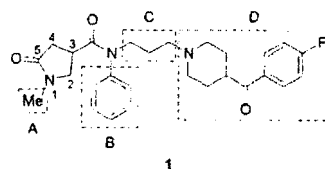


Fig. 1. Lead Compound

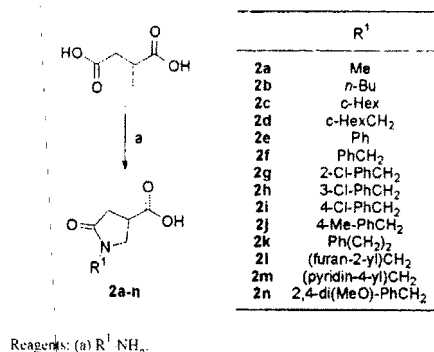
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potassium iodide and potassium carbonate to provide the target compounds **5a–i**. Alternatively, compounds **7a–e** were obtained by reductive amination of aldehyde **6** with amines using sodium triacetoxyborohydride. The aldehyde **6** was prepared from the anilide **3** by *N*-alkylation with 2-(2-bromoethyl)-1,3-dioxolane followed by hydrolysis with hydrochloric acid. Benzoylpiperazine derivative **5j** was prepared by hydrogenolysis of benzylpiperazine **5i** followed by benzoylation.

In order to explore the effect of substitutions on the central

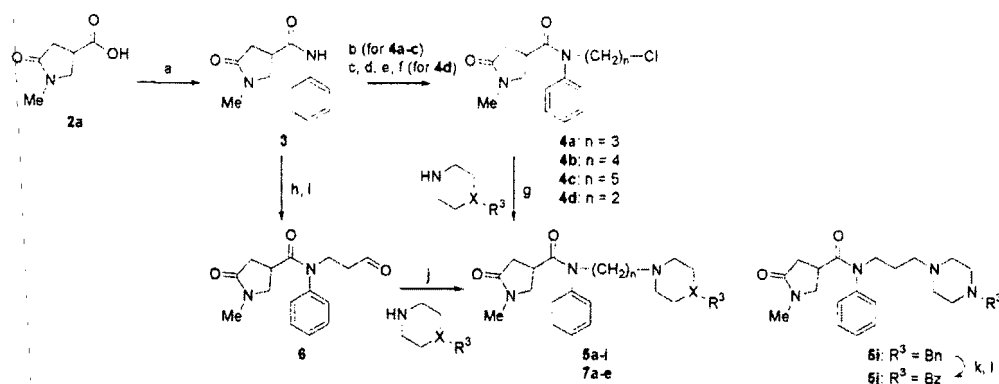
phenyl ring (Fig. 1, B) and 5-oxopyrrolidine moiety (Fig. 1, A), we investigated an alternate method starting from piperidines **8a,b** (Chart 3). The piperidines **8a,b** were treated with acrolein in the presence of a catalytic amount of 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) in tetrahydrofuran (THF) to afford β -aminoaldehydes *in situ*,²⁶⁾ which were converted to amine derivatives **9a–t** by reductive amination with various aryl or aralkyl amines using sodium triacetoxyborohydride. Coupling reactions of the amines **9a–q, s, t** with 5-oxopyrrolidine-3-carboxylic acids **2a–n** were carried out *via* the corresponding acid chlorides to afford the targets **10a–p, 11a, b, 12a–m, 15a, b**. Compound **10q** was prepared by the carbodiimide-mediated coupling of the amine **9r** with the acid **2a**. The carboxylic acids **2b–n** were prepared by literature methods²⁵⁾ from the corresponding amines (Chart 1). Removal of the 2,4-dimethoxybenzyl group of compound **12m** with trifluoroacetic acid (TFA) gave compound **13**, which on *N*-alkylation led to compound **14a, b**.

All compounds described were prepared and tested as racemates.



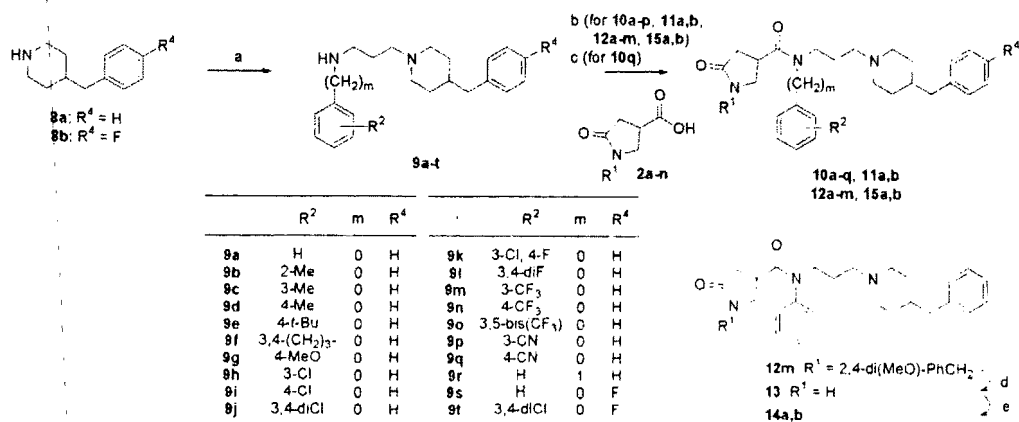
Reagents: (a) R¹ NH₂.

Chart 1



Reagents: (a) aniline, EDC, HOBT, DMF; (b) NaH, DMF, then Br-(CH₂)_n-Cl; (c) NaH, DMF, then BrCH₂CO₂Et; (d) aq NaOH, MeOH; (e) ClCO₂Et, Et₃N, THF, then NaBH₄, H₂O; (f) Ph₃P, CCl₄; (g) KI, K₂CO₃, MeCN; (h) NaH, DMF, then 2-(2-bromoethyl)-1,3-dioxolane; (i) aq HCl; (j) NaBH(OAc)₃, THF; (k) H₂, Pd(OH)₂, MeOH; (l) BrCl, Et₃N, THF.

Chart 2



Reagents: (a) acrolein, cat. DBU, THF, then R²-Ph(CH₂)_mNH₂, NaBH(OAc)₃; (b) 2, (COCl)₂, cat. DMF, DCM, then added to 9, Et₃N, DCM; (c) DCC, HOBT, MeCN; (d) TFA; (e) NaH, DMF, then R¹-X.

Chart 3