

112

ESCOBAR - URIBE ASOCIADOS

A B O G A D O S

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2504/P

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
DIVISIÓN DE NUEVAS CREACIONES
E. S. D.

Re: Expediente No. 02.104.490
Solicitud de patente a nombre de
Ortho-McNeil Pharmaceutical, Inc.

SUPERINDUSTRIA Y COMERCIO
Radicación: 02104490 00000001 Folios: 4
Fecha (AND): 2003-01-23 16:23:46
Trámite: 011 PCT-PATENT 379 FASE NACI 329 COMPLEMEN
Atendencia: 2020 DIVISION DE NUEVAS CREACIONES

Yo, JIMENA ESCOBAR URIBE, actuando como apoderada dentro de la solicitud de patente de la referencia, me permito manifestar que acompaño al presente memorial el documento de cesión suscrito por los inventores a favor de la sociedad Ortho-McNeil Pharmaceutical, Inc., domiciliada en Raritan, New Jersey, Estados Unidos de América.

De conformidad con las normas legales vigentes, artículo 1 de la Resolución 210 de 2001, expedida por el Superintendente de Industria, los documentos en inglés que se pretendan aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por lo tanto, los documentos de cesión anexos a este memorial son válidamente presentados en idioma inglés y con la correspondiente Apostilla.

Señor Superintendente,


JIMENA ESCOBAR URIBE
C.C. No. 39.779.452 de Usaquén
T.P. No. 68228

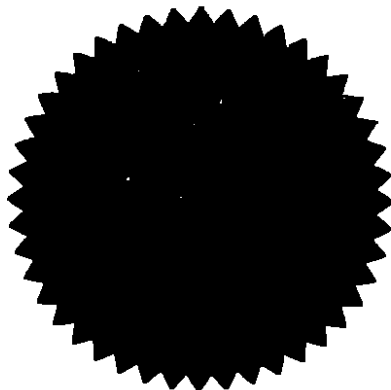
APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

1. COUNTRY: UNITED STATES OF AMERICA
2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:
CHRISTINA A CLICKNER
3. ACTING IN THE CAPACITY OF:
NOTARY PUBLIC OF NEW JERSEY
4. BEARS THE SEAL/STAMP OF:
CHRISTINA A CLICKNER, NOTARY

CERTIFIED

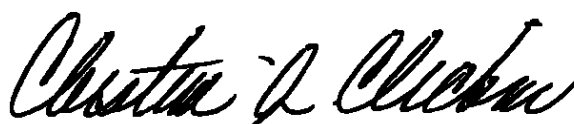
5. AT TRENTON, NEW JERSEY
6. THE 11TH DAY OF DECEMBER 2002
7. BY: John E McCormac, CPA, NEW JERSEY TREASURER
8. NO. A163022
9. SEAL/STAMP:
10. SIGNATURE



ORT 1423 COL(L)

CERTIFICATION

I hereby certify that I have compared the attached document with the original, and that such said document is a true copy of the original Assignment document as filed and recorded in the U.S. Patent Office in connection with USSN 60/204,667 filed MAY 17, 2000.



Christina A. Clickner
(Notary Public of New Jersey)
My Commission Expires:
March 2, 2005



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MAY 11, 2001

JOHNSON & JOHNSON
PHILIP S. JOHNSON
CHIEF PATENT COUNSEL
ONE JOHNSON & JOHNSON PLAZA
NEW BRUNSWICK, NJ 08933-7003



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RECEIVED

MAY 17 2001

J & J PAT. DKT SECTION

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

REC RDATION DATE: 05/10/2001

REEL/FRAME: 011558/0015
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
SUI, ZHIHUA

DOC DATE: 04/24/2001

ASSIGNOR:
MACIELAG, MARK J.

DOC DATE: 04/27/2001

ASSIGNEE:
ORHTO-MCNEIL PHARMACEUTICAL, INC.
U.S. ROUTE 202
RARITAN, NEW JERSEY 08869

SERIAL NUMBER: 60204667
PATENT NUMBER:

FILING DATE: 05/17/2000
ISSUE DATE:

JEFFREY OLSEN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

60/204,667

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RECORDATION FORM COVER SHEET
PATENTS ONLY

Commissioner of Patent and Trademarks:

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Zhihua Sui Mark J. Macielag Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	2. Name and address of receiving party(ies): Name: Ortho-McNeil Pharmaceutical, Inc. Street Address: U.S. Route 202 City: Raritan State: NJ Zip: 08869 Additional name(s) & address(es) attached? ☐ Yes ☒ No						
3. Nature of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other _____ Execution Date: 4/24/01 & 4/27/01							
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 60/204,667 Additional numbers attached? ☐ Yes ☒ No							
5. Name and address of party to whom correspondence concerning document should be mailed: Philip S. Johnson, Esq. Chief Patent Counsel Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003	6. Total number of applications & patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) <u>\$40.00</u> ☒ Authorized to be charged to Deposit Account 8. Deposit Account Number: 10-0750/ORT-1245/MAA						
9. Statement and signature <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <table style="width: 100%;"><tr><td style="width: 30%; border-bottom: 1px solid black;">Ralph R. Palo</td><td style="width: 30%; text-align: center; border-bottom: 1px solid black;"></td><td style="width: 40%; text-align: right; border-bottom: 1px solid black;">5/10/01</td></tr><tr><td style="text-align: center;">Name of Person Signing</td><td style="text-align: center;">Signature</td><td style="text-align: center;">Date</td></tr></table> Total number of pages including cover sheet, attachments, and document: 4		Ralph R. Palo		5/10/01	Name of Person Signing	Signature	Date
Ralph R. Palo		5/10/01					
Name of Person Signing	Signature	Date					

Mail documents to be recorded with required cover sheet information to:

Box Assignments
Commissioner of Patent and Trademarks
Washington, D.C. 20231

A S S I G N M E N T

Serial No. 60/204,667
Filed May 17, 2000

WHEREAS, Zhihua Sui and Mark J. Macielag, residing at 11 Running Brook Circle, Flemington, NJ 08822, and 8 Seneca Trail, Branchburg, NJ 08876, respectively (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to

BETA-CARBOLINE DERIVATIVES USEFUL AS INHIBITORS OF
PHOSPHODIESTERASE

for which they have on the day of , 2001 executed an application for Letters Patent of the United States; and

WHEREAS, Ortho-McNeil Pharmaceutical, Inc., a corporation of the State of Delaware, (hereinafter called "Assignee"), is desirous of acquiring Assignors' entire right, title, and interest therein:

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above

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IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals this 24th day of Apr., 2001

(L.S.)

STATE OF New Jersey
COUNTY OF Somerset) ss.

NOTICE PUBLIC

8

IN TESTIMONY WHEREOF, Assignors have hereunto set their hands
and seals this 27 day of ~~APRIL~~, 2001

LJG

Mark J. Macielag (L.S.)
Mark J. Macielag

STATE OF NJ)
COUNTY OF Somerset) ss.

2001 BE IT REMEMBERED, That on this 27th day of April,
~~199~~, before me, a Notary Public, personally appeared Mark J.
Maciel who I am satisfied is the persons named in and who
executed the foregoing instrument in my presence, and I having
first made known to him the contents thereof, he did acknowledge
that they signed, sealed, and delivered the same as his
voluntary act and deed for the uses and purposes therein
expressed.

Kathleen E. Hyland
Notary Public

KATHLEEN E. HYLAND
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Sept. 23, 2001

INFORME DE PROTOCOLO

SUPERINDUSTRIA Y COMERCIO SISTEMA DE REGISTRO
Fecha 21/08/2003

MANTENIMIENTO DE PROTOCOLOS

Numero : 19164

Fecha : 16/03/1999

Conferido: ORTHO- MCNELL PHARMACEUTICA, INC.

Pais : US ESTADOS UNIDOS DE AMERICA

Ciudad : 787 RARITAN (NEW JERSEY)

Represent: S Sustituir: S Desistir: S

No. Radicacion : 99 16146 Clase: 0 Seev: 0 Seac: 0

scncia	Codigo	Ctr	Nombre
1	5376		ESCOBAR URIBE JIMENA
2	267		ESCOBAR URIBE JIMENA

Encontrados (1/1) registro(s)

2020
Bogotá, D.C.,

Doctora:
JIMENA ESCOBAR URIBE
Apoderada
Ortho Mc-Neil Pharmaceutical, Inc.

Oficio N° 06841

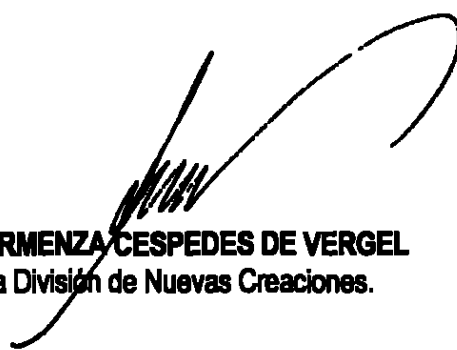
ASUNTO:	Radicación N°	02-104490
	Solicitud internacional	PCT/US01/14357
	Fecha inicio fase nacional	17/12/2002
	Trámite	011
	Evento	379
	Actuación	430
	Folios	

Como resultado del examen de forma, realizado con fundamento el artículo 38 de la Decisión 486 de la Comisión de la Comunidad Andina, artículo 27 del Tratado de Cooperación en Materia de Patentes (PCT), regla 51 bis del Reglamento y Circular Única de la Superintendencia de Industria y Comercio, se le comunica que:

- El solicitante debe aclarar si la solicitud corresponde al capítulo I o II del PCT por que la indicación en el petitorio no corresponde a los documentos del expediente, en caso necesario adjuntar los documentos que corresponden.
- La solicitud no contiene la traducción de los anexos del reporte de examen preliminar internacional (Art. 36.2.b) del tratado PCT).

En cumplimiento de lo dispuesto por el artículo 39 de la Decisión 486, se le requiere para que dentro del término de dos meses siguientes a la fecha de notificación del presente oficio, complete los requisitos anteriormente enunciados. En caso de no dar cumplimiento al presente requerimiento, la solicitud se considerará abandonada y perderá su prelación.

Notifíquese el presente oficio conforme a lo dispuesto en el numeral 5.2, literal e), del capítulo quinto del título primero de la Circular Única N° 10 de 2001.


ALIX CARMENZA CESPEDES DE VERGEL
Jefe de la División de Nuevas Creaciones.

22 AGO. 2003

El anterior requerimiento fue notificado por fijación en lista de fecha, _____.

/202023