

# **Clarke, Modet & Cº**

COLOMBIA

P-2843

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO  
DIVISION DE NUEVAS CREACIONES

E. S. D.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 11-041809- -00002-0000

Ref.: Expediente No. 11.041809  
Solicitud de Patente a nombre de  
**ACUCELA INC.**

Fecha: 2011-05-09 14:54:10 Dep. 2020 DIF. NUEVASOR  
Tra. 11 PATENTE/II Evt. 378 FASE NACIONAL I  
Act. 329 CTOINFORMACION Folios: 9

Yo, DILIA MARÍA RODRÍGUEZ D' ALEMAN, identificada como aparece al pie de mi firma, domiciliada en la Carrera 11 No. 86-53, piso 6, obrando como apoderada de la sociedad **ACUCELA INC.**, domiciliada en Washington, Estados Unidos de América, solicitante de la patente de la referencia, atentamente me permito presentar:

Documento (1) en que consta la cesión del derecho a la patente otorgada por el inventor a favor de mi mandante.

Sobre el particular, es importante resaltar que de conformidad con las normas legales vigentes, artículo 1 de la Resolución 210 de 2001, expedida por el Superintendente de Industria y Comercio y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por otro lado, la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, el documento de cesión anexo a este memorial es válidamente presentado en idioma inglés y con la correspondiente apostilla.

Señor Superintendente,

DILIA MARÍA RODRÍGUEZ D' ALEMAN  
C.C. 41.654.882 de Bogotá.  
T.P. 20824 CSJ

UNITED STATES OF AMERICA

The State of



Washington

Secretary of State

## APOSTILLE

*(Convention de la Haye du 5 Octobre 1961)*1. Country: **United States of America**2. This public document has been  
signed by:CAROL A KIRBY

3. acting in the capacity of:

Notary Public, state of Washington

4. bears the seal/stamp of:

CAROL A KIRBY

## CERTIFIED

5. at: Olympia, Washington

6. the: 31 day of March, 2011

7. by: Sam Reed, Secretary of State

8. No: 201104582

9. Seal/Stamp:

10. Signature:

Given under my hand and the Seal of the State  
of Washington at Olympia, the State Capital

Sam Reed, Secretary of State

UNITED STATES OF AMERICA

The State of



Washington

Secretary of State

APOSTILLE

(Convention de la Haye du 5 Octobre 1961)

1. Country: **United States of America**

2. This public document has been  
signed by:

ROBIN ETHPIDGE PENTLAND

3. acting in the capacity of:

Notary Public, state of Washington

4. bears the seal/stamp of:

ROBIN ETHPIDGE PENTLAND

**CERTIFIED**

5. at: Olympia, Washington

6. the: 31 day of March, 2011

7. by: Sam Reed, Secretary of State

8. No: 201104581

9. Seal/Stamp:

10. Signature:



Given under my hand and the Seal of the State  
of Washington at Olympia, the State Capital

Sam Reed, Secretary of State

# Clarke, Modet & C<sup>o</sup>

COLOMBIA

E-MAIL: info@clarkemodet.com.co  
SANTAFÉ DE BOGOTÁ, A.A. 92397 COLOMBIA

## CESION

## ASSIGNMENT

El (la) abajo firmante (En adelante EL CEDENTE)

The undersigned (Hereinafter THE ASSIGNOR)

1. SCOTT, Ian, L.
2. KUKSA, Vladimir, Aleksandrovich.
3. KUBOTA, Ryo
4. HONG, Feng

1. SCOTT, Ian, L.
2. KUKSA, Vladimir, Aleksandrovich.
3. KUBOTA, Ryo
4. HONG, Feng

en su carácter de inventor/diseñador con  
domicilios en:

Inventor /designor of domiciled at

1. 22906 11th Street Southeast, Monroe,  
Washington 98272, Estados Unidos de América.
2. 16618 Juanita Drive Ne, Apt. 201b, Kenmore,  
Washington 98028, Estados Unidos de América.
3. 5716 Ne 56th Street, Seattle, Washington  
98105, Estados Unidos de América.
4. 10042 Main Street, Apt 30, Bellevue,  
Washington, 98004, Estados Unidos de América.

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3. 5716 Ne 56th Street, Seattle, Washington  
98105, United States of America.
4. 10042 Main Street, Apt 30, Bellevue,  
Washington, 98004, United States of America.

por el presente documento declara que cede todos y  
cada uno de los derechos que le corresponden o  
puedan corresponderle sobre la siguiente invención,  
modelo de utilidad, diseño industrial No.

11-041.889

do hereby declare that it assigns all and each of his  
rights and privileges on the following invention,  
industrial design, utility model No.

11-041.889

a: ACUCELA, INC.

to ACUCELA, INC.

con domicilio en 21720 23rd Drive SE, Suite 120,  
Bothell, Washington 98021, Estados Unidos de  
América.

with domicile in 21720 23rd Drive SE, Suite 120,  
Bothell, Washington 98021, United States of  
America.

En prueba de la mutua aceptación de esta cesión  
ambas partes CEDENTE y CESIONARIO firman el  
presente documento.

As a proof of the mutual acceptance of this  
assignment, both parties ASSIGNOR and  
ASSIGNEE sign the present document.

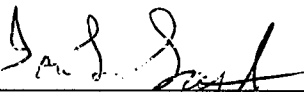
Dado y firmado en

A los 25 días de marzo De 2011

Granted and signed at

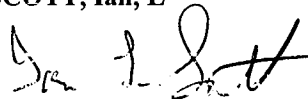
on this 25 day of March of 2011

1. SCOTT, Ian, L.



El Cedente /The Assignor

1. SCOTT, Ian, L.



El Cedente /The Assignor

2. KUKSA, Vladimir, Aleksandrovich.

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El Cedente /The Assignor

El Cedente /The Assignor

**3. KUBOTA, Ryo**

---

El Cedente /The Assignor

**4. HONG, Feng**

---

El Cedente /The Assignor

---

El Cesionario/The Assignee  
Name: Ryo Kubota, MD, PhD  
Title: Chairman, President

**Este documento debe ser otorgado ante Notario público y legalizado por el Cónsul de Colombia) o por Apostilla**

**3. KUBOTA, Ryo**

---

El Cedente /The Assignor

**4. HONG, Feng**

---

El Cedente /The Assignor

---

El Cesionario/The Assignee  
Name: Ryo Kubota, MD, PhD  
Title: Chairman, President

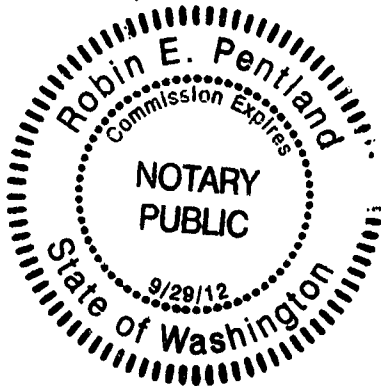
**(This document must be signed before Notary Public and legalzed before the colombian Consul) or by Apostille**

**ACKNOWLEDGMENT**

STATE OF WASHINGTON       )  
                                          )  
County of King                )       ss.

On this 25<sup>th</sup> day of March, 2011, before me, the undersigned, a Notary Public in and for the State of Washington, duly commissioned and sworn, personally appeared Ian L. Scott, to me known to be the individuals described in and who executed the within and foregoing instrument, and acknowledged that they signed the same as their free and voluntary act and deed for the uses and purposes therein mentioned.

GIVEN under my hand and official seal the day and year in this acknowledgment first above written.



A handwritten signature of Robin E. Pentland in cursive script, written over a horizontal line.

Robin E. Pentland  
Notary Public in and for the State of Washington,  
Residing at Lynnwood.  
My appointment expires: 09.29.12.

# Clarke, Modet & Cº

COLOMBIA

E-MAIL: info@clarkemodet.com.co  
SANTAFÉ DE BOGOTÁ, A.A. 92397 COLOMBIA

## CESION

## ASSIGNMENT

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The undersigned (Hereinafter THE ASSIGNOR)

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3. KUBOTA, Ryo
4. HONG, Feng

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en su carácter de inventor/diseñador con  
domicilios en:

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por el presente documento declara que cede todos y  
cada uno de los derechos que le corresponden o  
puedan corresponderle sobre la siguiente invención,  
modelo de utilidad, diseño industrial No.

do hereby declares that it assigns all and each of his  
rights and privileges on the following invention,  
industrial design, utility model No.

77-041.809

77-041.809

a: ACUCELA, INC.

to ACUCELA, INC.

con domicilio en 21720 23rd Drive SE, Suite 120,  
Bothell, Washington 98021, Estados Unidos de  
América.

with domicile in 21720 23rd Drive SE, Suite 120,  
Bothell, Washington 98021, United States of  
America.

En prueba de la mutua aceptación de esta cesión  
ambas partes CEDENTE y CESIONARIO firman el  
presente documento.

As a proof of the mutual acceptance of this  
assignment, both parties ASSIGNOR and  
ASSIGNEE sign the present document.

Dado y firmado en

Granted and signed at

A los            Días de            De

on this            day of            of

1. SCOTT, Ian, L.

1. SCOTT, Ian, L

El Cedente /The Assignor

El Cedente /The Assignor

2. KUKSA, Vladimir, Aleksandrovich.

2. KUKSA, Vladimir, Aleksandrovich.

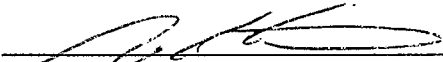
Vladimir Aleksandrovich Kuksa

El Cedente /The Assignor

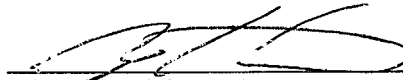
Vladimir Aleksandrovich Kuksa

El Cedente /The Assignor

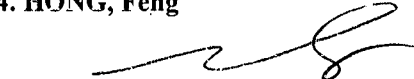
**3. KUBOTA, Ryo**

  
El Cedente /The Assignor

**3. KUBOTA, Ryo**

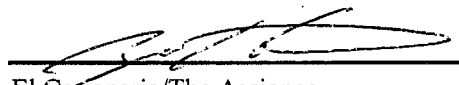
  
El Cedente /The Assignor

**4. HONG, Feng**

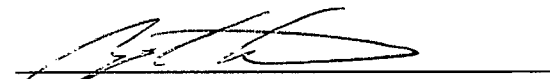
  
El Cedente /The Assignor

**4. HONG, Feng**

  
El Cedente /The Assignor

  
El Cesionario/The Assignee  
Name: Ryo Kubota, MD, PhD  
Title: Chairman, President

**Este documento debe ser otorgado ante Notario público y legalizado por el Cónsul de Colombia) o por Apostilla**

  
El Cesionario/The Assignee  
Name: Ryo Kubota, MD, PhD  
Title: Chairman, President

**(This document must be signed before Notary Public and legalized before the colombian Consul) or by Apostille**

**ACKNOWLEDGMENT**

STATE OF WASHINGTON       )  
                                          )  
County of King               )

ss.

On this 25<sup>th</sup> day of March, 2011, before me, the undersigned, a Notary Public in and for the State of Washington, duly commissioned and sworn, personally appeared Vladimir Aleksandrovich Kuksa, Ryo Kubota and Hong Feng, to me known to be the individuals described in and who executed the within and foregoing instrument, and acknowledged that they signed the same as their free and voluntary act and deed for the uses and purposes therein mentioned.

GIVEN under my hand and official seal the day and year in this acknowledgment first above written.



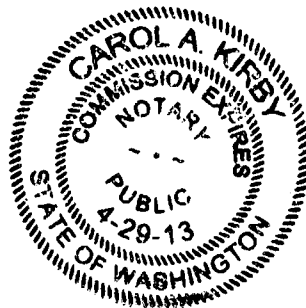
  
\_\_\_\_\_  
Carol A. Kirby  
Notary Public in and for the State of Washington,  
Residing at Bellevue.  
My appointment expires: 04.29.13.

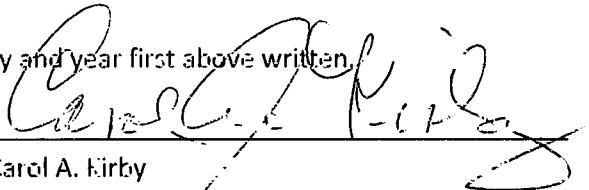
STATE OF WASHINGTON       )  
                                          )  
County of King               )

ss.

On this 25<sup>th</sup> day of March 2011, before me, the undersigned, a Notary Public in and for the State of Washington, duly commissioned and sworn, personally appeared Ryo Kubota, to me known to be the Chairman, President and CEO of Acucela Inc., the corporation that executed the foregoing instrument, and acknowledged the said instrument to be the free and voluntary act and deed of said corporation, for the uses and purposes therein mentioned, and on oath stated that he was authorized to execute the said instrument on behalf of said corporation.

WITNESS my hand and official seal the day and year first above written.



  
\_\_\_\_\_  
Carol A. Kirby  
Notary Public in and for the State of Washington,  
Residing at Bellevue.  
My appointment expires: 04.29.13.

# Clarke, Modet & C<sup>o</sup>

COLOMBIA

P-2843

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO  
DIVISION DE NUEVAS CREACIONES

E. S. D.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 11-041809-00004-0000

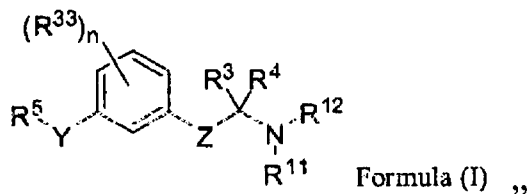
Fecha: 2011-06-01 15:19:20 Dep. 2020 DIR.NUEVASCR  
Tra. 11 PATENTE/II Eve: 378 FASENACIONALI  
Act. 44 RESPUESTAREQUE Folios: 1

Ref.: Expediente No. 11.041.809  
Solicitud de Patente a nombre de  
**ACUCELA INC.**

Yo, DILIA MARÍA RODRÍGUEZ D'ALEMAN, identificada como aparece al pie de mi firma, domiciliada en la Carrera 11 No. 86-53, piso 6, obrando como apoderada de la sociedad **ACUCELA INC.**, solicitante de la patente de la referencia, en respuesta al oficio No. 08238 notificado por fijación en lista el día 20 de mayo de 2011, atentamente me permito presentar:

1. Nuevo Resumen de la solicitud:

“Compuestos enlazados con azufre de la Fórmula (I) o tautómero, estereoisómero, isómero geométrico o un solvato, hidrato, sal, N-óxido o promedicamento farmacéuticamente aceptable del mismo:



Espero con esto haber dado cabal cumplimiento a lo solicitado por ese despacho y de conformidad con lo indicado en los artículos 3°, inciso 3, 35 inciso 2 y 59 inciso 2 del CCA, al analizar este memorial, atentamente ruego se traten todas las cuestiones planteadas con motivo del mismo.

Señor Superintendente,

DILIA MARÍA RODRÍGUEZ D'ALEMAN  
C.C. 41.654.882 de Bogotá.  
T.P. 20824 CSJ