

JOSE LLOREDA CAMACHO & CO.

ABOGADOS

Calle 72 No. 5-83 Piso 5, Bogotá, D.C. Tel: 6069700 Fax 6069701

Señor

SUPERINTENDENTE DE INDUSTRIA Y COMERCIO
DIVISIÓN DE NUEVAS CREACIONES

E. _____ S. _____

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 11-082166- -00003-0000

Fecha: 2011-09-09 16:29:41 Dep. 2020 DIR.NUEVASCR
Tra. 2 PATENTES Eje: 1 REGDEPOSITO
Act. 444 RESPUESTAREQUE Folios: 47

Re: PI.-ROHM AND HAAS COMPANY.-Solicitud de Patente de Invención
para "PARTÍCULAS EN POLVO RECUBIERTAS".-Clase A01N
25/00.-Expediente número 11-082.166.-

1. Me refiero al Oficio No. **13545** dictado por ese Despacho notificado por fijación en lista el 1 de Septiembre de 2011.
2. Dando respuesta al mencionado requerimiento y estando dentro del término establecido para ello, atentamente me permito informar a ese Despacho que el documento que acredita el traspaso, que el autor de la patente de la referencia hace a favor de mi representado, de los derechos sobre la misma, en el presente caso, fue presentado a ese Despacho junto con su respectiva Traducción Oficial, mediante memorial de 11 de Agosto de 2011, copia del cual adjunto al presente escrito.
3. Por otro lado, me permito aclarar a ese Despacho que con mi memorial de 11 de Agosto de 2011 fue presentada la copia debidamente certificada de la Solicitud de Patente **respecto de la cual reclamo prioridad**, dentro del trámite de la Solicitud arriba referida, a saber, la Solicitud Estadinense número **61/360,968** de 2 de Julio de 2010 junto con su Traducción Oficial.
4. Ruego a usted tomar atenta nota de lo anterior y proceder de conformidad.

Señor Superintendente,

ALICIA LLOREDA RICAURTE

T.P. 53.215

JOSE LLOREDA CAMACHO & CO.

ABOGADOS

Calle 72 No. 5-83 Piso 5, Bogotá, D.C. Tel: 6069700 Fax 6069701

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 11-082166- -00001-0000

Fecha: 2011-08-11 17:05:45 Dep. 2020 DIR.NUEVASCR
Tra. 2 PATENTES Eve: 1 REGDEPOSITO
Act. 329 CTOINFORMACION Folios: 48

Señor

SUPERINTENDENTE DE INDUSTRIA Y COMERCIO

DIVISIÓN DE NUEVAS CREACIONES

E. _____ S. _____ D. _____

Re: PI.-ROHM AND HAAS COMPANY.-Solicitud de Patente de Invención
para "PARTÍCULAS EN POLVO RECUBIERTAS".-Clase A01N
25/00.-Expediente número 11-082.166.-

1. Me refiero a mi Solicitud de Patente de Invención radicada ante ese Despacho el 30 de Junio de 2011.
2. Atentamente me permito presentar a ese Despacho el documento que acredita el traspaso, que el autor de la patente de la referencia hace a favor de mi representado, de los derechos sobre la misma, debidamente apostillado y junto con su Traducción Oficial No. 2011-08-08-481, debidamente legalizada hasta por el Ministerio de Relaciones Exteriores de Colombia bajo el número 086988 de 9 de Agosto de 2011.
3. Adicionalmente, me permito presentar a ese Despacho copia debidamente certificada de la Solicitud de Patente **respecto de la cual reclamo prioridad**, dentro del trámite de la Solicitud arriba referida, a saber, la Solicitud Estadinense número **61/360,968** de 2 de Julio de 2010, junto con su Traducción Oficial número 2011-08-08-482, correspondiente a dicho documento.
4. Ruego a usted tomar atenta nota de lo anterior y proceder de conformidad.

Señor Superintendente,

ALICIA LLOREDA RICAURTE

T.P. 53.215

TRADUCCION OFICIAL No. 2011-08-08-481
DE UN DOCUMENTO ESCRITO EN INGLÉS

MINISTERIO DE
RELACIONES EXTERIORES
2011 AGO -4
XPO: 01
JEFE DE LEGALIZACIONES
BOGOTÁ D.C.

Apostilla

(Convención de La Haya del 5 de octubre de 1961)

- 1. País: Estados Unidos de América
- Este documento público
- 3. ha sido firmado por Norman Goodman
- 3. actuando como Secretario del Condado
- 4. lleva el sello/estampilla del condado de Nueva York

Certificado

- 5. en Nueva York, Nueva York
- 6. el día 15 de julio de 2011
- 7. por el Subsecretario de Estado Especial, Estado de Nueva York
- 8. No. NYC-102816B
- 9. Sello/Estampilla
- 10. Firma (ilegible)
- Estado de Nueva York
- Sandra J. Tallman
- Departamento de Estado
- Subsecretario de Estado Especial

BO SE ACOPIA
RESPONSABILIDAD
DEL TEXTO
086988
Firma Legible

TRADUCTORA OFICIAL
RES. 2177/89 MINJUSTICIA
ANITA ESCOBAR DE TAMAYO

Colombia

Clifford J. Mass

Janet I. Cord

(JL1.CCO; 303546.DOC v.1)2

Estado de Nueva York)

Condado de Nueva York) ss.:

862641 Forma 1

Yo, NORMAN GOODMAN, Secretario del Condado y Secretario de la Corte Suprema del Estado de Nueva York, en y para el Condado de Nueva York, un Tribunal de Registro, que tiene por ley un sello,

POR EL PRESENTE CERTIFICO según la Ley Ejecutiva del Estado de Nueva York, que Janet I. Cord

cuyo nombre está suscrito al affidavit, declaración, certificado de reconocimiento o prueba adjunto, era en el momento de tomar el mismo un NOTARIO PUBLICO en y para el Estado de Nueva York debidamente comisionado, juramentado y calificado para actuar como tal; que según la ley, una comisión o certificado de su carácter oficial, con su firma autógrafa ha sido presentado en mi oficina; que en el momento de tomar dicha prueba, reconocimiento o juramento, él estaba debidamente autorizado para tomar el mismo; que estoy bien familiarizado con la escritura de dicho NOTARIO PUBLICO o he comparado la firma sobre el instrumento adjunto con su firma autógrafa depositada en mi oficina, y creo que dicha firma es auténtica.

EN TESTIMONIO DE LO CUAL, he colocado mi firma y mi sello oficial este día 15 de julio de 2011.

Derechos Pagados \$3.00

(firma: ilegible)

Secretario del Condado y Secretario de la Corte
Suprema, Condado de Nueva York

TRASPASO INDIVIDUO Y DECLARACION DEL INVENTOR

(con Derechos de Prioridad)

Yo/Nosotros verdaderamente creo que yo/nosotros soy el inventor original, primero y único/conjunto de la materia objeto comprendida por y para la cual se busca una patente sobre la invención llamada: PARTICULAS EN POLVO RECUBIERTAS

invención que fue hecha e inventada por mí/nosotros de y en el curso de mi/nuestro empleo con mis/nuestros respectivos empleadores según se indica debajo de mi /nuestros nombre(s). Reconozco que yo/nosotros poseo la invención y todas las patentes y otros derechos y facultades obtenidos o ejercibles respecto de ello en fiducia para nuestros respectivos empleadores. Como fiduciario(s), y por consideraciones y/o remuneraciones buenas y valiosas recibidas por mi/nuestro trabajo, yo/nosotros vendo, cede y transfiero a:

Rohm and Haas Company
100 Independence Mall West
Filadelfia, PA 19106

ESTADOS UNIDOS DE AMERICA

una sociedad organizada y existente bajo las leyes del Estado de Delaware (en adelante llamada el "Cesionario"), todo mi/nuestro derecho, título e interés en todo el mundo, en y de la invención y todos los derechos y facultades para hacer solicitudes, incluyendo solicitudes provisionales cuando la ley lo permita, para Patente de Invención en su propio nombre, o en el nombre de su designado (o nominatario(s)); y todos los derechos y facultades para presentar cualquier solicitud correspondiente o equivalente en cualquiera y todos los países, incluyendo todos los derechos para reivindicar prioridad bajo todas las leyes de los Estados Unidos, Convención(es) Internacional y Tratados en nombre del

Cesionario, y por el presente autorizo al Cesionario o a su designado que inserte acá el Número de Prioridad, Fecha de Presentación y País de Presentación

Número de Prioridad	Fecha de Presentación	País de Presentación
61/360,968	7/02/2010	Estados Unidos de América

de la solicitud, sobre la cual la reivindicación de prioridad se basará; y en y de cualquier Patente de Invención que se expida para la invención en cualquiera y todos los países. Yo/Nosotros por el presente acuerdo ayudarle al Cesionario en la prosecución de la solicitud(es) y en cualquier interferencia, conflicto, oposición o litigio que pudiese surgir que comprenda la invención o la patente o patentes sobre ella, y firmar para cualquiera y todos los países cualquier documento relacionado con solicitudes que se deriven de, correspondientes a o equivalentes a la solicitud anteriormente identificada como el Cesionario solicite; y yo/nosotros por el presente autorizo al Cesionario o a su designado (o nominatario(s) que inserte acá cualquier Número de Solicitud, Fecha de Presentación y País de Presentación equivalentes correspondientes.

Número de Prioridad	Fecha de Presentación	País de Presentación

a la solicitud de Prioridad anterior de un país donde esa propiedad de patente del país deba ser identificada y registrada anteriormente para registro de este Traspaso. Yo/Nosotros autorizo al Cesionario o a su designado que traduzca este Traspaso a todos los idiomas que sean necesarios; y le solicito que dicha Patente de Invención sea expedida al Cesionario; y consiento el registro de este Traspaso, o una Copia Notarial Fiel del mismo; estando bajo acuerdo no sólo que yo/nosotros tengo plena facultad para hacer el mismo, pero también que dichas

5

facultades traspasadas no están gravadas por ningún otorgamiento, licencia u otra facultad dada por el presente.

Suscrito y Declarado ante mí/nosotros:

En: este día 8 de junio de 2011 Con vigencia el 2 de julio de 2010 Firma: (ilegible) Nombre: Yueqian Zhen Residencia: 2052 Hawthorne Place Paoli, PA 19301 País: Estados Unidos de América Ciudadanía: Estados Unidos de América Dirección Postal: la misma residencia Empleado por: The Dow Chemical Company De: Estados Unidos de América	En: este día ____ de ____ de 20__ Con vigencia el Firma: _____ Nombre: Residencia: País: Ciudadanía: Dirección Postal: Empleado por: De:
--	--

CERTIFICADO NOTARIAL (Individuo)

ESTADOS UNIDOS DE AMERICA)
) SS
)

En este día 8 de junio de 2011, compareció personalmente ante mí,

YUEQIAN ZHEN

a quien conozco o quien se identificó ante mí como la persona que firmó el documento anterior y reconoció el mismo como un acto y acción libres para los fines ahí expresados.

SELLO

(firma: ilegible)

Commonwealth de Pennsylvania

Sello Notarial
Anna Marie Devlin, Notario Público
Lower Gwynedd Twp., Condado de Montgomery
Mi Nombramiento Expira el de marzo de 2013

Miembro, Asociación de Notarios de Pennsylvania

Traducido según mi mejor saber y entender, este día 8 de agosto de 2011, en la ciudad de Bogotá, D.C., por Anita Escobar de Tamayo, Traductora Oficial, Res. 2177 de 1989, Ministerio de Justicia, República de Colombia.

TRADUCTORA OFICIAL
RES. 2177/89 MIN. JUSTICIA
Anita Escobar de Tamayo
ANITA ESCOBAR DE TAMAYO

Apostille

(Convention de La Haye du 5 Octobre 1961)

1. Country: **United States of America**

This public document

2. has been signed by **Norman Goodman**

3. acting in the capacity of **County Clerk**

4. bears the seal/stamp of the county of **New York**

Certified

5. At New York, New York 6. the 15th day of July 2011

7. by Special Deputy Secretary of State, State of New York

8. No. NYC-102816B

9. Seal/Stamp

10. Signature

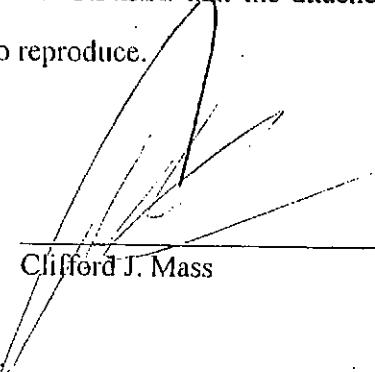


Sandra J. Tallman

Sandra J. Tallman
Special Deputy Secretary of State

Case: 70054
Colombia

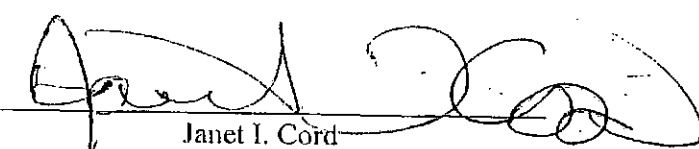
I, Clifford J. Mass, a Partner, in the firm of Ladas & Parry LLP, of 1040 Avenue of the Americas, New York, State of New York 10018, United States of America, patent attorneys for applicant, do certify pursuant to Rule 2105 C.P.L.R. that the attached document is a true copy of the original document it purports to reproduce.


Clifford J. Mass

UNITED STATES OF AMERICA)
STATE OF NEW YORK)
COUNTY OF NEW YORK)

ss::

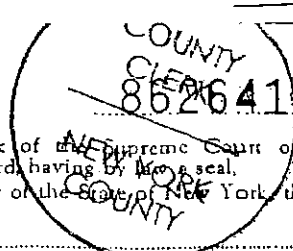
Subscribed and sworn before me this 14th Day of July 2011


Janet I. Cord

(SEAL)

State of New York } ss.:
County of New York, }

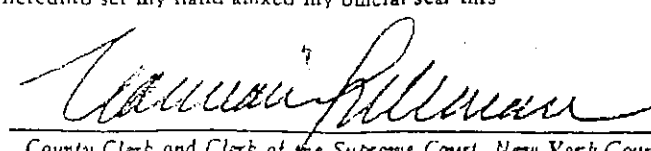
I, NORMAN GOODMAN, County Clerk and Clerk of the Supreme Court of the State of New York, in and for the County of New York, a Court of Record, having by me a seal, DO HEREBY CERTIFY pursuant to the Executive Law of the State of New York, that


Janet I. Cord

whose name is subscribed to the annexed affidavit, deposition, certificate of acknowledgment or proof, was at the time of taking the same a NOTARY PUBLIC in and for the State of New York duly commissioned, sworn and qualified to act as such; that pursuant to law, a commission or a certificate of his official character, with his autograph signature has been filed in my office; that at the time of taking such proof, acknowledgment or oath, he was duly authorized to take the same; that I am well acquainted with the handwriting of such NOTARY PUBLIC or have compared the signature on the annexed instrument with his autograph signature deposited in my office, and I believe that such signature is genuine.

IN WITNESS WHEREOF, I have hereunto set my hand affixed my official seal this

FEE PAID: \$3.00 JUL 15 2011


County Clerk and Clerk of the Supreme Court, New York County

This Assignment 110 is in the ENGLISH language and has the same meaning in other languages with the same 110 number and R/Date.
This Assignment 110 is in the ENGLISH language and has the same meaning in other languages with the same 110 number and R/Date.
FORM 110 (R 5/97)

INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION
(with Priority Rights)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled: COATED POWDER PARTICLES

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

Rohm and Haas Company
100 Independence Mall West
Philadelphia, PA 19106
UNITED STATES OF AMERICA

a corporation organized and existing under the laws of the State of Delaware (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

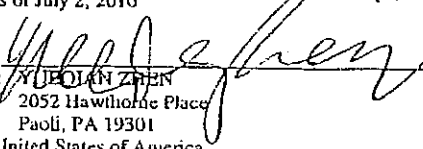
Priority Number	Filing Date	Filing Country
61/360,968	7/02/2010	United States of America

of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request; and I/we do hereby authorize Assignee or its designee (or nominee(s)) to insert here any corresponding equivalent Application Number, Filing Date, and Filing Country

Application Number	Filing Date	Filing Country

to the above Priority application of any country where that country's patent property must be identified and entered hereinabove for recordal of this Assignment. I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recordal of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At:
this 8 day of June, 2011
Effective as of July 2, 2010
Signature: 
Full Name: YUELIAN ZHENG
Residence: 2052 Hawthorne Place
Paoli, PA 19301
Country: United States of America
Citizenship: United States of America
P.O. Address: Same as residence
Employed By: The Dow Chemical Company
Of Country: United States of America

At:
this _____ day of _____, 20____
Effective as of _____
Signature: _____
Full Name: _____
Residence: _____
Country: _____
Citizenship: _____
P.O. Address: _____
Employed By: _____
Of Country: _____

Assignment 220 is in the ENGLISH language and has the same meaning in other languages with the same 220 number and 100 date.

This Assignment 220 is in the ENGLISH language and has the same meaning in other languages with the same 220 number and 100 date.

FORM 220

NOTARIAL CERTIFICATE (Individual)

UNITED STATES OF AMERICA)
) SS
)

On this 8th day of June, 2011, personally appeared before me,
 YUEQIAN ZHEN

known or identified to me to be the individual described in and who executed the foregoing document
 and acknowledged the same as a free act and deed for uses and purposes therein expressed.

SEAL

Anna Marie Devlin

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
 Anna Marie Devlin, Notary Public
 Lower Gwynedd Twp., Montgomery County
 My Commission Expires March 21, 2013
 Member, Pennsylvania Association of Notaries

TRADUCCION OFICIAL No. 2011-08-08-482
DE UN DOCUMENTO ESCRITO EN INGLES

LOS ESTADOS UNIDOS DE AMERICA
A TODOS AQUELLOS QUE VIEREN ESTOS PRESENTES:
DEPARTAMENTO DE COMERCIO DE LOS ESTADOS UNIDOS
Oficina de Patentes y Marcas de los Estados Unidos

06 de junio de 2011

POR EL PRESENTE CERTIFIQO QUE ADJUNTO AL PRESENTE SE ENCUENTRA UNA COPIA FIEL DE LOS REGISTROS DE LA OFICINA DE MARCAS Y PATENTES DE LOS ESTADOS UNIDOS DE AQUELLOS DOCUMENTOS DE LA SOLICITUD DE PATENTE IDENTIFICADA A CONTINUACION QUE CUMPLIO CON LOS REQUERIMIENTOS PARA QUE SE LE OTORQUE UNA FECHA DE PRESENTACION BAJO 35 USC 111.

NUMERO DE SOLICITUD: 61/360.968

FECHA DE PRESENTACION: 02 de julio de 2010

EL CODIGO DEL PAIS Y EL NUMERO DE SU SOLICITUD DE PRIORIDAD, PARA SER USADOS PARA PRESENTACION EN EL EXTERIOR BAJO LA CONVENCION DE PARIS, ES US61/360,968

Departamento de Comercio
Oficina de Patentes y Marcas de los
Estados Unidos

Por Autoridad del
Subsecretario de Comercio para
Propiedad Intelectual y Director de la Oficina de
Patentes y Marcas de los Estados Unidos
(firma: ilegible)
M. TARVER
Funcionario que Certifica

Recibo de Reconocimiento Electrónico	
ID EFS:	7943968
Número de Solicitud:	61360968
Número de Solicitud Internacional:	
Número de Confirmación:	8644
Título de la Invención:	PARTICULAS EN POLVO RECUBIERTAS
Primer Inventor Nombrado/Nombre Solicitante:	Yueqian Zhen
Número del Cliente:	21898
Presentador:	Carl P. Hemenway/Janice Soulas
Presentador Autorizado por:	Carl P. Hemenway
Número de Expediente del Apoderado:	70054
Fecha de Recepción:	02-JUL-2010
Fecha de Presentación:	
Estampilla de Tiempo:	11:10:09
Tipo de Solicitud:	Provisional
Información sobre Pago:	
Presentada con Pago	sí
Tipo de Pago	Tarjeta de Crédito
El pago fue exitosamente recibido en RAM	\$220
Número confirmación RAM	9664
Cuenta de Depósito	181850
Usuario Autorizado	
El Director de la USPTO por el presente está autorizado para cobrar los derechos indicados y acreditar cualquier sobrepago así: Cobrar cualquier Derecho Adicional requerido bajo 37 C.F.R. Sección 1.16 (Presentación de solicitud nacional, búsqueda y derechos de examen) Cobrar cualquier Derecho Adicional requerido bajo 37 C.F.R. Sección 1.17 (Solicitud de patente y derechos de procesamiento de examen) Cobrar cualquier Derecho Adicional requerido bajo 37 C.F.R. Sección 1.19 (Derechos de suministro de documentos) Cobrar cualquier Derecho Adicional requerido bajo 37 C.F.R. Sección 1.20 (Derechos de expedición posteriores) Cobrar cualquier Derecho Adicional requerido bajo 37 C.F.R. Sección 1.21 (Derechos varios y cobros)	

Listas de Archivos:					
Número del Documento	Descripción del Documento	Nombre del Archivo	Tamaño del Archivo (Bytes)/ Message Digest	Multi Partes / .zip	Páginas (si aplica)

1	Hoja de Datos de la Solicitud	ADS.pdf	953898 d07b76f8bd87ee61a4d50b94dfa24724bf3c7e94	no	4
Advertencias:					
Información:					
2		70054.pdf	379199 88c1e8678f3a6b6b2867555d567f2e8ddaeea242	si	22
	Descripción Multipartes/Archivos PDF en .descripción zip				
	Descripción del Documento		Inicio	Final	
	Especificación		1	19	
	Reivindicaciones		20	21	
	Resumen		22	22	
Advertencias:					
Información:					
3	Hoja de Trabajo de Derechos (PTO-875)	Fee.info.pdf	29381 8061d9bd80762a05ea37591b56437addb9a591d	no	2
Advertencias:					
Información:					
Tamaño Total de los Archivos (en bytes):			1362488		
Este Recibo de Reconocimiento evidencia recibo en la fecha anotada por la USPTO de los documentos indicados, caracterizados por el solicitante, e incluyendo conteo de páginas, donde aplique. Sirve como evidencia de recibo similar a una Tarjeta Postal, según se describe en MPEP 503.					
<u>Nuevas Solicitudes Bajo 35 U.S.C. 111</u>					
Si se presenta una nueva solicitud y la solicitud incluye los componentes necesarios para una fecha de presentación (ver 37 CFR 1.53(b)-(d) y MPEP 506), un Recibo de Presentación (37 CFR 1.54) será expedido en el curso debido y la fecha mostrada en este Recibo de Reconocimiento establecerá la fecha de presentación de la solicitud.					
<u>Fase Nacional de una Solicitud Internacional bajo 35 U.S.C. 371</u>					

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Si una presentación a tiempo para registrar una solicitud internacional en la fase nacional cumple con las condiciones de 35 U.S.C. 371 y otros requerimientos que aplican se expedirá un Formato PCT/DO/EO/903 que indica aceptación de la solicitud como una presentación de la fase nacional bajo 35 U.S.C. 371 además del Recibo de Presentación, en su curso debido.

Nueva Solicitud Internacional Presentada ante la USPTO como Oficina que Recibe

Si se presenta una nueva solicitud internacional y la solicitud internacional incluye los componentes necesarios para una fecha de presentación internacional (ver Artículo 11 PCT y MPEP 1810), se expedirá una Notificación del Número de la Solicitud Internacional y de la Fecha de Presentación Internacional (Formato PCT/RO/105) en el curso debido, sujeta a las prescripciones relacionadas con la seguridad nacional, y la fecha que aparece en este Recibo de Reconocimiento establecerá la fecha de presentación internacional de la solicitud.

Reivindicamos:

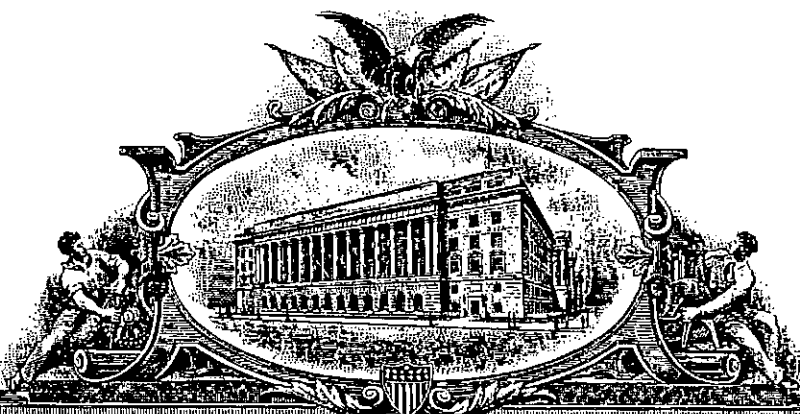
1. Una composición en polvo que comprende una colección de partículas (I) que tienen un diámetro promedio de partícula de 10 micrómetros a 200 micrómetros, en donde cada una de dichas partículas (I) comprende
 - (a) una cobertura de un compuesto graso que tiene un punto de fusión de 50°C a 110°C y
 - (b) una o más partículas internas (II) que comprenden uno o más complejos que contienen una molécula de un compuesto de ciclopropano o una porción de una molécula de un compuesto de ciclopropano encapsulada en una molécula de un agente de encapsulamiento molecular.
2. La composición en polvo de la reivindicación 1, en donde dicha colección de partículas (I) tiene un diámetro promedio de partícula de 10 micrómetros a 100 micrómetros.
3. La composición en polvo de la reivindicación 1, en donde dicho compuesto graso tiene un punto de fusión de 70°C a 90°C.
4. La composición en polvo de la reivindicación 1, en donde dicho compuesto graso comprende aceite de soya hidrogenado o aceite de semilla de algodón hidrogenado o cera de homopolímero de polietileno.
5. La composición en polvo de la reivindicación 1, en donde la cantidad de dicho compuesto graso es 50% a 99% en peso con base en el peso de dicha composición en polvo.
6. La composición en polvo de la reivindicación 1,

en donde dicha colección de partículas (I) tiene un diámetro promedio de partícula de 10 micrómetros a 100 micrómetros;

en donde dicho compuesto graso tiene un punto de fusión de 70°C a 90°C; y

en donde la cantidad de dicho compuesto graso es 50% a 99% en peso con base en el peso de dicha composición en polvo.

PA 1844216



THE UNITED STATES OF AMERICA

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June 06, 2011

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APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A
FILING DATE UNDER 35 USC 111.

APPLICATION NUMBER: 61/360,968

FILING DATE: July 02, 2010

THE COUNTRY CODE AND NUMBER OF YOUR PRIORITY
APPLICATION, TO BE USED FOR FILING ABROAD UNDER THE PARIS
CONVENTION, IS US61/360,968

By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office



M. TARVER
Certifying Officer

Electronic Acknowledgement Receipt

EFS ID:	7943968
Application Number:	61360968
International Application Number:	
Confirmation Number:	8644
Title of Invention:	COATED POWDER PARTICLES
First Named Inventor/Applicant Name:	Yueqlan Zhen
Customer Number:	21898
Filer:	Carl P. Hemenway/Janice Soulas
Filer Authorized By:	Carl P. Hemenway
Attorney Docket Number:	70054
Receipt Date:	02-JUL-2010
Filing Date:	
Time Stamp:	11:10:09
Application Type:	Provisional

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$220
RAM confirmation Number	9664
Deposit Account	181850
Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Application Data Sheet	ADS.pdf	953898 d07b76d8d07ee61e4d50b94d9a24724b12c7e94	no	4

Warnings:

Information:

2		70054.pdf	379199 88c1e8678f3a6b6b2867555d56702e8ddaaea242	yes	22
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	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Specification		1	19	
	Claims		20	21	
	Abstract		22	22	

Warnings:

Information:

3	Fee Worksheet (PTO-875)	fee-Info.pdf	29391 8061d9bd90762e05ea37591b56437af73b9a591d	no	2
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Warnings:

Information:

Total Files Size (in bytes):			1362488		
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Electronic Acknowledgement Receipt

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Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 220
RAM confirmation Number	9664
Deposit Account	181850
Authorized User	

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formation:

2		70054.pdf	379199 88c1e8678f3a6b8b2867555d5672e8ddaa ea242	yes	22
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Multipart Description/PDF files in .zip description

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3	Fee Worksheet (PTO-875)	fee-info.pdf	29391 B061d9bd80762a05ea17591b56437a7f3b9 a591d	no	2
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COATED POWDER PARTICLES

BACKGROUND:

[0001] One desirable way of treating plants or plant parts is to prepare a liquid composition that contains one or more cyclopropene compound and then apply that liquid composition to plants or plant parts. It is contemplated that such treatment is useful for blocking the effects of ethylene in the treated plants or plant parts. One useful way of preparing such a liquid composition is to make an encapsulation complex in which a molecule of a cyclopropene compound is encapsulated in a molecule of a molecular encapsulation agent. The encapsulation complex can be made into a powder, which can be conveniently stored and transported. One method of using such a powder is to make a liquid composition by mixing the powder with water, possibly along with other ingredients, and bringing the resulting liquid composition into contact with plants or plant parts, for example by spraying or dipping.

[0002] One difficulty that arises with such a method of making and using such liquid compositions is that contact with water may cause the cyclopropene compound to release from the encapsulation complex too quickly. Release of cyclopropene compound that happens too quickly can cause several problems. If the liquid composition is in an enclosed container such as a spray tank, undesirably high levels of cyclopropene compound may accumulate in the headspace of the container. Also, if the liquid composition is sprayed or is placed into an open tank (e.g., an open tank into which plants or plant parts will be dipped), undesirable amounts of cyclopropene compound may be released to the atmosphere and become unavailable for coming into contact with plants or plant parts.

[0003] US Patent 5,384,186 describes perfume/cyclodextrin complexes suspended in polyalkylene glycol carrier material.

[0004] It is desired to provide a powder composition that contains one or more cyclopropene compound and that, when mixed with water, retards the release of cyclopropene compound but does not entirely prevent the release of cyclopropene compound.

STATEMENT OF THE INVENTION:

[0005] In a first aspect of the present invention, there is provided a powder composition comprising a collection of particles (I) having median particle diameter of 10 micrometers to 200 micrometers, wherein each of said particles (I) comprises

- (a) a covering of a fatty compound having melting point of 50°C to 110°C and
- (b) one or more inner particles (II) comprising one or more complex that contains a cyclopropene compound molecule or a portion of a cyclopropene compound molecule encapsulated in a molecule of a molecular encapsulating agent.

[0006] In a second aspect of the present invention, there is provided a slurry comprising an aqueous medium and the collection of particles (I) described above in the first aspect of the present invention.

[0007] In a third aspect of the present invention, there is provided a method of treating plants or plant parts comprising contacting said plants or plant parts with the slurry described above in the second aspect of the present invention.

DETAILED DESCRIPTION:

[0008] As used herein, a "fatty group" is a chemical group that contains at least one chain of carbon atoms that is at least 8 carbon atoms long. A "fatty compound" is any compound that contains a fatty group.

[0009] As used herein, and "aqueous medium" is a composition that is liquid at 25°C and that contains 75% or more water by weight, based on the weight of the aqueous medium. Ingredients that are dissolved in the aqueous medium are considered to be part of the aqueous medium, but materials that are not dissolved in the aqueous medium are not considered to be part of the aqueous medium. An ingredient is "dissolved" in a liquid if individual molecules of that ingredient are distributed throughout the liquid and are in intimate contact with the molecules of the liquid.

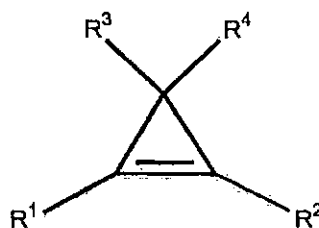
[0010] As used herein, when any ratio is said to be X:1 or higher, that ratio is meant to be Y:1, where Y is X or higher. Similarly, when any ratio is said to be R:1 or lower, that ratio is meant to be S:1, where S is R or lower.

[0011] As used herein, the "aspect ratio" of a solid particle is the ratio of the particle's longest dimension to that particle's shortest dimension. A particle's longest dimension is the length of the longest possible line segment ("segment L") that passes through the particle's center of mass and that has each of its end points on the surface of the particle. That particle's shortest dimension is the length of the shortest possible line segment ("segment S") that passes through the particle's center of mass, that has each of its end points on the surface of the particle, and that is perpendicular to segment L. The aspect ratio is the ratio of the length of segment L to the length of segment S.

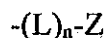
[0012] As used herein, the "diameter" of a particle is the average of the length of that particle's segment L and that particle's segment S. It is noted that, when the particle is spherical, this definition give the "diameter" in the usual sense.

[0013] As used herein, when a property of a powder is described as having a "median" value, it is contemplated that half of the total volume of powder particles will consist of particles that have that property with value above that median value and that half of the total volume of powder particles will consist of particles that have property with value below that median value.

[0014] The practice of the present invention involves the use of one or more cyclopropene compound. As used herein, a cyclopropene compound is any compound with the formula



where each R^1 , R^2 , R^3 and R^4 is independently selected from the group consisting of H and a chemical group of the formula:



where n is an integer from 0 to 12. Each L is a bivalent radical. Suitable L groups include, for example, radicals containing one or more atoms selected from H, B, C, N, O, P, S, Si, or mixtures thereof. The atoms within an L group may be connected to each other by single bonds, double bonds, triple bonds, or mixtures thereof. Each L group may be linear, branched, cyclic, or a combination thereof. In any one R group (i.e., any one of R^1 , R^2 , R^3 and R^4) the total number of heteroatoms (i.e., atoms that are neither H nor C) is from 0 to 6. Independently, in any one R group the total number of non-hydrogen atoms is 50 or less. Each Z is a monovalent radical. Each Z is independently selected from the group consisting of hydrogen, halo, cyano, nitro, nitroso, azido, chlorate, bromate, iodate, isocyanato, isocyanido, isothiocyanato, pentafluorothio, and a chemical group G, wherein G is a 3 to 14 membered ring system.

[0015] The R^1 , R^2 , R^3 , and R^4 groups are independently selected from the suitable groups. Among the groups that are suitable for use as one or more of R^1 , R^2 , R^3 , and R^4 are, for

example, aliphatic groups, aliphatic-oxy groups, alkylphosphonato groups, cycloaliphatic groups, cycloalkylsulfonyl groups, cycloalkylamino groups, heterocyclic groups, aryl groups, heteroaryl groups, halogens, silyl groups, other groups, and mixtures and combinations thereof. Groups that are suitable for use as one or more of R^1 , R^2 , R^3 , and R^4 may be substituted or unsubstituted.

[0016] Among the suitable R^1 , R^2 , R^3 , and R^4 groups are, for example, aliphatic groups. Some suitable aliphatic groups include, for example, alkyl, alkenyl, and alkynyl groups. Suitable aliphatic groups may be linear, branched, cyclic, or a combination thereof. Independently, suitable aliphatic groups may be substituted or unsubstituted.

[0017] As used herein, a chemical group of interest is said to be "substituted" if one or more hydrogen atoms of the chemical group of interest is replaced by a substituent.

[0018] Also among the suitable R^1 , R^2 , R^3 , and R^4 groups are, for example, substituted and unsubstituted heterocyclyl groups that are connected to the cyclopropene compound through an intervening oxy group, amino group, carbonyl group, or sulfonyl group; examples of such R^1 , R^2 , R^3 , and R^4 groups are heterocyclyloxy, heterocyclylcarbonyl, diheterocyclylamino, and diheterocyclylaminosulfonyl.

[0019] Also among the suitable R^1 , R^2 , R^3 , and R^4 groups are, for example, substituted and unsubstituted heterocyclic groups that are connected to the cyclopropene compound through an intervening oxy group, amino group, carbonyl group, sulfonyl group, thioalkyl group, or aminosulfonyl group; examples of such R^1 , R^2 , R^3 , and R^4 groups are diheteroaryl amino, heteroarylthioalkyl, and diheteroarylaminosulfonyl.

[0020] Also among the suitable R^1 , R^2 , R^3 , and R^4 groups are, for example, hydrogen, fluoro, chloro, bromo, iodo, cyano, nitro, nitroso, azido, chlorato, bromato, iodato, isocyanato, isocyanido, isothiocyanato, pentafluorothio; acetoxy, carboethoxy, cyanato, nitrate, nitrito, perchlorato, allenyl, butylmercapto, diethylphosphonato, dimethylphenylsilyl, isoquinolyl, mercapto, naphthyl, phenoxy, phenyl, piperidino, pyridyl, quinolyl, triethylsilyl, trimethylsilyl; and substituted analogs thereof.

[0021] As used herein, the chemical group G is a 3 to 14 membered ring system. Ring systems suitable as chemical group G may be substituted or unsubstituted; they may be aromatic (including, for example, phenyl and naphthyl) or aliphatic (including unsaturated aliphatic, partially saturated aliphatic, or saturated aliphatic); and they may be carbocyclic or heterocyclic. Among heterocyclic G groups, some suitable heteroatoms are, for example, nitrogen, sulfur, oxygen, and combinations thereof. Ring systems

suitable as chemical group G may be monocyclic, bicyclic, tricyclic, polycyclic, spiro, or fused; among suitable chemical group G ring systems that are bicyclic, tricyclic, or fused, the various rings in a single chemical group G may be all the same type or may be of two or more types (for example, an aromatic ring may be fused with an aliphatic ring).

[0022] In preferred embodiments, one or more of R^1 , R^2 , R^3 , and R^4 is hydrogen or (C_1 - C_{10}) alkyl. More preferred are embodiments in which each of R^1 , R^2 , R^3 , and R^4 is hydrogen or (C_1 - C_8) alkyl. More preferred are embodiments in which, each of R^1 , R^2 , R^3 , and R^4 is hydrogen or (C_1 - C_4) alkyl. More preferred are embodiments in which each of R^1 , R^2 , R^3 , and R^4 is hydrogen or methyl. More preferred are embodiments in which R^1 is (C_1 - C_4) alkyl and each of R^2 , R^3 , and R^4 is hydrogen. Most preferred are embodiments in which R^1 is methyl and each of R^2 , R^3 , and R^4 is hydrogen, and the cyclopropene compound is known herein as "1-MCP."

[0023] Preferred are embodiments in which a cyclopropene compound is used that has boiling point at one atmosphere pressure of 50°C or lower; more preferred 25°C or lower; more preferred 15°C or lower. Independently, embodiments are preferred in which a cyclopropene compound is used that has boiling point at one atmosphere pressure of -100°C or higher; more preferred -50°C or higher; more preferred -25°C or higher; more preferred 0°C or higher.

[0024] The composition of the present invention includes at least one molecular encapsulating agent. In preferred embodiments, at least one molecular encapsulating agent encapsulates one or more cyclopropene compound or a portion of one or more cyclopropene compound. A complex that contains a cyclopropene compound molecule or a portion of a cyclopropene compound molecule encapsulated in a molecule of a molecular encapsulating agent is known herein as a "cyclopropene compound complex."

[0025] In preferred embodiments, at least one cyclopropene compound complex is present that is an inclusion complex. In such an inclusion complex, the molecular encapsulating agent forms a cavity, and the cyclopropene compound or a portion of the cyclopropene compound is located within that cavity.

[0026] Preferably, in such inclusion complexes, the interior of the cavity of the molecular encapsulating agent is substantially apolar or hydrophobic or both, and the cyclopropene compound (or the portion of the cyclopropene compound located within that cavity) is also substantially apolar or hydrophobic or both. While the present invention is not limited to any particular theory or mechanism, it is contemplated that, in such apolar cyclopropene

compound complexes, van der Waals forces, or hydrophobic interactions, or both, cause the cyclopropene compound molecule or portion thereof to remain within the cavity of the molecular encapsulating agent.

[0027] The amount of molecular encapsulating agent can usefully be characterized by the ratio of moles of molecular encapsulating agent to moles of cyclopropene compound. In preferred embodiments, the ratio of moles of molecular encapsulating agent to moles of cyclopropene compound is 0.1 or larger; more preferably 0.2 or larger; more preferably 0.5 or larger; more preferably 0.9 or larger. Independently, in preferred embodiments, the ratio of moles of molecular encapsulating agent to moles of cyclopropene compound is 10 or lower; more preferably 5 or lower; more preferably 2 or lower; more preferably 1.5 or lower.

[0028] Suitable molecular encapsulating agents include, for example, organic and inorganic molecular encapsulating agents. Preferred are organic molecular encapsulating agents, which include, for example, substituted cyclodextrins, unsubstituted cyclodextrins, and crown ethers. Suitable inorganic molecular encapsulating agents include, for example, zeolites. Mixtures of suitable molecular encapsulating agents are also suitable. In preferred embodiments, the encapsulating agent is alpha-cyclodextrin, beta-cyclodextrin, gamma-cyclodextrin, or a mixture thereof. In more preferred embodiments of the invention, alpha-cyclodextrin is used.

[0029] A preferred method of making the powder composition of the present invention includes the step of making a powder (herein called the "complex powder") that contains cyclopropene compound complex. The complex powder either contains no fatty compound or else, if any fatty compound is present, the amount of all fatty compounds is less than 1% by weight based on the weight of the complex powder. Usually, each particle of the complex powder contains many molecules of molecular encapsulating agent in which a molecule of a cyclopropene compound is encapsulated. The complex powder may also contain one or more adjuvants, including, for example, one or more mono- or di- saccharide compound, one or more metal complexing agent, or combinations thereof.

[0030] Preferred complex powders have median particle diameter of 10 micrometers or less; more preferred 7 micrometers or less; more preferred 5 micrometers or less. Independently, preferred complex powders have median particle diameter of 0.1 micrometer or more; or 0.3 micrometer or more. Median particle diameter may be measured by light diffraction using a commercial instrument such as those manufactured, for example, by Horiba Co, or Malvern Instruments.

[0031] Preferred complex powders have median aspect ratio of 5:1 or lower; more preferably 3:1 or lower; more preferably 2:1 or lower. If a complex powder is obtained that has undesirably high median aspect ratio, it is preferred to use mechanical means such as, for example, milling, to reduce the median aspect ratio to a desirable value.

[0032] The present invention involves the use of a fatty compound having melting point of 50°C to 110°C. If a fatty compound has more than one melting point, the "melting point" of that fatty compound is herein considered to be the lowest melting point that accounts for 10% or more of the total melting exotherm. Melting points and melting exotherms may be observed using differential scanning calorimetry (DSC).

[0033] Fatty compounds include, for example, fatty acids, fatty hydrocarbons, fatty oils and waxes, modified versions thereof, and mixtures thereof. Suitable modifications include any process, including chemical reactions, that alters the composition of a fatty compound, as long as the resulting compound still meets the definition of fatty compound. Modifications include, for example, hydrogenation, esterification, trans-esterification, de-esterification, polymerization, attachment of functional groups, and combinations thereof. Fatty acids have the formula $R-COOH$, where the R group contains a fatty group. Fatty hydrocarbons are fatty compounds that contain only carbon and hydrogen atoms. Fatty oils and waxes are fatty compounds that contain one or more ester group, hydroxyl group, aldehyde group, ketone group, or combination thereof.

[0034] Preferred fatty compounds include at least one fatty group having 16 or more carbon atoms. More preferred are fatty compounds that include at least one fatty group having 18 or more carbon atoms.

[0035] Preferred fatty compounds include fatty acids, triglycerides, polyolefin waxes, and mixtures thereof. Triglycerides are triesters of glycerol with three fatty acids. Among fatty acids, the preferred fatty acids do not have pendant hydroxyl groups. When oils that contain carbon-carbon double bonds are hydrogenated, the extent of the hydrogenation process can determine the melting point of the hydrogenated oil. It is contemplated that when hydrogenated oil is used in the present invention, the extent of hydrogenation will be determined to make the melting point of the hydrogenated oil fall within the melting point ranges discussed below as appropriate for use in the present invention. Preferred triglycerides are hydrogenated soybean oil and hydrogenated cottonseed oil.

[0036] Polyolefin waxes are polymers that have polymerized units of ethylene, propylene, or a mixture thereof. Preferred polyolefin waxes are polymers that have no

polymerized units other than ethylene, propylene, or a mixture thereof. More preferred are polyethylene homopolymer waxes. Independent of monomer type, preferred polyolefin waxes have number-average molecular weight of 200 or higher; more preferred is 400 or higher. Independently, preferred polyolefin waxes have number-average molecular weight of 2,000 or lower; or 1,000 or lower; or 750 or lower.

[0037] Preferred fatty compounds are triglycerides, polyolefin waxes, and mixtures thereof.

[0038] Fatty compounds useful in the present invention have melting point of 50°C to 110°C. It is contemplated that if the melting point is too low, the powder composition will be sticky, and the powder will not flow properly. It is also contemplated that if the melting point is too high, when cyclopropene compound complex is mixed with molten fatty compound, the temperature will be high enough to cause significant degradation of the cyclopropene compound.

[0039] Preferred fatty compounds have melting point of 55°C or higher; more preferred 65°C or higher; more preferred 70°C or higher. Independently, preferred fatty compounds have melting point of 100°C or lower; more preferred 90°C or lower.

[0040] Another method of assessing fatty compounds is the temperature of onset of the melting point. To determine the onset temperature, the exotherm curve (heat flow vs. temperature) produced by the DSC for the melting point transition is observed. The baseline is determined, and a corrected heat-flow curve calculated by subtracting the baseline from the original heat-flow curve. The maximum heat-flow value of the corrected curve (HFMAX) is determined. The onset temperature is the lowest temperature at which the heat-flow value on the corrected curve is equal to $0.1 \cdot \text{HFMAX}$.

[0041] Preferred fatty compounds have onset temperature of 45°C or higher; more preferred is 55°C or higher.

[0042] In the powder composition of the present invention, within an individual powder particle, a fatty compound forms a covering over inner particles (II) that contain cyclopropene compound complex.

[0043] A preferred method of making the powder composition of the present invention involves mixing complex powder with molten fatty compound. This mixture may then be separated into individual powder particles by any method. A preferred method of turning the molten mixture into powder particles is spray chilling. Spray chilling is a process that involves forming droplets of the molten mixture and dispersing those droplets in air; as the

droplets fall due to gravity, they cool and form solid powder particles. The air may be still or may be given an upward current. The droplets may be formed by passing the molten mixture through a spray head or a nozzle or by flinging molten mixture off of a rotating disk by centrifugal force.

[0044] An alternative method of producing powder particles of the present invention is non-aqueous spray drying. In this method, using a solvent other than water, fatty compound is dissolved and complex powder is dispersed, and the resulting mixture is spray dried.

[0045] When powder particles of the present invention are formed by any of the above methods, it is contemplated that complex powder particles remain intact and become the inner particles (II) within each of the powder particles of the present invention.

[0046] In the powder particles (I) of the present invention, it is contemplated that the outer surface of each powder particle (I) is composed mostly or entirely of the fatty compound. It is contemplated that, for most or all of the powder particles (I), each powder particle (I) contains one or more particles of complex powder (i.e., powder particles (II)).

[0047] Preferred powder compositions of the present invention contain one or more dispersant. Dispersants are compounds that assist in suspending solid particles in a liquid medium. Typical dispersants are polymeric or oligomeric. It is contemplated that a dispersant will aid in distributing the powder particles (II) throughout the liquid form of the fatty compound (i.e., molten or dissolved fatty compound) during the process of forming the powder particles (I). The preferred amount of dispersant is, by weight based on the weight of the powder composition of the present invention, 0.05% or more; more preferably 0.1% or more; more preferably 0.2% or more. Independently, the preferred amount of is, by weight based on the weight of the powder composition of the present invention, 5% or less; or 2% or less.

[0048] Some powder compositions of the present invention contain one or more "additional polymer" in addition to the fatty compound having melting point of 50°C to 110°C. Such an additional polymer may or may not qualify as a fatty compound having melting point of 50°C to 110°C. Preferred additional polymers are miscible with the fatty compound having melting point of 50°C to 110°C, while that fatty compound is in the melt state.

[0049] In one preferred embodiment, the fatty compound having melting point of 50°C to 110°C contains one or more hydrogenated triglyceride and an additional polymer. In such embodiments, preferred polymers are copolymers of olefin monomer with one or more non-

olefin monomer. Preferred non-olefin monomers are vinyl esters of aliphatic carboxylic acids and unsaturated carboxylic acids. Preferred additional polymers have relatively high molecular weight. Molecular weight can be judged by melt flow rate, using ASTM D1238, at 190°C with 2.16 kg. Preferred additional polymers have melt flow rate of 1 g/10 min or higher; more preferably 3 g/10 min or higher. Independently, preferred additional polymers have melt flow rate of 20 g/10 min or lower; or 10 g/10 min or lower.

[0050] The amount of fatty compound in the powder composition of the present invention, by weight based on the weight of the powder composition, is preferably 40% or more; more preferably 50% or more. Independently, the amount of fatty compound in the powder composition of the present invention, by weight based on the weight of the powder composition, is preferably 99% or less; more preferably 95% or less.

[0051] One useful way to characterize the powder composition of the present invention is the median particle diameter, which is 10 to 200 micrometers. The median particle diameter is preferably 150 micrometers or less; more preferably 100 micrometers or less; more preferably 75 micrometers or less; more preferably 60 micrometers or less.

[0052] Another useful way to characterize the powder composition of the present invention is to measure dQ, where Q is a number less than 100. In a particular collection of powder particles, powder particles representing Q% of the total volume of all the powder particles will have particle diameter of less than dQ, while powder particles representing (100-Q)% of the total volume of all the powder particles will have particle diameter of more than dQ.

[0053] The powder composition of the present invention preferably has d90 of 100 micrometers or less; more preferably 50 micrometers or less. Independently, the powder composition of the present invention preferably has d10 of 1 micrometer or more; more preferably 3 micrometers or more.

[0054] The powder composition of the present invention may be altered to form an intermediate solid composition or an intermediate liquid composition or a combination thereof. An intermediate solid composition is a solid composition made from the powder composition of the present invention, optionally by a method that includes mixing the powder composition of the present invention with additional ingredients; some intermediate solid compositions are particulate compositions with larger or smaller particle size than the powder composition of the present invention. For another example, the powder composition of the present invention may be mixed with a liquid, either an aqueous medium or some other

liquid, to form an intermediate liquid composition; such an intermediate liquid composition may or may not be further diluted prior to contact with plants or plant parts.

[0055] The powder composition of the present invention may be used for treating plants or plant parts in any way. For example, the powder composition may be mixed with other materials or may be used directly.

[0056] The preferred method of using the powder composition of the present invention is to use it to form an aqueous slurry. An aqueous slurry is formed when the powder composition is mixed with an aqueous medium. To form such a slurry, the aqueous medium may be mixed directly with the powder composition of the present invention or with one of the intermediate compositions described herein above. It is expected that the particles (I) of the powder composition remain intact in the slurry. It is also contemplated that most or all of the particles (I) will be dispersed in the slurry as individual particles rather than as agglomerates thereof. The particles (I) may require mechanical agitation to remain suspended in the aqueous medium, or they may remain suspended without agitation.

[0057] The amount of powder composition in the slurry may be characterized by the concentration of cyclopropene compound in the slurry. Preferred slurries have cyclopropene compound concentration, in units of milligrams of cyclopropene compound per liter of slurry, of 2 or higher; more preferably 5 or higher; more preferably 10 or higher. Independently, preferred slurries have cyclopropene compound concentration, in units of milligrams of cyclopropene compound per liter of slurry, of 1000 or lower; more preferably 500 or lower; more preferably 200 or lower.

[0058] The amount of water in the aqueous medium used in the slurry is, by weight based on the weight of aqueous medium, 80% or more; or 90% or more; or 95% or more.

[0059] The slurry may optionally contain one or more adjuvants, such as, for example, one or more metal complexing agent, one or more surfactant, one or more oil, one or more alcohol, or mixtures thereof. Preferred metal-complexing agents, if used, are chelating agents. Preferred surfactants, if used, are anionic surfactants and silicone surfactants. Preferred alcohols, if used, are alkyl alcohols with 4 or fewer carbon atoms. Oils are compounds that are liquid at 25°C, are not water, are not surfactants, and are not alcohols. Preferred oils, if used, are hydrocarbon oils and silicone oils.

[0060] The preferred method of treating plants is to bring the slurry into contact with plants or plant parts. Such contacting may be performed in any location, including inside enclosed spaces (such as, for example, containers, rooms, or buildings) or outside of any

enclosed space. Preferably, such contacting is performed outside of any enclosed space. As used herein, "outside of any enclosed space" means outside of any building or enclosure or else in a room or building that is ventilated to outdoor atmosphere. More preferred is performing such contacting outside of any building or enclosure. More preferred is performing such contacting in an outdoor field or plot.

[0061] The slurry of the present invention may be brought into contact with plants or plant parts by any method. Preferred methods include dipping plant parts into the slurry and applying slurry to plants or plant parts by spraying, foaming, brushing, or combinations thereof. More preferred are spraying the slurry onto plants or plant parts and dipping plant parts into the slurry. More preferred is spraying the slurry onto plants or plant parts.

[0062] Plants or plant parts may be treated in the practice of the present invention. Preferred is treatment of whole plants; more preferred is treatment of whole plants while they are planted in soil, prior to the harvesting of useful plant parts.

[0063] Any plants that provide useful plant parts may be treated in the practice of the present invention. Preferred are plants that provide fruits, vegetables, and grains.

[0064] It is to be understood that for purposes of the following Examples that each operation disclosed herein is performed at 25°C unless otherwise specified.

EXAMPLES

[0065] In the following Examples, the following abbreviations are used:

- FC50 = any fatty compound having melting point of 50°C to 110°C.
- AP1 = powder containing 1-MCP encapsulated in alpha-cyclodextrin, with concentration of 1-MCP of 4.5% by weight, and approximately 5% water, by weight. Milled until d50 was 2 to 5 micrometers.
- WX1 = DritexTM S, hydrogenated soybean oil, from ACH Food & Nutrition Co.
- WX2 = PolywaxTM 500 polyethylene wax, from Baker Hughes Inc.
- DP1 = AtloxTM 4914 dispersant, a nonionic polymer, from Croda Co.
- DP2 = AgrimerTM AL-22, dispersant, alkylated vinylpyrrolidone copolymers, from International Specialty Products Corp.
- PY1 = ElvaxTM 4355 terpolymer of ethylene/vinyl acetate/acid from DuPont Co.
- SS1 = SilwetTM L-77 surfactant, based on a trisiloxane ethoxylate, from Momentive Performance Materials, Inc.
- SS2 = AerosilTM OT-B surfactant powder, from Cytex Industries, Inc.
- SLS = sodium lauryl Sulfate
- SOL1 = solution in distilled water of 0.05% by weight based on the weight of SOL1, of each of SS1 and SLS.

Procedure P1: Production of Coated Powder

[0066] Powder AP1 was mixed into the molten FC50 under the minimum needed temperature at the desired weight ratio. Other additives, such as dispersants and plasticizer may be added at this time, if desired. The mixture was agitated with a Cowles disc disperser to achieve dispersion of the solids in the mixture. This mixture was then atomized with pressured air. The particles solidified quickly and were collected in a cyclone. The particle size was controlled by a combination of air pressure, molten wax temperature and composition, and the additives.

Procedure P2: Evaluation of the release of 1-MCP

[0067] A composition containing water and wetting agents and 1-MCP was placed into a 250 ml bottle. The bottle quickly was sealed either with a PTFE/silicone crimp seal via a crimper or with a MininertTM valve (Supelco Company) on a screw. Both setups allow the

sampling of the inside headspace by a syringe and also allow the re-seal of the valve after repeated sampling.

[0068] The bottles were placed on top of a shaker and the shaker swirled at a rate of approximately 120 revolutions per minute. The headspace inside the bottle was sampled at pre-determined time intervals and analyzed on an analytical gas chromatograph with the proper column. The amount of 1-MCP that was released into the headspace was calculated based on its concentration and the volume of the headspace. The percentage of 1-MCP released was calculated from the total 1-MCP present in the sample.

Example 1:

[0069] Comparative Formulation CF11 was a comparative formulation, made using powder AP1 and other ingredients but no FC50. The concentration of 1-MCP in Comparative Formulation CF11 was 1% by weight, based on the weight of CF11. 0.06 gram of CF11 and 10 ml of SOL1 (to give a solution in which the concentration of 1-MCP was 50 mg per liter of solution,) were added to a 250 ml bottle. The release of 1-MCP was measured using procedure P2.

[0070] Formulation F12 was made as follows. Coated Powder was made using AP1 (10% by weight) and stearic acid (90% by weight) in Procedure P1. 0.2 gram of the coated powder was added to a 250 ml bottle containing 10 ml of SOL1. The amount of AP1 was chosen to yield a solution having approximately 50 mg of 1-MCP per liter of solution. The release of 1-MCP was measured using procedure P2.

[0071] Results were as follows:

CF11		F12	
time (min)	% 1-MCP released	time (min)	% 1-MCP released
3.5	41	3	17
6.5	51	6	26
10	60	10	33
15	70	15	40
20	76	25	46
25	78	40	53
30	86	60	57
40	93	90	63
50	96	120	69
60	100	166	73
75	100	210	75
		240	82

Formulation F12 had slower release of 1-MCP than Comparative Formulation CF11.

Example 2: Effect of Particle Size

[0072] Formulation F21 was made as follows. Coated Powder was made using AP1 (10% by weight) and WX1 (90% by weight) in Procedure P1, using conditions adjusted to yield coated powder with median particle diameter of 30 micrometers. The coated powder (0.14 gram) was added to a 250 ml bottle containing 10 ml of SOL1. The amount of AP1 was chosen to yield a formulation having approximately 50 mg of 1-MCP per liter of formulation.

[0073] Formulation F22 was made identically to F21, except that the conditions in Procedure P1 were chosen to yield coated powder with median particle diameter of 60 micrometers.

[0074] The formulations were tested by Procedure P2. Results were as follows:

F21 (30 micrometers)		F22 (60 micrometers)	
time (min)	% 1-MCP released	time (min)	% 1-MCP released
10	20	10	9
30	32	30	16
60	44	60	22
120	53	120	30
195	59	195	38
1200	77	1200	67

[0075] Formulation F22 had slower release of 1-MCP than Formulation F21.

Example 3: Headspace in a Commercial Spray Tank

[0076] Tests were conducted using the tank of a HardiTM ES-50 commercial sprayer. The capacity of the tank was 191 liter (50 gallon).

[0077] Comparative Formulation CF31 was identical to CF11. CF31 was added to 191 liters of tap water in the tank. Concentration of 1-MCP in the tank was 25 mg/liter.

[0078] Formulation F32 was made as follows. Coated Powder was made using AP1 (10% by weight), WX1 (89.5% by weight), and DP1 (0.5% DP1), using Procedure P1. The powder blend was made as follows: Coated powder was blended with 1.9% (by weight based on the weight of powder blend) SLS (powder) and 4.8% SS2 (by weight based on the weight of powder blend). 191 liter of tap water containing 0.025% SS1, by volume based on the volume of the tap water, was added to the tank. Then some of the water was removed and

used to form a slurry with Formulation F32, and the slurry was then added to the remaining water in the tank, with agitation. Concentration of 1-MCP in the tank was 25 mg/liter.

[0079] In each case, after the formulation (either CF31 or F32) was added to the tank, the tank was sealed, and 1 ml gas samples were drawn from the headspace port in the tank lid with gas-tight syringes, and the gas samples were analyzed using gas chromatography, reported in "ppm," which is parts by volume of 1-MCP per million parts by volume of air. Results were as follows:

Headspace ppm of 1-MCP

<u>time(hr)</u>	<u>CF31</u>	<u>F32</u>
0.5	1983	867
1	3254	1343
2	6692	2297
4	13331	3714
6		4937
9		6767

Formulation F32 releases 1-MCP much more slowly than the comparative formulation CF31.

Example 4: Wax Variations

[0080] Coated Powders were made using Procedure P1. 0.6 gram of each coated powder was added to 10 ml of SOL1 and placed in a 250 ml bottle and analyzed using procedure P2. The coated powders were as follows (by weight percent).

<u>Coated Powder</u>	<u>AP1</u>	<u>WX1</u>	<u>WX2</u>	<u>DP2</u>	<u>PY1</u>
F41	20	79.5	0	0.5	0
F42	20	77.5	0	0.5	2
F43	20	0	79.5	0.5	0

[0081] Results were as follows:

F41		F42		F43	
<u>time</u> <u>(min)</u>	<u>% 1-MCP</u> <u>released</u>	<u>time</u> <u>(min)</u>	<u>% 1-MCP</u> <u>released</u>	<u>time</u> <u>(min)</u>	<u>% 1-MCP</u> <u>released</u>
10	6.8	10	4.7	11	7.8
30	13.4	31	9.1	31	10.4
60	16.7	60	11.9	61	12.4
120	20.0	120	14.8	121	16.2
180	22.7	251	18.7	192	18.8
300	26.1	360	21.4	301	21.7
390	28.2	1380	31.9	391	24.0
1380	37.2			1381	33.8

All three have acceptably slow release of 1-MCP.

Example 5: Further Wax Comparisons

[0082] Coated Powders were made using Procedure P1. 0.1 gram of each coated powder was added to 10 ml of SOL1 and placed in a 250 ml bottle and analyzed using procedure P2. The coated powders were as follows (by weight percent).

<u>Coated Powder</u>	<u>AP1</u>	<u>WX1</u>	<u>WX2</u>	<u>DP2</u>
F51	10	89.5	0	0.5
F52	10	0	89.5	0.5
F53	30	69.25		0.75
F54	30		69.25	0.75

[0083] Results were as follows:

F51		F52		F53		F54	
<u>time</u> <u>(min)</u>	<u>% 1-MCP</u> <u>released</u>	<u>time</u> <u>(min)</u>	<u>% 1-MCP</u> <u>released</u>	<u>time</u> <u>(min)</u>	<u>% 1-MCP</u> <u>released</u>	<u>time</u> <u>(min)</u>	<u>% 1-MCP</u> <u>released</u>
10	7	10	6	10	12	10	12
30	13	30	9	30	19	30	16
60	16	60.5	12	60	23	60.5	19
120	21	122	16	120	28	121	23
180	23	180	20	180	33	181	26
360	31	360	26	300	38	301	29
1380	44	1380	35	420	43	421	32
				1441	60	1441	42

All three have acceptably slow release of 1-MCP.

Example 6: Tomato Epinasty Testing.

[0084] Tomato epinasty tests were performed as follows: Tomatoes (Rutgers 39 Variety Harris Seeds No 885 Lot 37729-A3) were grown in 2 1/2" square pots filled with a commercial potting mix. Two seeds were place in each pot. Plants that had expanded first true leaves and were between 3 and 5 inches high were used for the tomato epinasty test. To conduct the assay, a group of pots was placed on a table in a spray booth, and a moving nozzle sprayed a liquid spray composition onto the plants, which were then allowed to dry in a greenhouse.

[0085] After a waiting period of 3 days, treated and untreated plants were placed into a plastic box and sealed. To the box, ethylene was injected through a septum, which gave a concentration of 14 ppm. The plants were held sealed for 12-14 hours in the dark with ethylene in the atmosphere. At the end of ethylene treatment, the box was opened and scored for epinasty. The petiole angle of the third leaf is reported. For each type of treatment, five replicate plants were tested, and the average is reported.

[0086] Comparative Formulation CF61 contained 1-MCP encapsulated in alpha-cyclodextrin and contained oil but no FC50. DF61 was mixed with water prior to spraying. Coated Powders were made by Procedure P1 as follows: Coated Powder F62 was the same as F32, including blending with SLS and SS1, as described herein above in Example 3. Coated Powder F63 was prepared the same way as F62, including blending with SLS and

SS1, except that the coated powder in F63 contained 69.25% WX1, 30% AP1, and 0.75% DP2, by weight based on the weight of the coated powder. Each of F62 and F63 was placed in a solution; that solution was 0.038% SS1 in water, by volume based on the volume of the solution. CF61 was placed in water. The spray treatments were all conducted under the same mechanical spray conditions. For each treatment, the concentration of formulation or powder in the solution was adjusted to give the spray rate (in grams of 1-MCP per hectare) that is shown below. Results (average petiole angle) of the control plants were as follows:

Untreated (no exposure to ethylene and no spray treatment): 60 degrees

Unsprayed (exposure to ethylene but no spray treatment): 127 degrees

Results of the test plants were as follows:

Sample	SR = 10 ⁽¹⁾	SR = 20 ⁽¹⁾	SR = 40 ⁽¹⁾
	Angle ⁽²⁾	Angle ⁽²⁾	Angle ⁽²⁾
CF61	127	115	113
F62	99	92	83
F63	114	106	70

Note 1: Spray Rate, in grams of 1-MCP per hectare

Note 2: degrees

The examples of the present invention show reduced petiole angle, demonstrating that the treatment with those example formulations blocks the effect of ethylene, allowing the treated plants to behave more like the plants that were not exposed to ethylene.

We claim:

1. A powder composition comprising a collection of particles (I) having median particle diameter of 10 micrometers to 200 micrometers, wherein each of said particles (I) comprises
 - (a) a covering of a fatty compound having melting point of 50°C to 110°C and
 - (b) one or more inner particles (II) comprising one or more complex that contains a cyclopropene compound molecule or a portion of a cyclopropene compound molecule encapsulated in a molecule of a molecular encapsulating agent.
2. The powder composition of claim 1, wherein said collection of particles (I) has median particle diameter of 10 micrometers to 100 micrometers.
3. The powder composition of claim 1, wherein said fatty compound has melting point of 70°C to 90°C.
4. The powder composition of claim 1, wherein said fatty compound comprises hydrogenated soybean oil or hydrogenated cottonseed oil or polyethylene homopolymer wax.
5. The powder composition of claim 1, wherein the amount of said fatty compound is 50% to 99% by weight based on the weight of said powder composition.
6. The powder composition of claim 1, wherein said collection of particles (I) has median particle diameter of 10 micrometers to 100 micrometers; wherein said fatty compound has melting point of 70°C to 90°C; and wherein the amount of said fatty compound is 50% to 99% by weight based on the weight of said powder composition.
7. The powder composition of claim 1, wherein said powder composition additionally comprises one or more dispersant.

8. The powder composition of claim 1, wherein said powder composition additionally comprises one or more polymer.
9. A slurry comprising an aqueous medium and a collection of particles (I) having median particle diameter of 10 micrometers to 200 micrometers, wherein each of said particles (I) comprises
 - (a) a covering of a fatty compound having melting point of 50°C to 110°C and
 - (b) one or more inner particles (II) comprising one or more complex that contains a cyclopropene compound molecule or a portion of a cyclopropene compound molecule encapsulated in a molecule of a molecular encapsulating agent.
10. A method of treating plants or plant parts comprising contacting said plants or plant parts with a slurry comprising an aqueous medium and a collection of particles (I) having median particle diameter of 10 micrometers to 200 micrometers, wherein each of said particles (I) comprises
 - (a) a covering of a fatty compound having melting point of 50°C to 110°C and
 - (b) one or more inner particles (II) comprising one or more complex that contains a cyclopropene compound molecule or a portion of a cyclopropene compound molecule encapsulated in a molecule of a molecular encapsulating agent.

ABSTRACT

There is provided a powder composition comprising a collection of particles (I) having median particle diameter of 10 micrometers to 200 micrometers, wherein each of said particles (I) comprises

- (a) a covering of a fatty compound having melting point of 50°C to 110°C and
- (b) one or more inner particles (II) comprising one or more complex that contains a cyclopropene compound molecule or a portion of a cyclopropene compound molecule encapsulated in a molecule of a molecular encapsulating agent.

Also provided is a slurry comprising water and such a powder. Also provided is a method of contacting plants or plant parts with such a slurry.

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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.