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SUPERINTENDENCIA Y COMERCIO

Radicación : 04000737 00000003

Folios: 30

Fecha (AMB): 2004-04-27 16:32:28

Trámite : 011 PCT-PATENT 379 FASE NNCI 444 RESPUESTA

Dependencia: 2626 DIVISION DE NUEVAS CREACIONES

Señor

SUPERINTENDENTE DE INDUSTRIA Y COMERCIO

DIVISION DE NUEVAS CREACIONES

E. _____ S. _____ D. _____

Re: **P1.-ABBOTT LABORATORIES.-Solicitud de Patente de Invención en Fase Nacional PCT para "FORMULACIONES ENTERICAS ESTABILIZADAS Y NUEVO METODO PARA REDUCIR LA CREMOSIDAD DE LAS MISMAS".-Clase A23L 1/29.-Expediente número 04-000. 737.-**

1. Me refiero al requerimiento dictado por ese Despacho notificado por fijación en lista el 13 de Febrero de 2004 y a mi memorial de solicitud de extensión de plazo de 6 de Abril de 2004.

2. Atentamente me permito manifestar a usted que dando cumplimiento al mencionado requerimiento, me permito presentar a ese Despacho un nuevo título para la presente invención que cuenta con la precisión necesaria para identificar claramente el objeto de la solicitud de patente. El nuevo título es:

"FORMULACIONES ENTERICAS ESTABILIZADAS Y NUEVO METODO PARA REDUCIR LA CREMOSIDAD DE LAS MISMAS"

3. Por otra parte, por medio del presente escrito me permito presentar a ese Despacho el documento que acredita el traspaso que los autores del invento de la referencia, hacen a favor de mi representado de sus derechos sobre el mismo, debidamente apostillado y junto con su Traducción Oficial No. 2003-03-03-117 debidamente legalizada hasta por el Ministerio de Relaciones Exteriores bajo el número el No. 425308 de Abril de 2004.

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4. Anexos:

- (a) Nuevo extracto en sus dos formas y tarjeta de propietario, **que reemplazan las originalmente presentadas**, el cual incluye el nuevo título de la Invención;
- (b) Copia del resumen-objeto y finalidad de la Invención en el cual aparece el nuevo título de la Invención; y
- (c) Nueva página 1, **que reemplaza la originalmente presentada bajo el mismo número**, de la descripción en la cual se ha modificado el título de la solicitud.

5. Ruego a usted tomar atenta nota de lo anterior y proceder de conformidad.

Señor Superintendente,



JOSE ANTONIO LLOREDA
T.P. 10.784
Código 231

TRADUCCION OFICIAL No. 2003-03-03-117
DE UN DOCUMENTO PRESENTADO EN INGLES

APOSTILLA

(Convención de La Haya del 5 De Octubre De 1961)

1. País: Estados Unidos de América
Este documento público
2. ha sido firmado por John O'Grady
3. actuando como Secretario del Tribunal de Instancias Comunes
4. lleva el sello/estampilla del Tribunal de Instancias Comunes, Condado de Franklin

Certificado

- | | |
|---|---|
| 5. en Columbus, Ohio | 6. el 7 de abril de 2004 |
| 7. por el Secretario de Estado de Ohio | |
| 8. No. 169015 | |
| 9. Sello/Estampilla:
El Gran Sello del Secretario de Estado
de Ohio | 10. Firma: (ilegible)
J. Kenneth Blackwell
Secretario de Estado de Ohio |

Esta certificación únicamente certifica la autenticidad de la firma del funcionario que firmó el documento, la competencia en la cual ese funcionario actuó, y cuando sea apropiado, la identidad del sello o estampilla que lleva el documento. Esta certificación no implica que los contenidos del documento(s) sean correctos, ni que tengan la aprobación de esta oficina.

MINISTERIO DE RELACIONES
EXTERIORES
425308
AUTENTICA APARECE EN EL
DOCUMENTO DESEMPEÑA
LAS FUNCIONES INDICADAS

NO SE ASUME
RESPONSABILIDAD DEL TEXTO
2004 APR 10 41
OFICINA DE LEGALIZACIONES
SANTAFE DE BOGOTA D.C.

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Estado de Ohio)
Condado de Franklin)

Yo, Noelle Mitchell, mediante el presente certifico que he comparado el documento original con la copia anexa del mismo; y que la copia anexa es en todo aspecto una copia fiel y exacta de dicho documento original.

Fechado en Columbus, Ohio este día 10 de marzo de 2004.

(firma: ilegible)
Noelle Mitchell, Notario Público

Sello Notarial:	Noelle Mitchell
Estado de Ohio	Notario Público Estado de Ohio
	Mi Comisión Expira el 11-04-04

En una hoja verde superpuesta, aparece lo siguiente:

EL ESTADO DE OHIO)
Condado de Franklin, ss.)

Yo, John O'Grady, Secretario del Tribunal de Instancias Comunes, el cual es un tribunal de registro, dentro y para dicho Condado y Estado anteriormente mencionado, mediante el presente certifico que Noelle Mitchell, cuyo nombre está suscrito al instrumento adjunto y sobre él escrito, era en el momento un Notario Público debidamente comisionado y calificado en y para dicho Condado, y como tal funcionario estaba debidamente autorizado para administrar juramentos

requeridos o autorizados por ley; tomar y certificar declaraciones; tomar y certificar reconocimientos de escrituras, hipotecas, gravámenes, poderes y otros instrumentos escritos; y recibir, hacer y registrar protestas; que estoy familiarizado con la firma de dicho Notario Público y verdaderamente creo que la firma en dicho instrumento es genuina.

En testimonio de lo cual, coloco mi firma y estampo el sello de dicho Tribunal en Columbus, Ohio, este día 31 de marzo de 2004

(firma: ilegible)

Secretario del Tribunal de Instancias Comunes

Las leyes del estado de Ohio no requieren que un Notario Público registre una copia de las impresiones del sello.

Caso: 6816.US.01

No. Serial: 09/905,022

Fecha de Registro: 13 de julio de 2001

CESION

Por la suma de Un Dólar (\$1) y otras consideraciones valiosas recibidas de Abbott Laboratories, una corporación organizada y existente de conformidad con las leyes del Estado de Illinois y que tiene una oficina y lugar de negocios en Abbott Park, Condado de Lake, Estado de Illinois, Estados Unidos de América, yo, Chron-si Lai de Blacklick, Ohio; Bruce B. Blidner de Westerville, Ohio; David A. Deis de Westerville, Ohio; Paul W. Johns de Columbus, Ohio; y Timothy W. Schenz de Powell, Ohio de los Estados Unidos de América; inventor y propietario de una invención **FORMULACIONES ENTERICAS**, la cual se ha cedido, agenda del Apoderado no. (6816.US.01) y No. Serial: 09/905,022 y Fecha de Registro 13 de julio de 2001 que se expresa anteriormente, mediante el presente vendemos, cedemos y transferimos a Abbott Laboratories, todo el derecho, título e interés para los Estados Unidos de América y los territorios del mismo y para todos los países y territorios, en y de dicha invención (incluyendo la solicitud de patente anteriormente referenciada o solicitud de prioridad ya sea provisional o no provisional y cualquier equivalente extranjero de la misma, cualquier continuación, continuación en parte, o división de la misma, y cualquier patente, reemisión, extensión o reexamen con base en ella); y autorizamos y solicitamos al Comisionado de Patentes y Marcas para que emita cualquier patente de invención que se emita sobre dicha invención a Abbott Laboratories de acuerdo con ello; esta cesión estando bajo acuerdo de que tengo pleno poder para hacer lo mismo, y también que dicho derecho cedido no está gravado por ningún otorgamiento, licencia u otro derecho dado por el presente y que haré cualquier

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otro acto legal deseado por este cesionario en la prosecución de dicha solicitud y cualquiera y todas las demás solicitudes, de los Estados Unidos o extranjeras, que se registren sobre dicha invención por nosotros o en su nombre.

Firmado por nosotros en las fechas que se expresan a continuación.

(firma: ilegible)

Chron-Si Lai

Fecha: 9/14/01

(firma: ilegible)

Bruce B. Blidner

Fecha: 9/14/01

(firma: ilegible)

David A. Deis

Fecha: 9/14/01

(firma: ilegible)

Paul W. Johns

Fecha: 9/14/01

(firma: ilegible)

Timothy W. Schenz

Fecha: 9/14/01

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APOSTILLA

(Convención de La Haya del 5 De Octubre De 1961)

1. País: Estados Unidos de América
Este documento público
3. ha sido firmado por John O'Grady
3. actuando como Secretario del Tribunal de Instancias Comunes
4. lleva el sello/estampilla del Tribunal de Instancias Comunes, Condado de Franklin

Certificado

- | | |
|---|---|
| 5. en Columbus, Ohio | 6. el 7 de abril de 2004 |
| 7. por el Secretario de Estado de Ohio | |
| 8. No. 169014 | |
| 9. Sello/Estampilla:
El Gran Sello del Secretario de Estado
de Ohio | 10. Firma: (ilegible)
J. Kenneth Blackwell
Secretario de Estado de Ohio |

Esta certificación únicamente certifica la autenticidad de la firma del funcionario que firmó el documento, la competencia en la cual ese funcionario actuó, y cuando sea apropiado, la identidad del sello o estampilla que lleva el documento. Esta certificación no implica que los contenidos del documento(s) sean correctos, ni que tengan la aprobación de esta oficina.

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Condado de Franklin)
) ss.
Estado de Ohio)

Ante Mí, un Notario Público para y en el Estado de Ohio, compareció personalmente, **CHRON-SI LAI, BRUCE B. BLIDNER, DAVID A. DEIS, PAUL W. JOHNS Y TIMOTHY W. SCHENZ** quien sé que es la persona(s) que ejecutó el instrumento anterior y ellos reconocieron ante mí que la misma es un acto y acción libre de su parte.

EN TESTIMONIO DE LO CUAL, he colocado mi firma y mi sello notarial este día 14 de septiembre de 2001, en Columbus, Ohio.

(firma: ilegible)
Notario Público

Sello Notarial:
Tina B. Zians
Notario Público Estado de Ohio
Mi Comisión Expira el 5 de enero de 2002

En una hoja verde superpuesta, aparece lo siguiente:

EL ESTADO DE OHIO)
Condado de Franklin, ss.)

Yo, John O'Grady, Secretario del Tribunal de Instancias Comunes, el cual es un tribunal de registro, dentro y para dicho Condado y Estado anteriormente mencionado, mediante el presente certifico que Tina B. Zians, cuyo nombre está suscrito al instrumento adjunto y sobre él escrito, era en el momento un Notario Público debidamente comisionado y calificado en y para dicho Condado, y como tal funcionario estaba debidamente autorizado para administrar juramentos requeridos o autorizados por ley; tomar y certificar declaraciones; tomar y certificar reconocimientos de escrituras, hipotecas, gravámenes, poderes y otros instrumentos escritos; y recibir, hacer y registrar protestas; que estoy familiarizado con la firma de dicho Notario Público y verdaderamente creo que la firma en dicho instrumento es genuina.

En testimonio de lo cual, coloco mi firma y estampo el sello de dicho Tribunal en Columbus, Ohio, este día 31 de marzo de 2004

(firma: ilegible)

Secretario del Tribunal de Instancias Comunes

Las leyes del estado de Ohio no requieren que un Notario Público registre una copia de las impresiones del sello.

Traducido según mi mejor saber y entender, este día 14 de abril de 2004, en la ciudad de Santafé de Bogotá, por Anita Escobar de Tamayo, Traductora Oficial, Res. 2177 de 1989, Ministerio de Justicia, República de Colombia.

TRADUCTOR OFICIAL
RESOLUCION 2177
Anita Escobar de Tamayo
ANITA ESCOBAR DE TAMAYO

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APOSTILLE

(Convention de la Haye du 5 octobre 1961)

1. Country: United States of America

This public document

2. has been signed by JOHN O'GRADY

3. acting in the capacity of Clerk of Court of Common Pleas

4. bears the seal/stamp of Court of Common Pleas, Franklin County

CERTIFIED

5. at Columbus, Ohio

6. April 7, 2004

7. by the Secretary of State of Ohio.

8. No. 169015

9. Seal/Stamp:

10. Signature:



J. Kenneth Blackwell
J. Kenneth Blackwell
Secretary of State of Ohio

This certification certifies only the authenticity of the signature of the official who signed the document, the capacity in which that official acted, and where appropriate, the identity of the seal or stamp which the document bears. This certification does not imply that the contents of the document(s) are correct, nor that they have the approval of this office.

The State of Ohio
Franklin County, ss.

The laws of Ohio do not
require a Notary Public to
file a copy of seal
impressions.

77-75
I, John O'Grady, Clerk of the Court of Common Pleas, which is a court of record,
within and for said County and State aforesaid, do hereby certify that

Noelle Mitchell

whose name is subscribed to the annexed instrument and thereupon written, was at the
time a duly commissioned and qualified Notary Public in and for the said County, and as
such officer was duly authorized to administer oaths required or authorized by law; take
and certify depositions; take and certify acknowledgments of deeds, mortgages, liens,
power of attorney, and other instruments of writing; and receive, make, and record no-
tarial protests; that I am acquainted with the signature of said Notary Public and verily
believe that the signature to said instrument is genuine.

In Testimony Whereof, I have hereunto set my hand and affixed the seal of said
Court at

Columbus, Ohio, this 31st day of March, 20 04.

[Signature]

Clerk of the Court of Common Pleas

#76

STATE OF OHIO)
)
COUNTY OF FRANKLIN) ss.

I, Noelle Mitchell, hereby certify that I have compared the original document with the annexed copy thereof; and that the annexed copy is in all respects a true and accurate copy of the said original document.

Dated at Columbus, Ohio this 10th day of March 2004.

Noelle Mitchell
Noelle Mitchell, Notary Public



NOELLE MITCHELL
Notary Public, State of Ohio
My Commission Expires 11-04-04



UNITED STATES
PATENT AND
TRADEMARK OFFICE

RECEIVED

MAR 28 2002

PATENT COUNSEL

Chief Information Officer
Washington, DC 20231
www.uspto.gov

MARCH 23, 2002

PTAS

ABBOTT LABORATORIES
J. MICHAEL DIXON
ROSS PRODUCTS DIVISION PATENT DEPARTMENT
625 CLEVELAND AVENUE
COLUMBUS, OHIO 43215-1724



101964949A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/18/2002

REEL/FRAME: 012507/0802
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
LAI, CHRON-SI

DOC DATE: 09/14/2001

ASSIGNOR:
BLIDNER, DAVID A.

DOC DATE: 09/14/2001

ASSIGNOR:
DEIS, DAVID A.

DOC DATE: 09/14/2001

ASSIGNOR:
JOHNS, PAUL W.

DOC DATE: 09/14/2001

ASSIGNOR:
SCHENZ, TIMOTHY W.

DOC DATE: 09/14/2001

ASSIGNEE:
ABBOTT LABORATORIES
ABBOTT LABORATORIES, 100 ABBOTT
PARK ROAD
PATENT AND TRADEMARK DEPARTMENT,
D377, AP6D
ABBOTT PARK, ILLINOIS 60064-3500

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012507/0802 PAGE 2

SERIAL NUMBER: 09905022
PATENT NUMBER:

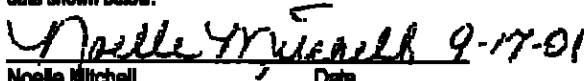
FILING DATE: 07/13/2001
ISSUE DATE:

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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PATENT

In the UNITED STATES PATENT and TRADEMARK OFFICE

APPLICANT: Lai, et al.	EXAMINER: not yet assigned
SERIAL NO.: 09/905,022	ART UNIT: not yet assigned
FILING DATE: July 13, 2001	DOCKET NO.: 6816.US.01
TITLE: ENTERAL FORMULATIONS	I certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231 on the date shown below.  Noelle Mitchell Date

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Honorable Sir:

Enclosed herewith are the Assignment documents and Recordation Form for Lai, et al. for application titled **ENTERAL FORMULATIONS**. Also enclosed is one (1) return postcards.

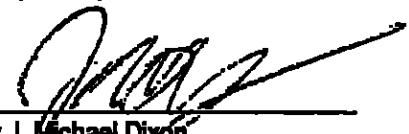
If any fees are owed, or any credit is due pertaining to this case, please charge that fee or apply that credit to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

Ross Products Division of
ABBOTT LABORATORIES
Dept. 108140-DS/1
625 Cleveland Avenue
Columbus, OHIO 43215-1724

Telephone: 614/624-5888
Facsimile: 614/624-3074

DOCKET: 6816.US.01

Respectfully submitted,


by J. Michael Dixon
Reg. No. 32,410

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PATENT

In the UNITED STATES PATENT and TRADEMARK OFFICE

APPLICANT: Lai, et al.	EXAMINER: not yet assigned
SERIAL NO.: 09/905,022	ART UNIT: not yet assigned
FILING DATE: July 13, 2001	DOCKET NO.: 6816.US.01
TITLE: ENTERAL FORMULATIONS	I certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231 on the date shown below.
	 12/4/01 Noelle Mitchell Date

Assistant Commissioner for Patents
Washington, D.C. 20231

CORRECTION OF ERROR IN RECORDATION FORM

Honorable Sir:

Enclosed herewith are the Assignment documents and Recordation Form for Lai, et al. for application titled **ENTERAL FORMULATIONS**. This document was previously submitted with an error (copies enclosed). Also enclosed is one (1) return postcards.

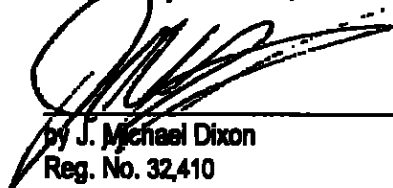
If any fees are owed, or any credit is due pertaining to this case, please charge that fee or apply that credit to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

Ross Products Division of
ABBOTT LABORATORIES
Dept. 108140-DS/1
625 Cleveland Avenue
Columbus, OHIO 43215-1724

Telephone: 614/624-5686
Facsimile: 614/624-3074

DOCKET: 6816.US.01

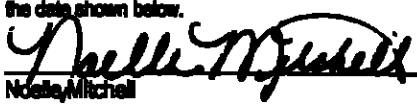
Respectfully submitted,


by J. Michael Dixon
Reg. No. 32,410

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81

PATENT

In the UNITED STATES PATENT and TRADEMARK OFFICE

APPLICANT: Lai, et al.	EXAMINER: not yet assigned
SERIAL NO.: 09/905,022	ART UNIT: not yet assigned
FILING DATE: July 13, 2001	DOCKET NO.: 6816.US.01
TITLE: ENTERAL FORMULATIONS	I certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231 on the date shown below.  Michelle Mitchell Date 12/4/01

Assistant Commissioner for Patents
Washington, D.C. 20231

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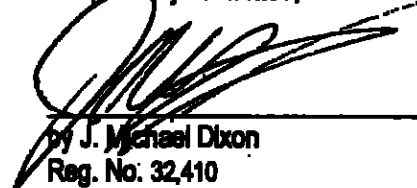
If any fees are owed, or any credit is due pertaining to this case, please charge that fee or apply that credit to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

Rose Products Division of
ABBOTT LABORATORIES
Dept. 108140-DS/M
625 Cleveland Avenue
Columbus, OHIO 43215-1724

Telephone: 614/624-5886
Facsimile: 614/624-3074

DOCKET: 6816.US.01

Respectfully submitted,


by J. Michael Dixon
Reg. No. 32,410



UNITED STATES
PATENT AND
TRADEMARK OFFICE

RECEIVED

DEC 03 2001

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PATENT COUNSEL

NOVEMBER 27, 2001

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

ROSS PRODUCTS DIV.
ABBOTT LABORATORIES
J. MICHAEL DIXON
625 CLEVELAND AVENUE
COLUMBUS, OHIO 43215-1724



101853764A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 101853764

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE EXECUTION DATE(S) DOESN'T MATCH THE EXECUTION DATE(S) IN THE ASSIGNMENT.

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Assignment Doc

CALENDARED FOR	<u>12/27/01</u>
BY	<u>SEM</u> O.K. _____
CANCEL CALENDAR ENTRY	_____
FOR	_____ATTY _____
CANCELLED	_____

30-2002

Form PTO-1595 (revised)
1-31-82



IT

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101964949

Resubm

To the Honorable Commissioner of Patents and Trademarks. Please return the original documents of copy thereof.

1. Name of conveying party(ies):

LAI, Chron-al
BLIDNER, David A.
DEIS, David A.
JOHNS, Paul W.
SCHENZ, Timothy W.

Additional name(s) of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date(s): 9/14/01

2. Name and address of receiving party(ies):

Name: ABBOTT LABORATORIES

Internal Address: Patent and Trademark
Department
D377, AP6D

Street Address: Abbott Laboratories
100 Abbott Park Road

City: Abbott Park State: Illinois Zip: 60084-3500

Additional name(s) of conveying party(ies) attached?

☐ yes ☒ no

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) and Filing Date(s):

08/905,022 filed July 13, 2001

B. Patent No.(s):

JAN 18 2002

Additional numbers attached?

☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Michael Dixon

Internal Address: Patent Department
D108140 / DS-1

Street Address: Ross Products Division
Abbott Laboratories
825 Cleveland Avenue

City: Columbus State: Ohio Zip: 43215-1724

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 01-0025

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Michael Dixon Reg. No. 32,410

Name of Person Signing

Case No.: 6816.US.01

Signature

Date

Total number of pages including cover sheet, attachments and document: 4

OMB No. 0651-0011 (exp. 4/94)

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Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503.

09-25-2001

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101853764

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LAI, Chron-Si
BLUDNER, Bruce B.
DEIS, David A.
JOHNS, Paul W.
SCHENZ, Timothy W.
Additional name(s) of conveying party(ies) attached?
☐ yes ☒ no

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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is.

A. Patent Application No.(s) and Filing Dates.

09/905,022 Filed: July 13, 2001

B. Patent No.(s):

Additional numbers attached?

☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Michael Olson
Internal Address: Patent Department
D108140 / D8-1
Street Address: Ross Products Division
Abbott Laboratories
625 Cleveland Avenue
City: Columbus State: Ohio Zip: 43215-1724

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number 01-0025

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J. Michael Olson Reg. No. 32,410
Name of Person Signing
Case No. 6816.US.021

Signature

Date

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09/24/2001 LWEILLER 00000235 010025 09903022

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AA, AA, PU

ASSIGNMENT

For One Dollar (\$1) and other valuable consideration received from Abbott Laboratories, a corporation organized and existing under the laws of the State of Illinois and having an office and place of business at Abbott Park, County of Lake, State of Illinois, United States of America, I, Chron-si Lai of Blacklick, Ohio; Bruce B. Blidner of Westerville, Ohio; David A. Deis of Westerville, Ohio; Paul W. Johns of Columbus, Ohio; and Timothy W. Schenz of Powell, Ohio of the United States of America; inventor and owner of an invention ENTERAL FORMULATIONS, which has been assigned, Attorney's docket no. (6816.US.01) and the Serial No. 09/905,022 and Filing Date July 13, 2001 set forth above, do hereby sell, assign and transfer unto Abbott Laboratories, the entire right, title and interest, for the United States of America and the Territories thereof and for all foreign countries and territories, in and to said invention (including the above-referenced patent application or priority application whether provisional or non-provisional and any foreign equivalents thereof, any continuation, continuation-in-part, or division thereof, and any patent, reissue, extension or reexamination based thereon); and authorize and request the Commissioner of Patents and Trademarks to issue any letters patent issuing on said invention to Abbott Laboratories in accordance herewith; this assignment being under covenant that I have full power to make the same, and also that such assigned right is not encumbered by any grant, license or other right heretofore given, and that I will do any further lawful act desired by this assignee in the prosecution of such application, and any and all other applications, United States or foreign, which may be filed on said invention by us or on its behalf.

Signed by us on the dates hereinafter set forth.



Chron-Si Lai

Date: 9/14/01



Bruce B. Blidner

Date: 9/14/01



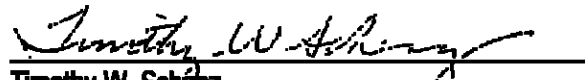
David A. Deis

Date: 9/14/01



Paul W. Johns

Date: 9-14-01



Timothy W. Schenz

Date: 9/14/01

#8
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APOSTILLE

(Convention de la Haye du 5 octobre 1961)

1. Country: United States of America

This public document

2. has been signed by JOHN O'GRADY

3. acting in the capacity of Clerk of Court of Common Pleas

4. bears the seal/stamp of Court of Common Pleas, Franklin County

CERTIFIED

5. at Columbus, Ohio

6. April 7, 2004

7. by the Secretary of State of Ohio.

8. No. 169014

9. Seal/Stamp:

10. Signature:



J. Kenneth Blackwell
J. Kenneth Blackwell
Secretary of State of Ohio

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#87

The State of Ohio
Franklin County, ss.

The laws of Ohio do not
require a Notary Public to
file a copy of seal
impressions.

I, John O'Grady, Clerk of the Court of Common Pleas, which is a court of record,
within and for said County and State aforesaid, do hereby certify that

Tina B. Jones

whose name is subscribed to the annexed instrument and thereupon written, was at the
time a duly commissioned and qualified Notary Public in and for the said County, and as
such officer was duly authorized to administer oaths required or authorized by law; take
and certify depositions; take and certify acknowledgments of deeds, mortgages, liens,
power of attorney, and other instruments of writing; and receive, make, and record no-
tarial protests; that I am acquainted with the signature of said Notary Public and verily
believe that the signature to said instrument is genuine.

In Testimony Whereof, I have herewith set my hand and affixed the seal of said
Court at

Columbus, Ohio, this 31st day of March, 20 04.

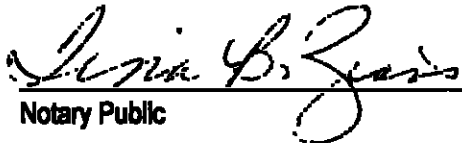
John O'Grady

Clerk of the Court of Common Pleas

County of Franklin)
) ss.
County of Ohio)

BEFORE ME, Notary Public for and in the State of Ohio, personally appeared: **CHRON-SI LAI, BRUCE B. BLIDNER, DAVID A. DEIS, PAUL W. JOHNS, AND TIMOTHY W. SCHENZ** known to me to be the same person(s) who executed the foregoing instrument, and they acknowledged to me that the same is their free act and deed.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my notarial seal this 14th of September, 2001, at Columbus, Ohio.


Notary Public

TINA B. ZIANS
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION EXPIRES JAN. 5, 2002
My commission expires:

Fol 91. EXTRACTO PUBLICACION
Fol 92, 93. TALLERES ALICIA.

FORMULACIONES ENTERICAS ESTABILIZADAS Y NUEVO METODO PARA REDUCIR LA CREMOSIDAD DE LAS MISMAS

RESUMEN-OBJETO Y FINALIDAD DE LA INVENCION

La presente invención esta dirigida a una nueva clase de formulación entérica que contiene una mezcla de caseinato y una proteína estabilizadora, la cual es ya sea de suero o vegetal. Esta nueva formulación entéral exhibe una cremosidad significativamente reducida cuando es comparada con la fórmula entéral del arte anterior en la cual el caseinato fue la única fuente de proteína. La invención también incluye un método para reducir la cremosidad en la fórmula entéral.

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FORMULACIONES ENTERICAS ESTABILIZADAS Y NUEVO METODO PARA REDUCIR LA CREMOSIDAD DE LAS MISMAS

La presente invención está dirigida a las nuevas clases de formulas entéricas que tienen sistemas de proteínas y que contienen una proteína estabilizadora y caseinato. Estas formulas muestran una tasa de cremosidad reducida y un tiempo de conservación mejorado.

Antecedentes

Las formulas entéricas representan un componente importante del cuidado de los pacientes tanto en hospitales de cuidado intensivo como en instalaciones de cuidado a largo plazo (i.e. casas de reposo). Usualmente estas formulaciones sirven como única fuente de nutrición durante un período de tiempo extendido. Por consiguiente, las formulas deben contener cantidades significativas de proteínas, grasa, minerales, electrolitos, etc., si van a cumplir con el objetivo primario de prevenir la desnutrición. Comúnmente estas formulas se administran al paciente como un liquido, ya que el paciente generalmente es incapaz de consumir alimentos sólidos. Mientras algunos pacientes pueden beber la formula, la mayoría de ellos reciben estos nutrientes por medio de un tubo nasogástrico (Tubo NG o tubo de alimentación).

Las fórmulas entéricas se pueden vender en una o dos formas. La primera es como un polvo que es reconstituido inmediatamente antes de la administración por parte de una enfermera o dietista. La segunda forma es en un liquido listo-para-alimentar (RTF) que es simplemente adherido al tubo NG al momento de la administración. En los Estados Unidos, las instalaciones de cuidado médico en su inmensa mayoría prefieren la fórmula lista-para-alimentar debido a la escasez de personal médico entrenado en muchas comunidades. Adicionalmente, las instalaciones de cuidado médico esperan que estas formulas RTF tengan un