

Señores
DIRECCION DE NUEVAS CREACIONES
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
Bogotá, D.C.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 11-125473-00003-0000

Fecha: 2011-12-22 15:17:42 Dep. 2020 DIR.NUEVASCR
Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI
Act. 444 RESPUESTAREQUE Folios: 14

Re. : Código 011-378-444
Expediente No. 11 - 125.473
Fase Nacional de Solicitud
de Patente PCT
**CALIFORNIA INSTITUTE OF
TECHNOLOGY**

En relación con el expediente de la referencia y dando cumplimiento a la última providencia recaída sobre este asunto por medio del presente escrito me permito manifestarles lo siguiente:

1. Adjunto una copia auténtica del poder conferido por mi representada debidamente legalizado.
2. Acompaño el documento que acredita la cesión de los inventores Frances H. ARNOLD – Pete HEINZELMAN a la sociedad solicitante, debidamente legalizado.
3. Igualmente acompaño el comprobante de pago por concepto de exceso de reivindicaciones por valor de \$ 135.000.00, recibo No. 106 896-085

Renuncio al término faltante de traslado, y les solicito ordenar la publicación del extracto.

De Ustedes Atentamente,


LUIS FELIPE CASTILLO GIBSONE
T.P. No. 68.995 del C.S.J.

2

FOR PATENTS AND TRADEMARKS IN

COLOMBIA

PODER GENERAL

(1) CALIFORNIA INSTITUTE
OF TECHNOLOGY

domiciliado en (2)

PASADENA, CALIFORNIA, E.U.A.nombró al Dr. Germán Castillo Grau y/o Adriana
Castillo Gibsone y/o Luis Felipe Castillo Gibsone

con oficinas en la Carrera 13 No. 37-43, Piso 12 en Bogotá, D.C., Colombia como sus representantes con poder especial amplio y suficiente, para recabar de las oficinas y autoridades Colombianas, administrativas, judiciales o de policía, en primera o segunda instancia, la Obtención de Patentes de Invención, Registro de Marcas, de Diseños Industriales, de Nombres y Enseñas Comerciales, lo mismo que trasposos, extensiones, renovaciones, cambios de nombre y domicilio, registro de licencias de explotación y registro de licencias de uso referentes a tales actuaciones, a cuyo efecto les faculta para dar ante dichas autoridades todos los pasos necesarios al objeto indicado, elevar solicitudes, formular descripciones, enmiendas, protestas, declaraciones, apelaciones y reclamos, solicitar la aplicación de medidas cautelares, aceptar en nuestro nombre y representación la Cesión de derechos de invención y de patente de invención que se nos haga por cualquier persona, abonar todos los impuestos cuotas y pagos determinados por la ley, recibir todos los documentos y valores dando el descargo respectivo, llenar cualesquiera otros requisitos, desistir, y tomar en fin todas las medidas que creyeren conducentes al resguardo de nuestros intereses y en caso de presentarse oposiciones para que intervengan como demandantes o como demandados, con todas las demás facultades legales necesarias. Este poder comprende además las facultades de recibir, desistir, transigir, comprometer, sustituir, revocar sustituciones, recibir notificaciones y nombrar apoderados judiciales o extra-judiciales

Dado y firmado en (4)

GENERAL POWER OF ATTORNEY

(1) CALIFORNIA INSTITUTE OF
TECHNOLOGYdomiciled in (2) California Institute Of
Technology, 1200 E. California Blvd, MS 201-85,
Pasadena, CA 91125 (US)hereby appoint Dr. Germán Castillo Grau and/or
Adriana Castillo-Gibsone and/or Luis Felipe
Castillo-Gibsone

with offices at Carrera 13 No. 37-43, 12th Floor in Bogotá, Colombia as our representatives with special ample and sufficient power to obtain from the offices and Colombian authorities, administrative, judicial and police, in first or in second instance, Patents of Invention, registration of Trade or Service Marks, Industrial Designs, of Commercial Names and Ensigns, as well as assignments, extensions, renewals, changes of names and addresses, registration of licences of exploitation and licences of use referring to said actions who are empowered to take before said authorities all of the necessary steps for the purposes indicated, to file applications, to formulate descriptions, corrections, protests, declarations, appeals and claims, apply for precautionary measures, to accept on our behalf and representation the assignment of rights on invention and titles of invention that may be given to us by any person, to pay all taxes, quotas and payments prescribed by law, to receive all the documents and proceeds giving the respective acquiescence or receipt, to fulfill any other requisites to desist and take in fact any other measures that may be deemed conducive to the safeguard of our interests, and in case oppositions are presented to intervene as plaintiff or defendant, with all necessary legal faculties. This power includes the faculties to receive, desist, compromise, transact, substitute it in all or in part, to revoke substitutions, to receive notifications and to appoint judicial or extra-judicial attorneys.

Given and signed in (4) Pasadena, CA (USA)Date: October 11, 2011

CALIFORNIA INSTITUTE OF TECHNOLOGY

Firma/Signature BY: _____

Name: Fred FarinaTitle: Chief Innovation Officer

Corporate Seal to be Affixed

Fred Farina

**Chief Innovation Officer
& Executive Director,
Office of Technology Transfer
California Institute of Technology**



State of California
Secretary of State

3

APOSTILLE (Convention de La Haye du 5 octobre 1961)				
1. Country: Pays / País:		United States of America		
This public document Le présent acte public / El presente documento público				
2. has been signed by a été signé par ha sido firmado por		Melinda Bakarbessy		
3. acting in the capacity of agissant en qualité de quien actúa en calidad de		Notary Public, State of California		
4. bears the seal / stamp of est revêtu du sceau / timbre de y está revestido del sello / timbre de		Melinda Bakarbessy, Notary Public, State of California		
Certified Attesté / Certificado				
5. at à / en		Sacramento, California	6. the le / el día	16th day of November 2011
7. by par / por		Secretary of State, State of California		
8. N° sous n° bajo el número		57502		
9. Seal / stamp: Sceau / timbre: Sello / timbre:				
		10. Signature: Signature: Firma:		

AUTENTICACIÓN DE COPIA DE ORIGINAL
EL SUSCRITO NOTARIO TREINTA Y OCHO (38) CERTIFICA
QUE LA PRESENTE COPIA COINCIDE EXACTAMENTE CON
EL DOCUMENTO ORIGINAL QUE HE TENIDO A LA VISTA.
13 DIC 2011
RODOLFO REY BERNARDEZ

This Apostille is the trilingual model Apostille Certificate as suggested by the Permanent Bureau and developed in response to the 2009 Special Commission on the practical operation of the Hague Apostille Convention.
This Apostille only certifies the authenticity of the signature and the capacity of the person who has signed the document, where appropriate, the identity of the seal or stamp which the public document bears.
This Apostille does not certify the content of the document for which it was issued.
This Apostille is not valid for use anywhere within the United States of America, its territories or possessions.
To verify the issuance of this Apostille, see: www.sos.ca.gov/business/notary/apostille-search/.

Cette apostille est le modèle d'Apostille trilingue tel que suggéré par le Bureau Permanent et élaboré en réponse à la Commission spéciale de 2009 sur le fonctionnement pratique de la Convention de La Haye Apostille.
Cette Apostille atteste uniquement la véracité de la signature, la qualité en laquelle le signataire de l'acte a agi et, le cas échéant, l'identité du sceau ou timbre dont cet acte public est revêtu.
Cette Apostille ne certifie pas le contenu de l'acte pour lequel elle a été émise.
L'utilisation de cette Apostille n'est pas valable en / au États-Unis d'Amérique, ses territoires ou possessions.
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Esta Apostilla certifica únicamente la autenticidad de la firma, la calidad en que el signatario del documento haya actuado y, en su caso, la identidad del sello o timbre del que el documento público esté revestido.
Esta Apostilla no certifica el contenido del documento para el cual se expidió.
No es válido el uso de esta Apostilla en Estados Unidos de América, sus territorios o posesiones.
Esta Apostilla se puede verificar en la dirección siguiente: www.sos.ca.gov/business/notary/apostille-search/.



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

State of California

County of Los Angeles

On September 30, 2011 before me, Melinda Bakarbessey, Notary Public

Here Insert Name and Title of the Officer

personally appeared Fred Farina

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature: M. Bakarbessey

Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Individual

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT OF SIGNER
Top of thumb here

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Individual

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT OF SIGNER
Top of thumb here



5

PATENT OF INVENTION ASSIGNMENT

The undersigned

- 1. Frances H. Arnold**
- 2. Pete Heinzelman**

Domiciled in

- 1. 4341 Encinas Dr., La Canada, CA 91011, United States of America**
- 2. 44 North Oak Avenue, Apt.#4, Pasadena, CA 91107, United States of America**

respectively, hereby appoint(s) Dr. GERMAN CASTILLO GRAU, and/or Dr. ADRIANA CASTILLO GIBSON and/or Dr. LUIS FELIPE CASTILLO GIBSON, domiciled in Bogotá, Colombia, with offices at Carrera 13 No. 37-43, 12th Floor, as attorneys with ample and sufficient power, including that of receiving notifications and appointing judicial and extra-judicial attorneys, to apply for and obtain from the Colombian offices and authorities the Patent of Invention for

POLYPEPTIDES HAVING CELLULASE ACTIVITY

to be issued in the name of

CALIFORNIA INSTITUTE OF TECHNOLOGY

domiciled in

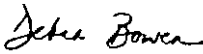
California Institute Of Technology, 1200 E. California Blvd, MS 201-85, Pasadena, CA 91125, United States of America

to whom assignment has been made of all rights and titles on said invention/creation without any restriction whatsoever.

Given and signed in _____

Inventors,Place: Pasadena, CA (USA) Date: 10/28, 2011Signature: 
ARNOLD, Frances, H.

State of California
Secretary of State

APOSTILLE (Convention de La Haye du 5 octobre 1961)			
1. Country: Pays / País:	United States of America		
This public document Le présent acte public / El presente documento público			
2. has been signed by a été signé par ha sido firmado por	Melinda Bakarbessy		
3. acting in the capacity of agissant en qualité de quien actúa en calidad de	Notary Public, State of California		
4. bears the seal / stamp of est revêtu du sceau / timbre de y está revestido del sello / timbre de	Melinda Bakarbessy, Notary Public, State of California		
Certified Attesté / Certificado			
5. at à / en	Sacramento, California	6. the le / el día	16th day of November 2011
7. by par / por	Secretary of State, State of California		
8. N° sous n° bajo el número	63753		
9. Seal / stamp: Sceau / timbre: Sello / timbre:		10. Signature: Signature: Firma:	

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CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

State of California

County of Los Angeles

On October 28, 2011
Date

before me, Melinda Bakabessy Notary Public
Here Insert Name and Title of the Officer

personally appeared Frances H. Arnold
Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s); or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: M. Bakabessy

Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____

Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Corporate Officer — Title(s): _____

☐ Individual

☐ Individual

☐ Partner — ☐ Limited ☐ General

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Attorney in Fact

☐ Trustee

☐ Trustee

☐ Guardian or Conservator

☐ Guardian or Conservator

☐ Other: _____

☐ Other: _____

Signer Is Representing: _____

Signer Is Representing: _____



OFFICE OF THE SECRETARY OF STATE



APOSTILLE
(Convention de La Haye du 5 Octobre 1961)

1. Country: *United States of America*

This public document

2. Has been signed by *Teresa Hackney*

3. Acting in the capacity of *Notary Public, State of Oklahoma*

4. Bears the seal/stamp of *Teresa Hackney, Notary Public*

CERTIFIED

5. *At Oklahoma City, Oklahoma, U.S.A.*

6. *The 18th day of November, 2011*

7. *By Regina R. Smith*

8. *No. C00126105*

9. *Seal of the State of Oklahoma*



10. Signature:

A handwritten signature in black ink, appearing to read "Regina R. Smith", is written over a horizontal line.

Regina R. Smith
Administrative Assistant

Place: NORMAN, OK Date: OCT 26, 2011

Signature: Pete Heinzelman
HEINZELMAN, Pete



Notary: Teresa Hackney

Name: Teresa Hackney

My commission expires: August 8, 2014

Place: Pasadena, CA (USA) Date: _____, 2011

CALIFORNIA INSTITUTE OF TECHNOLOGY

Signature By: _____

Name: _____

Title: _____

[SEAL]

Notary: _____

Name: _____

My commission expires: _____

10

Place: _____ Date: _____, 2011

Signature: _____
HEINZELMAN, Pete

[SEAL]

Notary: _____

Name: _____

My commission expires: _____

Place: Pasadena, CA (USA)

Date: 30 September, 2011

CALIFORNIA INSTITUTE OF TECHNOLOGY

Signature By: _____

Name: Fred Faring

Title: Chief Innovation Officer

[SEAL]

Notary: Melinda Bakkerbessy, Notary Public

Name: M. Bakkerbessy

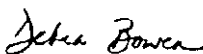
My commission expires: April 29, 2015

See attached for
Notaral Wadling

11

State of California

Secretary of State

APOSTILLE (Convention de La Haye du 5 octobre 1961)			
1. Country: Pays / País:	United States of America		
This public document Le présent acte public / El presente documento público			
2. has been signed by a été signé par ha sido firmado por	Melinda Bakarbessy		
3. acting in the capacity of agissant en qualité de quien actúa en calidad de	Notary Public, State of California		
4. bears the seal / stamp of est revêtu du sceau / timbre de y está revestido del sello / timbre de	Melinda Bakarbessy, Notary Public, State of California		
Certified Attesté / Certificado			
5. at à / en	Sacramento, California	6. the le / el día	16th day of November 2011
7. by par / por	Secretary of State, State of California		
8. N° sous n° bajo el número	30222		
9. Seal / stamp: Sceau / timbre: Sello / timbre:		10. Signature: Signature: Firma:	

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CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

State of California

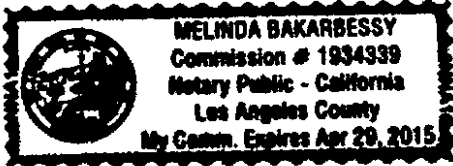
County of Los Angeles

On September 30, 2011
Date

before me, Melinda Bakarbessy, Notary Public
Here Insert Name and Title of the Officer

personally appeared Fred Farina

Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: M. Bakarbessy
Signature of Notary Public

OPTIONAL

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Description of Attached Document

Title or Type of Document: _____

Document Date: _____

Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Corporate Officer — Title(s): _____
- ☐ Individual
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney in Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Corporate Officer — Title(s): _____
- ☐ Individual
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney in Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here



· SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

NIT : 800.176.089-2

- / -



RECIBO DE CAJA

No. 11 - 106896

Bogotá D.C., Noviembre 01 de 2011 - 12:22:24

RECIBIDO DE : CASTILLO GRAU & ASOCIADOS LTDA.

NI 800.098.704

***** Soporte del Pago *****

TIPO PAGO	BANCO	CUENTA	No. PAGO	FECHA PAGO	VR. PAGO
CONSIGNACION	BANCO DE BOGOTA	062754387	409420701	31/10/2011	3.941.000.00

***** Conceptos Pagados *****

CANT.	RENTISTICO	CONCEPTO	Vr. UNDITARIO	Vr. CONCEPTO
1	50005-01-09 OTROS SERVICIOS DE PROPIEDAD INDUSTRIAL	99 OTROS SERVICIOS ADMINISTRATIVOS	131.000.00	131.000.00
				\$131.000.00

SON: **CIENTO TREINTA Y UN MIL PESOS MONEDA CORRIENTE**

Responsable: _____

Recibo de Caja Aplicado al Expediente No. _____

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 11-125473-00003-0000

Fecha: 2011-12-22 15:17:42 Dep. 2020 DIR.NUEVASCR
Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI
Act. 444 RESPUESTAREQUE Folios: 14

Sede Centro: Carrera 13 No. 27 - 00 Pisos 3,4,5 y 10 Bogotá, D.C.- Colombia

Web: www.sic.gov.co e-mail: info@sic.gov.co Conmutador: (571) 5870000 Fax: (571) 5870284 Línea: 018000-910165 Call Center: (571) 6513240

, Noviembre 1 de 2011 - 12:22:25

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

NIT : 800.176.089-2

- / -



RECIBO DE CAJA

No. 11 - 124085

Bogotá D.C., Diciembre 21 de 2011 - 12:33:58

RECIBIDO DE: CASTILLO GRAU & ASOCIADOS LTDA.

NI 800.098.704

*** Soporte del Pago ***

TIPO PAGO	BANCO	CUENTA	No. PAGO	FECHA PAGO	VR. PAGO
CONSIGNACION	BANCO DE BOGOTA	062754387	410220160	21/12/2011	4.000.00

*** Conceptos Pagados ***

CANT.	RENTISTICO	CONCEPTO	VR. UNDITARIO	VR. CONCEPTO
1	50005-01-09 OTROS SERVICIOS DE PROPIEDAD INDUSTRIAL	99 OTROS SERVICIOS ADMINISTRATIVOS	4.000.00	4.000.00
				\$4.000.00

SON: **CUATRO MIL PESOS MONEDA CORRIENTE**

Responsable: _____

Recibo de Caja Aplicado al Expediente No. _____

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 11-125473-00003-0000

Fecha: 2011-12-22 15:17:42 Dep. 2020 DIR.NUEVASCR
 Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI
 Act. 444 RESPUESTAREQUE Folios: 14

Sede Centro: Carrera 13 No. 27 - 00 Pisos 3,4,5 y 10 Bogotá, D.C. - Colombia

Web: www.sic.gov.co e-mail: info@sic.gov.co Conmutador: (571) 5870000 Fax: (571) 5870284 Línea: 018000-910165 Call Center: (571) 6513240

Diciembre 21 de 2011 - 12:33:58