

Clarke, Modet & C^o

COLOMBIA

P-3023

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
DIVISION DE NUEVAS CREACIONES

E.

S.

D.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 11-133997- -00002-0000

Fecha: 2011-10-25 15:09:02 Dep. 2020 DIR.NUEVASCR
Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI
Act. 329 CTOINFORMACION Folios: 16

Ref.: Expediente No. 11.133.997

Solicitud de Patente a nombre de

LUMMUS NOVOLEN TECHNOLOGY GMBH.

Yo, DILIA MARÍA RODRÍGUEZ D'ALEMAN, identificada como aparece al pie de mi firma, domiciliada en la Carrera 11 No. 86-53, piso 6, obrando como apoderada de la sociedad **LUMMUS NOVOLEN TECHNOLOGY GMBH.**, domiciliada en Mannheim, Alemania, solicitante de la patente de la referencia, atentamente me permito presentar:

1. Documentos donde consta la cesión del derecho a la patente otorgada por los inventores a favor de mi mandante.

Sobre el particular, es importante resaltar que de conformidad con las normas legales vigentes, numeral 1.2.1.1 del Capítulo Primero, Título X de la Circular Única expedida por la Superintendencia de Industria y Comercio, y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requiere traducción. Por otro lado, la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, los documentos anexos a este memorial son válidamente presentados en idioma inglés y con la correspondiente apostilla.

2. Copia auténtica del poder junto con el Certificado de Existencia y Representación Legal de dicha sociedad y con su respectiva traducción del inglés al español.

Señor Superintendente,

DILIA MARÍA RODRÍGUEZ D'ALEMAN
C.C. 41.654.882 de Bogotá.
T.P. 20824 CSJ

APOSTILLE*(Convention de La Haye du 5 octobre 1961)*

1. Country: *United States of America*

This public document

2. has been signed by *E. Bornett*

3. acting in the capacity of *Certifying Officer*

4. bears the seal/stamp of *U. S. Patent and Trademark Office*

Certified

5. at Washington, D.C.

6. the *twenty-third of August, 2011*

7. by *Assistant Authentication Officer, United States Department of State*

8. No. *11047660-10*

9. Seal/Stamp:

10. Signature:

Fernesia T. Crawford

Fernesia T. Crawford

A 7309846

**THE UNITED STATES OF AMERICA****TO ALL TO WHOM THESE PRESENTS SHALL COME:****UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office**

August 10, 2011

**THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
MARCH 11, 2009.**

By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office



E. Bornett
E. BORNETT
Certifying Officer

03-20-2009

Form PTO-1595
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)
Tab set



103553078

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Attorney Docket No.: 1094-86N (2006NO

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jose Pezzutti
Alberto Benito
Guillermo Cassano
Leandro Roth
Werner Schoene
Hartmut Siebert
Andrea Winter
Anita Dimeska
Vassilios Gallatsatos

2. Name and address of receiving party(ies)

Name: **Lummus Novolen Technology GmbH**

Address: **Oostduinlaan 25
2596 JJ The Hague**

The Netherlands

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 2/25/2009; 2/26/2009; 2/28/2009 & 3/2/2009

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Adrian T. Calderone**
DILWORTH & BARRESE, LLP
333 Earle Ovington Blvd.
Uniondale, New York 11553
U.S. PTO Customer No. 28,249

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ **\$40.00**

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: **04-1121**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Adrian T. Calderone

Name of Person Signing

Signature

3/11/09

Date

Total number of pages including cover sheet, attachments, and documents: ☐

Mail documents to be recorded with required cover sheet information to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT
REEL: 022425 FRAME: 0743

For: ☒ U.S. and/or ☒ Foreign Rights

For: ☒ U.S. Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR(S):

José Pezzutti

Alberto Benito

Guillermo Cassano

Leandro Roth

Werner Schoene

Hartmut Siebert

Andreas Winter

Anita Dimeska

Vassilios Galiatsatos

(inventor(s) or

person(s) or entity(ies) who own the invention)

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

LUMMUS NOVOLEN TECHNOLOGY GmbH

Oostduinlaan 25

2596 JJ The Hague

The Netherlands

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

an undivided _____ percent (_____ %) interest for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

SUPERTRANSPARENT HIGH IMPACT STRENGTH RANDOM BLOCK COPOLYMER

(check and complete (a), (b), (c) or (d))

and which is described in an application for a United States Patent, the specification of which is attached hereto or otherwise accompanies this Assignment or indicates an Attorney Docket No. 1094-86N (2006NOV017) or which is found in

- (a) ☒ U.S. patent application executed on even date herewith.
- (b) ☐ U.S. patent application executed on _____.
- (c) ☐ U.S. application Serial No. _____ filed on _____
- (d) ☐ U.S. patent no. _____ issued _____.
- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (e) if foreign application(s) is also being assigned)

- (e) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any re-issue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR

and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

(Date of signing)

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

José Pezzutti

Date

Alberto Benito

Date

Guillermo Cassano

Date

Leandro Roth

Date

Warner Schoene

Date

Hartmut Siebert

Hartmut Siebert

28.02.2009

Date

Andreas Winter

Date

Anita Dimeska

Date

Vassilios Galiatsatos

Date

and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

(Date of signing)

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

José Pezzutti

Date

Alberto Benito

Date

Gullermo Cassano

Date

Leandro Roth
Werner Schoene

Warner Schoene

Date
March 02, 2009

Date

Hartmut Siebert
Andreas Winter

Andreas Winter

Date
February 25, 2009

Date

Anita Dimeska

Date

Vassilios Galiatsatos

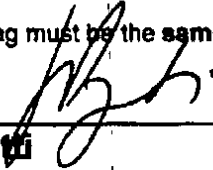
Date

and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

(Date of signing)

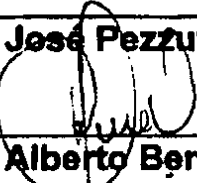
WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.



José Pezzutti

02/26/2009

Date



Alberto Benito

02/26/09

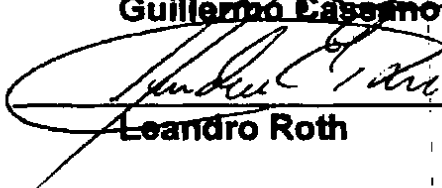
Date



Guillermo Cassano

02/26/09

Date



Leandro Roth

02/26/09

Date

Warner Schoene

Date

Hartmut Siebert

Date

Andreas Winter

Date

Anita Dimeska

Date

Vassilios Galiatsatos

Date

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IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

(Date of signing)

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José Pezzutti

Date

Alberto Benito

Date

Guillermo Cassano

Date

Leandro Roth

Date

Warner Schoene

Date

Hartmut Siebert

Date

Andreas Winter

Date

Anita Dimeska

02/25/2009
Date

Yassilios Gallatsatos

03/02/2009
Date

IA
P-3023

APOSTILLE ✓

(Convention de La Haye du 5 octobre 1961)

1. Land: Bundesrepublik Deutschland

Diese öffentliche Urkunde

2. ist unterschrieben von

Justizrat Dr. Stefan Fellmeth

3. in seiner Eigenschaft als **Notar**

4. sie ist versehen mit dem Siegel/Stempel
des **Notariats Weinheim**

Bestätigt

5. in 68149 Mannheim

6. am 16.09.2011

7. durch den Präsidenten des Landgerichts Mannheim

8. unter Nr. E 910 a M - 2665/2011 -

9. Siegel/Stempel

10. Unterschrift




Zöbeley

Präsident des Landgerichts

Wert: € 3.000,00

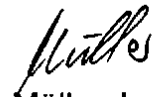
Gebühr Nr. 1 100

der Anl. z. JVKostO

§ 45 Abs. 1 KostO = € 10,00

Mannheim, 16.09.2011

Die Kostenbeamtin:


Müller

Justizangestellte

Clarke, Modet & C^{ia}
COLOMBIA

COLOMBIA

Carrera 11 N° 86-53 Piso 6 Bogotá Colombia Tel: (57 1) 6181088 Fax: (57 1) 6350824 Email: info@clarkemodet.com.co

PODER

Yo (nosotros), abajo firmante(s)

en mi (nuestro) carácter de representante(s) legales de
LUMMUS NOVOLEN TECHNOLOGY GMBH

una sociedad organizada y existente de acuerdo con las leyes de

y en actual cumplimiento de su objeto social, con domicilio en
Gottlieb Daimler Str. 8, Mannheim 68165 Germany

por el presente instrumento confiero (conferimos) poder especial a favor de DILIA MARIA RODRIGUEZ D'ALEMAN, con Cédula de Ciudadanía No. 41.654.882 de Bogotá, y tp. 20824 y CLAUDIA LUCIA CARO RAMIREZ, con Cédula de Ciudadanía No. 40.017.374 de Tunja, y tp. 36448, con domicilio en Bogotá, Colombia, para que actuando en su orden en nombre del (de la) otorgante le (la) representen ante cualquier entidad, autoridad y/o persona, especialmente ante las del orden Administrativo, Contencioso Administrativo, Judicial, Tribunales de Arbitramento y/o cualquier otro en lo referente a los siguientes asuntos:
PCT/US2010/026205.

1) Solicitar, tramitar, obtener y/o registrar cualquiera de las modalidades de Propiedad Industrial, tales como: patentes de invención, modelos de utilidad, diseños industriales, variedades vegetales, marcas, lemas, nombres y enseñas comerciales y denominaciones de origen; así como sus renovaciones, prórrogas, transferencias, cambios de nombre y/o domicilio, contratos y licencias.

2) Solicitar, tramitar, obtener y/o registrar derechos de autor, en cualquiera de sus presentaciones así como la protección de secretos industriales y know-how.

3) Solicitar, tramitar, obtener y/o registrar registros sanitarios, permisos y/o licencias de funcionamiento; así como sus renovaciones o prórrogas, transferencias, cambios de nombre y/o domicilio, contratos y licencias.

4) Ejercer acciones de oposición, observación, reclamos, reconsideración, reposición, apelación, cancelación administrativa o judicial, nulidad, protección al consumidor, concesión de licencias, protección de secretos industriales y demás acciones y recursos relacionados con derechos derivados de los asuntos arriba indicados y en contra de la competencia desleal, acciones derivadas de la obtención o explotación de licencias, acciones de indemnización de perjuicios. También podrá(n) intervenir en juicios civiles, comerciales, penales, contencioso-administrativos, tutelas y de policía; en acciones o juicios promovidos por el (la) mandante o en contra el (ella).

5) Registrar nombres de dominio ante la entidad competente.

6) En el ejercicio de este mandato los mandatarios arriba mencionados quedan facultados para: conciliar, cancelar, transigir, comprometer en árbitros, desistir, recibir y tomar posesión de la cosa objeto de litigio y renunciar o desistir a derechos concedidos o en curso de concesión, admitir los hechos del proceso.

7) Ratificar o no la agencia oficiosa.

8) Así mismo faculto (facultamos) a los mencionados apoderados para recibir notificaciones y designar apoderados judiciales o extrajudiciales, sustituir el presente poder y revocar las sustituciones que hicieren.

Dado y firmado en

a los días de

POWER OF ATTORNEY

I (we), the undersigned

legal representative(s) of
LUMMUS NOVOLEN TECHNOLOGY GMBH

an organization existing under the laws of

and in present execution of its business activity, domiciled
Gottlieb Daimler Str. 8, Mannheim 68165 Germany

do hereby grant POWER OF ATTORNEY to DILIA MARIA RODRIGUEZ D'ALEMAN, identification card No. 41.654.882 of Bogotá, and tp. 20824 and CLAUDIA LUCIA CARO RAMIREZ, tp. 36448, identification card No. 40.017.374 of Tunja, residing in Bogotá, Colombia, to act in the order of their appointment in behalf of grantor before any entity, authority and/or natural or juridical person, specially before those Administrative, Administrative-Contentious, Judicial, arbitration courts, and/or any other, in all matters concerning the following: **PCT/US2010/026205**

1) To apply, process, obtain and/or register any of the modalities of Industrial Property, such as: Patents of Invention, Utility Models, Designs, plant varieties, Marks, Slogans, Trade Names and emblems and appellations of Origin; as well as their renewals, assignments, changes of name and/or domicile, agreements and licenses.

2) To apply, process, obtain and/or register copyrights of any kind as well as protection of industrial secrets or know-how.

3) To apply, process, obtain and/or register sanitary registrations, operating authorizations and/or licenses; as well as their renewals or assignments, changes of name and/or domicile, agreements and licenses.

4) To bring actions of opposition, observations, claims, reconsideration, appeal or legal remedies, cancellations, nullity, protection to the consumer, granting of licenses, protection of trade secrets and other actions related with rights derived from the above-mentioned matters and against the unfair competition, actions resulting from the obtaining or exploitation of licenses, recovering damages actions. They can also intervene or participate in Civil, Commercial, Criminal or Contentious-Administrative Trials; the actions for writ mandamus, police actions; in actions, lawsuits or litigations instituted by the grantor or against him.

5) To register domain names before the competent entity.

6) To the effect of this mandate the above-named proxy are empowered to: conciliate, cancel, settle, submit to arbitration, withdraw and to receive and take possession of the litigation related object and waive or renounce the rights granted or in process of granting, admit the facts of the case.

7) To ratify or not the officious agency.

8) I (we) hereby appoint the afore mentioned attorneys with powers to receive notifications and to designate judicial and extrajudicial attorneys, substitute this Power of Attorney and to revoke such substitutions.

Granted and signed at Mannheim, Germany.

on this 13 day of SEPTEMBER 2011



Firma / signature

Godofredo Follmer, Managing Director

12



Notariat Weinheim

Notar Dr. Stefan Fellmeth

Korrespondenz-Adresse:

Postfach 100865

69448 Weinheim

Institutstr. 15

69469 Weinheim

(Parkmöglichkeit im Hof)

Telefon:

06201 / 982-270

Telefax:

06201 / 982-264

stefan.fellmeth@notweinheim.justiz.bwl.de

Liefer-Anschrift:

(Inscripción no. II UR 1198 / 2011 del protocolo)

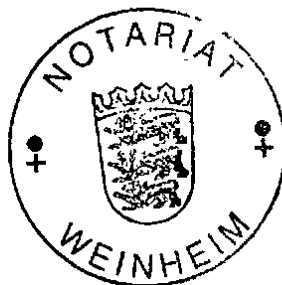
CERTIFICADO NOTARIAL

A los trece días del mes de septiembre de dosmilonce , el Notario que suscribe DA FE de que:

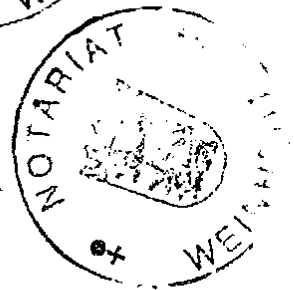
1. Dr. rer.nat. Godofredo **Follmer**, nacido el 31.08.1958 en Buenos Aires, mayor de edad y domiciliado Kastanienweg 17, D-67256 Weisenheim am Sand, firmó de su puño y letra el documento que antecede.
2. Conoce al otorgante y que este está legalmente capacitado para el otorgamiento.
3. El otorgante ha firmado el referido documento en su carácter de Administrador Único de la persona jurídica Lummus Novolen Technology GmbH.
4. La citada persona jurídica con sede en D-68165 Mannheim, Gottlieb-Daimler-Straße 8, está legalmente constituida, que tiene actualmente existencia legal y que el acto para el cual se ha otorgado este poder está comprendido entre los que constituyen su objeto social.
5. El otorgante tiene efectivamente la representación que ostenta, que esta es legítima y que está facultado para otorgar este poder.
6. las anteriores certificaciones de los puntos 3, 4 y 5, me constan porque he verificado hoy el contenido del registro comercial electrónico del Amtsgericht (Juzgado de 1ª Instancia) de Mannheim HRB 701809.

Ladenburg, 13.09.2011

Dr. Stefan Fellmeth, Notario Público



§§ KostO	Gegenstand	Geschäftswert	Betrag
§§ 32, 45 I, 141 KostO	Unterschriftsbeglaubigung	3.000,00 €	10,00 €
§§ 32, 59 I, 141 KostO	Erklärung in fremder Sprache	3.000,00 €	12,50 €
§ 150 Ziff. 1 KostO	Vertretng.Bescheinigung n. § 21 Abs.1 Nr.1 BNotO	0,00 €	13,00 €
§ 150 Ziff. 2 KostO	Vertretng.Bescheinigung n. § 21 Abs.1 Nr.2 BNotO	0,00 €	25,00 €
	Wert/Kostenvorschr.:§§18-32,33-35,39-41c,44KostO	0,00 €	0,00 €
§152 II Ziff.1 KostO	Portoauslagen	0,00 €	1,45 €
§137 I Ziff.14 KostO	Abrufgebühren elektr. Grundbuch	0,00 €	4,50 €
	Umsatzsteuer 19,00% aus	0,00 €	12,63 €
Summe:			79,08 €
			=====
Rechnungs-Nr.:	207914		



Valor: € 3.000,00

Derechos No. 1 100 del anexo al JVKostO

§ 45 par. 1 Orden de costos = € 10,00

Mannheim. 16.09.2011

La funcionaria de costos:

Firma

Müller – Empleada de administración de justicia

15


SANDRA REISING MORALES
Traductora e interprete,
Oficial Aleman
Certificado N° 0310

APOSTILLA

(Convención de la Haya del 5 de octubre de 1961)

1. País: Republica Federal de Alemania

Este documento publico

2. está firmado por el consejero de justicia Dr. Stefan Fellmeth

3. en su calidad de Notario

4. está provisto con el timbre/sello del Notario Weinheim

confirmado

5. en 68149 Mannheim

6. el 16.09.2011

7. por el presidente de la audiencia provincial Mannheim

8. bajo el número E 910 a M – 2665/2011

9. Sello

Audiencia provincial
Mannheim

10. Firma

Zöbeley

Presidente de la audiencia provincial

MINISTERIO DE
RELACIONES EXTERIORES
16 OCT 2011 A 10:10
DEPT. DE RELACIONES
EXTERIORES D.C.

101310
Sandra Reising.
JEF. DE CALIDAD
FEI 1510

16

Sandra Reising
SANDRA REISING MORALES
Traductora e interprete
Oficial Aleman
Certificado Nº 0310