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Abogados

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Bogotá - Colombia S.A.

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E.Mall:bricas@brigardycastro.com.co

Señores

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
DIVISION DE NUEVAS CREACIONES
E. S. D.

SUPERINDUSTRIA Y COMERCIO

Radicacion : 04056353 00000001 Folios: 45
Fecha (Arb): 2004-07-06 17:01:37
Tramite : 011 PCT-PATENT 379 FREE NACI 329 COMPLETO
Dependencia: 2020 DIVISION DE NUEVAS CREACIONES

REF: Expediente No. 04 056.353 - Solicitud de Patente FASE NACIONAL
Corresp. a la Solicitud Internacional PCT/US02/40598
a favor de BRISTOL – MYERS SQUIBB COMPANY
Titulada: COMPUESTOS DE SUCCINIMIDA HETEROCICLICOS FUSIONADOS Y
ANALOGOS DE LOS MISMOS, MODULADORES DE LA FUNCIÓN DEL
RECEPTOR DE LA HORMONA NUCLEAR

RAMIRO CASTRO DUQUE, vecino de Bogotá, portador de la tarjeta profesional
No. 1003, obrando como apoderado de la Sociedad BRISTOL – MYERS SQUIBB
COMPANY, según lo acredita el documento de Poder protocolizado en esa
División bajo el No. 19358, me permito anexar al presente escrito los siguientes
documentos:

- 1. Dos (2) documentos donde consta la cesión del derecho a la Patente otorgado**
por los inventores al solicitante, debidamente apostillados.

De la señora jefe atentamente,



RAMIRO CASTRO DUQUE
C.C. No. 170.322 de Bogotá
Código 340
Cra 7a. No. 16-56 Piso 9

Bogotá, Julio 08, 2004
CHP/MEV/har

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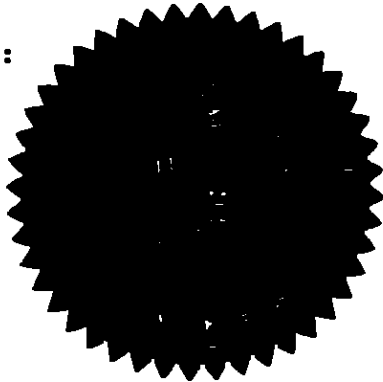
APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

1. COUNTRY: UNITED STATES OF AMERICA
2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:
MARIA CASTALDO
3. ACTING IN THE CAPACITY OF:
NOTARY PUBLIC OF NEW JERSEY
4. BEARS THE SEAL/STAMP OF:
MARIA CASTALDO, NOTARY

CERTIFIED

5. AT TRENTON, NEW JERSEY
6. THE 17TH DAY OF JUNE 2004
7. BY: John E McCormac, CPA, NEW JERSEY TREASURER
8. NO. A200634
9. SEAL/STAMP:
10. SIGNATURE



John E McCormac

10/025,116-LD0192a cip

DOCUMENT OF ASSIGNMENT OF
PATENT APPLICATION

ASSIGNMENT

The Undersigned, Raj Misra and Hai-Yun Xiao

acting as

of

Raj N. Misra, 12 Eaton Place, Hopewell, New Jersey 08525, US

Hai-Yun Xiao, 173 Jonathan Dayton Court, Princeton, New Jersey
08540, US

with domicile in

hereby declares that it assigns all rights of ownership as well as all rights and privileges relating thereto without exception over the following patent application in Colombia: FUSED HETEROCYCLIC SUCCINIMIDE COMPOUNDS AND ANALOGS THEREOF, MODULATORS OF NUCLEAR HORMONE RECEPTOR FUNCTION. No. 04 056.353
To BRISTOL-MYERS SQUIBB COMPANY

domiciled in

Rt. 206 & Province Ln. Rd., PO BOX 4000, Princeton, NJ 08543, USA

The assignor and the assignee sign this document as proof of their acceptance of the assignment.

Given and signed on the 15 day of June
of 2004

BRISTOL-MYERS SQUIBB CO.



Stephen B. Davis

Patents Counsel



Raj Misra



Hai-Yun Xiao

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STATE OF NEW JERSEY)
COUNTY OF MERCER) SS.

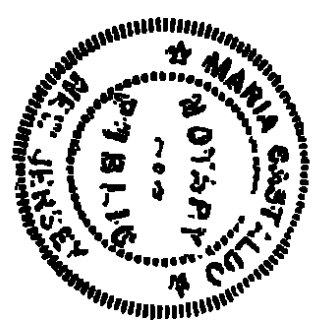
On this 15 day of June, 2004,

before me, a Notary Public in and for the County and State aforesaid, appeared

Stephen B. Davis and Hai-Yun Xiao and Raj Misra

to me personally known to be the same person(s) whose name(s) is (are) subscribed to the attached instrument and acknowledged that he (they) executed said instrument as his (their) free and voluntary act, and for the use and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



Maria Castaldo

Notary Public
Maria Castaldo

MARIA CASTALDO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires October 6, 2008

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CO 1536

LD192a

AFFIDAVIT

I, **Stephen B. Davis**, Senior Patent Counsel, Patent Department,
BRISTOL-MYERS SQUIBB COMPANY, do hereby certify that this is
a notarized true and accurate assignment signed by the inventors.

Stephen B Davis
Stephen B. Davis
Senior Patent Counsel

STATE OF NEW JERSEY)
)
COUNTY OF MERCER)

Subscribed and sworn to before me this
20th day of May, 2004

Maria Castaldo
Maria Castaldo
Notary Public of New Jersey
My commission expires October 6, 2008



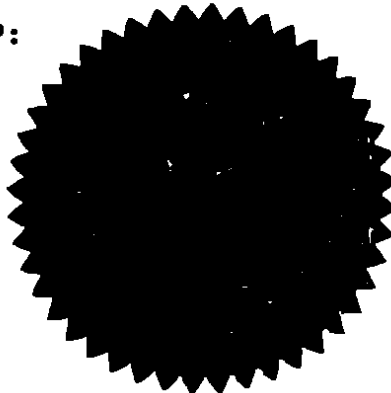
APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

1. COUNTRY: UNITED STATES OF AMERICA
2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:
MARIA CASTALDO
3. ACTING IN THE CAPACITY OF:
NOTARY PUBLIC OF NEW JERSEY
4. BEARS THE SEAL/STAMP OF:
MARIA CASTALDO, NOTARY

CERTIFIED

5. AT TRENTON, NEW JERSEY
6. THE 17TH DAY OF JUNE 2004
7. BY: John E McCormac, CPA, NEW JERSEY TREASURER
8. NO. A200635
9. SEAL/STAMP: 10. SIGNATURE





PTAS

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MARCH 22, 2002

BRISTOL-MYERS SQUIBB COMPANY
STEPHEN B. DAVIS
PATENT DEPARTMENT
P.O. BOX 4000
PRINCETON, NJ 08543-4000

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/21/2002

REEL/FRAME: 012505/0788
NUMBER OF PAGES: 33

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SALVATI, MARK E. ✓

DOC DATE: 03/05/2002

ASSIGNOR:

BALOG, JAMES AARON ✓

DOC DATE: 03/05/2002

ASSIGNOR:

PICKERING, DACIA A. ✓

DOC DATE: 03/05/2002

ASSIGNOR:

GIESE, SOREN ✓

DOC DATE: 03/05/2002

ASSIGNOR:

FURA, ABERRA ✓

DOC DATE: 02/22/2002

ASSIGNOR:

LI, WENYING ✓

DOC DATE: 03/01/2002

ASSIGNOR:

PATEL, RAMESH N. ✓

DOC DATE: 03/12/2002

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81533

OPR/ASSIGNMENTS 3/25/02 10:04 PAGE 3/4 Rlf FAX

012505/0788 PAGE 2

ASSIGNOR:
HANSON, RONALD L. / DOC DATE: 03/12/2002

ASSIGNOR:
MITT, THOMAS / DOC DATE: 03/14/2002

ASSIGNOR:
ROBERGE, JACQUES Y. / DOC DATE: 03/11/2002

ASSIGNOR:
CORTE, JAMES R. / DOC DATE: 02/22/2002

ASSIGNOR:
SPERGEL, STEVEN H. / DOC DATE: 03/05/2002

ASSIGNOR:
RAMPULLA, RICHARD A. / DOC DATE: 03/05/2002

ASSIGNEE:
BRIST L-MYERS SQUIBB COMPANY
LAWRENCEVILLE-PRINCETON ROAD
PRINCETON, NEW JERSEY 08543-4000

SERIAL NUMBER: 10025116
PATENT NUMBER:

FILING DATE: 12/19/2001
ISSUE DATE:

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

1534 / 4580

FORM 21, 2002 12:35PM (Rev. 8-83) OMB No. 0851-0011 (exp. 4/04)	SECTION FORM COVER SHEET 03/21/2002 PATENTS ONLY	NO. 448 P. 2 U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Mark E. Salvati, James Aaron Balog, David A. Pickering, Soren Glass, Aberra Fura, Wanying Li, Ramesh N. Patel, Ronald L. Hanson, Thomas Mitt, Jacques Y. Roberge, James R. Corte, Steven H. Spergel, Richard A. Rampolla Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	2. Name and address of receiving party(ies) Name: Bristol-Myers Squibb Company Internal Address: Street Address: Lawrenceville-Princeton Road City: Princeton State: NJ ZIP: 08543-4000 Additional name(s) & address(es) attached? ☐ Yes ☒ No
3. Nature of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other _____ Execution Date: 2/22/02; 3/5/02; 3/1/02; 3/11/02; 3/12/02; 3/14/02	

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) 10/025118 B. Patent No.(s) _____ Additional numbers attached? ☐ Yes ☒ No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Stephen B. Davis Internal Address: Bristol-Myers Squibb Company Patent Department Street Address: P.O. Box 4000 City: Princeton State: NJ ZIP: 08543-4000	6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41) \$ 40 ☐ Enclosed ☒ Authorized to be charged to deposit account and any other additional fees required. 8. Deposit account number: 18-3880 (In the name of Bristol-Myers Squibb Company) (Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Suzanne E. Bobalko Name of Person Signing Reg. No. 22,880	 Signature March 21, 2002 Date ☐ Certificate of mailing on reverse side Total number of pages including cover sheet, attachments, and document: 37
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ASSIGNMENT

We,

Mark E. Salvati	residing at	9 Tracey Drive Lawrenceville, New Jersey 08648 United States of America
------------------------	--------------------	--

James Aaron Balog	residing at	17 Terrell Road Lambertville, New Jersey 08530 United States of America
--------------------------	--------------------	--

Dacia A. Pickering	residing at	507 Draxel Avenue Lawrenceville, New Jersey 08648 United States of America
---------------------------	--------------------	---

Sören Giese	residing at	523 Windmere Way New Hope, Pennsylvania 18938 United States of America
--------------------	--------------------	---

Abera Fura	residing at	15 Cresthill Road Lawrenceville, New Jersey 08648 United States of America
-------------------	--------------------	---

Wenyng Li	residing at	6221 Town Place Middletown, Connecticut 06457 United States of America
------------------	--------------------	---

Ramesh N. Patel	residing at	572 Cabot Hill Road Bridgewater, New Jersey 08807 United States of America
------------------------	--------------------	---

Ronald L. Hanson	residing at	54 Whitewood Drive Morris Plains, New Jersey 07950 United States of America
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Toomas Mitt	residing at	1312 Aspen Drive Plainsboro, New Jersey 08536 United States of America
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Jacques Y. Roberge	residing at	478 Ewing Street Princeton, New Jersey 08540 United States of America
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James R. Corte	residing at	11 Abelia Court Lawrenceville, New Jersey 08648 United States of America
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Steven H. Spergel	residing at	1807 Jericho Drive Warrington, Pennsylvania 18976 United States of America
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including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this ____ day of _____, 2002 by _____
Mark E. Salvati

Signed this ____ day of _____, 2002 by _____
James Aaron Balog

Signed this ____ day of _____, 2002 by _____
Dacia A. Pickering

Signed this ____ day of _____, 2002 by _____
Sören Glöse

Signed this ____ day of _____, 2002 by _____
Aberra Fura

Signed this first day of March, 2002 by Wenying Li
Wenying Li

Signed this ____ day of _____, 2002 by _____
Ramesh N. Patel

Signed this ____ day of _____, 2002 by _____
Ronald L. Hanson

Signed this ____ day of _____, 2002 by _____
Toomas Mitt

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Worldwide
Case LD0192A (CIP)

Signed this _____ day of _____, 2002 by _____
Jacques Y. Roberge

Signed this _____ day of _____, 2002 by _____
James R. Corte

Signed this _____ day of _____, 2002 by _____
Steven H. Spergel

Signed this _____ day of _____, 2002 by _____
Richard A. Rampulla

1589

STATE OF NEW JERSEY)

) ss.

Notary Public

[SEAL]

STATE OF NEW JERSEY)
) ss.: ssc.
COUNTY OF _____)
IN SENATE,
January 10, 1967.
REPORT
OF THE
COMMISSIONERS OF REVENUE AND TAXATION
FOR THE YEAR ENDING DECEMBER 31, 1966.
ASSEMBLED IN REGULAR SESSION AT TRENTON, N.J.,
JANUARY 10, 1967.
TRENTON: STATE PRINTING OFFICE, 1967. Pp. _____.
For sale by the State Printer at \$____ per copy.
(Price includes postage.)
Authorizing Act, P.L. 1965-18, Section 1.
Approved: _____
GOVERNOR
Attest: _____
CLERK OF SENATE

) ss.

Notary Public

[SEAL]

STATE OF NEW JERSEY)

) ss.

Notary Public

[SEAL]

1540
1576

Attorney Docket No. LD0192A (CIP)

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the day of 2002, before me came Soren Glese, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the day of 2002, before me came Abera Fura, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

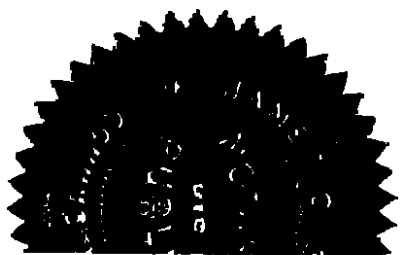
STATE OF CONNETICUT)
) ss. *Wallingford*
COUNTY OF *New Haven*)

On the 1st day of *March* 2002, before me came Wenying Li, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Janet C. Sudol

Notary Public

JANET C. SUDOL
NOTARY PUBLIC
MY COMMISSION EXPIRES AUGUST 31, 2002



1541

On the day of 2002, before me came Ramesh N. Patel, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

[SEAL]

On the day of 2002, before me came Ronald L. Hanson, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

[SEAL]

On the day of 2002, before me came Toomas Mitt, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

[SEAL]

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~~1542~~ 1542

STATE OF NEW JERSEY)

) ss.

COUNTY OF MERCER

On the day of

2002, before me came Jacques Y. Roberge, to

me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

STATE OF NEW JERSEY)

) 99.

COUNTY OF MERCER

On the day of

2002, before me came James R. Corte, to me

known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

STATE OF NEW JERSEY)

59.

COUNTY OF MERCER

On the day of

2002, before me came Steven H. Spergel, to me

On the day of _____, 2002, said to said Steven H. Sparger, to be known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

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Attorney Docket No. LD0192A (CIP)

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

**On the day of 2002, before me came Richard A. Rampulla, to
me known to be the person of that name mentioned in, and who executed the
foregoing Assignment and acknowledged that he executed it.**

Notary Public

[SEAL]

ASSIGNMENT

We,

Mark E. Salvati	residing at	9 Tracey Drive Lawrenceville, New Jersey 08648 United States of America
James Aaron Balog	residing at	17 Terrell Road Lambertville, New Jersey 08530 United States of America
Dacia A. Pickering	residing at	507 Draxel Avenue Lawrenceville, New Jersey 08648 United States of America
Sören Glese	residing at	523 Windmere Way New Hope, Pennsylvania 18938 United States of America
Aberra Fura	residing at	15 Cresthill Road Lawrenceville, New Jersey 08648 United States of America
Wenyng Li	residing at	6221 Town Place Middletown, Connecticut 06457 United States of America
Rameesh N. Patel	residing at	572 Cabot Hill Road Bridgewater, New Jersey 08807 United States of America
Ronald L. Hanson	residing at	54 Whitewood Drive Morris Plains, New Jersey 07950 United States of America
Toomas Mitt	residing at	1312 Aspen Drive Plainsboro, New Jersey 08536 United States of America
Jacques Y. Roberge	residing at	478 Ewing Street Princeton, New Jersey 08540 United States of America
James R. Corta	residing at	11 Abella Court Lawrenceville, New Jersey 08648 United States of America
Steven H. Spergel	residing at	1807 Jericho Drive Warrington, Pennsylvania 18978 United States of America

Richard A. Rampulla residing at 5 Fairfax Court
Flemington, New Jersey 08822
United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to Bristol-Myers Squibb Company, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

**FUSED HETEROCYCLIC SUCCINIMIDE COMPOUNDS AND ANALOGS THEREOF,
MODULATORS OF NUCLEAR HORMONE RECEPTOR FUNCTION**

Invented by us and described in the non-provisional United States patent application

Application No. 10/025116, filed December 19, 2001,

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

1546
2/1/2002

Worldwide
Case LD0182A (CIP)

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 5 day of March, 2002 by Mark E. Salvati
Mark E. Salvati

Signed this 5 day of March, 2002 by James Aaron Balog
James Aaron Balog

Signed this 5 day of March, 2002 by Dacia A. Pickering
Dacia A. Pickering

Signed this 5 day of March, 2002 by Sören Giese
Sören Giese

Signed this 22 day of February, 2002 by Abera Fura
Abera Fura

Signed this _____ day of _____, 2002 by _____
Wenying Li

Signed this _____ day of _____, 2002 by _____
Ramesh N. Patel

Signed this _____ day of _____, 2002 by _____
Ronald L. Hanson

Signed this _____ day of _____, 2002 by _____
Toomas Mitt

1547 2 1033

Worldwide
Case LD0192A (CIP)

Signed this 11 day of March, 2002 by Jacques F. Roberge
Jacques F. Roberge

Signed this 22 day of February, 2002 by James R. Corte
James R. Corte

Signed this 5 day of March, 2002 by Steven H. Spiegel
Steven H. Spiegel

Signed this 5 day of March, 2002 by Richard A. Rampulla
Richard A. Rampulla

1548
23 1534

Attorney Docket No. LD0192A (CIP)

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 5th day of March 2002, before me came Mark E. Salvati, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 5th day of March 2002, before me came James Aaron Balog, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 5th day of March 2002, before me came Dacia A. Pickering, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that she executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

1549
904 1575

Attorney Docket No. LD0192A (CIP)

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the ^{5th} day of March 2002, before me came Soren Giese, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the ^{1st} day of February 2002, before me came Aberra Fura, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Amordino
Notary Public

[SEAL]

EILEEN AMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug 14, 2005

STATE OF CONNETICUT)
COUNTY OF) ss.

On the day of 2002, before me came Wenying Li, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it. .

Notary Public

[SEAL]

1551
2/8 1537

Attorney Docket No. LD0182A (CIP)

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 11 day of March 2002, before me came Jacques Y. Roberge, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Julia Mary Kessler
Notary Public

Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires
Sept. 24, 2003

[SEAL]

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 22 day of February 2002, before me came James R. Corte, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Immordino
Notary Public

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 14, 2005

[SEAL]

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 5 day of March 2002, before me came Steven H. Spergel, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa B. Swidra
Notary Public

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY

[SEAL]

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27 1518

Attorney Docket No. LD0182A (CIP)

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the ^{5th} day of March 2002, before me came Richard A. Rampulla, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

ASSIGNMENT

We,

Mark E. Salvati	residing at	9 Tracey Drive Lawrenceville, New Jersey 08648 United States of America
James Aaron Balog	residing at	17 Terrell Road Lambertville, New Jersey 08530 United States of America
Dacia A. Pickering	residing at	507 Draxel Avenue Lawrenceville, New Jersey 08648 United States of America
Sören Giese	residing at	523 Windmere Way New Hope, Pennsylvania 18938 United States of America
Aberra Fura	residing at	15 Cresthill Road Lawrenceville, New Jersey 08648 United States of America
Wenyng Li	residing at	6221 Town Place Middletown, Connecticut 06457 United States of America
Ramesh N. Patel	residing at	572 Cabot Hill Road Bridgewater, New Jersey 08807 United States of America
Ronald L. Hanson	residing at	54 Whitewood Drive Morris Plains, New Jersey 07950 United States of America
Toomas Mitt	residing at	1312 Aspen Drive Plainsboro, New Jersey 08538 United States of America
Jacques Y. Roberge	residing at	478 Ewing Street Princeton, New Jersey 08540 United States of America
James R. Corte	residing at	11 Abella Court Lawrenceville, New Jersey 08648 United States of America
Steven H. Spergel	residing at	1807 Jericho Drive Warrington, Pennsylvania 18976 United States of America

**Worldwide
Case LD0192A (CIP)**

Richard A. Rampulla residing at **5 Fairfax Court**
Flemington, New Jersey 08822
United States of America.

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to Bristol-Myers Squibb Company, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

**FUSED HETEROCYCLIC SUCCINIMIDE COMPOUNDS AND ANALOGS THEREOF,
MODULATORS OF NUCLEAR HORMONE RECEPTOR FUNCTION**

invented by us and described in the non-provisional United States patent application

Application No. 10/025116, filed December 19, 2001.

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

1555
38-7541

Worldwide
Case LD0182A (CIP)

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 5 day of March, 2002 by Mark E. Salvati
Mark E. Salvati

Signed this 5 day of March, 2002 by James Aaron Balog
James Aaron Balog

Signed this 5 day of March, 2002 by Dacia A. Pickering
Dacia A. Pickering

Signed this 5 day of March, 2002 by Sharon Gless
Sharon Gless

Signed this 22 day of February, 2002 by Abera Fura
Abera Fura

Signed this ____ day of _____, 2002 by Wenyang Li
Wenyang Li

Signed this ____ day of _____, 2002 by Ramesh N. Patel
Ramesh N. Patel

Signed this ____ day of _____, 2002 by Ronald L. Hanson
Ronald L. Hanson

Signed this 14 day of March, 2002 by Thomas M. H.
Thomas M. H.

1552
31-1542

Worldwide
Case LD0192A (CIP)

Signed this _____ day of _____, 2002 by _____
Jacques Y. Roberge

Signed this 22 day of February, 2002 by James R. Corte
James R. Corte

Signed this 5 day of March, 2002 by Steven H. Spergel
Steven H. Spergel

Signed this 5 day of March, 2002 by Richard A. Rampulla
Richard A. Rampulla

1557
27 1548

Attorney Docket No. LD0192A (CIP)

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 5th day of March 2002, before me came Mark E. Salvati, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 5th day of March 2002, before me came James Aaron Balog, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 5th day of March 2002, before me came Dacia A. Pickering, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that she executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

153
7/11
7544

Attorney Docket No. LD0182A (CIP)

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the ^{5th} day of March 2002, before me came Soren Giese, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the ^{22nd} day of February 2002, before me came Aberra Fura, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Amordino
Notary Public

[SEAL]

EILEEN AMORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug 14, 2005

STATE OF CONNETICUT)
COUNTY OF) ss.

On the day of 2002, before me came Wenying Li, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

1559
3/4/02

Attorney Docket No. LD0192A (CIP)

STATE OF NEW JERSEY)
) ss.
COUNTY OF)

On the day of 2002, before me came Ramesh N. Patel, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

STATE OF NEW JERSEY)
) ss.
COUNTY OF)

On the day of 2002, before me came Ronald L. Hanson, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the ^{14th} day of March 2002, before me came Toomas Mitt, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa B. Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY

150 1 154

**STATE OF NEW JERSEY)
COUNTY OF MERCER)**

**On the day of 2002, before me came Jacques Y. Roberge, to
me known to be the person of that name mentioned in, and who executed the
foregoing Assignment and acknowledged that he executed it.**

Notary Public

[SEAL]

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the 22 day of February 2002, before me came James R. Corte, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Ellen Icardino
Notary Public

[SEAL]

EILEEN IMMORDINO
NOTARY PUBLIC OF NEW JERSEY
 My Commission Expires Aug. 14, 2005

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the 5th day of March 2002, before me came Steven H. Spergel, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swedne
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

1961
2/6 1547

Attorney Docket No. LD0192A (CIP)

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 5th day of March 2002, before me came Richard A. Rampulla, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

ASSIGNMENT

We,

Mark E. Salvati	residing at	9 Tracey Drive Lawrenceville, New Jersey 08848 United States of America
James Aaron Balog	residing at	17 Terrell Road Lambertville, New Jersey 08530 United States of America
Dacia A. Pickering	residing at	507 Drexel Avenue Lawrenceville, New Jersey 08848 United States of America
Sören Giese	residing at	523 Windmere Way New Hope, Pennsylvania 18938 United States of America
Abera Fura	residing at	15 Cresthill Road Lawrenceville, New Jersey 08848 United States of America
Wenyng Li	residing at	6221 Town Place Middletown, Connecticut 06457 United States of America
Ramesh N. Patel	residing at	572 Cabot Hill Road Bridgewater, New Jersey 08807 United States of America
Ronald L. Hanson	residing at	54 Whitewood Drive Morris Plains, New Jersey 07950 United States of America
Thomas Mitt	residing at	1312 Aspen Drive Plainsboro, New Jersey 08538 United States of America
Jacques Y. Roberge	residing at	478 Ewing Street Princeton, New Jersey 08540 United States of America
James R. Corte	residing at	11 Abella Court Lawrenceville, New Jersey 08848 United States of America
Steven H. Spergel	residing at	1807 Jericho Drive Warrington, Pennsylvania 18978 United States of America

Richard A. Rampulla residing at 5 Fairfax Court
Flemington, New Jersey 08822
United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to Bristol-Myers Squibb Company, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

**FUSED HETEROCYCLIC SUCCINIMIDE COMPOUNDS AND ANALOGS THERE F,
MODULATORS OF NUCLEAR HORMONE RECEPTOR FUNCTION**

Invented by us and described in the non-provisional United States patent application

Application No. 10/025116, filed December 19, 2001,

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 5 day of March, 2002 by Mark E. Salvati
Mark E. Salvati

Signed this 5 day of March, 2002 by James Aaron Balog
James Aaron Balog

Signed this 5 day of March, 2002 by Dacia A. Pickering
Dacia A. Pickering

Signed this 5 day of March, 2002 by Soren Giese
Soren Giese

Signed this 22 day of February, 2002 by Abera Fura
Abera Fura

Signed this ____ day of _____, 2002 by Wenying Li
Wenying Li

Signed this 12 day of March, 2002 by Ramesh N. Patel
Ramesh N. Patel

Signed this 12 day of March, 2002 by Ronald L. Hanson
Ronald L. Hanson

Signed this ____ day of _____, 2002 by Toomas Milt
Toomas Milt

95
40 157

Worldwide
Case LD0192A (CIP)

Signed this _____ day of _____, 2002 by _____
Jacques Y. Roberge

Signed this 22 day of February, 2002 by James R. Corte
James R. Corte

Signed this 5 day of March, 2002 by Steven H. Spengel
Steven H. Spengel

Signed this 5 day of March, 2002 by Richard A. Rampulla
Richard A. Rampulla

1366
47-1552

Attorney Docket No. LD0192A (CIP)

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 5th day of March 2002, before me came Mark E. Salvati, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 5th day of March 2002, before me came James Aaron Balog, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the 5th day of March 2002, before me came Dacia A. Pickering, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that she executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

1567
42 1552

Attorney Docket No. LD0192A (CIP)

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the ^{5th} day of *March* 2002, before me came Soren Giese, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the ^{22nd} day of *February* 2002, before me came Aberra Fura, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Eileen Annordino
Notary Public

[SEAL]

EILEEN ANNORDINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug 14, 2005

STATE OF CONNETICUT)
COUNTY OF) ss.

On the day of 2002, before me came Wenying Li, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

568
43-1534

Attorney Docket No. LD0192A (CIP)

STATE OF NEW JERSEY)
COUNTY OF *Middlesex*) ss.

On the *12th* day of *March* 2002, before me came Ramesh N. Patel, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Laura H. Derbin
Notary Public

[SEAL]

LAURA H. DERBIN
NOTARY PUBLIC, STATE OF NEW JERSEY
ID # 2278973
Commission Expires Sept. 7, 2006

STATE OF NEW JERSEY)
COUNTY OF *Middlesex*) ss.

On the *12th* day of *March* 2002, before me came Ronald L. Hanson, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Laura H. Derbin
Notary Public

[SEAL]

LAURA H. DERBIN
NOTARY PUBLIC, STATE OF NEW JERSEY
ID # 2278973
Commission Expires Sept. 7, 2006

STATE OF NEW JERSEY)
COUNTY OF *MERCER*) ss.

On the day of 2002, before me came Toomas Mitt, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

189 4/4 189

**On the day of 2002, before me came Jacques Y. Roberge, to
me known to be the person of that name mentioned in, and who executed the
foregoing Assignment and acknowledged that he executed it.**

[SEAL]

On the 22 day of February 2002, before me came James R. Corte, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

[SEAL]

On the 5th day of March 2002, before me came Steven H. Spergel, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
 My Commission Expires April 28, 2025

1570 4/20

Attorney Docket No. LD0182A (CIP)

STATE OF NEW JERSEY)
COUNTY OF MERCER) ss.

On the ^{5th} day of March 2002, before me came Richard A. Rampulla, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Lisa B. Swidra
Notary Public

[SEAL]

LISA B. SWIDRA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 22, 2004