

# Clarke, Modet & Cº

COLOMBIA

P-3120

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO  
DIVISION DE NUEVAS CREACIONES

E. S. D.

Ref.: Expediente No. 11.160.573  
Solicitud de Patente a nombre de  
ICEUTICA PTY LTD

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 11-160573- -00002-0000

Fecha: 2012-02-22 15:58:09 Dep. 2020 DIR.NUEVASCR  
Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI  
Act. 444 RESPUESTAREQUE Folios: 64

Yo, DILIA MARÍA RODRÍGUEZ D'ALEMAN, identificada como aparece al pie de mi firma, domiciliada en la Carrera 11 No. 86-53, piso 6, obrando como apoderada de la sociedad ICEUTICA PTY LTD, solicitante de la patente de la referencia, en respuesta al oficio No. 19980 notificado por fijación en lista el día 23 de Diciembre de 2011, atentamente me permito presentar:

1. Documentos donde consta la cesión del derecho a la patente otorgada por los inventores a favor de mi mandante.

Sobre los apartes en inglés, es importante resaltar que de conformidad con las normas legales vigentes, artículo 1 de la Resolución 210 de 2001, expedida por el Superintendente de Industria y Comercio y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por otro lado, la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, los documentos anexos a este memorial son válidamente presentados en idioma inglés y con la correspondiente apostilla.

2. Documento de poder junto con el Certificado de Existencia y Representación Legal de dicha sociedad y con su respectiva traducción del inglés al español.

Espero con esto haber dado cabal cumplimiento a lo solicitado por ese despacho y de conformidad con lo indicado en los artículos 3º, inciso 3, 35 inciso 2 y 59 inciso 2 del CCA, al analizar este memorial, atentamente ruego se traten todas las cuestiones planteadas con motivo del mismo.

DILIA MARÍA RODRÍGUEZ D'ALEMAN  
C.C. 41.654.882 de Bogotá.  
T.P. 20824 CSJ

State of Minnesota

P-3/20

# SECRETARY OF STATE

## APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This Public Document: Notarial Certificate

2. has been signed by Denise Wynn

3. acting in the capacity of Notary Public, State of Minnesota

4. bears the seal/stamp of Denise Wynn, Notary Public, State of Minnesota

## CERTIFIED

5. at: St. Paul, Minnesota

6. Date: December 22, 2011

7. by: Secretary of State, State of Minnesota

8. File No: KS20111222010

9. Seal/Stamp:

10. Signature



*Mark Ritchie*

Mark Ritchie  
Secretary of State

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**NOTARIAL CERTIFICATE**

State of Minnesota    )

                                  )       ss.

County of Hennepin    )

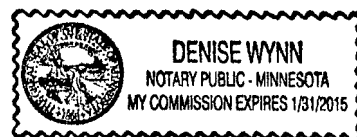
I hereby certify that attached hereto is a true and correct copy of the Assignment from  
Adrian Russell, Felix Meiser and Marck Norret to iCeutica Pty Ltd, for the listed applications.

| Title                                                                          | Serial Number and filing date | Filing date   |
|--------------------------------------------------------------------------------|-------------------------------|---------------|
| Production of encapsulated nanoparticles at high volume fractions              | PCT/AU2010/000464             | 24 April 2010 |
|                                                                                | AU 2009901744                 | 24 April 2009 |
|                                                                                | US 61/172,278                 | 24 April 2009 |
| Production of encapsulated nanoparticles at commercial scales                  | PCT/AU2010/000466             | 24 April 2010 |
|                                                                                | AU 2009901740                 | 24 April 2009 |
|                                                                                | US 61/172,297                 | 24 April 2009 |
| Method for the production of commercial nanoparticle and microparticle powders | PCT/AU2010/000467             | 24 April 2010 |
|                                                                                | AU 2009901747                 | 24 April 2009 |
|                                                                                | US 61/172,300                 | 24 April 2009 |
| Method for improving the dissolution profile of a biologically active material | PCT/AU2010/000465             | 24 April 2010 |
|                                                                                | AU 2009901741                 | 24 April 2009 |
|                                                                                | US 61/172,301                 | 24 April 2009 |
| A novel formulation of metaxalone                                              | PCT/AU2010/000468             | 24 April 2010 |
|                                                                                | AU 2009901743                 | 24 April 2009 |
|                                                                                | US 61/172,281                 | 24 April 2009 |
| A novel formulation of meloxicam                                               | PCT/AU2010/000469             | 24 April 2010 |
|                                                                                | AU 2009901742                 | 24 April 2009 |
|                                                                                | US 61/172,284                 | 24 April 2009 |
| A novel formulation of naproxen                                                | PCT/AU2010/000470             | 24 April 2010 |
|                                                                                | AU 2009901746                 | 24 April 2009 |
|                                                                                | US 61/172,289                 | 24 April 2009 |
| A novel formulation of diclofenac                                              | PCT/AU2010/000471             | 24 April 2010 |
|                                                                                | AU 2009901748                 | 24 April 2009 |
|                                                                                | US 61/172,291                 | 24 April 2009 |
| A novel formulation of indomethacin                                            | PCT/AU2010/000472             | 24 April 2010 |
|                                                                                | AU 2009901745                 | 24 April 2009 |
|                                                                                | US 61/172,295                 | 24 April 2009 |

Denise Wynn  
Notary Public

(SEAL)

Dated: December 16, 2011



# Deed of Acknowledge & Assignment of Intellectual Property Rights

Adrian Russell

and

Felix Meiser

and

Marck Norret

and

iCeutica Pty Ltd  
ACN 113 244 152

**Middletons**  
Melbourne office  
Ref: NSIV.PTH.10028271

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# Deed of Acknowledgment and Assignment of Intellectual Property Rights

Date 2010

By

Adrian Russell of 139 Gladstone Road Rivervale, Western Australia 6103

Felix Meiser of 7 Beecham Road, Mt Claremount, Western Australia 6010

Marck Norret of 26 Stone Crescent Darlington, Western Australia 6070

(collectively, the Assignors)

In favour of

iCeutica Pty Ltd ACN 113 244 152 of 52 Fairfield Street, Mt Hawthorn, Western Australia 6016  
(Assignee)

## Background

- A. The Assignors did, in the ordinary course of their respective employment with the Assignee, conceive and/or develop the inventions listed in Schedule 1 (each an **Invention**) which are disclosed and/or claimed in the respective corresponding patent applications also listed or described in schedule 1 (**Patent Applications**).
- B. The Assignors and the Assignee wish to confirm, and to the extent necessary, assign to the Assignee, the Assignors' respective rights, title and interests in the Inventions and in the Patent Applications.

## AGREED TERMS

- 1. Notwithstanding anything to the contrary herein, a reference in this Deed to an Assignor assigning rights, title or interest in an Invention or a Patent Application, making an acknowledgment, representation and/or confirmation with respect to an Invention or a Patent Application, refers to those Inventions and Patent Applications, each considered separately, which the Assignor invented solely or jointly with others.
- 2. Adrian Russell and Felix Meiser hereby acknowledge and represent that:
  - (a) the Inventions were conceived by Adrian Russell and Felix Meiser in their respective capacity as an employee of the Assignee and in the ordinary course of their respective ordinary duties of employment by the Assignee; and
  - (b) if and to the extent that the Adrian Russell and Felix Meiser were engaged by any third party concurrently with their respective engagement by the Assignee, conception of the Inventions was entirely outside the scope of their engagement with such third party.
- 3. Marck Norret hereby acknowledges and represent that:

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- (a) his work on the Inventions after 1 July 2009 was in his capacity as an employee of the Assignee and in the ordinary course of his/her ordinary duties of employment by the Assignee; and
  - (b) if and to the extent that Marck Norret was engaged by any third party concurrently with his engagement by the Assignee, conception of the Inventions was entirely outside the scope of his engagement by such third party.
4. Each Assignor further hereby acknowledges and confirms that all rights, title and interest in the Inventions and Patent Applications, including but not limited to:
- (a) the right to apply for registration of a Patent Application, and to own all registrations derived therefrom including reissues, extensions, confirmations, re-examinations, and inventor's certificates;
  - (b) the right to sue and claim and retain damages and other remedies, including but not limited to an account of profits, for infringements of and/or wrongful interferences to a Patent Application whether or not such rights arose before the date of this Deed; and
  - (c) all rights, powers, liberties and immunities conferred on the proprietor of the patents or applications relating to a Invention,
- (collectively, the "**Assigned Rights**") were transferred and assigned to and vested in the Assignee absolutely at the time of filing each of the Patent Applications.
5. To the extent not already assigned, each Assignor hereby assigns to the Assignee his entire respective legal and beneficial right, title and interest in the Assigned Rights.
6. Each Assignor agrees to execute all such further documents and do all such further acts necessary to effect the assignment of the Assigned Rights to the Assignee as contemplated by this Deed.
7. Each Assignor hereby appoints the Assignee as the Assignor's attorney to do all acts and execute all documents on behalf of the Assignor to the extent necessary to vest the rights in the Assignee as contemplated by this Deed.
8. This Deed is governed by and must be construed in accordance with the laws in force in the State of Victoria. The parties submit to the exclusive jurisdiction of the courts of that State and the Commonwealth of Australia in respect of all matters arising out of or relating to this Deed, its performance or subject matter.
9. If this Deed consists of a number of signed counterparts, each is an original and all of the counterparts together constitute the same document.

## Schedule 1 – Invention and corresponding Patent Applications

### 1. Invention: Production of encapsulated nanoparticles at high volume fractions

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000464, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901744 filed on 24 April 2009 and US Patent Application 61/172,278, also in the name of iCeutica Pty Ltd (**Provisional Applications 1**) and all patent applications that:

- (i) claim priority from Provisional Applications 1;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

### 2. Invention: Production of encapsulated nanoparticles at commercial sales

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000466, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901740 filed on 24 April 2009 and US Patent Application 61/172,297 also in the name of iCeutica Pty Ltd (**Provisional Applications 2**) and all patent applications that:

- (i) claim priority from Provisional Applications 2;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

### 3. Invention: Method for the production of commercial nanoparticle and microparticle powders

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000467, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901747 filed on 24 April 2009 and US Patent Application 61/172,300, also in the name of iCeutica Pty Ltd (**Provisional Applications 3**) and all patent applications that:

- (i) claim priority from Provisional Applications 3;

- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**4. Invention: Method for improving the dissolution profile of a biologically active material**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell, Matt Callahan and William Bosch

Patent applications:

Patent application no. PCT/AU2010/000465, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901741 filed on 24 April 2009 and US Patent Application 61/172,301, also in the name of iCeutica Pty Ltd (**Provisional Applications 4**) and all patent applications that:

- (i) claim priority from Provisional Applications 4;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**5. Invention: A novel formulation of metaxalone**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000468, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901743 filed on 24 April 2009 and US Patent Application 61/172,281, also in the name of iCeutica Pty Ltd (**Provisional Applications 5**) and all patent applications that:

- (i) claim priority from Provisional Applications 5;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**6. Invention: A novel formulation of meloxicam**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000469, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901742 filed on 24 April 2009 and US Patent Application 61/172,284, also in the name of iCeutica Pty Ltd (**Provisional Applications 6**) and all patent applications that:

- (i) claim priority from Provisional Applications 6;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**7. Invention: A novel formulation of naproxen**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000470, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901746 filed on 24 April 2009 and US Patent Application 61/172,289, also in the name of iCeutica Pty Ltd (**Provisional Applications 7**) and all patent applications that:

- (i) claim priority from Provisional Applications 7;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**8. Invention: A novel formulation of diclofenac**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000471, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901748 filed on 24 April 2009 and US Patent Application 61/172,291, also in the name of iCeutica Pty Ltd (**Provisional Applications 8**) and all patent applications that:

- (i) claim priority from Provisional Applications 8;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**9. Invention: A novel formulation of indomethacin**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000472, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901745 filed on 24 April 2009 and US Patent Application 61/172,295, also in the name of iCeutica Pty Ltd (**Provisional Applications 9**) and all patent applications that:

- (i) claim priority from Provisional Applications 9;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

EXECUTED as a deed

Signed Sealed and Delivered by Adrian )  
Russell in the presence of: )

*Adrian S. Russell*

Signature

*Blank Hand*

Signature of witness

*MARCK NORRET*

Name of witness  
(please print)

Signed Sealed and Delivered by Felix Meiser )  
in the presence of: )

*Felix Meiser*

Signature

*Adrian S. Russell*

Signature of witness

*Adrian Russell*

Name of witness  
(please print)

Signed Sealed and Delivered by Marck )  
Norret in the presence of: )

*Blank Hand*

Signature

*Adrian S. Russell*

Signature of witness

*Adrian Russell*

Name of witness  
(please print)

Executed by iCeutica Pty Ltd ACN 113 244 )  
152 in accordance with section 127(1) of the )  
Corporations Act 2001 (Cth): )

*[Signature]*

Signature of director

*[Signature]*

Signature of director or company secretary\*  
\*delete whichever does not apply

*MATTHEW CALLAHAN*

Name (please print)

*LANCE CAMACHO*

Name (please print)

# State of Minnesota

## SECRETARY OF STATE

APOSTILLE

COLOMBIA

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This Public Document: Notarial Certificate

2. has been signed by Denise Wynn

3. acting in the capacity of Notary Public, State of Minnesota

4. bears the seal/stamp of Denise Wynn, Notary Public, State of Minnesota

### CERTIFIED

5. at: St. Paul, Minnesota

6. Date: December 22, 2011

7. by: Secretary of State, State of Minnesota

8. File No: KS20111222012

9. Seal/Stamp:

10. Signature



*Mark Ritchie*

Mark Ritchie  
Secretary of State

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**NOTARIAL CERTIFICATE**

State of Minnesota    )

                                  )    ss.

County of Hennepin    )

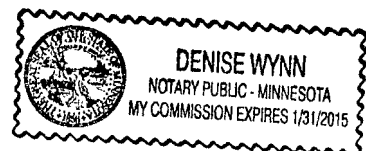
I hereby certify that attached hereto is a true and correct copy of the Assignment from H.  
William Bosch to iCeutica Pty Ltd, for the listed applications.

| Title                                                                          | Serial Number and filing date | Filing date   |
|--------------------------------------------------------------------------------|-------------------------------|---------------|
| Production of encapsulated nanoparticles at high volume fractions              | PCT/AU2010/000464             | 24 April 2010 |
|                                                                                | AU 2009901744                 | 24 April 2009 |
|                                                                                | US 61/172,278                 | 24 April 2009 |
| Production of encapsulated nanoparticles at commercial scales                  | PCT/AU2010/000466             | 24 April 2010 |
|                                                                                | AU 2009901740                 | 24 April 2009 |
|                                                                                | US 61/172,297                 | 24 April 2009 |
| Method for the production of commercial nanoparticle and microparticle powders | PCT/AU2010/000467             | 24 April 2010 |
|                                                                                | AU 2009901747                 | 24 April 2009 |
|                                                                                | US 61/172,300                 | 24 April 2009 |
| Method for improving the dissolution profile of a biologically active material | PCT/AU2010/000465             | 24 April 2010 |
|                                                                                | AU 2009901741                 | 24 April 2009 |
|                                                                                | US 61/172,301                 | 24 April 2009 |
| A novel formulation of metaxalone                                              | PCT/AU2010/000468             | 24 April 2010 |
|                                                                                | AU 2009901743                 | 24 April 2009 |
|                                                                                | US 61/172,281                 | 24 April 2009 |
| A novel formulation of meloxicam                                               | PCT/AU2010/000469             | 24 April 2010 |
|                                                                                | AU 2009901742                 | 24 April 2009 |
|                                                                                | US 61/172,284                 | 24 April 2009 |
| A novel formulation of naproxen                                                | PCT/AU2010/000470             | 24 April 2010 |
|                                                                                | AU 2009901746                 | 24 April 2009 |
|                                                                                | US 61/172,289                 | 24 April 2009 |
| A novel formulation of diclofenac                                              | PCT/AU2010/000471             | 24 April 2010 |
|                                                                                | AU 2009901748                 | 24 April 2009 |
|                                                                                | US 61/172,291                 | 24 April 2009 |
| A novel formulation of indomethacin                                            | PCT/AU2010/000472             | 24 April 2010 |
|                                                                                | AU 2009901745                 | 24 April 2009 |
|                                                                                | US 61/172,295                 | 24 April 2009 |

Denise Wynn  
Notary Public

(SEAL)

Dated: December 16, 2011



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# Deed of Acknowledge & Assignment of Intellectual Property Rights

H. William Bosch

and

iCeutica, Inc.

and

iCeutica Pty Ltd  
ACN 113 244 152

**Middletons**  
Melbourne office  
Ref: NSIV.PTH.10028271

# **Deed of Acknowledgment and Assignment of Intellectual Property Rights**

**Effective Date**            **April 23, 2009**

**By**

**H. William Bosch** of 237 Rodney Circle, Bryn Mawr, Pennsylvania 19010, United States of America

(the Assignor)

**In favour of**

**iCeutica Pty Ltd** ACN 113 244 152 of 52 Fairfield Street, Mt Hawthorn, Western Australia 6016  
(Assignee)

## **Background**

- A. The Assignor did, in the ordinary course of his respective employment with iCeutica, Inc., conceive and/or develop the inventions listed in Schedule 1 (each an **Invention**) which are disclosed and/or claimed in the respective corresponding patent applications also listed or described in schedule 1 (**Patent Applications**).
- B. The Assignor conceived and worked on the Inventions pursuant to the employment agreements between inventor and iCeutica, Inc., being the agreement dated 20 August 2007. Inventor and iCeutica, Inc. agreed that the intellectual property developed by the parties pursuant to those agreements would be owned by iCeutica, Inc.
- C. The Assignor and iCeutica Pty Ltd wish to: (i) confirm iCeutica, Inc.'s entitlement to assign to iCeutica Pty Ltd the Inventor's rights, title and interest in the Inventions and in the Patent Applications (as defined in the aforementioned employment contract), and (ii) to the extent necessary, assign to iCeutica Pty Ltd, any rights, title and interests the Inventor may have in the Inventions and Patent Applications that were not assigned to iCeutica Inc.

## **AGREED TERMS**

- 1. Notwithstanding anything to the contrary herein, a reference in this Deed to an Assignor assigning rights, title or interest in an Invention or a Patent Application, making an acknowledgment, representation and/or confirmation with respect to an Invention or a Patent Application, refers to those Inventions and Patent Applications, each considered separately, which the Assignor invented solely or jointly with others.
- 2. H. William Bosch hereby acknowledges and represents that:
  - (a) the Inventions were conceived by H. William Bosch in his respective capacity as an employee of the iCeutica, Inc. and in the ordinary course of their respective ordinary duties of employment by the Assignee; and
  - (b) if and to the extent that H. William Bosch was engaged by any third party concurrently with their respective engagement by the Assignee, conception of the Inventions was entirely outside the scope of their engagement with such third party.

3. The Assignor further hereby acknowledges and confirms that all rights, title and interest in the Inventions and Patent Applications, including but not limited to:
  - (a) the right to apply for registration of a Patent Application, and to own all registrations derived therefrom including reissues, extensions, confirmations, re-examinations, and inventor's certificates;
  - (b) the right to sue and claim and retain damages and other remedies, including but not limited to an account of profits, for infringements of and/or wrongful interferences to a Patent Application whether or not such rights arose before the date of this Deed; and
  - (c) all rights, powers, liberties and immunities conferred on the proprietor of the patents or applications relating to a Invention,

(collectively, the "**Assigned Rights**") were transferred and assigned to and vested in the Assignee absolutely at the time of filing each of the Patent Applications.
4. To the extent not already assigned to iCeutica, Inc., the Assignor hereby assigns to iCeutica Pty Ltd. his entire respective legal and beneficial right, title and interest in the Assigned Rights.
5. The Assignor agrees to execute all such further documents and do all such further acts necessary to effect the assignment of the Assigned Rights to the Assignee as contemplated by this Deed.
6. The Assignor hereby appoints the Assignee as the Assignor's attorney to do all acts and execute all documents on behalf of the Assignor to the extent necessary to vest the rights in the Assignee as contemplated by this Deed.
7. This Deed is governed by and must be construed in accordance with the laws in force in the State of Victoria. The parties submit to the exclusive jurisdiction of the courts of that State and the Commonwealth of Australia in respect of all matters arising out of or relating to this Deed, its performance or subject matter.
8. If this Deed consists of a number of signed counterparts, each is an original and all of the counterparts together constitute the same document.
9. iCeutica, Inc. hereby assigns to iCeutica Pty Ltd. their entire respective legal and beneficial right, title and interest in the Assigned Rights listed in Schedule 1 (each an Invention) which are disclosed and/or claimed in the respective corresponding patent applications also listed or described in schedule 1 (Patent Applications).
10. iCeutica, Inc. further hereby acknowledges and confirms that all rights, title and interest in the Inventions and Patent Applications, including but not limited to:
  - (a) the right to apply for registration of a Patent Application, and to own all registrations derived therefrom including reissues, extensions, confirmations, re-examinations, and inventor's certificates;
  - (b) the right to sue and claim and retain damages and other remedies, including but not limited to an account of profits, for infringements of and/or wrongful interferences to a Patent Application whether or not such rights arose before the date of this Deed; and
  - (c) all rights, powers, liberties and immunities conferred on the proprietor of the patents or applications relating to a Invention,

(collectively, the "**Assigned Rights**") were transferred and assigned to and vested in the Assignee absolutely at the time of filing each of the Patent Applications.

## Schedule 1 – Invention and corresponding Patent Applications

**1. Invention: Production of encapsulated nanoparticles at high volume fractions**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000464, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901744 filed on 24 April 2009 and US Patent Application 61/172,278, also in the name of iCeutica Pty Ltd (**Provisional Applications 1**) and all patent applications that:

- (i) claim priority from Provisional Applications 1;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**2. Invention: Production of encapsulated nanoparticles at commercial scales**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000466, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901740 filed on 24 April 2009 and US Patent Application 61/172,297 also in the name of iCeutica Pty Ltd (**Provisional Applications 2**) and all patent applications that:

- (i) claim priority from Provisional Applications 2;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**3. Invention: Method for the production of commercial nanoparticle and microparticle powders**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000467, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901747 filed on 24 April 2009 and US Patent Application 61/172,300, also in the name of iCeutica Pty Ltd (**Provisional Applications 3**) and all patent applications that:

- (i) claim priority from Provisional Applications 3;

- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**4. Invention: Method for improving the dissolution profile of a biologically active material**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell, and William Bosch

Patent applications:

Patent application no. PCT/AU2010/000465, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901741 filed on 24 April 2009 and US Patent Application 61/172,301, also in the name of iCeutica Pty Ltd (**Provisional Applications 4**) and all patent applications that:

- (i) claim priority from Provisional Applications 4;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**5. Invention: A novel formulation of metaxalone**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000468, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901743 filed on 24 April 2009 and US Patent Application 61/172,281, also in the name of iCeutica Pty Ltd (**Provisional Applications 5**) and all patent applications that:

- (i) claim priority from Provisional Applications 5;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**6. Invention: A novel formulation of meloxicam**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000469, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901742 filed on 24 April 2009 and US Patent Application 61/172,284, also in the name of iCeutica Pty Ltd (**Provisional Applications 6**) and all patent applications that:

- (i) claim priority from Provisional Applications 6;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**7. Invention: A novel formulation of naproxen**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000470, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901746 filed on 24 April 2009 and US Patent Application 61/172,289, also in the name of iCeutica Pty Ltd (**Provisional Applications 7**) and all patent applications that:

- (i) claim priority from Provisional Applications 7;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**8. Invention: A novel formulation of diclofenac**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000471, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901748 filed on 24 April 2009 and US Patent Application 61/172,291, also in the name of iCeutica Pty Ltd (**Provisional Applications 8**) and all patent applications that:

- (i) claim priority from Provisional Applications 8;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**9. Invention: A novel formulation of indomethacin**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

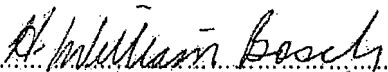
**Patent Applications:**

Patent application no. PCT/AU2010/000472, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901745 filed on 24 April 2009 and US Patent Application 61/172,295, also in the name of iCeutica Pty Ltd (**Provisional Applications 9**) and all patent applications that:

- (i) claim priority from Provisional Applications 9;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**EXECUTED** as a deed

**Signed Sealed and Delivered** by H. William Bosch in the presence of:

  
Signature

.....  
Signature of witness

.....  
Name of witness  
(please print)

**Executed by iCeutica, Inc.,** a corporation of the State of Delaware:



.....  
Signature of director or company secretary\*  
\*delete whichever does not apply

Matthew Callahan CEO

**Executed by iCeutica Pty Ltd** ACN 113 244 152 in accordance with section 127(1) of the Corporations Act 2001 (Cth):



.....  
Signature of director or company secretary\*  
\*delete whichever does not apply

Matthew Callahan CEO

State of Minnesota

COLOMBIA

# SECRETARY OF STATE

## APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This Public Document: Notarial Certificate

2. has been signed by Denise Wynn

3. acting in the capacity of Notary Public, State of Minnesota

4. bears the seal/stamp of Denise Wynn, Notary Public, State of Minnesota

## CERTIFIED

5. at: St. Paul, Minnesota

6. Date: December 22, 2011

7. by: Secretary of State, State of Minnesota

8. File No: KS20111222013

9. Seal/Stamp:

10. Signature



*Mark Ritchie*

Mark Ritchie  
Secretary of State

**NOTARIAL CERTIFICATE**

State of Minnesota     )  
                                          )     ss.  
County of Hennepin    )

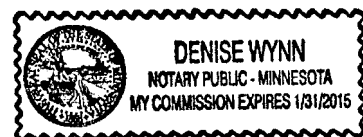
I hereby certify that attached hereto is a true and correct copy of the Assignment from Aaron Dodd to the University of Western Australia, for the listed applications.

| Title                                                                          | Serial Number and filing date | Filing date   |
|--------------------------------------------------------------------------------|-------------------------------|---------------|
| Production of encapsulated nanoparticles at high volume fractions              | PCT/AU2010/000464             | 24 April 2010 |
|                                                                                | AU 2009901744                 | 24 April 2009 |
|                                                                                | US 61/172,278                 | 24 April 2009 |
| Production of encapsulated nanoparticles at commercial scales                  | PCT/AU2010/000466             | 24 April 2010 |
|                                                                                | AU 2009901740                 | 24 April 2009 |
|                                                                                | US 61/172,297                 | 24 April 2009 |
| Method for the production of commercial nanoparticle and microparticle powders | PCT/AU2010/000467             | 24 April 2010 |
|                                                                                | AU 2009901747                 | 24 April 2009 |
|                                                                                | US 61/172,300                 | 24 April 2009 |
| Method for improving the dissolution profile of a biologically active material | PCT/AU2010/000465             | 24 April 2010 |
|                                                                                | AU 2009901741                 | 24 April 2009 |
|                                                                                | US 61/172,301                 | 24 April 2009 |
| A novel formulation of metaxalone                                              | PCT/AU2010/000468             | 24 April 2010 |
|                                                                                | AU 2009901743                 | 24 April 2009 |
|                                                                                | US 61/172,281                 | 24 April 2009 |
| A novel formulation of meloxicam                                               | PCT/AU2010/000469             | 24 April 2010 |
|                                                                                | AU 2009901742                 | 24 April 2009 |
|                                                                                | US 61/172,284                 | 24 April 2009 |
| A novel formulation of naproxen                                                | PCT/AU2010/000470             | 24 April 2010 |
|                                                                                | AU 2009901746                 | 24 April 2009 |
|                                                                                | US 61/172,289                 | 24 April 2009 |
| A novel formulation of diclofenac                                              | PCT/AU2010/000471             | 24 April 2010 |
|                                                                                | AU 2009901748                 | 24 April 2009 |
|                                                                                | US 61/172,291                 | 24 April 2009 |
| A novel formulation of indomethacin                                            | PCT/AU2010/000472             | 24 April 2010 |
|                                                                                | AU 2009901745                 | 24 April 2009 |
|                                                                                | US 61/172,295                 | 24 April 2009 |

Denise Wynn  
Notary Public

(SEAL)

Dated: December 16, 2011



# **Deed of Acknowledge & Assignment of Intellectual Property Rights**

**Aaron Dodd**

and

**iCeutica Pty Ltd**  
ACN 113 244 152

**Middletons**  
Melbourne office  
Ref: NSIV.PTH.10028271

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# Deed of Acknowledgment and Assignment of Intellectual Property Rights

Date 1st June 2010

By

Aaron Dodd of 368 / 58 Cook Road, Centennial Park NSW, 2021, (Inventor)

In favour of

iCeutica Pty Ltd ACN 113 244 152 of 52 Fairfield Street, Mt Hawthorn, Western Australia 6016 (iCeutica)

## Background

- A. The Inventor did, in the ordinary course of his employment with the University of Western Australia (UWA), conceive and/or develop the inventions disclosed or claimed in the patent applications identified in Schedule 1 (each an **Invention**) which are disclosed and/or claimed in the respective corresponding patent applications also listed or described in Schedule 1 (**Patent Applications**).
- B. The Inventor conceived and worked on the Inventions pursuant to the research agreements between UWA and iCeutica, being the Agreement dated 1 May 2007 (as amended by the Deed of Variation dated 20 December 2007, the Variation of Research Contract dated 31 July 2008 and the Variation of Research Contract dated 9 March 2009) (**Research Agreement 1**) and the Agreement dated 9 March 2009 (**Research Agreement 2**). UWA and iCeutica agreed that the intellectual property developed by the parties pursuant to those agreements would be owned by iCeutica.
- B. The Inventor and the iCeutica wish to: (i) confirm UWA's entitlement to assign to iCeutica the Inventor's rights, title and interest in the Inventions and in the Patent Applications and Project IP (as defined in each of Research Agreement 1 and Research Agreement 2), and (ii) to the extent necessary, assign to iCeutica, any rights, title and interests the Inventor may have in the Inventions, Patent Applications and/or Project IP that were not assigned to UWA.

## AGREED TERMS

- 1. The Inventor hereby acknowledges and represents that:
  - (a) the Inventions were conceived by the Inventor in his capacity as an employee of UWA and in the ordinary course of his duties of employment by UWA; and
  - (b) UWA owns the Inventor's rights, title and interest in the Inventions, Patent Applications and Project IP.
- 2. The Inventor further hereby acknowledges and confirms that all rights, title and interest in the Inventions, Patent Applications and Project IP, including but not limited to:
  - (a) the right to apply for registration of a Patent Application, and to own all registrations derived therefrom including reissues, extensions, confirmations, re-examinations, and inventor's certificates;

- (b) the right to sue and claim and retain damages and other remedies, including but not limited to an account of profits, for infringements of and/or wrongful interferences to a Patent Application whether or not such rights arose before the date of this Deed; and
- (c) all rights, powers, liberties and immunities conferred on the proprietor of the patents or applications relating to a Invention,

(collectively, the "**Assigned Rights**") were transferred and assigned to and vested in UWA absolutely at the time of creation.

- 3. To the extent not already assigned to UWA, the Inventor hereby assigns to iCeutica all legal and beneficial right, title and interest in the Assigned Rights he may have.
- 4. The Inventor agrees to execute all such further documents and do all such further acts necessary to effect the assignment of the Assigned Rights to iCeutica as contemplated by this Deed.
- 5. This Deed is governed by and must be construed in accordance with the laws in force in the State of Victoria. The parties submit to the exclusive jurisdiction of the courts of that State and the Commonwealth of Australia in respect of all matters arising out of or relating to this Deed, its performance or subject matter.
- 6. If this Deed consists of a number of signed counterparts, each is an original and all of the counterparts together constitute the same document.

## Schedule 1 – Invention and corresponding Patent Applications

### 1. Invention: Production of encapsulated nanoparticles at high volume fractions

Inventors: Aaron Dodd, Felix Meiser, Marck Norret, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000464, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901744 filed on 24 April 2009 and US Patent Application 61/172,278, also in the name of iCeutica Pty Ltd (**Provisional Applications 1**) and all patent applications that:

- (i) claim priority from Provisional Applications 1;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

### 2. Invention: Production of encapsulated nanoparticles at commercial scales

Inventors: Aaron Dodd, Felix Meiser, Marck Norret, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000466, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901740 filed on 24 April 2009 and US Patent Application 61/172,297 also in the name of iCeutica Pty Ltd (**Provisional Applications 2**) and all patent applications that:

- (i) claim priority from Provisional Applications 2;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

### 3. Invention: Method for the production of commercial nanoparticle and microparticle powders

Inventors: Aaron Dodd, Felix Meiser, Marck Norret, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000467, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901747 filed on 24 April 2009 and US Patent Application 61/172,300, also in the name of iCeutica Pty Ltd (**Provisional Applications 3**) and all patent applications that:

- (i) claim priority from Provisional Applications 3;

- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**4. Invention: Method for improving the dissolution profile of a biologically active material**

Inventors: Aaron Dodd, Felix Meiser, Marck Norret, Adrian Russell, Matt Callahan and William Bosch

Patent applications:

Patent application no. PCT/AU2010/000465, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901741 filed on 24 April 2009 and US Patent Application 61/172,301, also in the name of iCeutica Pty Ltd (**Provisional Applications 4**) and all patent applications that:

- (i) claim priority from Provisional Applications 4;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**5. Invention: A novel formulation of metaxalone**

Inventors: Aaron Dodd, Felix Meiser, Marck Norret, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000468, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901743 filed on 24 April 2009 and US Patent Application 61/172,281, also in the name of iCeutica Pty Ltd (**Provisional Applications 5**) and all patent applications that:

- (i) claim priority from Provisional Applications 5;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**6. Invention: A novel formulation of meloxicam**

Inventors: Aaron Dodd, Felix Meiser, Marck Norret, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000469, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901742 filed on 24 April 2009 and US Patent Application 61/172,284, also in the name of iCeutica Pty Ltd (**Provisional Applications 6**) and all patent applications that:

- (i) claim priority from Provisional Applications 6;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**7. Invention: A novel formulation of naproxen**

Inventors: Aaron Dodd, Felix Meiser, Marck Norret, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000470, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901746 filed on 24 April 2009 and US Patent Application 61/172,289, also in the name of iCeutica Pty Ltd (**Provisional Applications 7**) and all patent applications that:

- (i) claim priority from Provisional Applications 7;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**8. Invention: A novel formulation of diclofenac**

Inventors: Aaron Dodd, Felix Meiser, Marck Norret, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000471, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901748 filed on 24 April 2009 and US Patent Application 61/172,291, also in the name of iCeutica Pty Ltd (**Provisional Applications 8**) and all patent applications that:

- (i) claim priority from Provisional Applications 8;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**9. Invention: A novel formulation of indomethacin**

Inventors: Aaron Dodd, Felix Meiser, Marck Norret, Adrian Russell and William Bosch

Patent Applications:

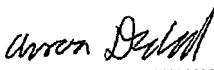
Patent application no. PCT/AU2010/000472, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901745 filed on 24 April 2009 and US Patent Application 61/172,295, also in the name of iCeutica Pty Ltd (**Provisional Applications 9**) and all patent applications that:

- (i) claim priority from Provisional Applications 9;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

EXECUTED as a deed

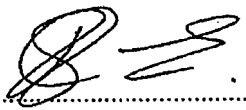
Signed Sealed and Delivered by Aaron Dodd )  
in the presence of: )  
)

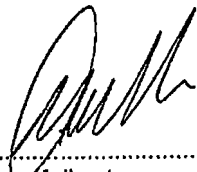
.....  
  
Signature of witness

.....  
  
Signature

.....  
PUI CHING WO  
Name of witness  
(please print)

Executed by iCeutica Pty Ltd ACN 113 244 )  
152 in accordance with section 127(1) of the )  
Corporations Act 2001 (Cth): )  
)  
)

.....  
  
Signature of director

.....  
  
Signature of director or company secretary\*  
\*delete whichever does not apply

.....  
L. CAMACHO  
Name (please print)

.....  
  
Name (please print)

State of Minnesota

SECRETARY OF STATE

APOSTILLE

COLOMBIA

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This Public Document: Notarial Certificate

2. has been signed by Denise Wynn

3. acting in the capacity of Notary Public, State of Minnesota

4. bears the seal/stamp of Denise Wynn, Notary Public, State of Minnesota

CERTIFIED

5. at: St. Paul, Minnesota

6. Date: December 22, 2011

7. by: Secretary of State, State of Minnesota

8. File No: KS20111222011

9. Seal/Stamp:

10. Signature



Mark Ritchie

Mark Ritchie  
Secretary of State

**NOTARIAL CERTIFICATE**

State of Minnesota    )

                                  )     ss.

County of Hennepin    )

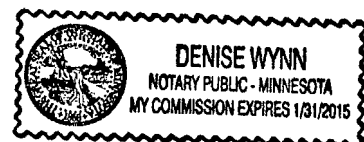
I hereby certify that attached hereto is a true and correct copy of the Assignment from University of Western Australia to iCeutica Pty Ltd, for the listed applications.

| Title                                                                          | Serial Number and filing date | Filing date   |
|--------------------------------------------------------------------------------|-------------------------------|---------------|
| Production of encapsulated nanoparticles at high volume fractions              | PCT/AU2010/000464             | 24 April 2010 |
|                                                                                | AU 2009901744                 | 24 April 2009 |
|                                                                                | US 61/172,278                 | 24 April 2009 |
| Production of encapsulated nanoparticles at commercial scales                  | PCT/AU2010/000466             | 24 April 2010 |
|                                                                                | AU 2009901740                 | 24 April 2009 |
|                                                                                | US 61/172,297                 | 24 April 2009 |
| Method for the production of commercial nanoparticle and microparticle powders | PCT/AU2010/000467             | 24 April 2010 |
|                                                                                | AU 2009901747                 | 24 April 2009 |
|                                                                                | US 61/172,300                 | 24 April 2009 |
| Method for improving the dissolution profile of a biologically active material | PCT/AU2010/000465             | 24 April 2010 |
|                                                                                | AU 2009901741                 | 24 April 2009 |
|                                                                                | US 61/172,301                 | 24 April 2009 |
| A novel formulation of metaxalone                                              | PCT/AU2010/000468             | 24 April 2010 |
|                                                                                | AU 2009901743                 | 24 April 2009 |
|                                                                                | US 61/172,281                 | 24 April 2009 |
| A novel formulation of meloxicam                                               | PCT/AU2010/000469             | 24 April 2010 |
|                                                                                | AU 2009901742                 | 24 April 2009 |
|                                                                                | US 61/172,284                 | 24 April 2009 |
| A novel formulation of naproxen                                                | PCT/AU2010/000470             | 24 April 2010 |
|                                                                                | AU 2009901746                 | 24 April 2009 |
|                                                                                | US 61/172,289                 | 24 April 2009 |
| A novel formulation of diclofenac                                              | PCT/AU2010/000471             | 24 April 2010 |
|                                                                                | AU 2009901748                 | 24 April 2009 |
|                                                                                | US 61/172,291                 | 24 April 2009 |
| A novel formulation of indomethacin                                            | PCT/AU2010/000472             | 24 April 2010 |
|                                                                                | AU 2009901745                 | 24 April 2009 |
|                                                                                | US 61/172,295                 | 24 April 2009 |

Denise Wynn  
Notary Public

(SEAL)

Dated: December 16, 2011



# **Deed of Acknowledgment and Assignment of Intellectual Property Rights**

The University of Western Australia

and

iCeutica Pty Ltd  
ACN 113 244 152

**Middletons**  
Melbourne office  
Ref: NSIV.PTH.10028271

docs 2775928v3 NSIV

# Deed of Acknowledgement and Assignment of Intellectual Property Rights

Date 15 June 2010

By

The University of Western Australia ABN 37 882 817 280 a body corporate established pursuant to the provisions of the *University of Western Australia Act 1911* of 35 Stirling Highway, Crawley, Western Australia (University)

In favour of

iCeutica Pty Ltd ACN 113 244 152 of 52 Fairfield Street, Mt Hawthorn, Western Australia 6016 (iCeutica)

## Background

- A. The University and iCeutica are parties to the Agreement dated 1 May 2007 (as amended by the Deed of Variation dated 20 December 2007, the Variation of Research Contract dated 31 July 2008 and the Variation of Research Contract dated 9 March 2009) (**Research Agreement 1**) and to the Agreement dated 9 March 2009 (**Research Agreement 2**), pursuant to which the University was engaged by iCeutica to undertake research in relation to nano-drugs.
- B. Pursuant to each of Research Agreement 1 and Research Agreement 2, the parties agreed that the intellectual property developed by the parties pursuant to those Agreements would be owned by iCeutica. This intellectual property comprises to date the inventions listed in Schedule 1 (each an **Invention**) and the patent applications also listed in Schedule 1 (**Patent Applications**).
- C. In accordance with the agreement and intentions of the parties pursuant to Research Agreement 1 and Research Agreement 2, the parties now wish for the Assigned Rights (defined below) to be assigned by the University to iCeutica pursuant to this Deed.

## Agreed Terms

1. The University hereby assigns to iCeutica, with effect from creation, its entire rights, title and interest in the Project IP (as defined in each of Research Agreement 1 and Research Agreement 2), the Inventions and the Patent Applications, including but not limited to:
  - (a) the right to apply for registration of a Patent Application, and to own all registrations derived therefrom including reissues, extensions, confirmations, re-examinations, and inventor's certificates;
  - (b) the right to sue and claim and retain damages and other remedies, including but not limited to an account of profits, for infringements of and/or wrongful interferences to a Patent Application whether or not such rights arose before the date of this Deed; and
  - (c) all rights, powers, liberties and immunities conferred on the proprietor of the patents or applications relating to a Invention,(collectively, the "**Assigned Rights**").

2. The University agrees to execute all such further documents and do all such further acts necessary to effect the assignment of the Assigned Rights to iCeutica as contemplated by this Deed.
3. The University confirms and warrants it is entitled and it has the right to make the assignment under clause 1 and that no other person's consent is required in respect of the assignment of the Assigned Rights.
4. The University acknowledges that upon execution of this Deed, the University has no further rights (including intellectual property rights or otherwise) to ownership of the Assigned Rights and will make no further claim of whatsoever nature for monetary compensation or otherwise against iCeutica in relation to the creation of the Assigned Rights or the past or future use of the Assigned Rights by iCeutica.
5. iCeutica confirms and warrants that the persons named in Schedule 1 are to the best of the knowledge of iCeutica, the only inventors of the Inventions and entitled to be named on the relevant Patent Applications.
6. This Deed is governed by and must be construed in accordance with the laws in force in the State of Western Australia. The parties submit to the exclusive jurisdiction of the courts of that State and the Commonwealth of Australia in respect of all matters arising out of or relating to this Deed, its performance or subject matter.
7. If this Deed consists of a number of signed counterparts, each is an original and all of the counterparts together constitute the same document.

## **Schedule 1 – Invention and corresponding Patent Applications**

### **1. Invention: Production of encapsulated nanoparticles at high volume fractions**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000464, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901744 filed on 24 April 2009 and US Patent Application 61/172,278, also in the name of iCeutica Pty Ltd (**Provisional Applications 1**) and all patent applications that:

- (i) claim priority from Provisional Applications 1;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

### **2. Invention: Production of encapsulated nanoparticles at commercial sales**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000466, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901740 filed on 24 April 2009 and US Patent Application 61/172,297 also in the name of iCeutica Pty Ltd (**Provisional Applications 2**) and all patent applications that:

- (i) claim priority from Provisional Applications 2;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

### **3. Invention: Method for the production of commercial nanoparticle and microparticle powders**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000467, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901747 filed on 24 April 2009 and US Patent Application 61/172,300, also in the name of iCeutica Pty Ltd (**Provisional Applications 3**) and all patent applications that:

- (i) claim priority from Provisional Applications 3;

- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**4. Invention: Method for improving the dissolution profile of a biologically active material**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell, Matt Callahan and William Bosch

Patent applications:

Patent application no. PCT/AU2010/000465, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901741 filed on 24 April 2009 and US Patent Application 61/172,301, also in the name of iCeutica Pty Ltd (**Provisional Applications 4**) and all patent applications that:

- (i) claim priority from Provisional Applications 4;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**5. Invention: A novel formulation of metaxalone**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000468, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901743 filed on 24 April 2009 and US Patent Application 61/172,281, also in the name of iCeutica Pty Ltd (**Provisional Applications 5**) and all patent applications that:

- (i) claim priority from Provisional Applications 5;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**6. Invention: A novel formulation of meloxicam**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000469, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901742 filed on 24 April 2009 and US Patent Application 61/172,284, also in the name of iCeutica Pty Ltd (**Provisional Applications 6**) and all patent applications that:

- (i) claim priority from Provisional Applications 6;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**7. Invention: A novel formulation of naproxen**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000470, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901746 filed on 24 April 2009 and US Patent Application 61/172,289, also in the name of iCeutica Pty Ltd (**Provisional Applications 7**) and all patent applications that:

- (i) claim priority from Provisional Applications 7;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

**8. Invention: A novel formulation of diclofenac**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000471, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901748 filed on 24 April 2009 and US Patent Application 61/172,291, also in the name of iCeutica Pty Ltd (**Provisional Applications 8**) and all patent applications that:

- (i) claim priority from Provisional Applications 8;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

9. **Invention: A novel formulation of indomethacin**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell and William Bosch

Patent Applications:

Patent application no. PCT/AU2010/000472, filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901745 filed on 24 April 2009 and US Patent Application 61/172,295, also in the name of iCeutica Pty Ltd (**Provisional Applications 9**) and all patent applications that:

- (i) claim priority from Provisional Applications 9;
- (ii) disclose or claim the invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

EXECUTED as a deed

Signed for and behalf of The University of )  
Western Australia by its authorised )  
representative in the presence of: )

*Robyn Owens*

Signature of authorised representative

*B Ferns*

Signature of witness

BERNADETTE FERNS  
EA to DVC (Research)

The University of Western Australia

Name of witness  
(please print)

**Professor Robyn Owens**  
Deputy Vice-Chancellor (Research)  
The University of Western Australia

Executed by iCeutica Pty Ltd ACN 113 244 )  
152 in accordance with section 127(1) of the )  
Corporations Act 2001 (Cth): )  
)  
)  
)

*[Signature]*

Signature of director

MATTHEW CALI AHAN

Name (please print)

*[Signature]*

Signature of director or company secretary\*  
\*delete whichever does not apply

*L. Camacho*

Name (please print)

State of Minnesota

# SECRETARY OF STATE

## APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This Public Document: Deed of Acknowledgment and Assignment of Intellectual Property Rights

2. has been signed by Denise Wynn

3. acting in the capacity of Notary Public, State of Minnesota

4. bears the seal/stamp of Denise Wynn, Notary Public, State of Minnesota

## CERTIFIED

5. at St. Paul, Minnesota

6. Date: January 6, 2012

7. by Secretary of State, State of Minnesota

8. File No: DT20120106044

9. Seal/Stamp:

10. Signature



*Mark Ritchie*  
Mark Ritchie

# Deed of Acknowledgment and Assignment of Intellectual Property Rights

Matt Callahan

and

Stone Ridge Ventures Pty Ltd  
ABN 50 115 878 665

and

iCeutica Pty Ltd  
ACN 113 244 152

**Middletons**  
Melbourne office  
Ref: MBRO. PTH.10028271

# Deed of Acknowledgement and Assignment of Intellectual Property Rights

Date 2010

## Parties:

Matt Callahan of 52 Fairfield Street, Mt Hawthorn, Western Australia 6016 (**Contractor**)

Stoneridge Ventures Pty Ltd ABN 50 115 878 665 of 34 Churchill Avenue Subiaco WA 6008 (**Company**)

iCeutica Pty Ltd ACN 113 244 152 of 52 Fairfield Street, Mt Hawthorn, Western Australia (**iCeutica**)

## Background

- A. The Contractor was contracted by the Company to provide services to iCeutica, and in the course of providing services to iCeutica, conceived the invention listed in Schedule 1 (**Invention**) which is disclosed and/or claimed in the corresponding patent applications also listed or described in Schedule 1 (**Patent Applications**).
- B. The Contractor, the Company and iCeutica wish to confirm, and to the extent necessary assign to iCeutica, the Contractor's and the Company's rights, title and interests in the Invention and in the Patent Applications.

## Agreed Terms

- 1. The Contractor hereby acknowledges and represents that:
  - (a) the Invention was conceived by the Contractor within the scope of his engagement with iCeutica through the Company; and
  - (b) if and to the extent that the Contractor was engaged by any third party concurrently with his engagement with iCeutica through the Company, conception of the Invention was entirely outside the scope of his engagement with such third party.
- 2. The Contractor and the Company further hereby acknowledge and confirm that all rights, title and interest in the Invention and Patent Applications, including but not limited to:
  - (a) the right to apply for registration of a Patent Application, and to own all registrations derived therefrom including reissues, extensions, confirmations, re-examinations, and inventor's certificates;
  - (b) the right to sue and claim and retain damages and other remedies, including but not limited to an account of profits, for infringements of and/or wrongful interferences to a Patent Application whether or not such rights arose before the date of this Deed; and
  - (c) all rights, powers, liberties and immunities conferred on the proprietor of the patents or applications relating to the Invention,

(collectively, the "**Assigned Rights**") were transferred and assigned to and vested in iCeutica absolutely at the time of filing each of the Patent Applications.

3. To the extent not already assigned, the Contractor and the Company each assign to iCeutica, their entire respective legal and beneficial right, title and interest in the Assigned Rights.
4. The Contractor and the Company agree to execute all such further documents and do all such further acts necessary to effect the assignment of the Assigned Rights to iCeutica as contemplated by this Deed.
5. The Contractor and the Company hereby appoints the iCeutica as their respective attorney to do all acts and execute all documents on their respective behalf to the extent necessary to vest the rights in iCeutica as contemplated by this Deed.
6. This Deed is governed by and must be construed in accordance with the laws in force in the State of Victoria. The parties submit to the exclusive jurisdiction of the courts of that State and the Commonwealth of Australia in respect of all matters arising out of or relating to this Deed, its performance or subject matter.
7. If this Deed consists of a number of signed counterparts, each is an original and all of the counterparts together constitute the same document.

## **Schedule 1 – Invention and corresponding Patent Applications**

**Invention: Method for improving the dissolution profile of a biologically active material**

Inventors: Aaron Dodd, Felix Meiser, Marck Norett, Adrian Russell, Matt Callahan and William Bosch

Patent Applications:

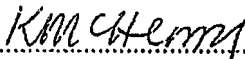
Patent application no. PCT/AU2010/000465 filed on 24 April 2010 in the name of iCeutica Pty Ltd, and priority Australian Patent Application No. 2009901741 filed on 24 April 2009 and US Patent Application 61/172,301 filed on 24 April 2010, also in the name of iCeutica Pty Ltd (**Provisional Applications**) and all patent applications that:

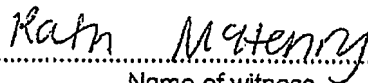
- (i) claim priority from the Provisional Applications;
- (ii) disclose or claim the Invention or any aspect of it; or
- (iii) comprise continuations, continuations in part, divisions, re-issue or substitution of the patent applications described in paragraphs (i) and (ii) above and application for a substantially similar form of protection for the Invention granted by another country where the essence is that the holder of the protection gains an exclusive right to make, use and sell a product or process.

EXECUTED as a deed

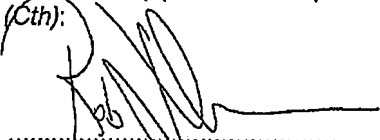
Signed Sealed and Delivered by Matt Callahan in the presence of:

  
Signature

  
Signature of witness

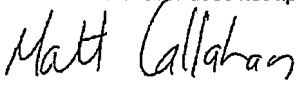
  
Name of witness  
(please print)

Executed by Stoneridge Ventures Pty Ltd  
ABN 50 115 878 665 in accordance with  
section 127(1) of the Corporations Act 2001  
(Cth):

  
Signature of director

ROBERT M NEWMAN  
Name (please print)

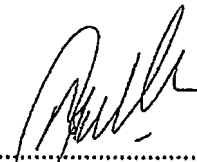
  
Signature of director or company secretary\*  
\*delete whichever does not apply

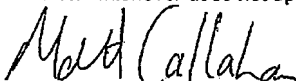
  
Name (please print)

Executed by iCeutica Pty Ltd ACN 113 244  
152 in accordance with section 127(1) of the  
Corporations Act 2001 (Cth):

  
Signature of director

LANCE CAMACHO  
Name (please print)

  
Signature of director or company secretary\*  
\*delete whichever does not apply

  
Name (please print)

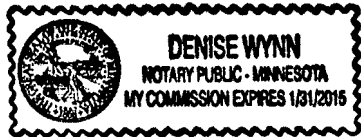
**NOTARIAL CERTIFICATE**

State of Minnesota    )  
                                  )    ss.  
County of Hennepin    )

I hereby certify that attached hereto is a true and correct copy of the Assignment from  
Matt Callahan to iCeutica Pty Ltd, for the listed applications.

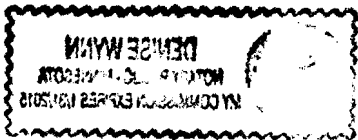
| Title                                                                                | Serial Number and filing date | Filing date   |
|--------------------------------------------------------------------------------------|-------------------------------|---------------|
| Method for improving the dissolution<br>profile of a biologically active<br>material | PCT/AU2010/000465             | 24 April 2010 |
|                                                                                      | AU 2009901741                 | 24 April 2009 |
|                                                                                      | US 61/172,301                 | 24 April 2009 |

Denise Wynn  
Notary Public



(SEAL)

Dated: December 28, 2011



APOSTILLE  
(Convention de La Haye du 5 octobre 1961)

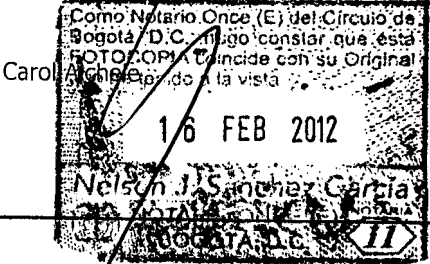
- 1. Country : United States of America
- 2. This public document has been signed by ANN MARIE D URBAN
- 3. acting in the capacity of NOTARY PUBLIC
- 4. bears the seal/stamp ANN MARIE D URBAN , NOTARY PUBLIC, PHILADELPHIA COUNTY, COMMONWEALTH OF PENNSYLVANIA

Certified

- 5. at Harrisburg, Pennsylvania
- 6. The 14th day of December, 2011
- 7. by Carol Aichele, Secretary of the Commonwealth of Pennsylvania
- 8. No: 201141675
- 9. Seal/Stamp
- 10. Signature



*Carol Aichele*



This Apostille only certifies the authenticity of the signature and the capacity of the person who has signed the public document, and, where appropriate, the identity of the seal or stamp which the public document bears.

This Apostille does not certify the content of the document for which it was issued.

This Apostille is not valid for use anywhere within the United States of America, its territories or possessions.



COLOMBIA

Carrera 11 N° 86-53 Piso 6 Bogotá Colombia Tel: (57 1) 6181088 Fax: (57 1) 6350824 Email: info@clarkemodet.com.co

PODER

POWER OF ATTORNEY

Yo (nosotros), abajo firmante(s)

I (we), the undersigned

en mi (nuestro) carácter de representante(s) legales de

legal representative(s) of

ICEUTICA PTY LTD.

ICEUTICA PTY LTD.

una sociedad organizada y existente de acuerdo con las leyes de

an organization existing under the laws of

y en actual cumplimiento de su objeto social, con domicilio en 52 Fairfield Street, Mount Hawthorn, Western Australia 6016, Australia.

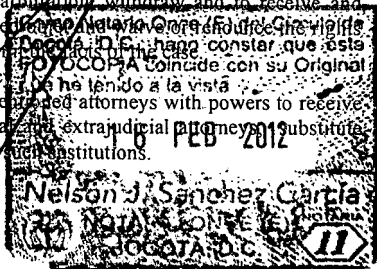
and in present execution of its business activity, domiciled at 52 Fairfield Street, Mount Hawthorn, Western Australia 6016, AU.

por el presente instrumento confiero (conferimos) poder especial a favor de DILIA MARIA RODRIGUEZ D'ALEMAN, con Cédula de Ciudadanía No. 41.654.882 de Bogotá, y tp. 20824 con domicilio en Bogotá, Colombia, para que actuando en su orden en nombre del (de la) otorgante le (la) representen ante cualquier entidad, autoridad y/o persona, especialmente ante las del orden Administrativo, Contencioso Administrativo, Judicial, Tribunales de Arbitramento y/o cualquier otro en lo referente a los siguientes asuntos:

do hereby grant POWER OF ATTORNEY to DILIA MARIA RODRIGUEZ D'ALEMAN, identification card No. 41.654.882 of Bogotá, and tp. 20824 residing in Bogotá Colombia, to act in the order of their appointment in behalf of grantor before any entity, authority and/or natural or juridical person, specially before those Administrative, Administrative-Contentious, Judicial, arbitration courts, and/or any other, in all matters concerning the following:

- 1) Solicitar, tramitar, obtener y/o registrar cualquiera de las modalidades de Propiedad Industrial, tales como: patentes de invención, modelos de utilidad; diseños industriales, variedades vegetales; marcas, lemas, nombres y enseñas comerciales y denominaciones de origen; así como sus renovaciones, prórrogas, transferencias, cambios de nombre y/o domicilio, contratos y licencias.
- 2) Solicitar, tramitar, obtener y/o registrar derechos de autor, en cualquiera de sus presentaciones así como la protección de secretos industriales y know-how.
- 3) Solicitar, tramitar, obtener y/o registrar registros sanitarios, permisos y/o licencias de funcionamiento; así como sus renovaciones o prórrogas, transferencias, cambios de nombre y/o domicilio, contratos y licencias.
- 4) Ejercer acciones de oposición, observación, reclamos, reconsideración, reposición, apelación, cancelación administrativa o judicial, nulidad, protección al consumidor, concesión de licencias, protección de secretos industriales y demás acciones y recursos relacionados con derechos derivados de los asuntos arriba indicados y en contra de la competencia desleal, acciones derivadas de la obtención o explotación de licencias, acciones de indemnización de perjuicios. También podrá(n) intervenir en juicios civiles, comerciales, penales, contencioso-administrativos, tutelas y de policía; en acciones o juicios promovidos por el (la) mandante o en contra el (ella).
- 5) Registrar nombres de dominio ante la entidad competente.
- 6) En el ejercicio de este mandato los mandatarios arriba mencionados quedan facultados para: conciliar, cancelar, transigir, comprometer en árbitros, desistir, recibir y tomar posesión de la cosa objeto de litigio y renunciar o desistir a derechos concedidos o en curso de concesión, admitir los hechos del proceso.
- 7) Ratificar o no la agencia oficiosa.
- 8) Así mismo faculto (facultamos) a los mencionados apoderados para recibir notificaciones y designar apoderados judiciales o extrajudiciales, sustituir el presente poder y revocar las sustituciones que hicieren.

- 1) To apply, process, obtain and/or register any of the modalities of Industrial Property, such as: Patents of Invention, Utility Models; Designs, plant varieties; Marks, Slogans, Trade Names and emblems and appellations of Origin; as well as their renewals, assignments, changes of name and/or domicile, agreements and licenses.
- 2) To apply, process, obtain and/or register copyrights of any kind as well as protection of industrial secrets or know-how.
- 3) To apply, process, obtain and/or register sanitary registrations, operating authorizations and/or licenses; as well as their renewals or assignments, changes of name and/or domicile, agreements and licenses.
- 4) To bring actions of opposition, observations, claims, reconsideration, appeal or legal remedies, cancellations, nullity, protection to the consumer, granting of licenses, protection of trade secrets and other actions related with rights derived from the above- mentioned matters and against the unfair competition, actions resulting from the obtaining or exploitation of licenses, recovering damages actions. They can also intervene or participate in Civil, Commercial, Criminal or Contentious-Administrative Trials; the actions for writ mandamus, police actions; in actions, lawsuits or litigations instituted by the grantor or against him.
- 5) To register domain names before the competent entity.
- 6) To the effect of this mandate the above-named proxy are empowered to: conciliate, cancel, settle, submit to arbitration, withdraw and to receive and take possession of the litigation related thereto and to defend the rights granted or in process of granting, administrative and judicial.
- 7) To ratify or not the officious agency.
- 8) I (we) hereby appoint the afore mentioned attorneys with powers to receive notifications and to designate judicial and extrajudicial attorneys to substitute this Power of Attorney and to revoke such institutions.



Dado y firmado en

Granted and signed at PHILADELPHIA

a los días de

on this 7th day of DECEMBER of 2011

Firma / signature  
Matt Callahan, CEO, iCeutica Pty Ltd

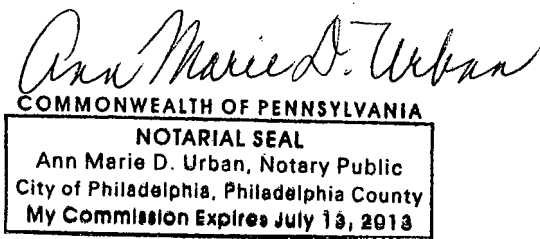
COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Ann Marie D. Urban, Notary Public  
City of Philadelphia, Philadelphia County  
My Commission Expires July 13, 2013  
12/7/11 50

NOTARIZATION ACKNOWLEDGEMENT

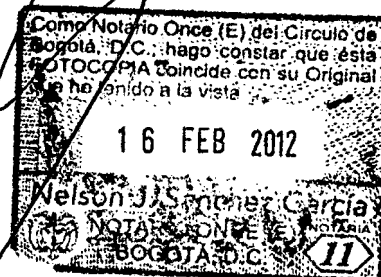
State of Pennsylvania                    )  
                                                      ) ss:  
County of Philadelphia                 )

On this 7th day of December, 2011, before me personally appeared Matthew Callahan to me known and known to me to be the person who executed the attached instrument in the capacity therein indicated, who acknowledged that he, being authorized to do so, executed the foregoing instrument for the purposes therein contained and in the capacity therein indicated as his/her own free act and deed.

Notary Public



Seal:



Traducción oficial hecha por Jimena Escobar Uribe, traductora e intérprete oficial según resolución No. 0369 de 1995 del Ministerio de Justicia, del documento legalizado mediante la APOSTILLA No. 201141675 de 14 de diciembre de 2011 expedida en Estados Unidos de América (Pensilvania) correspondiente a un documento de poder de la sociedad ICEUTICA PTY LTD., con domicilio en Mount Hawthorn, Australia Occidental, Australia

Documento de poder: Firmado el 7 de diciembre de 2011 en Filadelfia, Estados Unidos de América por Matt Callahan, Director Ejecutivo de iCeutica Pty Ltd., en inglés con idéntico texto en español diligenciado en la columna izquierda del documento, por lo que no se hace necesaria su traducción.

Legalizado mediante firma y sello por Ann Marie D. Urban, notario público, ciudad de Filadelfia, Condado de Filadelfia, mi comisión termina el 13 de julio de 2013, el 7 de diciembre de 2011.

#### RECONOCIMIENTO DE NOTARIZACIÓN

Estado de Pensilvania  
Condado de Filadelfia

En este día 7 de diciembre de 2011, ante mí personalmente compareció Matthew Callahan por mí conocido y conocido por mí como la persona quien ejecutó el instrumento anexo en su calidad indicada en el mismo, quien reconoció que él, estando autorizado para hacerlo, ejecutó el instrumento anterior para los fines contenidos en el mismo y en la calidad indicada allí como un acto y voluntario de su parte.

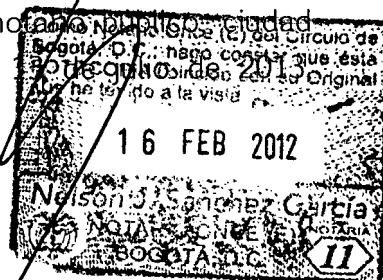
Legalizado mediante firma y sello por Ann Marie D. Urban, notario público, ciudad de Filadelfia, Condado de Filadelfia, mi comisión termina el 13 de julio de 2013, el 7 de diciembre de 2011.

\*\*\*\*\*

#### APOSTILLA

(Convención de la Hay de 5 de octubre de 1961)

1. País: Estados Unidos de América



2. Este documento público ha sido firmado por Ann Marie D. Urban
3. actuando en su capacidad de Notario Público
4. lleva el sello/estampilla de Ann Marie D. Urban, Notario Público, Condado de Filadelfia, Commonwealth de Pensilvania

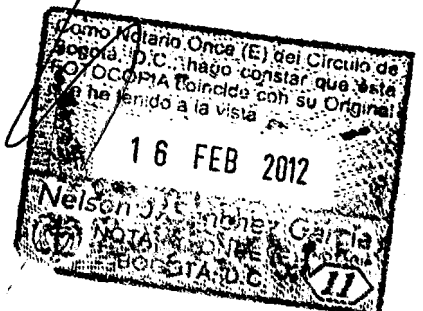
#### CERTIFICADO

5. en Harrisburg, Pensilvania
6. el día 14 de diciembre de 2011
7. por Carol Aichele, Secretario del Commonwealth de Pensilvania
8. No. 201141675
9. Sello/estampilla: Sello oficial
10. Firma: firmado por Carol Aichele

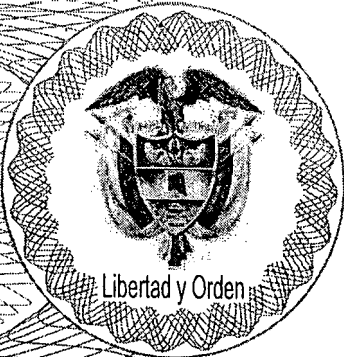
Esta Apostilla únicamente certifica la autenticidad de la firma y la capacidad de la persona que ha firmado el documento público y, cuando sea apropiado, la identidad del sello o estampilla que lleva el documento público.

Esta Apostilla no certifica el contenido del documento para el cual fue expedida.

Esta Apostilla no es válida para uso en ningún lugar dentro de los Estados Unidos, sus territorios o posesiones.



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**REPÚBLICA DE COLOMBIA**  
**MINISTERIO DE RELACIONES EXTERIORES**  
**LEGALIZACION**

**País:** **REPUBLICA DE COLOMBIA**  
(Country: - Pays:)

**El presente documento público**  
(This public document - Le présent acte public)

**Ha sido firmado por:** **ESCOBAR URIBE JIMENA**  
(Has been signed by: - A été signé par:)

**Actuando en calidad de:** **TRADUCTORA E INTERPRETE OFICIAL**  
(Acting in the capacity of: - Agissant en qualité de:)

**Lleva el sello/estampilla de:** **TRADUCTOR E INTERPRETE OFICIAL**  
(Bears the seal/stamp of: - Est revêtu du sceau de / timbre de:)

**Certificado**  
(Certified - Attesté)

**En:** **BOGOTA D.C**  
(At: - À:)

**El:** **2/2/2012 12:14:59 p.m.**  
(On: - Le:)

**Por:** **APOSTILLA Y LEGALIZACIÓN**  
(By: The Ministry of Foreign Affairs of Colombia - Par: Ministère des Affaires Étrangères de la Colombie)

**No:** **LMCC121459463**  
(Under-Number: - Sous le numéro:)

**Nombre del Titular:** **201141675**  
(Name of the holder of document: - Nom du titulaire:)

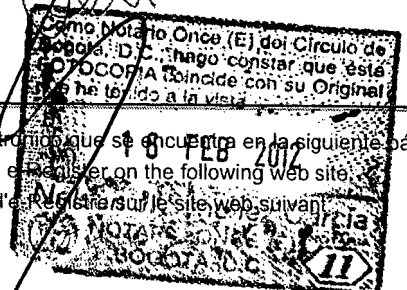
**Tipo de documento:** **TRADUCCION APOSTILLA**  
(Type of document: - Type du document:)

**Número de hojas:** **4**  
(Number of pages: - Nombre de pages:)

**01304100116677201235**

Firmado Digitalmente por: (Digitally Signed by:)  
Ministerio de Relaciones Exteriores de Colombia  
AMPARO DE LA CRUZ TAMAYO RODRIGUEZ  
Reason: DOCUMENT AUTHENTICITY  
BOGOTA - COLOMBIA

La autenticidad de este documento puede ser verificada en el Registro Electrónico que se encuentra en la siguiente página  
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State of Minnesota

P-3116

# SECRETARY OF STATE

## APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This Public Document: Certificate of Registration

2. has been signed by Barbara L. Skarboe

3. acting in the capacity of Notary Public, State of Minnesota

4. bears the seal/stamp of Barbara L. Skarboe, Notary Public, State of Minnesota

## CERTIFIED

5. at: St. Paul, Minnesota

6. Date: January 13, 2012

7. by: Secretary of State, State of Minnesota

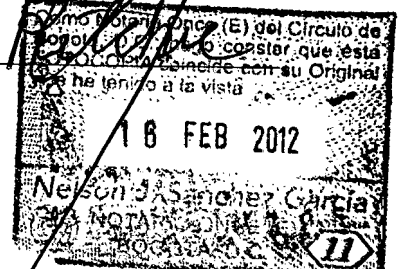
8. File No: AL20120113023

9. Seal/Stamp:

10. Signature



Mark Ritchie  
Secretary of State



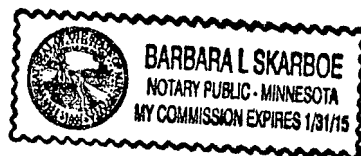
55

NOTARIAL CERTIFICATE

State of Minnesota    )  
                                  )    ss.  
County of Hennepin    )

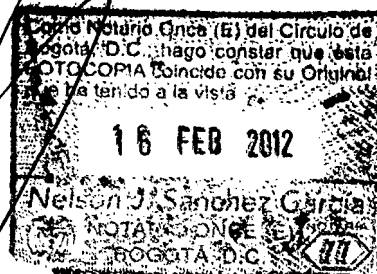
I hereby certify that attached hereto is a true and correct copy of the Certificate of  
Registration of the company ICEUTICA PTY LTD.

Barbara L. Skarboe  
Notary Public



(SEAL)

Dated: 1/6/2012



# Certificate of Registration of a Company

This is to certify that

**ICEUTICA PTY LTD**

**Australian Company Number 113 244 152**

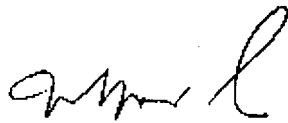
is a registered company under the Corporations Act 2001 and  
is taken to be registered in Western Australia.

The company is limited by shares.

The company is a **proprietary** company.

The day of commencement of registration is  
**the fourth day of March 2005.**

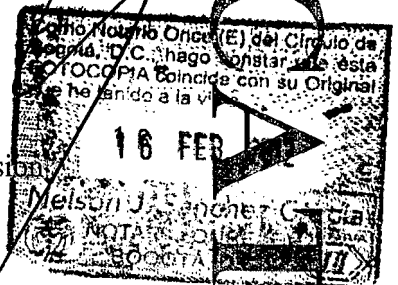
Issued by the  
Australian Securities and Investments Commission  
on this fourth day of March, 2005.



Jeffrey Lucy  
acting Chairman



CERTIFICATE



**ASIC**

Australian Securities &amp; Investments Commission

**Forms Manager**

Company Officeholders

**Company:** ICEUTICA OPERATIONS PTY LTD ACN 142 836 646**Company details**

Date company registered 29-03-2010  
Company next review date 29-03-2012  
Company type Australian Proprietary Company  
Company status Registered  
Home unit company No  
Superannuation trustee company No  
Non profit company No

**Registered office**

UNIT 4 , 97 HECTOR STREET W , OSBORNE PARK WA 6017

**Principal place of business**

UNIT 4 , 97 HECTOR STREET W , OSBORNE PARK WA 6017

**Ultimate holding company**

ICEUTICA INC.

113244741

Incorporated in UNITED STATES

**Officeholders**

CAMACHO, LANCE STANLEY

Born 22-10-1965 at CAPE TOWN SOUTH AFRICA

123 FLINDERS AVENUE , HILLARYS WA 6025

Office(s) held: Director, appointed 29-03-2010  
Secretary, appointed 29-03-2010

CALLAHAN, MATTHEW SHANE

Born 19-08-1972 at KALGOORLIE WA

52 FAIRFIELD STREET , MOUNT HAWTHORN WA 6016

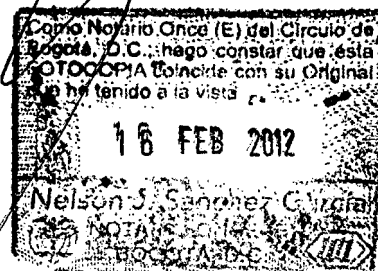
Office(s) held: Director, appointed 29-03-2010

KRIEGER, FRED

Born 06-01-1947 at MIAMI FLORIDA UNITED STATES

49 WHYTE DR , VOORHEES , NJ 08043 , UNITED STATES

Office(s) held: Secretary, appointed 23-05-2011

**Company share structure**

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| Share class | Share description | Number issued | Total amount paid | Total amount unpaid |
|-------------|-------------------|---------------|-------------------|---------------------|
| ORD         | ORDINARY SHARES   | 100           | 100.00            | 0.00                |

Members

ICEUTICA PTY LTD

ONE CRESCENT DRIVE SUITE 400 NAVY YARD ,  
CORPORATE CENTRE PHILADELPHIA PA 19112 , UNITED STATES

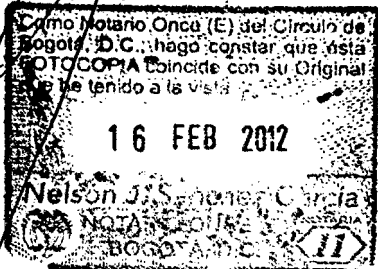
| Share class | Total number held | Fully paid | Beneficially held |
|-------------|-------------------|------------|-------------------|
| ORD         | 100               | Yes        | Yes               |

Document history

These are the documents most recently received by ASIC from this organisation.

| Received   | Number    | Form | Description                                                     | Status               |
|------------|-----------|------|-----------------------------------------------------------------|----------------------|
| 25-05-2011 | 7E3692642 | 484  | CHANGE TO COMPANY DETAILS                                       | Processed and imaged |
| 28-03-2011 | 027195471 | 384  | NOTICE OF DIRS RESOL OF SMALL PTY<br>COY CONTRLD BY FOREIGN COY | Processed and imaged |
| 05-10-2010 | 7E3212396 | 484  | CHANGE TO COMPANY DETAILS                                       | Processed and imaged |

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Traducción oficial hecha por Jimena Escobar Uribe, traductora e intérprete oficial según resolución No. 0369 de 1995 del Ministerio de Justicia, del documento legalizado mediante la APOSTILLA No. AL 20120113023 de 13 de enero de 2012 expedida en Estados Unidos de América (Minnesota) correspondiente a un certificado de registro relacionado con la sociedad ICEUTICA PTY LTD., con domicilio en Mount Hawthorn, Australia Occidental, Australia.

#### Certificado de Registro de una Sociedad

Este es para certificar que

**ICEUTICA PTY LTD**

**Sociedad Australiana con Número 113 244 152**

Es una sociedad registrada bajo la Ley de Sociedades de 2011 y se tiene por registrada en Australia Occidental.

La sociedad está limitada por acciones.

La sociedad es una sociedad propietaria.

El día de inicio del registro es el cuarto día de marzo de 2005.

Expedido por la Comisión Australiana de Valores E inversiones este cuarto día de marzo de 2005.

Firmado por firma ilegible

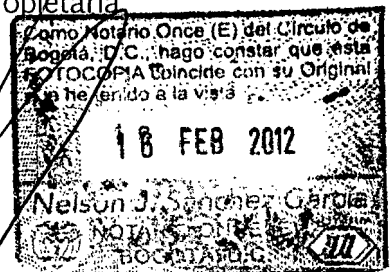
Jeffrey Lucy, Presidente en funciones

#### ASIC Comisión Australiana de Valores E inversiones

Sociedad: ICEUTICA OPERATIONS PTY LTD Número 142 836 646

#### Detalles de la Sociedad

|                                   |                                  |
|-----------------------------------|----------------------------------|
| Fecha de registro de la sociedad  | 29-03-2010                       |
| Próxima revisión de la sociedad   | 29-03-2012                       |
| Tipo de sociedad                  | Sociedad australiana propietaria |
| Estado de la sociedad             | Registrada                       |
| Sociedad de unidad doméstica      | No                               |
| Sociedad fiduciaria de jubilación | No                               |
| Sociedad sin ánimo de lucro       | No                               |



## Oficina Registrada

Unidad 4, Hector Street W, Osborne Park, Australia Occidental 6017

## Lugar principal de negocios

Unidad 4, Hector Street W, Osborne Park, Australia Occidental 6017

## Sociedad Holding

ICEUTICA INC.

113244741

Constituida en los ESTADOS UNIDOS

## Titulares de los Cargos

Camacho, Lance Stanley

Nacido el 22 de octubre de 1965 en Cape Town, Suráfrica

123 Flinders Avenue, Hillarys, Australia Occidental 6025

Cargo(s) que ha tenido: Director, nombrado el 29 de marzo de 2010

Secretario, nombrado el 29 de marzo de 2010

Callahan, Matthew Shane

Nacido el 19 de agosto de 1972 en Kalgoorlie, Australia Occidental

52 Fairfield Street, Mount Hawthorn, Australia Occidental 6016

Cargo(s) que ha tenido: Director, nombrado el 29 de marzo de 2010

Krieger, Fred

Nacido el 6 de enero de 1947 en Miami, Florida, Estados Unidos de América

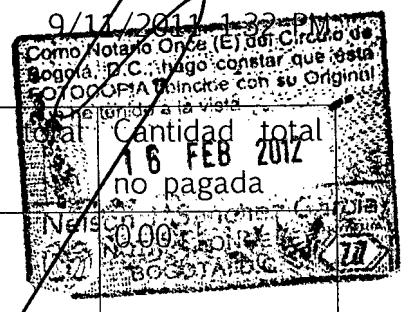
49 Whyte Dr., Voorees, Nueva Jersey 08043, Estados Unidos

Cargo(s) que ha tenido: Secretario, nombrado el 23 de mayo de 2011

## Estructura accionaria de la sociedad

1 de 2

| Clase de acción | Descripción de la acción | Cantidad expedida | Cantidad pagada | Cantidad total |
|-----------------|--------------------------|-------------------|-----------------|----------------|
| Ordinaria       | Acciones ordinarias      | 100               | 100.00          | 100.00         |



## Miembros

ICEUTICA PTY LTD: One Crescent Drive, Suite 400, Navy Yard, Centro Corporativo,  
Filadelfia, Pensilvania 19112, Estados Unidos

| Clase de acción | Cantidad total de titularidad | Pagadas en su totalidad | Titularidad con beneficios |
|-----------------|-------------------------------|-------------------------|----------------------------|
| Ordinaria       | 100                           | SI                      | SI                         |

## Historia de documentos

Estos son los documentos recibidos más recientemente por la ASIC por parte de esta organización.

| Recibido   | Número    | Formato | Descripción                                                                                                                     | Estado                         |
|------------|-----------|---------|---------------------------------------------------------------------------------------------------------------------------------|--------------------------------|
| 25-05-2011 | 7E3692642 | 484     | Cambio de detalles de la sociedad                                                                                               | Procesado y puesto en imágenes |
| 28-03-2011 | 027195471 | 384     | Notificación de la resolución de los directores de sociedad propietaria limitada pequeña controlada por una sociedad extranjera | Procesado y puesto en imágenes |
| 05-10-2010 | 7E3212396 | 484     | Cambio de detalles de la sociedad                                                                                               | Procesado y puesto en imágenes |

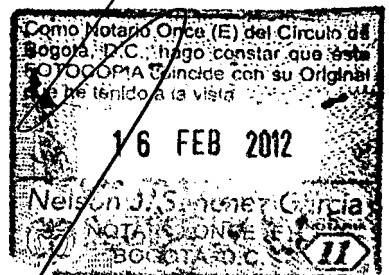
2 de 2

9/11/2011 1:32 PM

\*\*\*\*\*

## CERTIFICADO NOTARIAL

Estado de Minnesota  
Condado de Hennepin



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MEMBER  
HARRIS RECORDS & LIBRARY

Por medio del presente certifico que anexo al presente se encuentra una copia verdadera y correcta del Certificado de Registro de la sociedad ICEUTICA PTY LTD.

Firmado por firma ilegible, notario público

Sello: Barbara L. Skarboe, notario público de Minnesota, mi comisión termina el 31 de enero de 2015.

\*\*\*\*\*

Estado de Minnesota  
Secretario de Estado

### APOSTILLA

(Convención de la Haya de 5 de octubre de 1961)

1. País: Estados Unidos de América

Este documento público: Certificado de Registro

Ha sido firmado por: Barbara L. Skarboe

3. Actuando en su calidad de: Notario Público, Estado de Minesota

4. Lleva el sello/estampilla de: Barbara L. Skarboe, Notario Público, Estado de Minesota

### CERTIFICADO

5. En St. Paul, Minesota

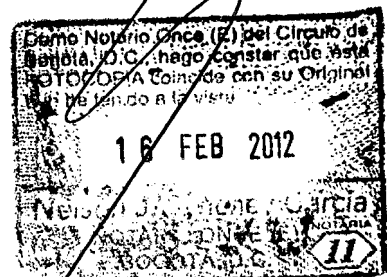
6. El 13 de enero de 2012

7. Por Secretario de Estado, Estado de Minesota

8. Archivo No. AL 20120113023

9. Sello/Estampilla: Gran Sello del Estado de Minesota

10. Firma: Firmado Mark Ritchie



*Jimena Escobar Uribe*  
JIMENA ESCOBAR URIBE  
Traductor e Intérprete Oficial  
Resolución No. 0369 Min. Justicia 1991



**REPÚBLICA DE COLOMBIA**  
**MINISTERIO DE RELACIONES EXTERIORES**  
**LEGALIZACION**

**País:** **REPUBLICA DE COLOMBIA**  
(Country: - Pays:)

**El presente documento público**  
(This public document - Le présent acte public)

**Ha sido firmado por:** **ESCOBAR URIBE JIMENA**  
(Has been signed by: - A été signé par:)

**Actuando en calidad de:** **TRADUCTORA E INTERPRETE OFICIAL**  
(Acting in the capacity of: - Agissant en qualité de:)

**Lleva el sello/estampilla de:** **TRADUCTOR E INTERPRETE OFICIAL**  
(Bears the seal/stamp of: - Est revêtu du sceau de / timbre de:)

**Certificado**  
(Certified - Attesté)

**En:** **BOGOTA D.C**  
(At: - À:)

**El:** **2/2/2012 12:15:16 p.m.**  
(On: - Le:)

**Por:** **APOSTILLA Y LEGALIZACIÓN**  
(By: The Ministry of Foreign Affairs of Colombia - Par: Ministère des Affaires Étrangères de la Colombie)

**No:** **LMCC121516228**  
(Under Number: - Sous le numéro:)

**Nombre del Titular:** **20120113023**  
(Name of the holder of document: - Nom du titulaire:)

**Tipo de documento:** **TRADUCCION APOSTILLA**  
(Type of document: - Type du document:)

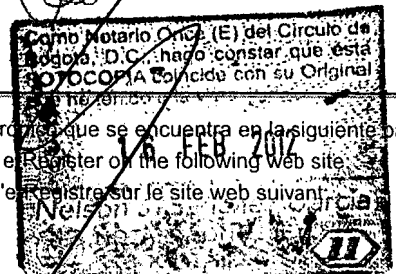
**Número de hojas:** **1**  
(Number of pages: - Nombre de pages:)

**01304100116677201235**

Firmado Digitalmente por: (Digitally Signed by:)  
Ministerio de Relaciones Exteriores de Colombia  
AMPARO DE LA CRUZ TAMAYO RODRIGUEZ  
Reason: DOCUMENT AUTHENTICITY  
BOGOTA - COLOMBIA

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**[www.cancilleria.gov.co/legalizaciones](http://www.cancilleria.gov.co/legalizaciones)**



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