

ESCOBAR - URIBE ASOCIADOS

A B O G A D O S

Carrera 11A No. 94-76 Of. 305 - P.O. Box 94948, Bogotá, Colombia, S.A.
Tels.: (571) 6162051 - 6162061 - 6161859 - 6161869
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SUPERINDUSTRIA Y COMERCIO

Radicación: 04060289 00000002

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Trámite: 011 PCT-PATENT 379 FASE NACI 329 COMPLET

Dependencia: 2020 DIVISION DE NUEVAS CREACIONES

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**SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
DIVISION DE NUEVAS CREACIONES**

E. S. D.

Ref.: Expediente No. 04060289
Solicitud de Patente a nombre de
GMP ENDOTHERAPEUTICS, INC.

Yo, JIMENA ESCOBAR URIBE, identificada como aparece al pie de mi firma, domiciliada en la Carrera 11 A No. 94-76, Of. 305, obrando como apoderada de la sociedad **GMP ENDOTHERAPEUTICS, INC.**, domiciliada en Florida, Estados Unidos de América, solicitante de la patente de la referencia, me permito presentar el documento (1) en que consta la cesión del derecho a la patente otorgado por los inventores a favor de mi mandante.

Sobre el particular, es importante resaltar que de conformidad con las normas legales vigentes, artículo 1 de la Resolución 210 de 2001, expedida por el Superintendente de Industria y Comercio y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por otro lado, la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, el documento de cesión anexo a este memorial es válidamente presentado en idioma inglés y con la correspondiente Apostilla.

Señor Superintendente,


JIMENA ESCOBAR URIBE
C.C.39.779.452 de Usaquén
T.P. 68.228

APOSTILLE

(Convention de la Haye du 5 octobre 1961)

1. Country: United States of America

This public document

2. has been signed by GREGORY HARTMANN

3. acting in the capacity of Clerk of Court of Common Pleas

4. bears the seal/stamp of Court of Common Pleas, Hamilton County

CERTIFIED

5. at Columbus, Ohio

6. June 25, 2004

7. by the Secretary of State of Ohio.

8. No. 180249

9. Seal/Stamp:

10. Signature:



J. Kenneth Blackwell
J. Kenneth Blackwell
Secretary of State of Ohio

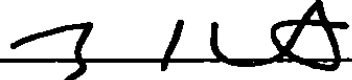
This certification certifies only the authenticity of the signature of the official who signed the document, the capacity in which that official acted, and where appropriate, the identity of the seal or stamp which the document bears. This certification does not imply that the contents of the document(s) are correct, nor that they have the approval of this office.

STATE of OHIO }
COUNTY OF HAMILTON } ss.

I, GREGORY HARTMANN Clerk of the Common Pleas Court,
the same being a court of record for aforesaid county, having by law a seal do hereby certify that
JUDITH LYNNE BOZILE

Esq., whose name is subscribed to the attached certificate was at
the time a NOTARY PUBLIC, duly commissioned and sworn, and at the time of taking said acknowledgement,
proof or affidavit, was residing in said county, and was, as such, an officer of said state, duly authorized by
the laws thereof to take and certify the same, as well as to take and certify the proof and acknowledgement
of deeds and other instruments in writing to be recorded in said state, and that full faith and credit are and
ought to be given to his official acts; and I further certify that I am well acquainted with his handwriting, and
verily believe that the signature to the attached certificate is his genuine signature. I further certify that the
filing of the impression of the notary seal is not required in this state.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my
official seal this 15TH day of JUNE, 20 04

 **GREGORY HARTMANN**
Clerk of Common Pleas Court, Hamilton County, Ohio

P&G

I, Judith Lynne Bozile, a Notary Public in and for the State of Ohio, do certify that I have carefully compared with the original documents the attached copies thereof. They are complete, full, true and exact copies of the original documents they purport to reproduce.

UNITED STATES OF AMERICA)

STATE OF OHIO)

COUNTY OF HAMILTON)

The 21 day of May 2004.



JUDITH LYNNE BOZILE
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION EXPIRES
APRIL 28, 2009



JUDITH LYNNE BOZILE
Notary Public, State of Ohio
My Commission Expires 04-28-09



UNITED STATES
PATENT AND
TRADEMARK OFFICE

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SAK/TJM

AUGUST 22, 2002

BANNER & WITCOFF, LTD.
SARAH A. KAGAN, PH.D.
1001 G ST., NW, 11TH FL.
WASHINGTON, DC 20001

PTAS

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AUG 27 2002

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



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BANNER WITCOFF
UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/19/2002

REEL/FRAME: 013012/0001
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
VINIK, AARON I.

DOC DATE: 03/18/2002

ASSIGNOR:
TAYLOR-FISHWICK, DAVID A.

DOC DATE: 03/19/2002

ASSIGNEE:
EASTERN VIRGINIA MEDICAL SCHOOL
700 OLNEY ROAD
NORFOLK, VIRGINIA 23501

SERIAL NUMBER: 60361073
PATENT NUMBER:

FILING DATE: 03/01/2002
ISSUE DATE:

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

005126.00006
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Notice of Record
AUG 27 2002
Rec'd.

125

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)		RE	06-26-2002	ET	U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
Tab settings		102134730			
To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.					
1. Name of conveying party(ies): Aaron I Vink David A. Taylor-Fishwick Additional name of conveying party(ies) attached? ☐ Yes ☐ No		2. Name and address of receiving party(ies) Name: <u>Eastern Virginia Medical School</u> Internal Address: <u>700 Olney Road</u> Street Address: <u>700 Olney Road</u> City: <u>Norfolk</u> State: <u>VA</u> Zip: <u>23501</u> Additional Name(s) & address(es) attached? ☐ Yes ☒ No			
3. Nature of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other _____ Execution Date: <u>3/18/02 & 3/19/02</u>					
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) <u>60/361,073</u> B. Patent No.(s) _____ Additional numbers attached? ☐ Yes ☒ No					
5. Name and address of party to whom correspondence concerning this document should be mailed: Name: <u>Sarah A. Kegan, Ph.D.</u> Internal Address: <u>Banner & Wilcoff, Ltd.</u> Street Address: <u>Eleventh Floor</u> <u>1001 G Street, N.W.</u> City: <u>Washington</u> State: <u>D.C.</u> Zip: <u>20001</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) \$ <u>40.00</u> ☐ Enclosed ☒ Authorized to be charged to deposit account 8. Deposit account number: <u>18-0733</u> (Attach duplicate copy of this page if paying by deposit account)			
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <u>Sarah A. Kegan</u> Name of Person Signing <u>[Signature]</u> <u>6-19-02</u> Signature Date					

Form PTO-1593 (Rev. 03/01) REC OMB No. 0551-0027 (exp. 5/31/2002)		03-29-2002  102037267		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
Tab settings ▼ ▼ ▼ To the Honorable Commissioner of Patents, Please Record the attached original documents or copy thereof.					
1. Name of conveying party(ies): Aaron I Vink David A. Taylor-Fishwick 3-21-02 Additional name of conveying party(ies) attached? ☐ Yes ☒ No			2. Name and address of receiving party(ies) Name: <u>Eastern Virginia Medical School</u> Internal Address: <u>/</u> Street Address: _____ City: <u>Norfolk</u> State: <u>VA</u> Zip: _____ Additional Name(s) & address(es) attached? ☐ Yes ☒ No		
3. Nature of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other _____ Execution Date: <u>3/18/02 & 3/19/02</u>			4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) _____ B. Patent No.(s) _____ Additional numbers attached? ☐ Yes ☒ No		
5. Name and address of party to whom correspondence concerning this document should be mailed: Name: <u>Sarah A. Kagan, Ph.D.</u> Internal Address: <u>Banner & Witcoff, Ltd.</u> Street Address: <u>Eleventh Floor</u> <u>1001 G Street, N.W.</u> City: <u>Washington</u> State: <u>D.C.</u> Zip: <u>20001</u>			6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) \$ <u>40.00</u> ☐ Enclosed ☒ Authorized to be charged to deposit account 8. Deposit account number: <u>19-0733</u> (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <u>Sarah A. Kagan</u> Name of Person Signing  Signature <u>March 21, 2002</u> Date Total number of pages including cover sheet, attachments, and documents: <u>3</u>					

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

03/28/2002 AMHEB1 00000161 190733 KAGAN SARAH
 01 FC:501 40.00 CH

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ASSIGNMENT

WHEREAS, WE, Aaron I. Vinik a United States citizen having a residence at 40 Radar Street, #603, Norfolk, Virginia 23510 and David A. Taylor-Fishwick, a citizen of Great Britain, residing at 6117 Rolfe Avenue, Norfolk, Virginia 23508 (Assignors), have invented certain new and useful improvements in "ASSAY FOR THE DETECTION OF FACTOR(S) THAT MODULATE THE EXPRESSION OF ENGAF" for which a provisional application for Letters Patent of the United States was filed on March 1, 2002; and

WHEREAS, Medical College of Hampton Roads d/b/a Eastern Virginia Medical School ("EVMS"), a corporation duly organized under the laws of the Commonwealth of Virginia having a place of business in Norfolk, Virginia, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention and in and to any Letters Patent of the United States or any foreign country which may be granted therefor;

NOW THEREFORE, for good and valuable consideration and in accordance with EVMS Patent Policy, we, Aaron I. Vinik and David A. Taylor-Fishwick, by these presents do sell, assign, and transfer unto EVMS, its successors, legal representatives and assigns, our full right to the said invention as described in the said application, and our entire right, title and interest in and to any and all Letters Patent which may be granted therefore in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said assignee;

AND WE HEREBY warrant and covenant that we have full right to convey our entire interest herein assigned and that we have not executed and will not execute any instrument or assignment in conflict herewith;

AND WE HEREBY agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to execute all divisional, continuation, reissue and foreign applications, sign all lawful documents and make all rightful oaths relating to said invention, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said assignee.

Date: 3-18-02

Inventor:

Aaron I. Vinik

Date: 3-19-02

Inventor:

David A. Taylor-Fishwick

COMMONWEALTH OF VIRGINIA)
)ss
COUNTY OF)

On this _____ day of _____, 2002, before me a Notary Public in and for the County and Commonwealth aforesaid, personally appeared Aaron I. Vinik and David A. Taylor-

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005125.00006

Fishwick to me known and known to me to be the persons of those names, who signed and sealed the foregoing instrument, and acknowledged the same to be their free act and deed.

(SEAL)

Notary Public

My Commission Expires:

451295-1

ASSIGNMENT

WHEREAS, WE, David A. Taylor-Fishwick, citizen of Great Britain, residing at 6117 Rolfe Avenue, Norfolk, VA 23508 and Aaron I. Vinik, citizen of the United States, residing at 40 Radar Street, Apt. 603, Norfolk, VA 223510, have invented certain new and useful improvements in the "ASSAYS FOR THE DETECTION OF FACTOR(S) THAT MODULATE THE EXPRESSION OF INGAP" for which an application for Letters Patent of the United States was filed on June 14, 2002, and accorded Serial No. 60/388,315; and

WHEREAS, MEDICAL COLLEGE OF HAMPTON ROADS d/b/w/ EASTERN VIRGINIA MEDICAL SCHOOL("EVMS"), a corporation duly organized under the laws of the Commonwealth of Virginia having a place of business in Norfolk, Virginia, is desirous of acquiring the entire right, title, and interest in and to the aforesaid invention and in and to any Letters Patent of the United States or any foreign country which may be granted therefor;

NOW THEREFORE, for good and valuable consideration and in accordance with EVMS unto EVMS, its successors, legal representatives and assigns our full right to the said invention as described in the said application, and our entire right, title and interest in and to any and all Letters patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said assignee.

AND WE HEREBY warrant and covenant that we have full right to convey our entire interest herein assigned and that we have not executed and will not execute any instrument or assignment in conflict herewith;

AND WE HEREBY agree to communicate to said assignees or its representatives any facts known to us respecting said invention, to execute all divisional, continuation, reissue and foreign applications, sign all lawful documents and make all rightful oaths relating to said invention, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested to do so by said assignee.

IN WITNESS WHEREOF, we have hereunto set our hand and seal.

Date: 3-15-03

Inventor: _____

David A. Taylor-Fishwick

Date: 3.18.03

Inventor: _____

Aaron I. Vinik

COMMONWEALTH OF VIRGINIA)
COUNTY OF Norfolk) ss

On this 18 day of March 2003, before me a Notary Public in and for the County and Commonwealth aforesaid, personally appeared David A. Taylor-Fishwick and Aaron I. Vinik to me, known and know to me to be persons of those names, who signed and sealed the foregoing instrument, and acknowledged the same to be their free act and deed.

(SEAL)

Notary Public

My Commission Expires: 9/30/2005

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130

ASSIGNMENT

WHEREAS, MEDICAL COLLEGE OF HAMPTON ROADS d/b/a EASTERN VIRGINIA MEDICAL SCHOOL ("EVMS"), a corporation of the Commonwealth of Virginia whose mailing address is Post Office Box 1980, Norfolk, Virginia 23501, owns certain rights to an invention of a "INDUCTION OF INGAP EXPRESSION" for which a provisional application for a Patent of the United States was filed on January 11, 2002, and accorded 60/346,898; and

WHEREAS, GMP Endotherapeutics, Inc. ("GMP"), a corporation of Fort Lauderdale, Florida, having a place of business at One East Broward Boulevard, Suite 1701, Fort Lauderdale, Florida 33301, is desirous of acquiring our entire right, title and interest in and to the aforesaid invention and in and to any Letters Patent of the United States or any foreign country which may be granted therefor;

NOW THEREFORE, for good and valuable consideration, EVMS, by these presents does sell, assign, and transfer unto GMP, its successors, legal representatives and assigns, its full right to the said invention as described in the said application, and its entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof;

AND EVMS, HEREBY authorizes and requests the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said assignee;

AND EVMS, HEREBY warrants and covenants that it has full right to convey its entire interest herein assigned and that it has not executed and will not execute any instrument or assignment in conflict herewith;

AND EVMS, HEREBY agrees to communicate to said assignee or its representatives any facts known to it respecting said invention, to execute all divisional, continuation, reissue and foreign applications, sign all lawful documents and make all rightful oaths relating to said invention, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said assignee.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 9th day of April 2002.

EASTERN VIRGINIA MEDICAL SCHOOL

By: 
Name: J. Summer Bell, III, M.D.
Title: President

451424-1

COMMONWEALTH OF VIRGINIA)
CITY) ss:
COUNTY OF NORFOLK)

On this 9 day of APRIL, 2002, before me a Notary Public in and for the County and Commonwealth aforesaid, personally appeared J. SUMNER BELL, to me known and known to me to be the person of that name who signed and sealed the foregoing instrument, and acknowledged the same to be his free act and deed.

Patricia S. Young
Notary Public for Virginia
My Commission Expires: 7-31-2004

SEAL

132

GLOBAL GENERAL ASSIGNMENT

WHEREAS I/we, the undersigned inventor(s) herewith declare that I am the sole inventor/we are the joint inventors and I/we have made a certain invention as set forth in a patent application (including industrial designs and utility models) entitled **ASSAY FOR THE DETECTION OF FACTORS THAT MODULATE THE EXPRESSION OF INGAP**, Attorney's Docket No. 9081XJLS and filed in the United States Patent Office as Number 10/338,767, on January 8, 2003 (the hereinafter named assignee being authorized to insert said Number and filing date when ascertained):

David Andrew Taylor-Fishwick of 8117 Rolfe Avenue, Norfolk, VA 23508;
Aaron Israel Vinik of 40 Radar Street, #803, Norfolk, VA 23510;

WHEREAS, GMP Endotherapeutics, Inc. ("GMP"), a corporation of Fort Lauderdale, Florida, having a place of business at One East Broward Boulevard, Suite 1701, Fort Lauderdale, Florida 33301, is desirous of acquiring our entire right, title and interest in and to the aforesaid invention and in and to any Letters Patent of the United States or any foreign country which may be granted therefor;

NOW THEREFORE, for good and valuable consideration, we, David Andrew Taylor-Fishwick and Aaron Israel Vinik, by these presents do sell, assign, and transfer unto GMP Endotherapeutics, Inc., its successors, legal representatives and assigns, our full right to the said invention as described in the said application, and our entire right, title and interest in and to any and all Letters Patent which may be granted therefore in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters patent to said assignee;

AND WE HEREBY warrant and covenant that we have full right to convey our entire interest herein assigned and that we have not executed and will not execute and instrument or assignment in conflict herewith;

AND WE HEREBY agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to execute all divisional, continuation, reissue and foreign applications, sign all lawful documents and make all rightful oaths relating to said invention, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said assignee.

I/We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention herein assigned and as set forth in U. S. Application Serial Number 10/338,767 (the hereinafter named assignee being authorized to insert said U.S. Serial Number when ascertained) to GMP Endotherapeutics, Inc., its legal representatives, successors or assigns, as the sole owner of the entire right, title, and interest in said patent and the invention and the invention covered thereby.

The ASSIGNMENT of said invention is effective as of the earlier of 1) the date of execution shown below or 2) the filing date of said patent application.


David Andrew Taylor-Fishwick

2/24/03
Date

State of Virginia }
County of Norfolk } ss

On this 24 day of February, 2003, before me personally appeared David Andrew Taylor-Fishwick, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.


Notary Public/Witness

My Commission Expires September 30, 2005

133

A. Virnik
Aaron Israel Virnik

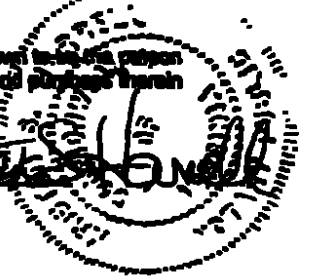
2/24/03

Date

State of Virginia } ss
County of Norfolk }

On this 24 day of February, 2003, before me personally appeared Aaron Israel Virnik, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

[Signature]
Notary Public/Witness



My Commission Expires September 30, 2005