

ESCOBAR - URIBE ASOCIADOS

A B O G A D O S

Carrera 11A No. 94-76 - Of. 305 - P.O. Box 94948, Bogotá, D.C. - Colombia

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2764/PCT

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO DIVISION DE NUEVAS CREACIONES

E. S. D.

SUPERINTENDENCIA Y COMERCIO

Radicación: 04128603 6322282

Folios: 14

Fecha (UTC): 2005-02-16 14:07:08

Trámite: 1 011 PCT-PATENT 379 FASE INCI 444 RESPONSA

Recepción: 2764 NOTIFIC. DE "NUEVAS CREACIONES"

Ref.: Expediente No. 04128603
Solicitud de Patente a nombre de
Janssen Pharmaceutica N.V.

Yo, JIMENA ESCOBAR URIBE, identificada como aparece al pie de mi firma, domiciliada en la carrera 11 A No. 94-76, of. 305, obrando como apoderada de la sociedad Janssen Pharmaceutica N.V., domiciliada en Beerse, Belgica, solicitante de la patente de la referencia, en respuesta al oficio No. 11912 notificado por fijación en lista el día 21 de enero de 2005, me permito presentar el documento (1) en que consta la cesión del derecho a la patente otorgada por los inventores a favor de mi mandante.

Sobre el particular, es importante resaltar que de conformidad con las normas legales vigentes, artículo 1 de la Resolución 210 de 2001, expedida por el Superintendente de Industria y Comercio y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por otro lado, la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, el documento de cesión anexo a este memorial es válidamente presentado en idioma inglés y con la correspondiente Apostilla.

Espero con esto haber dado cabal cumplimiento a lo solicitado por ese despacho y de conformidad con lo indicado en los artículos 3º, inciso 3; 35, inciso 2 y 59, inciso 2 del CCA, al analizar este memorial, atentamente ruego se traten todas las cuestiones planteadas con motivo del mismo.

Señor Superintendente,


JIMENA ESCOBAR URIBE
C.C.39.779.452 de Usaquén
T.P. 68228
Cód. 5376

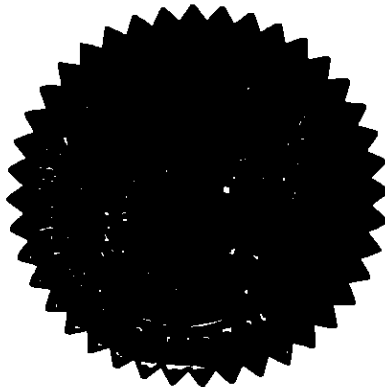
APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

1. COUNTRY: UNITED STATES OF AMERICA
2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:
CHRISTINA A CLICKNER
3. ACTING IN THE CAPACITY OF:
NOTARY PUBLIC OF NEW JERSEY
4. BEARS THE SEAL/STAMP OF:
CHRISTINA A CLICKNER, NOTARY

CERTIFIED

5. AT TRENTON, NEW JERSEY
6. THE 18TH DAY OF JANUARY 2005
7. BY: John E McCormac, CPA, NEW JERSEY TREASURER
8. NO. A217377
9. SEAL/STAMP:
10. SIGNATURE



Handwritten signature of John E McCormac, CPA, New Jersey Treasurer. The signature is written in cursive and is located to the right of the seal.

PRD 13 COL(L)

CERTIFICATION

I hereby certify that I have compared the attached document with the original, and that such said document is a true copy of the original Assignment document as filed and recorded in the U.S. Patent Office in connection with USSN 60/385,935 filed JUNE 5, 2002.

A handwritten signature in cursive script, reading "Christina A. Clickner", is positioned above a horizontal line.

**Christina A. Clickner
(Notary Public of New Jersey)
My Commission Expires:
March 2, 2005**

PRD13

135



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JANUARY 16, 2003

PTAS

JOHNSON & JOHNSON
PHILIP S. JOHNSON, ESQ.
CHIEF PATENT COUNSEL
ONE JOHNSON & JOHNSON PLAZA
NEW BRUNSWICK, NEW JERSEY 08933-7003

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/13/2003

REEL/FRAME: 013361/0001
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ZHANG, HAN-CHENG

DOC DATE: 07/25/2002

ASSIGNOR:

MARYANOFF, BRUCE E.

DOC DATE: 07/25/2002

ASSIGNOR:

YE, HONG

DOC DATE: 07/25/2002

ASSIGNOR:

CONWAY, BRUCE

DOC DATE: 08/06/2002

ASSIGNOR:

DEMAREST, KEITH

DOC DATE: 08/07/2002

ASSIGNEE:

JANSSEN PHARMACEUTICA, NV
TURNHOOTSEWEG 30
BEERSE, BELGIUM B2340

60/385935

013361/0001 PAGE 2

SERIAL NUMBER: 60385935
PATENT NUMBER:FILING DATE: 06/05/2002
ISSUE DATE:ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01/13/2003
700022522
RECORDATION FORM COVER SHEET
PATENTS ONLY

Commissioner of Patent and Trademark

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Han-Cheng Zhang Bruce E. Maryanoff Hong Ye Bruce Conway Keith Demarest Additional name(s) of conveying party(ies) attached? _ Yes ☒ No		2. Name and address of receiving party(ies): Name: Immun Pharmaceuticals, NV Street Address: Tuckersborough 30 City: Broom Country: Belgium Zip: B2140 Additional name(s) & address(es) attached? _ Yes ☒ No	
3. Nature of conveyance: ☒ Assignment ☐ Mortgage ☐ Security Agreement ☐ Change of Name ☐ Other Execution Date: July 25, 2002; July 25, 2002; July 25, 2002; August 6, 2002; and August 7, 2002; respectively.			
4. Application number(s) or patent number(s) If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No(s) 60/385,935 B. Patent No(s) n/a Additional numbers attached? _ Yes ☒ No			
5. Name and address of party to whom correspondence concerning document should be mailed: Philip E. Johnson, Esq. Chief Patent Counsel Johnson & Johnson One Johnson & Johnson Place New Brunswick, NJ 08933-7003		6. Total number of applications & patents involved: 1 7. Total fee (37 CFR 3.41): \$40.00 ☒ Authorized to be charged to Deposit Account 8. Deposit Account Number: 10-0750/PRD-13/HBW	
9. Statement and signature <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Pat E. Winkler <u>Pat E. Winkler</u> <u>January 13, 2003</u> Name of Patent Signing Signature Date Total number of pages including cover sheet, attachments, and documents: 8			

Mail documents to be recorded with required cover sheet information to:

Box Assignments
Commissioner of Patent and Trademark
Washington, D.C. 20231

RECORDATION FORM COVER SHEET
PATENTS ONLY

Commissioner of Patent and Trademark:

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Han-Cheng Zhang Bruce E. Maryanoff Hong Ye Bruce Conway Keith Demarest Additional name(s) of conveying party(ies) attached? _ Yes ☒ No		2. Name and address of receiving party(ies): Name: Janssen Pharmaceutica, NV Street Address: Turnhoutseweg 30 City: Beerse Country: Belgium Zip: B2340 Additional name(s) & address(es) attached? _ Yes ☒ No	
3. Nature of conveyance: ☒ Assignment ☐ Mortgage ☐ Security Agreement ☐ Change of Name ☐ Other Execution Date: July 25, 2002; July 25, 2002; July 25, 2002; August 6, 2002; and August 7, 2002; respectively.			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 60/385,935 B. Patent No.(s) n/a Additional numbers attached? _ Yes ☒ No			
5. Name and address of party to whom correspondence concerning document should be mailed: Philip S. Johnson, Esq. Chief Patent Counsel Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003		6. Total number of applications & patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) ----- \$40.00 ☒ Authorized to be charged to Deposit Account 8. Deposit Account Number: 10-0750/PRD-13/HBW	
9. Statement and signature <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Hal B. Woodrow <u>Hal B. Woodrow</u> January 13, 2002 Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and document: 8			

Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

A S S I G N M E N T

Serial No. 60/385,935
Filed June 5, 2002

WHEREAS, Han-Cheng Zhang, Bruce E. Maryanoff, Hong Ye, Bruce Conway and Keith Demarest, residing at 109 Bryan Way, Lansdale, PA 19446; 4029 Devonshire Drive, Forest Grove, PA 18922; 124 Canterbury Lane, Lansdale, PA 19446; 3302 Ephross Circle, Doylestown, PA 18901; and 1 Newell Road, Flemington, NJ 08822; respectively (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to

SUBSTITUTED PYRROLINES AS KINASE INHIBITORS

for which they have on the 5th day of June, 2002 filed a provisional application for Letters Patent of the United States; and

WHEREAS, Janssen Pharmaceutica, NV, a corporation of Belgium, (hereinafter called "Assignee"), is desirous of acquiring Assignors' entire right, title, and interest therein:

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

141-

Han-Cheng Zhang

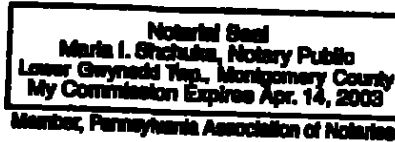
STATE OF *Pennsylvania*

) 88.

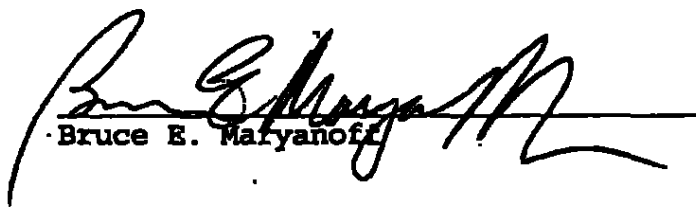
COUNTY OF *Montgomery*

BE IT REMEMBERED, That on this 25th day of July, 2002, before me, a Notary Public, personally appeared Han-Cheng Zhang, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him/her the contents thereof, he/she did acknowledge that he/she signed, sealed, and delivered the same as his/her voluntary act and deed for the uses and purposes therein expressed.

Notary Public



IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals this 25th day of July, 2002

 (L.S.)
Bruce E. Maryanoff

STATE OF Pennsylvania
COUNTY OF Montgomery ss.

BE IT REMEMBERED, That on this 25th day of July, 2002, before me, a Notary Public, personally appeared Bruce E. Maryanoff, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him/her the contents thereof, he/she did acknowledge that he/she signed, sealed, and delivered the same as his/her voluntary act and deed for the uses and purposes therein expressed.


Notary Public

Notarial Seal
Maria I. Shchukin, Notary Public
Lower Gwynedd Twp., Montgomery County
My Commission Expires Apr. 14, 2008
Member, Pennsylvania Association of Notaries

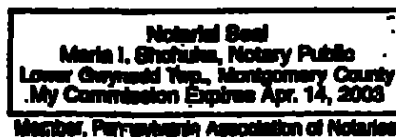
IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals this 25 day of July, 2002

Hong Ye Hong Ye (L.S.)

STATE OF Pennsylvania
COUNTY OF Montgomery ss.

BE IT REMEMBERED, That on this 25th day of July, 2002, before me, a Notary Public, personally appeared Hong Ye, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him/her the contents thereof, he/she did acknowledge that he/she signed, sealed, and delivered the same as his/her voluntary act and deed for the uses and purposes therein expressed.

Maria I. Shchuka
Notary Public



IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals this 6th day of Aug., 2002

Bruce R. Conway (L.S.)
Bruce Conway

STATE OF New Jersey
COUNTY OF Somerset

BE IT REMEMBERED, That on this 6th day of Aug., 2002, before me, a Notary Public, personally appeared Bruce Conway, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him/her the contents thereof, he/she did acknowledge that he/she signed, sealed, and delivered the same as his/her voluntary act and deed for the uses and purposes therein expressed.

[Signature]
Notary Public

IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals this 7 day of August, 2002

Keith Demarest (L.S.)
Keith Demarest

STATE OF New Jersey
COUNTY OF Somerset

BE IT REMEMBERED, That on this 7th day of Aug., 2002, before me, a Notary Public, personally appeared Keith Demarest, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him/her the contents thereof, he/she did acknowledge that he/she signed, sealed, and delivered the same as his/her voluntary act and deed for the uses and purposes therein expressed.

Paul R. [Signature]
Notary Public

2020
Bogotá D C

Doctor(a)
JIMENA ESCOBAR URIBE.
Apoderado(a)

REFERENCIA:	Radicación N°	04-128603
	Solicitud Internacional	PCT/US03/17569
	Fecha límite fase nacional	05/01/2005
	Trámite	011
	Evento	379
	Actuación	416
	Oficio N°	1549
	Folios	001

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en los artículos 26 y 27 de la Decisión 486, de la Comisión de la Comunidad Andina, y en las demás disposiciones vigentes sobre la materia, se envía el extracto de la solicitud a la oficina de comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial, conforme lo establece el artículo 40 de la Decisión 486.

Cúmplase
Dado en Bogotá D C, **06 DIC. 2005**


ALIX CARMENZA CÉSPEDES DE VERGEL
Jefe División de Nuevas Creaciones

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