



No. 12-055349- -00001-0000

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Act. 329 CTOINFORMACION Folios: 13

Act. 329 CTOINFORMACION Folios: 12

Señores

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

División de Nuevas Creaciones

E. S. D.

Ref: EXPEDIENTE N° 12-055349

Solicitud de Patente a nombre de

ALLERGAN, INC

En el asunto de la referencia me permito presentar las tres copias certificadas de la cesión de derechos a la invención por parte de los inventores a la sociedad solicitante y que corresponden a las cuatro solicitudes estadounidenses de las cuales se reclamó prioridad en este asunto.

Como el expediente queda así formalmente completo, pido se ordene la publicación del extracto.

Atentamente,

JERONIMO CASTILLO BONILLA
C.C. 79.462.057 Bogotá
T.P. 104167 C.S.JUdicatura



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

**UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office**

March 28, 2012

**THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
MARCH 11, 2010.**

**By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office**



W. Montgomery
W. MONTGOMERY
Certifying Officer

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Xialing Guo</td><td>03/10/2010</td></tr><tr><td>Zhen Zhu</td><td>03/10/2010</td></tr></tbody></table>		Name	Execution Date	Xialing Guo	03/10/2010	Zhen Zhu	03/10/2010				
Name	Execution Date										
Xialing Guo	03/10/2010										
Zhen Zhu	03/10/2010										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>Allergan, Inc.</td></tr><tr><td>Street Address:</td><td>2525 Dupont Drive</td></tr><tr><td>City:</td><td>Irvine</td></tr><tr><td>State/Country:</td><td>CALIFORNIA</td></tr><tr><td>Postal Code:</td><td>92612</td></tr></table>		Name:	Allergan, Inc.	Street Address:	2525 Dupont Drive	City:	Irvine	State/Country:	CALIFORNIA	Postal Code:	92612
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City:	Irvine										
State/Country:	CALIFORNIA										
Postal Code:	92612										
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<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>61306616</td></tr></tbody></table>		Property Type	Number	Application Number:	61306616						
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Application Number:	61306616										
CORRESPONDENCE DATA											
Fax Number: (714)246-4249 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone: 714.246.2518											
Email: butler_monique@allergan.com											
Correspondent Name: Allergan, Inc											
Address Line 1: 2525 Dupont Drive											
Address Line 4: Irvine, CALIFORNIA 92612											
ATTORNEY DOCKET NUMBER:	18572PROV2										
NAME OF SUBMITTER:	John E. Wurst										
Total Attachments: 2 source=18572PROV2 Assignment#page1.tif source=18572PROV2 Assignment#page2.tif											

CH \$40.00 61306616

ASSIGNMENT

WHEREAS I/we, Xialing Guo and Zhen Zhu (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled: COMPOUNDS AS TYROSINE KINASE INHIBITORS for which application for Letters Patent of the United States filed on February 22, 2010 under application number 61/306,616.

WHEREAS: Allergan, Inc., a Delaware corporation, having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 10th day of March, 2010.

Xialing Guo

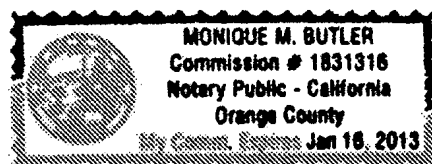
State of CALIFORNIA)
) ss:
County of ORANGE)

On March 10, 2010 before me, **Monique M. Butler, Notary Public**, personally appeared **Xialing Guo**, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies); and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Monique M. Butler
Notary Public



IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 10th day of March, 2010.

Zhen Zhu

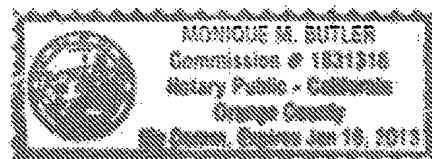
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Monique M. Butler
Notary Public



5



THE UNITED STATES OF AMERICA

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UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

March 28, 2012

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**By Authority of the
Under Secretary of Commerce for Intellectual Property
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W. Montgomery
W. MONTGOMERY
Certifying Officer

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

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CORRESPONDENCE DATA											
Fax Number: (714)246-4249 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone: 714.246.2518											
Email: butler_monique@allergan.com											
Correspondent Name: Allergan, Inc											
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Address Line 4: Irvine, CALIFORNIA 92612											
ATTORNEY DOCKET NUMBER:	18572PROV										
NAME OF SUBMITTER:	John E. Wurst										
Total Attachments: 2 source=18572PROVassign#page1.tif source=18572PROVassign#page2.tif											

CH \$40.00 61239603

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PATENT
REEL: 023345 FRAME: 0300

ASSIGNMENT

WHEREAS I/we, Xialing Guo and Zhen Zhu (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled: COMPOUNDS WITH MULTIPLE AROMATIC COMPONENTS AS KINASE INHIBITORS for which application for Letters Patent of the United States filed on September 3, 2009 under application number 61/239,603 .

WHEREAS: Allergan, Inc., a Delaware corporation, having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.



IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 08 day of October 2009.

Xialing Guo
Xialing Guo

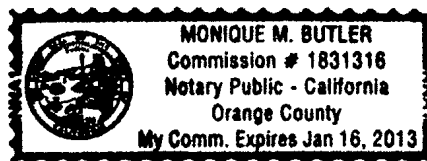
State of CALIFORNIA)
) ss:
County of ORANGE)

On October 8, 2009 before me, **Monique M. Butler, Notary Public**, personally appeared **Xialing Guo**, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Monique M. Butler
Notary Public



IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 8 day of October 2009.

Zhen Zhu
Zhen Zhu

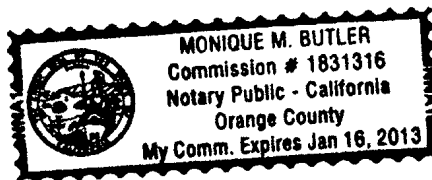
State of CALIFORNIA)
) ss:
County of ORANGE)

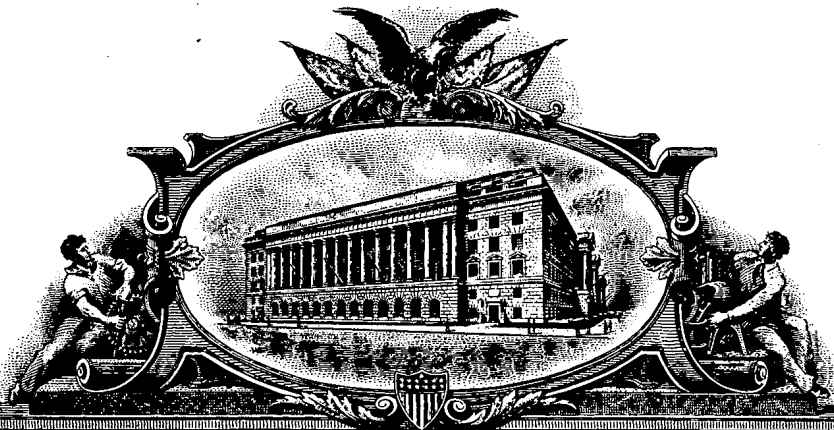
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Monique M. Butler
Notary Public





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UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

March 28, 2012

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W. Montgomery
W. MONTGOMERY
Certifying Officer

PATENT ASSIGNMENT

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Stylesheet Version v1.1

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NATURE OF CONVEYANCE:	ASSIGNMENT						
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Name	Execution Date						
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Zhen Zhu	11/16/2010						
RECEIVING PARTY DATA							
Name:	Allergan, Inc.						
Street Address:	2525 Dupont Drive						
City:	Irvine						
State/Country:	CALIFORNIA						
Postal Code:	92612						
PROPERTY NUMBERS Total: 2							
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Property Type	Number						
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Application Number:	61360531						
CORRESPONDENCE DATA							
Fax Number: (714)246-4249 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>							
Phone: 7142465475							
Email: wurst_john@allergan.com							
Correspondent Name: John E. Wurst/Allergan, Inc.							
Address Line 1: 2525 Dupont Drive							
Address Line 4: Irvine, CALIFORNIA 92612							
ATTORNEY DOCKET NUMBER:	18770PROV1-PROV2						
NAME OF SUBMITTER:	John E. Wurst						
Total Attachments: 2 source=18770PROV1-PROV2Assignment#page1.tif source=18770PROV1-PROV2Assignment#page2.tif							

CH \$80.00 61356699

PATENT

501352278

REEL: 025377 FRAME: 0769

11

ASSIGNMENT

WHEREAS I/we, **Xialing Guo, and Zhen Zhu** (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled **COMPOUNDS AS TYROSINE KINASE INHIBITORS** described in the following Patent Applications:

1. 61/356,699 filed on June 21, 2010
2. 61/360,531 filed on July 1, 2010

WHEREAS: Allergan, Inc., a Delaware corporation, having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

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IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 16 day of November, 2010.

Xialing Guo
Xialing Guo

State of CALIFORNIA)
) ss:
County of ORANGE)

On 11-16-2010 before me, **Christine Koken, Notary Public**, personally appeared **Xialing Guo**, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Christine Koken
Notary Public



IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 16 day of November, 2010.

Zhen Zhu
Zhen Zhu

State of CALIFORNIA)
) ss:
County of ORANGE)

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WITNESS my hand and official seal.

Christine Koken
Notary Public

