

Señores
DIRECCION DE NUEVAS CREACIONES
SUPERINTENDENCIA DE INDUSTRIA Y C
Bogotá D.C.,

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 12-135139- -00003-0000

Fecha: 2012-11-23 09:57:28 Dep. 2020 DIR.NUEVASCR
Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI
Act. 444 RESPUESTAREQUE Folios: 23 21

Re.: Código 011 – 378 – 444
Expediente No. 12 – 135.139
Fase Nacional de Solicitud
de Patente PCT
GENERAL ELECTRIC COMPANY

En la relación con el expediente de la referencia y dando cumplimiento a la última providencia recaída sobre este asunto por medio del presente escrito me permito manifestarles lo siguiente:

1. Acompaño el documento que acredita la cesión de los inventores Eugene A. SMITH, Jr. – David Michael PELTZ – Robert C. PALANTI a la sociedad solicitante, en relación con la solicitud prioritaria No. 12/693,399.
2. Acompaño el documento que acredita la cesión de los inventores Eugene A. SMITH, Jr. – David Michael PELTZ – Robert C. PALANT a la Sociedad solicitante, en relación con la solicitud prioritaria No. 12/693,383.
3. Adjunto el comprobante de pago por concepto de exceso de reivindicaciones por valor de \$660.000.00, recibo No. 121210.

Renuncio al término faltante de traslado, y les solicito ordenar la publicación del extracto.

De Ustedes Atentamente,


LUIS FELIPE CASTILLO GIBSONE
T.P. No. 68.995 del C.S.J.

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

25
2

1. Country: United States of America

This public document

2. has been signed by P. Swain

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of US Patent and Trademark Office

Certified

5. at Washington, D.C.

6. the eighth of November, 2012

7. by Assistant Authentication Officer, United States Department of State

8. No. 13005367-9

9. Seal/Stamp:

10. Signature:

Sonya N. Johnson

A 1901104

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME;

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

October 26, 2012

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
JANUARY 28, 2010.

By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office



P. Swain
P. SWAIN
Certifying Officer

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT								
NATURE OF CONVEYANCE:	ASSIGNMENT								
CONVEYING PARTY DATA									
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Eugene A. Smith Jr.</td><td>01/25/2010</td></tr><tr><td>David M. Peltz</td><td>01/25/2010</td></tr><tr><td>Robert C. Palanti</td><td>01/25/2010</td></tr></tbody></table>	Name	Execution Date	Eugene A. Smith Jr.	01/25/2010	David M. Peltz	01/25/2010	Robert C. Palanti	01/25/2010	
Name	Execution Date								
Eugene A. Smith Jr.	01/25/2010								
David M. Peltz	01/25/2010								
Robert C. Palanti	01/25/2010								
RECEIVING PARTY DATA									
Name:	General Electric Company								
Street Address:	1 River Road								
City:	Schenectady								
State/Country:	NEW YORK								
Postal Code:	12345								
PROPERTY NUMBERS Total: 1									
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>12693399</td></tr></tbody></table>	Property Type	Number	Application Number:	12693399					
Property Type	Number								
Application Number:	12693399								
CORRESPONDENCE DATA									
Fax Number:	(407)926-7720								
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>									
Phone:	407 926 7710								
Email:	jdeangelis@iplawfl.com								
Correspondent Name:	John L. DeAngelis								
Address Line 1:	390 North Orange Avenue								
Address Line 2:	Suite 2500								
Address Line 4:	Orlando, FLORIDA 32801								
ATTORNEY DOCKET NUMBER:	154032-19								
NAME OF SUBMITTER:	John L. DeAngelis								
Total Attachments: 5 source=GETS_687_executed_assignment#page1.tif									

501079344

PATENT
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PATENT
REEL: 023867 FRAME: 0417

4
6ASSIGNMENT

In accordance with my/our obligations under an Employee Invention and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between the General Electric Company, a New York Corporation, and my employer), and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

Eugene A. Smith, Jr. of Melbourne, Florida 32904
David Michael Peltz of Melbourne, Florida 32904
Robert C. Palanski of Melbourne, Florida 32904

hereby sell and assign to **GENERAL ELECTRIC COMPANY**, a New York Corporation (hereinafter referred to as Assignee), having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my (our) entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

**METHOD AND APPARATUS RELATED TO ON-BOARD MESSAGE REPEATING
FOR VEHICLE CONSIST COMMUNICATIONS SYSTEM**

☒ [X] executed concurrently herewith,

☐ [] filed on having Serial Number

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Assignee, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Assignee, I/we will communicate to said Assignee or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications,

Page 1 of 5

PATENT
REEL: 023867 FRAME: 0418

make all rightful oaths and generally do everything possible to aid said Assignee, its
successors, assigns, and nominees to obtain and enforce proper patent protection for said
invention and its improvements in all countries.

SOLE OR FIRST INVENTOR

Signature: EUGENE A. SMITH, JR.

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

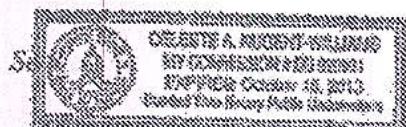
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Eugene A. Smith, Jr. Date: 1/25/10
EUGENE A. SMITH, JR.

STATE OF Florida
COUNTY OF Brevard ss.

This 25 day of January, 2010 before me personally came the above-named Eugene A. Smith, Jr., to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



[Signature]
(Notary Public)

SECOND INVENTOR

Signature: [Signature]
DAVID MICHAEL PELTZ

Date: 1/25/10

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

[Signature]
DAVID MICHAEL PELTZ

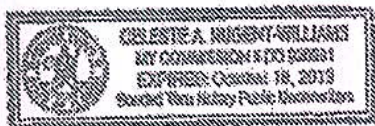
Date: 1/25/10

STATE OF Florida

ss.

COUNTY OF Duval

This 25 day of January, 2010 before me personally came the above-named David Michael Peltz, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



[Signature]
(Notary Public)

THIRD INVENTOR

Signature: ROBERT C. PALANTI

Date: _____

Witnessed by: _____
Signature

Printed Name of Witness

Date: _____

Witnessed by: _____
Signature

Printed Name of Witness

Date: _____

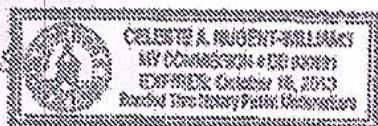
SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

[Signature]
ROBERT C. PALANTI

Date: 1/25/10

STATE OF Fla.
COUNTY OF Brevard ss.

This 25 day of January, 2010 before me personally came the above-named Robert C. Palanti, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



[Signature]
(Notary Public)

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

11 x 3
12

1. Country: *United States of America*

This public document

2. has been signed by *P. Swain*

3. acting in the capacity of *Certifying Officer*

4. bears the seal/stamp of *US Patent and Trademark Office*

Certified

5. at Washington, D.C.

6. the *eighth* of November, 2012

7. by *Assistant Authentication Officer, United States Department of State*

8. No. *13005367-10*

9. Seal/Stamp:

10. Signature:

Sonya N. Johnson

A 1900970

THE UNITED STATES OF AMERICA

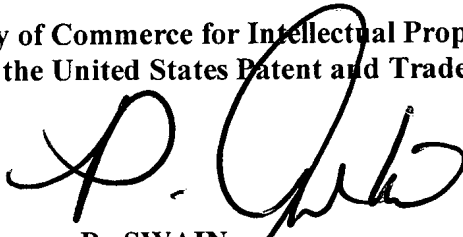
TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

October 26, 2012

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
APRIL 17, 2010.

By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office


P. SWAIN
Certifying Officer



JOHN L. DEANGELIS COMPANY 990 NORTH ORANGE AVENUE

PATENT ASSIGNMENT

Electronic Version v1.1
StyleSheet Version v1.1

01/28/2010
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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Eugene A. Smith</td><td>01/25/2010</td></tr><tr><td>David M. Peltz</td><td>01/25/2010</td></tr><tr><td>Robert C. Palanti</td><td>01/25/2010</td></tr></tbody></table>	Name	Execution Date	Eugene A. Smith	01/25/2010	David M. Peltz	01/25/2010	Robert C. Palanti	01/25/2010			
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David M. Peltz	01/25/2010										
Robert C. Palanti	01/25/2010										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>General Electric Company</td></tr><tr><td>Street Address:</td><td>1 River Road</td></tr><tr><td>City:</td><td>Schenectady</td></tr><tr><td>State/Country:</td><td>NEW YORK</td></tr><tr><td>Postal Code:</td><td>12345</td></tr></table>	Name:	General Electric Company	Street Address:	1 River Road	City:	Schenectady	State/Country:	NEW YORK	Postal Code:	12345	
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Application Number:	12893983										
CORRESPONDENCE DATA											
Fax Number: (407)926-7720 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone: 407 926 7710											
Email: jdeangelis@iplawfi.com											
Correspondent Name: John L. DeAngelis											
Address Line 1: 390 North Orange Avenue											
Address Line 2: Suite 2800											
Address Line 4: Orlando, FLORIDA 32801											
ATTORNEY DOCKET NUMBER:	134032-18(889)										
NAME OF SUBMITTER:	John L. DeAngelis										
Total Attachments: 8 source=GET\$_888_executed_assignmentpage1.tif											

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PATENT
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Saturday, April 17, 2010 7:19 PM

John DeAngelis 321 724 8972

p.07

USPTO

1/29/2010 4:13:39 PM

PAGE

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Fax Server

J: JOHN L. DEANGELIS COMPANY 780 NORTH ORANGE AVENUE

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PATENT
REEL: 024253 FRAME: 0341

DOCKET NUMBER: 154032-18 (686)

ASSIGNMENT

In accordance with my/our obligations under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between the General Electric Company, a New York Corporation, and my employer), and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

Eugene A. Smith, Jr.	of	Melbourne, Florida 32904
David Michael Peltz	of	Melbourne, Florida 32904
Robert C. Palanti	of	Melbourne, Florida 32904

hereby sell and assign to **GENERAL ELECTRIC COMPANY**, a New York Corporation (hereinafter referred to as Assignee), having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my (our) entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

**METHOD AND APPARATUS RELATED TO ON-BOARD MESSAGE REPEATING
FOR VEHICLE CONSIST COMMUNICATIONS SYSTEM**

☒ executed concurrently herewith,

☐ filed on having Serial Number

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Assignee, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Assignee, I/we will communicate to said Assignee or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications,

Page 1 of 3

PATENT
REEL: 024253 FRAME: 0342

16

DOCKET NUMBER: 154032-18 (686)

make all rightful oaths and generally do everything possible to aid said Assignee, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

DOCKET NUMBER: 154032-18 (686)

SOLE OR FIRST INVENTOR

Signature: EUGENE A. SMITH, JR.

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Eugene A. Smith, Jr.
EUGENE A. SMITH, JR.

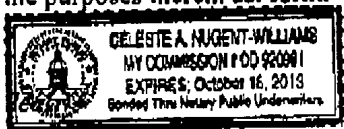
Date: 11/1/11

STATE OF NC

ss.

COUNTY OF Beaufort

This 25 day of January, 2012 before me personally came the above-named Eugene A. Smith, Jr., to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



(N y Public)

20
18

DOCKET NUMBER: 154032-18 (686)

SECOND INVENTOR

Signature:

DAVID MICHAEL PELTZ

Date:

Witnessed by:

Signature

Date:

Printed Name of Witness

Witnessed by:

Signature

Date:

Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

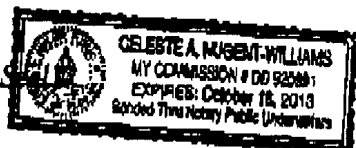
DAVID MICHAEL PELTZ

Date:

STATE OF Florida

COUNTY OF Brevard ss.

This _____ day of _____, _____ before me personally came the above-named David Michael Peltz, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



Celeste A. Nugent-Williams
(Notary Public)

24
14

DOCKET NUMBER: 154032-18 (686)

THIRD INVENTOR

Signature: ROBERT C. PALANTI

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

[Signature]
ROBERT C. PALANTI

Date: 4/25/11

STATE OF Flor
COUNTY OF Brevard ss.

This 25 day of May before me personally came the above-named Robert C. Palanti, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



Public

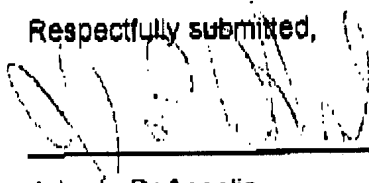
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20

4. Assignment document executed by the inventors of the present application bearing docket number 154032-18 (686) in the upper right-hand corner. (5 sheets) Please record this assignment.

It is my understanding, from a conversation with a representative of the Assignment Services Branch that the assignment will now be recorded and that no additional fees are required, as the \$40 assignment recording fee was paid when the assignment was initially filed.

If there are any questions regarding this matter or my understanding is incorrect, please call me at the telephone number below.

Respectfully submitted,



John L. DeAngelis
Reg. No. 30,622
Beusse Wolter Sanks Mora & Maire, P.A.
390 North Orange Avenue
Suite 2500
Orlando, FL 32801
Telephone: (407) 926-7710
Fax: (407) 926-7720

RECORDED: 04/17/2010

PATENT
REEL: 024253 FRAME: 0347

21

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
NIT : 800.176.089-2
- / -

Industria y Comercio PERINTENDENCIA	RECIBO DE CAJA	No. 12 - 121210
		Bogotá D.C., Noviembre 23 de 2012 - 08:12:09

RECIBIDO DE : CASTILLO GRAU & ASOCIADOS LTDA. NI 800.098.704

*** Soporte del Pago ***

TIPO PAGO	BANCO	CUENTA	No. PAGO	FECHA PAGO	VR PAGO
CONSIGNACION	BANCO DE BOGOTA	062754387	527606995	22/11/2012	960.000.00

*** Conceptos Pagados ***

CANT.	RENTISTICO	CONCEPTO	Vr.UNDITARIO	Vr.CONCEPTO
50005-05	SERVICIOS ADMINISTRATIVOS	99 OTROS SERVCIOS ADMINISTRATIVOS	660.000.00	660.000.00
				\$660.000.00

SON: **SEISCIENTOS SESENTA MIL PESOS MONEDA CORRIENTE**

Responsable: _____

Recibo de Caja Aplicado al Expediente No. _____

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 12-135139- -00003-0000

Fecha: 2012-11-23 09:57:28 Dep. 2020 DIR.NUEVASCR
Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI
Act. 444 RESPUESTAREQUE Folios: 22 21