

ESCOBAR - URIBE ASOCIADOS
A B O G A D O S

Carrera 11A No 94-76 - Of 305 - P.O. Box 94948, Bogotá, D.C. - Colombia
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2955/PCT

**SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
DIVISION DE NUEVAS CREACIONES**

E. S. D.

Ref.: Expediente No. 06037927
Solicitud de Patente a nombre de
ALZA CORPORATION

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
Rad: 06-037927- -00001-0000
Fec 2006-05-24 14:20:45 Dep 2020 NUECREACIONES
Tra 11 PATENTEIYII
Eve 379 FASENACIONALI
Act 329 CTOINFORMACION Folios 11

Yo, JIMENA ESCOBAR URIBE, identificada como aparece al pie de mi firma, domiciliada en la carrera 11 A No. 94-76, of. 305, obrando como apoderada de la sociedad **ALZA CORPORATION**, domiciliada en Mountain View, California, Estados Unidos de América, solicitante de la patente de la referencia, me permito presentar los documentos (2) de cesión suscritos por los inventores a favor de mi mandante.

Sobre el particular, es importante resaltar que de conformidad con las normas legales vigentes, artículo 1 de la Resolución 210 de 2001, expedida por el Superintendente de Industria y Comercio y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por otro lado, la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, los documentos de cesión anexos a este memorial son válidamente presentados en idioma inglés y con la correspondiente Apostilla.

Señor Superintendente,


JIMENA ESCOBAR URIBE
C.C.39.779.452 de Usaquén
T.P. 68228
Cód. 5376

APOSTILLE

128

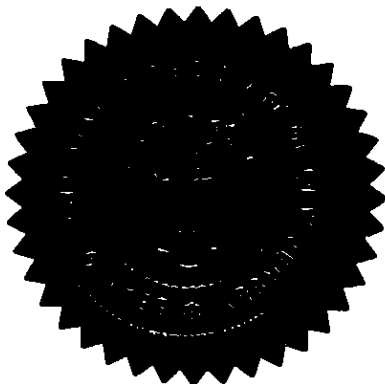
(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

1. COUNTRY: UNITED STATES OF AMERICA
2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:
CHRISTINA A CLICKNER
3. ACTING IN THE CAPACITY OF:
NOTARY PUBLIC OF NEW JERSEY
4. BEARS THE SEAL/STAMP OF:
CHRISTINA A CLICKNER, NOTARY

CERTIFIED

5. AT TRENTON, NEW JERSEY
6. THE 11TH DAY OF MAY 2006
7. BY: Bradley I. Abelow, NEW JERSEY TREASURER
8. NO. A259610
9. SEAL/STAMP:
10. SIGNATURE

Bradley Abelow



ALZ5061COPCT(L)

CERTIFICATION

I hereby certify that I have compared the attached document with the original, and that such said document is a true copy of the original Assignment document as filed in the U. S. Patent Office in connection with USSN 60/527,434 filed DECEMBER 5, 2003.



Christina A. Clickner
(Notary Public of New Jersey)

My Commission Expires:
March 2, 2010

ASSIGNMENT

Serial No. 80/527,434
Filed December 5, 2003

WHEREAS,

- (1) Nishit B. Modi, residing at 587 Tortland Court, Sunnyvale, CA 94087, U.S.A.
- (2) Suneel K. Gupta, residing at 1331 Elsona Drive, Sunnyvale, CA 94087, U.S.A.
- (3) Nipun Davar, residing at 34575 Melissa Terrace, Fremont, CA 94555, U.S.A.
- (4) Sonya M. Seroff, residing at 5526 Blossom Dale Dr., San Jose, CA 95129, U.S.A.

(hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to

METHODS AND DOSAGE FORMS FOR CONTROLLED DELIVERY OF APRAZOLAM

and

WHEREAS, ALZA Corporation, a corporation of the State of Delaware, (hereinafter called "Assignee"), is desirous of acquiring Assignors' entire right, title, and interest therein:

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMONY WHEREOF, we have each hereunto set our hands.

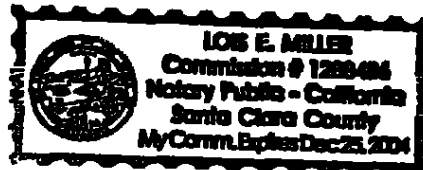
NB Modi this 6 day of July 2004, and
Nishit B. Modi

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

On July 6 2004, before me, Lois E. Miller, a Notary Public personally appeared, Nishit B. Modi, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

(Signature) (SEAL)
NOTARY PUBLIC SIGNATURE



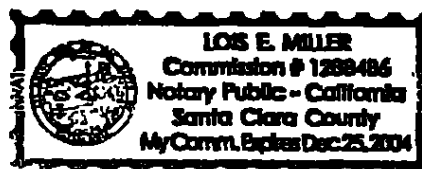
Suneel K Gupta this 30 day of June 2004, and.
Suneel K. Gupta

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

On June 30 2004, before me, Lois E. Miller, a Notary Public personally appeared, Suneel K. Gupta, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

(Signature) (SEAL)
NOTARY PUBLIC SIGNATURE



6
23
132

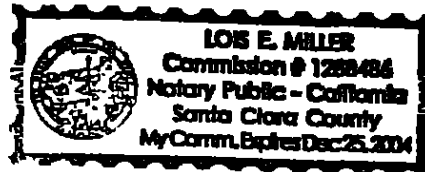
Nipun Davar this 30th day of June 2004; and

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

On June 30, 2004, before me, Lois E. Miller, a Notary Public personally appeared, Nipun Davar, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Lois E. Miller (SEAL)
NOTARY PUBLIC SIGNATURE



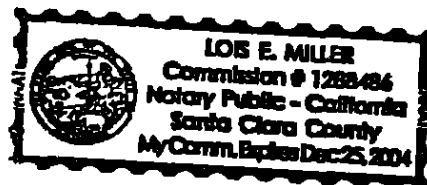
Sonya M. Seroff this 3rd day of August 2004, and.

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

On Aug 3, 2004, before me, Lois E. Miller, a Notary Public personally appeared, Sonya M. Seroff, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Lois E. Miller (SEAL)
NOTARY PUBLIC SIGNATURE



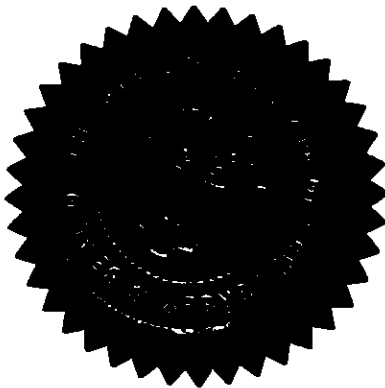
APOSTILLE

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CERTIFIED

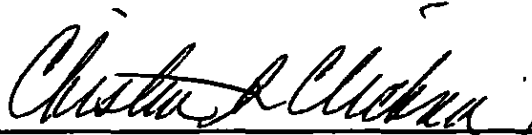
5. AT TRENTON, NEW JERSEY
6. THE 11TH DAY OF MAY 2006
7. BY: Bradley I. Abelow, NEW JERSEY TREASURER
8. NO. A259609
9. SEAL/STAMP:
10. SIGNATURE



Bradley Abelow

CERTIFICATION

I hereby certify that I have compared the attached document with the original, and that such said document is a true copy of the original Assignment document as filed in the U. S. Patent Office in connection with USSN 60/506,544 filed SEPTEMBER 26, 2003.



Christina A. Clickner
(Notary Public of New Jersey)
My Commission Expires:
March 2, 2010

ASSIGNMENT

Serial No. 60/506,544
Filed September 26, 2003

WHEREAS,

- (1) Nishit B. Modi, residing at 587 Torland Court, Sunnyvale, CA 94087, U.S.A.
- (2) Suneel K. Gupta, residing at 1331 Elsona Drive, Sunnyvale, CA 94087, U.S.A.
- (3) Nipun Davar, residing at 34575 Melissa Terrace, Fremont, CA 94555, U.S.A.
- (4) Sonya M. Seroff, residing at 5526 Blossom Dale Dr., San Jose, CA 95129, U.S.A.

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And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMONY WHEREOF, we have each hereunto set our hands.

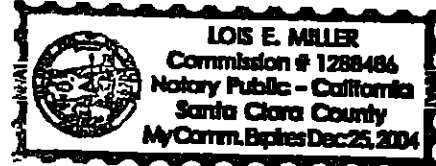
Nishit B. Modi this 6 day of July, 2004, and
Nishit B. Modi

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

On July 6, 2004, before me, Lois E. Miller, a Notary Public personally appeared, Nishit B. Modi, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

(Signature) (SEAL)
NOTARY PUBLIC SIGNATURE



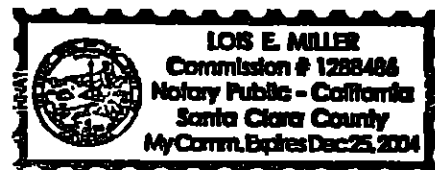
Suneel K. Gupta this 30 day of June, 2004, and.
Suneel K. Gupta

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

On June 30, 2004, before me, Lois E. Miller, a Notary Public personally appeared, Suneel K. Gupta, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

(Signature) (SEAL)
NOTARY PUBLIC SIGNATURE



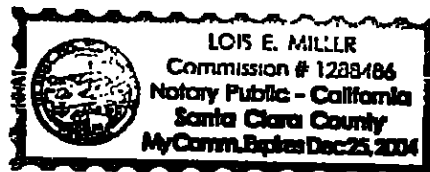
Nipun Davar this 30th day of June 2004; and

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

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WITNESS my hand and official seal.

[Signature] (SEAL)
NOTARY PUBLIC SIGNATURE



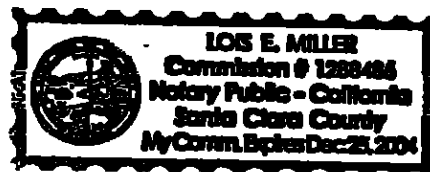
Sonya M. Seroff this 3rd day of August 2004, and.

STATE OF CALIFORNIA)
COUNTY OF SANTA CLARA)

On Aug 3, 2004, before me, Lois E. Miller, a Notary Public personally appeared, Sonya M. Seroff, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that ~~she~~ executed the same in ~~her~~ authorized capacity, and that by ~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[Signature] (SEAL)
NOTARY PUBLIC SIGNATURE



REPUBLICA DE COLOMBIA
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

Bogotá,
2020

139

Doctor(a)
ESCOBAR URIBE JIMENA
Apoderado(a) y/o Representante de
ALZA CORPORATION

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REFERENCIA	Radicación No.	6 37927
	Solicitud internacional	US2004/031394
	Fecha inicio fase nacional	26/04/2006
	Trámite	11
	Evento	379
	Actuación	430
	Oficio No.	8816

Como resultado del examen de forma, realizado con fundamento en el artículo 38 de la Decisión 486 de la Comisión de la Comunidad Andina, artículo 27 del Tratado de Cooperación en Materia de Patentes (PCT), regla 51 bis del Reglamento y Circular Única de la Superintendencia de Industria y Comercio, se le comunica que:

1. Si fuere el caso, el solicitante debe adjuntar la traducción de los anexos del reporte de examen preliminar internacional (Art. 36.2.b) del tratado PCT. En caso de no tener copia y/o anexos del examen preliminar se debe anexar copia del formato PCT/IPEA/402, emitido por la autoridad competente.

En cumplimiento de lo dispuesto por el artículo 39 de la Decisión 486, se le requiere para que dentro del término de dos meses siguientes a la fecha de notificación del presente oficio, complete los requisitos anteriormente enunciados. En caso de no dar cumplimiento al presente requerimiento, la solicitud se considerará abandonada y perderá su prelación.

Notifíquese el presente oficio conforme a lo dispuesto en el numeral 5.2, literal e), del capítulo quinto del título primero de la Circular Unica No.10 de 2001.

ALIX CARMENZA CESPEDES DE VERGEL
Jefe de la división de Nuevas Creaciones

El anterior requerimiento fue notificado por fijación en lista de
fecha 18-4-2006
adpa1