

ESCOBAR - URIBE ASOCIADOS

A B O G A D O S

Carrera 11A No. 94 76 Of. 305 P.O. Box 94948, Bogotá, D.C. Colombia

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2945/PCT

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO DIVISION DE NUEVAS CREACIONES

E S D

Ref Expediente No 06 031 465
Solicitud de Patente a nombre de
NOVARTIS AG

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

Rad 06-031465-00001-0000 Fac 2006-05-08 14:28:31

Dep 2020 NUEVCREACIONES

Tre 11 PATENTESYI Eje 378 FASENACIONALI

Act 329 GTOINFORMACION Folio 28

Yo JIMENA ESCOBAR URIBE identificada como aparece al pie de mi firma, domiciliada en la carrera 11 A No 94-76 of 305 obrando como apoderada de la sociedad NOVARTIS AG domiciliada en Basilea, Suiza, solicitante de la patente de la referencia, me permito presentar los documentos (8) en que constan la cesión del derecho a la patente otorgado por los inventores a favor de mi mandante

Sobre el particular es importante resaltar que de conformidad con las normas legales vigentes artículo 1 de la Resolución 210 de 2001 expedida por el Superintendente de Industria y Comercio y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por otro lado la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, los documentos de cesión anexos a este memorial son válidamente presentados en idioma inglés y con la correspondiente Apostilla.

Señor Superintendente,


JIMENA ESCOBAR URIBE
C C 39 779 452 de Usaquén
T P 68 228

DOCUMENTO DE CESIÓN
ASSIGNMENT DOCUMENT

El (los) suscrito (s) en su calidad de Inventor (es) de

"Combinación de un inhibidor de receptor de VEGF con un agente quimioterapéutico"

declara (an) que cede (n) y traspasa (n) todos los derechos que les correspondan en y sobre el invento atrás mencionado a NOVARTIS AG una compañía suiza constituida y existente bajo las leyes de Suiza domiciliada en CH-4002 Basilea Suiza en cuanto se refiere a la República de Colombia

"Co

The undersigned as inventor (s) of

A COMBINATION OF A VEGF RECEPTOR INHIBITOR WITH A CHEMOTHERAPEUTIC AGENT

hereby declare (s) that assign (s) all the rights in and to the aforementioned invention to NOVARTIS AG a Swiss company incorporated and existing in conformity with the laws of Switzerland domiciled at CH-4002 Basel Switzerland as far as the Republic of Colombia is concerned

X Gualdo BOLD

Gualdo BOLD
Bleumatthöhe 16 5073 Glpf Oberfrick CH

FIRMA DEL CEDENTE
domiciliado en

X Josef Bernhard BRUEGGEN

Josef Bernhard BRUEGGEN
Erlensträsschen 73 4125 Riehen CH

FIRMA DEL CEDENTE
domiciliado en

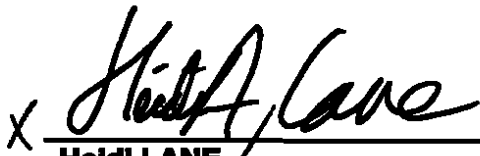
Jerry Min-Jian HUANG
Jerry Min-Jian HUANG
2 Knapp Avenue Florham Park NJ 07932
US

FIRMA DEL CEDENTE
domiciliado en

189
185-2

Frederick Ray KINDER JR
37 Olyphant Drive Mornstown NJ 07960 US

FIRMA DEL CEDENTE
domiciliado en



Heidi LANE
Jakobsweg 22 4105 Biel-Benken CH

FIRMA DEL CEDENTE
domiciliado en

Elisabeth Jeanne LATOUR
26 F Rue de Bâle 68870 Bartenheim La
Chaussée, FR

FIRMA DEL CEDENTE
domiciliado en



Paul W MANLEY
Bruggweg 12 4144 Alesheim CH

FIRMA DEL CEDENTE
domiciliado en



Jeanette Marjorie WOOD
In den Kleematten 18 4105 Biel Benken BL
CH

FIRMA DEL CEDENTE
domiciliado en

1970
196

Attestation

I the undersigned notary public of Basel Switzerland certify herewith the authenticity of the signatures of Dr **Guido Bold** citizen of ^{Griesenberg} Griesenberg, Switzerland residing in Glpf Oberfrick Switzerland of Dr **Josef Bernhard Brueggen** German citizen residing in Riehen Switzerland of Mrs **Heidi Lane** citizen of Trub/BE Switzerland residing in Biel Benken Switzerland of Mr **Paul W Manley** British citizen residing in Arlesheim Switzerland and of Mrs **Jeannette Marjorie Wood** citizen of New Zealand residing in Biel Benken Switzerland

one word replaced
S & M

The authenticity of the signatures was established by comparison

BASEL this 10th (tenth) day of April 2006 (twothousandandsix)

S. Buchholz, M

Leg Prot Nr 74 /2006

APOSTILLE	
(1) ul. Convent 1 1 ober 5 h 1961)	
1 Country Switzerland (Schweiz / Suisse)	
This official document	
2 signed by <i>[Signature]</i>	
3 in his/her function as <i>[Signature]</i>	
4 and certified by the seal of the <i>[Signature]</i>	
Certified	
5 in Basel (Bâle)	6. on 10 April 2006
7 by the State Chancery of the Canton of Basel Stadt	
8 no 2674/2006	
9 Seal /stamp	10 Signature <i>[Signature]</i>

187 191 172 8

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leyes de Suiza domiciliada en CH-4002 Basilea Suiza en cuanto se refiere a la República de
Colombia

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Switzerland domiciled at CH-4002 Basel Switzerland as far as the Republic of Colombia is
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domiciliado en

Josef Bernhard BRUEGGEN
Erlensträsschen 73 4125 Riehen CH

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Jerry Min-Jian HUANG
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US

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188 142⁶

Frederick Ray KINDER JR.
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domiciliado en

Heldi LANE
Jakobsweg 22 4105 Biel-Benken CH

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domiciliado en

+ 

Elisabeth Jeanne LATOUR
26 F Rue de Bâle 68870 Bartenheim La
Chaussée FR

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domiciliado en

Paul W MANLEY
Bruggweg 12 4144 Arlesheim CH

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domiciliado en

Jeanette Marjorie WOOD
In den Kleematten 18 4105 Biel-Benken BL
CH

FIRMA DEL CEDENTE
domiciliado en

189 193⁷

Attestation

I the undersigned notary public of Basel Switzerland certify herewith the authenticity of the signature of **Mrs Elisabeth Jeanne Latour** French citizen residing in Bartenhelm La Chaussée France

The authenticity of the signature was established by comparison

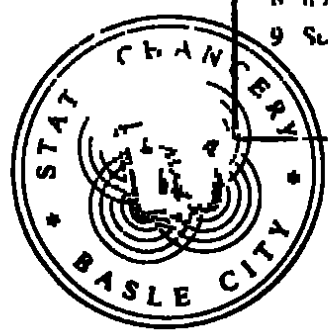
BASEL this 1st (first) day of March 2006 (twothousandandsix)

M Staehelin, Notar

Dr M Staehelin
- Notar

Leg Prot Nr 307 /2006

APOSTILLE (Hague Convention of October 5th 1961)	
1 Country Switzerland (Schweiz / Suisse)	
This official document	
2 is signed by <i>M. Staehelin</i>	
3 in his/her function as <i>Notar</i>	
4 and certified by the seal of the	
Certified 2nd Mar 2006	
5 in Basel (H I)	6. on
7 by the State Chancery of the Canton of Basel Stadt	
8 on 2.447/3837	
9 Seal/stamp	10 Signature <i>Heidi Fischer</i>
	Heidi Fischer



190,948

The Commonwealth of Massachusetts

William Francis Galvin
Secretary of the Commonwealth

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1 *Country* United States of America

This public document

2 *has been signed by* Jennifer Stacy Clark

3 *acting in the capacity of* Notary Public

4 *bears the seal/stamp of* the State of Massachusetts
whose commission expires on May 18 2012

Certified

5 *at* Boston Massachusetts

6 *the* 23 March 2006

7 *by* the Secretary of the Commonwealth

8 *No* 1135465

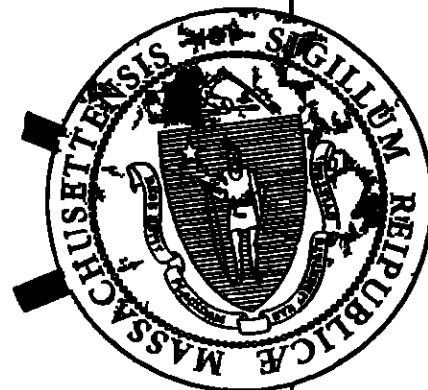
9 *Seal/stamp*

Great Seal of the Commonwealth

10 *Signature*



William Francis Galvin
Secretary of the Commonwealth



DOCUMENTO DE CESIÓN
ASSIGNMENT DOCUMENT

191

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FIRMA DEL CEDENTE
domiciliado en

Josef Bernhard BRUEGGEN
Erlensträsschen 73 4125 Riehen CH

FIRMA DEL CEDENTE
domiciliado en

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US

FIRMA DEL CEDENTE
domiciliado en



Frederick Ray KINDER JR.
37 Olyphant Drive Morristown NJ 07960 US

192 ~~10~~

FIRMA DEL CEDENTE
domiciliado en

Heldi LANE
Jakobeweg 22 4105 Blel-Benken CH

FIRMA DEL CEDENTE
domiciliado en

Elisabeth Jeanne LATOUR
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Chaussée FR

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Jeanette Marjorie WOOD
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CH

FIRMA DEL CEDENTE
domiciliado en

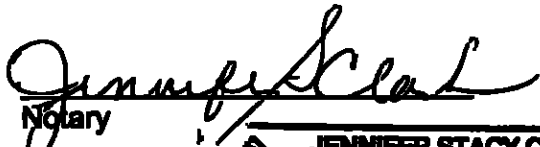
COMMONWEALTH OF MASSACHUSETTS

)ss

COUNTY OF MIDDLESEX)

Before me on this 9TH day of MARCH 2006 personally appeared **FREDERICK RAY KINDER** known to me and known to be the person(s) named in the attached document duly signed and executed the same

Sworn and subscribed to
before me this 9TH
day of MARCH 2006


Notary



JENNIFER STACY CLARK
NOTARY PUBLIC
COMMONWEALTH OF MASSACHUSETTS
My Commission Expires May 18, 2012

Seal

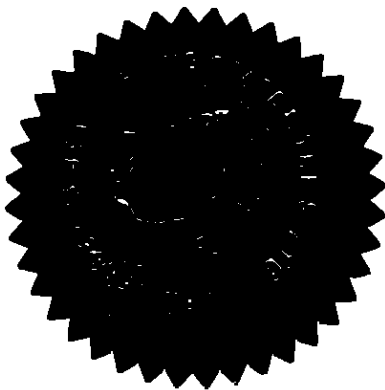
105 12
194
APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

- 1 COUNTRY UNITED STATES OF AMERICA
2 THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY
MAUREEN C MCGEE
3 ACTING IN THE CAPACITY OF
NOTARY PUBLIC OF NEW JERSEY
4 BEARS THE SEAL/STAMP OF
MAUREEN C MCGEE NOTARY

CERTIFIED

- 5 AT TRENTON NEW JERSEY
6 THE 23RD DAY OF MARCH 2006
7 BY Bradley I Abelow NEW JERSEY TREASURER
8 NO A255132
9 SEAL/STAMP 10 SIGNATURE



Bradley Abelow

196 13
195

DOCUMENTO DE CESIÓN
ASSIGNMENT DOCUMENT

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The undersigned as inventor (s) of

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Guido BOLD
Bleumathhöhe 18 5073 Gipf-Oberfrick CH

FIRMA DEL CEDENTE
domiciliado en

Josef Bernhard BRUEGGEN
Erlensträsschen 73 4125 Riehen CH

FIRMA DEL CEDENTE
domiciliado en



Jerry Min-Jian HUANG
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US

FIRMA DEL CEDENTE
domiciliado en

~~197~~ 14
196

Frederick Ray KINDER JR.
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domiciliado en

Jeanette Marjorie WOOD
In den Kleematten 18 4105 Biel-Benken BL
CH

FIRMA DEL CEDENTE
domiciliado en

~~198~~ 13
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STATE OF NEW JERSEY)

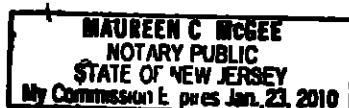
)ss

COUNTY OF MORRIS)

Before me on the 9th day of March 2006 personally appeared Jerry Min
Jian HUANG known to me and known to be the person(s) named in the
attached document duly signed and executed the same

Sworn and subscribed to
before me this 9th
day of March 2006

Maureen C. McSee
Notary



Seal

216
198 ~~199~~ 16

Assignment of Priority Rights

I / We the undersigned

Guido BOLD Bleumatthöhe 16 5073 Gipf Oberfrick CH
Josef Bernhard BRUEGGEN Erlensträsschen 73 4125 Riehen CH
Jerry Min-Jian HUANG 2 Knapp Avenue Florham Park NJ 07932 US
Frederick Ray KINDER JR 37 Olyphant Drive Morristown NJ 07960 US
Heldi LANE Jakobsweg 22 4105 Biel Benken CH
Elisabeth Jeanne LATOUR 26 F Rue de Bâle 68870 Bartenheim La Chaussée FR
Paul W MANLEY Bruggweg 12 4144 Arlesheim CH
Jeanette Marjorie WOOD In den Kleematten 18 4105 Biel Benken BL CH

assign to **Novartis AG** a body corporate organized according to the laws of Switzerland of
Lichtstrasse 35 4056 Basel Switzerland the right of priority

for CO

in respect of the invention disclosed and claimed in my (our) patent application(s)
hereinafter identified and in consequence thereof authorize **Novartis AG** to file

in CO

in its name a patent application corresponding to my (our) patent application(s) filed

in US

on **23/08/2003** under No **60/505,250**

while claiming the priority of my (our) above named patent application(s) in conformity with
the dispositions of the International Convention

dated with effect from **01 August 2004**

200 17
199

1 Guido Bold
Guido BOLD

X Josef Bruggen
Josef Bernhard BRUEGGEN

Jerry Min-Han HUANG

Frederick Ray KINDER

X Heldi A Lanez
Heldi LANE

Elisabeth Jeanne LATOUR

X Paul W MANLEY

X Jeanette M Wood
Jeanette Marjorie WOOD

200 16
301

Attestation

I the undersigned notary public of Basel, Switzerland, certify herewith the authenticity of the signatures of **Dr Guido Bold** citizen of ^{Griesenberg} ~~Giesenberg~~ Switzerland residing in Glpf Oberfrick Switzerland of **Dr Josef Bernhard Brueggen** German citizen residing in Riehen Switzerland of **Mrs Heidi Lane** citizen of Trub/BE Switzerland residing in Biel Benken Switzerland of **Mr Paul W Manley** British citizen residing in Arlesheim Switzerland and of **Mrs Jeannette Marjorie Wood** citizen of New Zealand residing in Biel Benken Switzerland

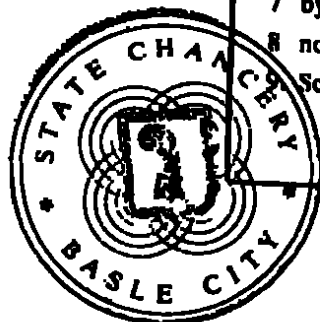
The authenticity of the signatures was established by comparison

BASEL this 10th (tenth) day of April 2006 (twothousandandsix)

[Faint, illegible handwritten notes]

S. Bucherwaldt 14.4

Leg Prot Nr 73 /2006



APOSTILLE
(Hague Convention of October 5th 1961)

1 Country Switzerland (Schweiz/Suisse)
This official document
2 is signed by *[Signature]*
3 in his/her function as *[Signature]*
4 and certified by the seal of the *[Signature]*

Certified
6. on 10. April 2006

5 in Basel (Bâle)
7 by the State Chancery of the Canton of Basel Stadt
8 no 1621/628
9 Seal/stamp
10. Signature. *[Signature]*
Roland Boock

172
201 19
202

Assignment of Priority Rights

I / We the undersigned

Guido BOLD Bleumatthöhe 16 5073 Gipf-Oberfrick CH
Josef Bernhard BRUEGGEN Erlensträsschen 73 4125 Riehen CH
Jerry Min-Jian HUANG 2 Knapp Avenue Florham Park NJ 07932 US
Frederick Ray KINDER, JR 37 Olyphant Drive Morristown NJ 07960 US
Heldi LANE Jakobsweg 22 4105 Biel Benken CH
Elisabeth Jeanne LATOUR 26 F Rue de Bâle 68870 Bartenheim-La Chaussée FR
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202 20
203

Guido BOLD

Josef Bernhard BRUEGGEN

Jerry Min-Jian HUANG

Frederick Ray KINDER

Heldi LANE

+ 

Elisabeth Jeanne LATOUR

Paul W MANLEY

Jeanette Marjorie WOOD

203 209 ²¹

Attestation

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The authenticity of the signature was established by comparison

BASEL this 1st (first) day of March 2006 (twothousandandsix)

M Staehelin, Notar

Dr M Staehelin
Notar

Leg Prot Nr 308 /2006

APOSTILLE

(Hague Convention (C) Oct 5th 1961)

1 Country Switzerland (Suisse / Suisse)

This official document

2 is signed by

3 in his/her function as

4 and certified by the seal of the

Certified

2 Mar 2006

5 in Basel (Bâle)

6 on

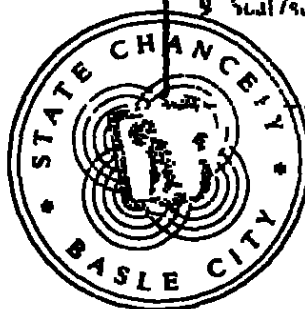
7 by the State Chancery of the Canton of Basel Stadt

8 : 2425/282

9 Seal/Stamp

10 Signature

Heidi Fischer



204 22
~~205~~

The Commonwealth of Massachusetts

William Francis Galvin
Secretary of the Commonwealth

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1 Country United States of America

This public document

2 has been signed by Jennifer Stacy Clark

3 acting in the capacity of Notary Public

4 bears the seal/stamp of the State of Massachusetts
whose commission expires on May 18 2012

Certified

5 at Boston Massachusetts

6 the 23 March 2006

7 by the Secretary of the Commonwealth

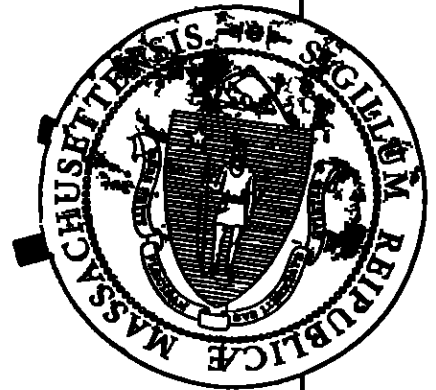
8 No 1135466

9 Seal/stamp

Great Seal of the Commonwealth

10 Signature


William Francis Galvin
Secretary of the Commonwealth



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I / We the undersigned

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Heldi LANE

Elsabeth Jeanne LATOUR

Paul W MANLEY

Jeanette Marjorie WOOD

207 28

COMMONWEALTH OF MASSACHUSETTS

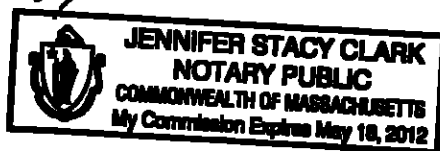
)ss

COUNTY OF MIDDLESEX)

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same

Sworn and subscribed to
before me this 9TH
day of MARCH 2006


Notary



Seal

206
208
26

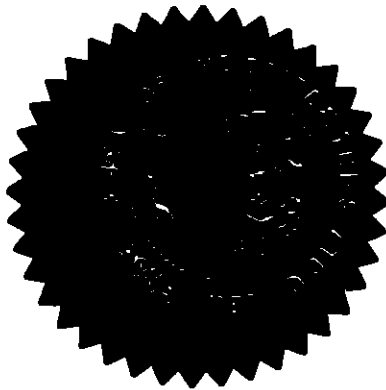
APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

- 1 COUNTRY UNITED STATES OF AMERICA
- 2 THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY
MAUREEN C MCGEE
- 3 ACTING IN THE CAPACITY OF
NOTARY PUBLIC OF NEW JERSEY
- 4 BEARS THE SEAL/STAMP OF
MAUREEN C MCGEE NOTARY

CERTIFIED

- 5 AT TRENTON NEW JERSEY
- 6 THE 23RD DAY OF MARCH 2006
- 7 BY Bradley I Abelow NEW JERSEY TREASURER
- 8 NO A255133
- 9 SEAL/STAMP
- 10 SIGNATURE



Bradley Abelow

209
207 27

Assignment of Priority Rights

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210

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Josef Bernhard BRUEGGEN



Jerry Min-Jian HUANG

Frederick Ray KINDER

Heidi LANE

Ellsabeth Jeanne LATOUR

Paul W MANLEY

Jeanette Marjorie WOOD

~~284~~
211

STATE OF NEW JERSEY)

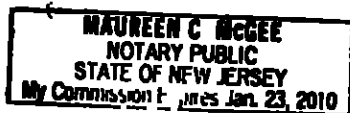
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COUNTY OF MORRIS)

Before me on the 9th day of March 2006 personally appeared Jerry Min
Jian HUANG known to me and known to be the person(s) named in the
attached document duly signed and executed the same

Sworn and subscribed to
before me this 9th
day of March 2006

Maureen C McGee
Notary



Seal

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INFORME DE PROTOCOLO

Expediente 06 – 031465

Fecha 30 DE MARZO DE 2 006

Se certifica que

Desde fecha 16 de abril de 1997,

del folio 90 643 al folio 90 650 del libro de

Protocolo N° 311 obra el poder N° 17 398

conferido por NOVARTIS AG (NOVARTISSA)

(NOVARTIS INC) domiciliado(a) en Basilea Suiza al

doctor JIMENA ESCOBAR URIBE y/o IGNACIO

ESCOBAR URIBE

Representante en Bogotá D C Los mismos

Facultad para deslstitir y sustituir SI

Revisado Jramos

Carrera 13 No. 27-00 Piso 5 y 10
Conmutador 534 12 21-2-3-4
Fax 281 31 25 e-mail: info@ic.gov.co
Santa Fe de Bogotá D.C. Colombia

REPUBLICA DE COLOMBIA
SUPERINTENDENCIA DE INDUSTRIA Y COMERCI

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Folio 211

2020
Bogotá D C

Doctor(a)
ESCOBAR URIBE JIMENA
Apoderado(a) y/o Representante de
NOVARTIS AG

REFERENCIA	Radicación No	06 31465
	Solicitud internacional	EP2004/010686
	Fecha inicio fase nacional	23/04/2006
	Trámite	11
	Evento	378
	Actuación	416
	Oficio No	922
	Folios	1

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia reúne los requisitos de forma establecidos en la Decisión 486 de la Comisión de la Comunidad Andina el Tratado de Cooperación en Materia de Patentes (PCT) el Reglamento y la Circular Única de la Superintendencia de Industria y Comercio se envía el extracto de la solicitud a la Oficina de Comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial

Cúmplase
Dado en Bogotá DC

18 JUL 2006

ALIX CARMENZA CESPEDES DE VERGEL
Jefe de la división de Nuevas Creaciones

jramos

DIVISION DE NUEVAS CREACIONES

RADICACIÓN EXPEDIENTE No 06-031485

EL EXTRACTO DE LA PRESENTE SOLICITUD DE PATENTE DE INVENCION

PCT FUE PUBLICADO EN LA GACETA DE PROPIEDAD INDUSTRIAL No 568

DE FECHA 29 DE SEPTIEMBRE DEL 2006 EL TERMINO HABIL SEÑALADO

POR LA LEY EXPIRA EL 29 DE DICIEMBRE DEL 2006

NOTA. Dentro del plazo de los seis (6) meses siguientes a partir de la fecha de publicación en la gaceta de Propiedad Industrial deberá solicitar y cancelar el examen de patentabilidad so pena de que la solicitud caiga en abandono de acuerdo con lo establecido en el Artículo 44 de la Decisión 486/2000

SE PRESENTARON OPOSICIONES POR PARTE DE TERCEROS

SI ☐ ☐

NO ☒ ☐ ☐

Bogotá D C Abril de 2007

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

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RADICACIÓN 6-31485

EDICTO No. 8417

LA SECRETARÍA GENERAL AD-HOC
HACE SABER

Que esta Superintendencia profirió Resolución No 12388 de 30-ABR-2007 Que el contenido de la parte resolutive es como sigue: El Superintendente de Industria y Comercio.

RESUELVE

ARTICULO PRIMERO. Declarar abandonada la solicitud de privilegio de patente de invención que entró en fase nacional en virtud del Tratado de Cooperación en Materia de Patentes (PCT), de acuerdo con las razones expuestas en la parte considerativa de la presente resolución.

ARTICULO SEGUNDO. Notificar personalmente el contenido de la presente resolución a la doctora Jimena Escobar Uribe o a quien haga sus veces en su calidad de apoderada de Novartis AG entregándole copia de la misma, advirtiéndole que contra ella procede el recurso de reposición interpuesto ante el Superintendente de Industria y Comercio, al momento de la notificación o dentro de los 5 días hábiles siguientes a ella

NOTIFÍQUESE Y CUMPLASE
Dada en Bogotá D.C. a los

30 ABR 2007

EL SUPERINTENDENTE DE INDUSTRIA Y COMERCIO


JAIRO RUBIO ESCOBAR

Para notificar a los interesados, se fija el presente EDICTO en lugar visible al público en la SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO por el término de (10) diez días hábiles contados a partir de las 8 00 a.m de hoy

Secretaría General Ad-Hoc 15-MAY-2007 Este edicto se desfiló hoy 28-MAY-2007 a las 4 30 p.m
Secretaría General Ad-Hoc
EDICTO No 8417