

Señor Director
DIRECCIÓN DE NUEVAS CREACIONES
SUPERINTENDENCIA DE INDUSTRIA Y
Bogotá, D.C.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 12-188273- -00003-0000

Fecha: 2012-12-21 13:26:02 Dep. 2020 DIR.NUEVASCR
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Act. 444 RESPUESTAREQUE Folios: 29 26

Re.: Expediente No. 12 188.273
Fase nacional de Solicitud de Patente PCT
a nombre de MEAD JOHNSON NUTRITION COMPANY
Código 011 – 378 – 444

En relación con la solicitud contenida en el expediente de la referencia y, en particular, para dar respuesta a su requerimiento contenido en el Oficio No. 20574, expedido dentro del mismo, dentro del término conferido, por medio del presente escrito me permito manifestarle:

1. Acompaño un nuevo extracto de la solicitud con el resumen que contiene la síntesis de la divulgación técnica de la invención.
2. Acompaño los documentos (4) en que consta la cesión del derecho a las patentes de los Inventores

- Anja Wittke
- Hugh Lippman

a favor de la sociedad solicitante MEAD JOHNSON NUTRITION COMPANY, debidamente certificados y legalizados con Apostilla.

Le solicito se sirva agregar este escrito y sus anexos al expediente y continuar con el trámite de la solicitud.

De Usted Atentamente,

GERMAN CASTILLO GRAU

T.P. No. 2.978 del C.S.J.

 Industria y Comercio SUPERINTENDENCIA	EXTRACTO PARA PUBLICACIÓN ☒ Patente de Invención ☐ Patente modelo de utilidad ☐ PCT	
(21) No. De solicitud	(51) int. Cl: A23L 1/29; A23L 1/308; A23L 1/0528; A61K 31/716; A23L 1/10; A23L 2/52; A23C 9/13; A23C 9/20; A23C 11/02; A61P 37/00	
(22) Fecha de solicitud	(71) Solicitante (s) MEAD JOHNSON NUTRITION COMPANY	
30) Prioridad SI (31) No. Prioridad 12/790,666 ; 12/790,670 (32) Fecha: Mayo 28, 2010 (33) País E.U.A.	(72) Interventor (es) Anja Wittke; Hugh Lippman. (74) Apoderado (s) GERMAN CASTILLO GRAU	
(54) Título COMPOSICIONES NUTRICIONALES		
<i>Las casillas identificadas con 85, 86 y 87 sólo aplican para PCT</i>		
(85) Fecha límite inicio Fase Nacional (86) Datos relativos a la presentación de la solicitud PCT Fecha de presentación de la solicitud Fecha Mayo 27, 2011 No. de solicitud PCT PCT/ USA2011/038346	(87) Publicación internacional Fecha Diciembre 1, 2011 No. Publicación WO 2011/150337 A1	
Resumen reivindicación (es): La presente revelación va dirigida, en una modalidad, a una composición nutricional, especialmente una composición nutricional a base de leche, para niños, que comprende una fuente de lípidos o grasas, una fuente de proteínas y una fuente de β-glucano. En ciertas modalidades, la fuente de β-glucano es una fuente de β-1,3-glucano. En otras modalidades, la fuente de β-glucano es una fuente de β-1,3,1,6-glucano. Además, en algunas modalidades, la composición nutricional también incluye una fuente de ácidos grasos poliinsaturados de cadena larga que incluyen ácido docosahexaenoico (DHA) y/o una composición prebiótica que incluye una pluralidad de oligosacáridos de manera que el perfil de la tasa de fermentación general de la composición prebiótica proporciona un aumento de la población de bacterias beneficiosas en el intestino humano durante un periodo prolongado. La composición prebiótica puede incluir una pluralidad de oligosacáridos, de modo que por lo menos uno de los oligosacáridos tenga una tasa de fermentación relativamente rápida y uno de los oligosacáridos tenga una tasa de fermentación relativamente lenta, en donde la combinación de los oligosacáridos proporciona una tasa de fermentación general beneficiosa. En ciertas modalidades, el prebiótico comprende una combinación de galacto-oligosacárido y povidextrosa.		

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

Handwritten mark resembling a stylized '3' or 'Z' with a crossbar.

1. Country: *United States of America*

This public document
2. has been signed by W. Montgomery

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of U.S. Patent and Trademark Office

Certified

5. at Washington, D.C.

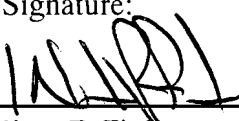
6. the twenty-fifth of September, 2012

7. by *Assistant Authentication Officer, United States Department of State*

8. No. 126015811-15

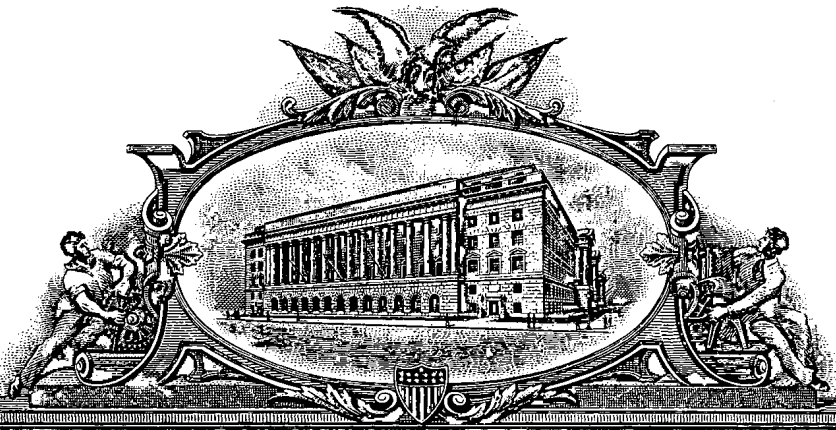
9. Seal/Stamp:

10. Signature:



William F. Finlan

A 1895670



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

September 05, 2012

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
MARCH 29, 2011.

By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office


W. MONTGOMERY
Certifying Officer



PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Hugh Lippman	03/28/2011
RECEIVING PARTY DATA	
Name:	Mead Johnson Nutrition Company
Street Address:	2400 W. Lloyd Expressway
City:	Evansville
State/Country:	INDIANA
Postal Code:	47721-0001
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12790670
CORRESPONDENCE DATA	
Fax Number:	(615)242-2221
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	615-242-2400
Email:	pas@iplawgroup.com
Correspondent Name:	Rebecca M. Barnett
Address Line 1:	1600 Division Street
Address Line 2:	Ste. 500
Address Line 4:	Nashville, TENNESSEE 37203
ATTORNEY DOCKET NUMBER:	MJE00294NP
NAME OF SUBMITTER:	Rebecca M. Barnett
Total Attachments: 3 source=23X7824#page1.tif source=23X7824#page2.tif source=23X7824#page3.tif	

501483836

PATENT
REEL: 026043 FRAME: 0266

CH \$40.00 12790670

6

ASSIGNMENT

In consideration of the payment of one (\$1.00) dollar, and for other good and valuable consideration, the receipt of which is hereby acknowledged, Hugh Lippman ("Assignor") assigns to Mead Johnson Nutrition Company of Evansville, Indiana ("Assignee") his entire right, title, and interest in and to an invention entitled "MILK-BASED NUTRITIONAL COMPOSITIONS" (the "Invention"), and in any and all patents, reissue patents, certificates of inventorship, design registrations, utility models, and the like, that may be granted in the U.S. or in any foreign country with respect to the Invention and improvements thereto and in any application, including continuations, continuations-in-part, or divisions, that may be filed with respect to the Invention, or improvements thereto, including U.S. Patent App. No. 12/790,670, filed 5/28/2010.

Assignor grants to Assignee the right to add the following information regarding a U.S. Patent Application to be filed so that this assignment may be recorded in the United States Patent and Trademark Office.

MILK-BASED NUTRITIONAL COMPOSITIONS
(Title of Application)

12/790,670
(Serial Number)

May 28, 2010
(Filing Date)

PATENT
REEL: 026043 FRAME: 0267

Assignor will, without additional charge to Assignee but at Assignee's expense, cooperate with and assist Assignee such that Assignee may realize and fully enjoy all the rights assigned hereunder, including the preparation and execution of all papers needed by Assignee, and providing such information and assistance as may reasonably be required by Assignee in any administrative or legal proceeding to obtain or protect such rights.

Assignor represents and warrants that he has not publicly used or disclosed the Invention and he has not previously sold or offered to sell the Invention.

Assignor represents and warrants that he has not transferred, licensed, and/or assigned any interest in the Invention to anyone other than Assignee, and that he has not entered into any contract, assignment, or license conveying any right granted to Assignee hereunder, or that would otherwise conflict with this Assignment.

This Assignment and each of its terms shall be binding on the Assignor, and his representatives, heirs and assigns, and shall inure to the benefit of Assignee, its successors, and assigns.

PATENT
REEL: 026043 FRAME: 0268

Hugh Lippman
Hugh Lippman

28/march/2011
Date

STATE OF Indiana
COUNTY OF Vanderburgh

Before me, Tammy J. Welcher, a Notary Public of the state and county aforesaid, personally appeared Hugh Lippman, with whom I am personally acquainted, or proved to me on the basis of satisfactory evidence, and who upon oath, acknowledged that he is the within named Assignor, and that he executed the foregoing instrument for the purposes therein contained.

This 28th day of March, 2011.

Tammy J. Welcher
Notary Public

My commission expires: August 15, 2015

Resident of Vanderburgh County, Indiana.

RECORDED: 03/29/2011

PATENT
REEL: 026043 FRAME: 0269

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

Handwritten mark

1. Country: *United States of America*

This public document

2. has been signed by M. Tarver

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of U.S. Patent and Trademark Office

Certified

5. at Washington, D.C.

6. the twenty-fifth of September, 2012

7. by *Assistant Authentication Officer, United States Department of State*

8. No. 1209015811-2

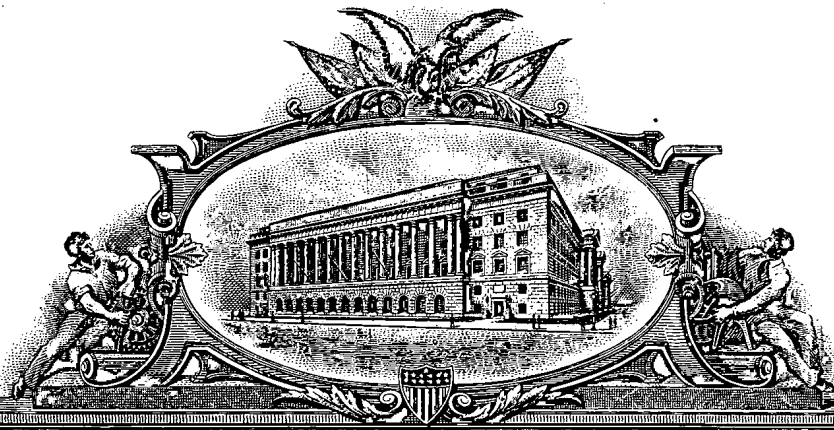
9. Seal/Stamp:

10. Signature:

Handwritten signature

William F. Finlan

A 1895670



THE UNITED STATES OF AMERICA

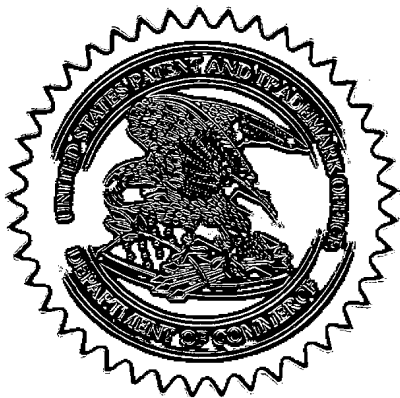
TO ALL TO WHOM THESE PRESENTS SHALL COME:

**UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office**

September 05, 2012

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RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
MARCH 29, 2011.**

**By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office**



M. Tarver

**M. TARVER
Certifying Officer**

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Anja Wittke	03/28/2011
RECEIVING PARTY DATA	
Name:	Mead Johnson Nutrition Company
Street Address:	2400 W. Lloyd Expressway
City:	Evansville
State/Country:	INDIANA
Postal Code:	47721-0001
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12790670
CORRESPONDENCE DATA	
Fax Number:	(615)242-2221
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	615-242-2400
Email:	pas@iplawgroup.com
Correspondent Name:	Rebecca M. Barnett
Address Line 1:	1600 Division Street
Address Line 2:	Ste. 500
Address Line 4:	Nashville, TENNESSEE 37203
ATTORNEY DOCKET NUMBER:	MJE00294NP
NAME OF SUBMITTER:	Rebecca M. Barnett
Total Attachments: 3 source=23X7821#page1.tif source=23X7821#page2.tif source=23X7821#page3.tif	

CH \$40.00 12790670

501483826

PATENT
REEL: 026043 FRAME: 0222

(12) ~~18~~
13

ASSIGNMENT

In consideration of the payment of one (\$1.00) dollar, and for other good and valuable consideration, the receipt of which is hereby acknowledged, Anja Wittke ("Assignor"), assigns to Mead Johnson Nutrition Company of Evansville, IN ("Assignee") her entire right, title, and interest in and to an invention entitled "MILK-BASED NUTRITIONAL COMPOSITIONS" (the "Invention"), and in any and all patents, reissue patents, certificates of inventorship, design registrations, utility models, and the like, that may be granted in the U.S. or in any foreign country with respect to the Invention and improvements thereto and in any application, including continuations, continuations-in-part, or divisions, that may be filed with respect to the Invention, or improvements thereto, including U.S. Patent App. No.12/790,670, filed 5/28/2010.

Assignor grants to Assignee the right to add the following information regarding a U.S. Patent Application to be filed so that this assignment may be recorded in the United States Patent and Trademark Office.

MILK-BASED NUTRITIONAL COMPOSITIONS
(Title of Application)

12/790,670
(Serial Number)

May 28, 2010
(Filing Date)

PATENT
REEL: 026043 FRAME: 0223

Assignor will, without additional charge to Assignee but at Assignee's expense, cooperate with and assist Assignee such that Assignee may realize and fully enjoy all the rights assigned hereunder, including the preparation and execution of all papers needed by Assignee, and providing such information and assistance as may reasonably be required by Assignee in any administrative or legal proceeding to obtain or protect such rights.

Assignor represents and warrants that she has not publicly used or disclosed the Invention and she has not previously sold or offered to sell the Invention.

Assignor represents and warrants that she has not transferred, licensed, and/or assigned any interest in the Invention to anyone other than Assignee, and that she has not entered into any contract, assignment, or license conveying any right granted to Assignee hereunder, or that would otherwise conflict with this Assignment.

This Assignment and each of its terms shall be binding on the Assignor, and her representatives, heirs and assigns, and shall inure to the benefit of Assignee, its successors, and assigns.

PATENT
REEL: 026043 FRAME: 0224

Anja Wittke
Anja Wittke

3-28-11
Date

(121) ~~12~~
~~16~~

STATE OF INDIANA
COUNTY OF VANDERBURGH

Before me, Tammy J. Welcher, a Notary Public of the state and county aforesaid, personally appeared Anja Wittke, with whom I am personally acquainted, or proved to me on the basis of satisfactory evidence, and who upon oath, acknowledged that she is the within named Assignor, and that she executed the foregoing instrument for the purposes therein contained.

This 28th day of March, 2011.

Tammy J. Welcher
Notary Public

My commission expires: August 15, 2015

Resident of Vanderburgh County, Indiana.

RECORDED: 03/29/2011

PATENT
REEL: 026043 FRAME: 0225

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1526
17

1. Country: *United States of America*

This public document
2. has been signed by E. Bornett

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of U.S. Patent and Trademark Office

Certified

5. at Washington, D.C.

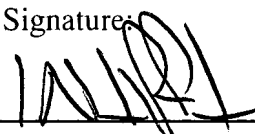
6. the twenty-fifth of September, 2012

7. by *Assistant Authentication Officer, United States Department of State*

8. No. 1209015811-16

9. Seal/Stamp:

10. Signature:



William F. Finlan

A 1895992

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

September 10, 2012

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E. Bornett
E. BORNETT
Certifying Officer

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Hugh Lippman	03/28/2011

RECEIVING PARTY DATA

Name:	Mead Johnson Nutrition Company
Street Address:	2400 W. Lloyd Expressway
City:	Evansville
State/Country:	INDIANA
Postal Code:	47721-0001

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12790666

CORRESPONDENCE DATA

Fax Number: (615)242-2221
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.
Phone: 615-242-02400
Email: pas@iplawgroup.com
Correspondent Name: Rebecca M. Barnett
Address Line 1: 1600 Division Street
Address Line 2: Ste. 500
Address Line 4: Nashville, TENNESSEE 37203

ATTORNEY DOCKET NUMBER:

MJE00218NP

NAME OF SUBMITTER:

Rebecca M. Barnett

Total Attachments: 3
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CH \$40.00 12790666

501483799

PATENT
REEL: 026043 FRAME: 0125

(A) ~~B~~
~~C~~

ASSIGNMENT

In consideration of the payment of one (\$1.00) dollar, and for other good and valuable consideration, the receipt of which is hereby acknowledged, Hugh Lippman ("Assignor") assigns to Mead Johnson Nutrition Company of Evansville, Indiana ("Assignee") his entire right, title, and interest in and to an invention entitled "NUTRITIONAL COMPOSITIONS FOR ENHANCING IMMUNE FUNCTION" (the "Invention"), and in any and all patents, reissue patents, certificates of inventorship, design registrations, utility models, and the like, that may be granted in the U.S. or in any foreign country with respect to the Invention and improvements thereto and in any application, including continuations, continuations-in-part, or divisions, that may be filed with respect to the Invention, or improvements thereto, including U.S. Patent App. No. 12/790,666, filed 5/28/2010.

Assignor grants to Assignee the right to add the following information regarding a U.S. Patent Application to be filed so that this assignment may be recorded in the United States Patent and Trademark Office.

NUTRITIONAL COMPOSITIONS FOR ENHANCING IMMUNE FUNCTION
(Title of Application)

12/790,666
(Serial Number)

May 28, 2010
(Filing Date)

PATENT
REEL: 026043 FRAME: 0126

Assignor will, without additional charge to Assignee but at Assignee's expense, cooperate with and assist Assignee such that Assignee may realize and fully enjoy all the rights assigned hereunder, including the preparation and execution of all papers needed by Assignee, and providing such information and assistance as may reasonably be required by Assignee in any administrative or legal proceeding to obtain or protect such rights.

Assignor represents and warrants that he has not publicly used or disclosed the Invention and he has not previously sold or offered to sell the Invention.

Assignor represents and warrants that he has not transferred, licensed, and/or assigned any interest in the Invention to anyone other than Assignee, and that he has not entered into any contract, assignment, or license conveying any right granted to Assignee hereunder, or that would otherwise conflict with this Assignment.

This Assignment and each of its terms shall be binding on the Assignor, and his representatives, heirs and assigns, and shall inure to the benefit of Assignee, its successors, and assigns.

PATENT
REEL: 026043 FRAME: 0127

Hugh Lippman
Hugh Lippman

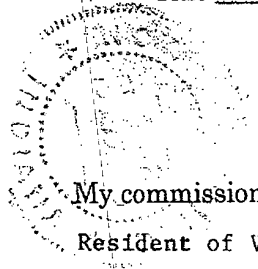
28 March 2011
Date

(2) 26
26

STATE OF Indiana
COUNTY OF Vanderburgh

Before me, Tammy J. Welcher, a Notary Public of the state and county aforesaid, personally appeared Hugh Lippman, with whom I am personally acquainted, or proved to me on the basis of satisfactory evidence, and who upon oath, acknowledged that he is the within named Assignor, and that he executed the foregoing instrument for the purposes therein contained.

This 28th day of March, 2011.



Tammy J. Welcher
Notary Public

My commission expires: August 15, 2015
Resident of Vanderburgh County, Indiana.

RECORDED: 03/29/2011

PATENT
REEL: 026043 FRAME: 0128

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: *United States of America*

This public document

2. has been signed by E. Bornett

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of U.S. Patent and Trademark Office

Certified

5. at Washington, D.C.

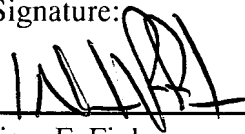
6. the twenty-fifth of September, 2012

7. by *Assistant Authentication Officer, United States Department of State*

8. No. 1239015811-17

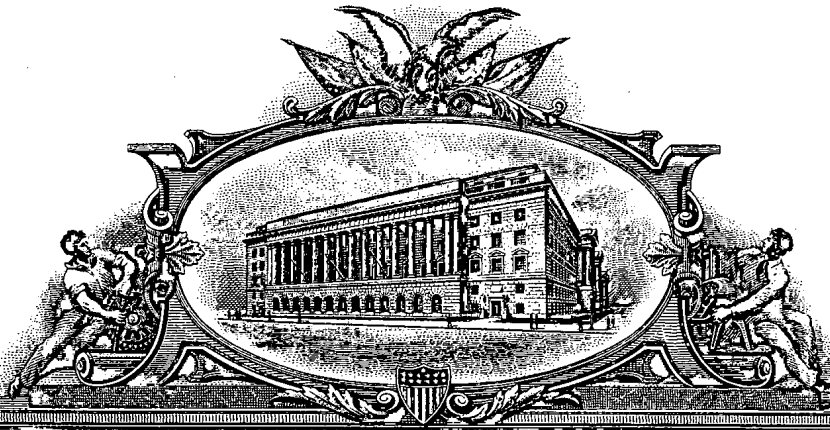
9. Seal/Stamp:

10. Signature:



William F. Finlan

A 1895992



THE UNITED STATES OF AMERICA

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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

September 10, 2012

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E. Bornett
E. BORNETT
Certifying Officer

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
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City:	Evansville
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Postal Code:	47721-0001
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12790666
CORRESPONDENCE DATA	
Fax Number:	(615)242-2221
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	615-242-2400
Email:	pas@iplawgroup.com
Correspondent Name:	Rebecca M. Barnett
Address Line 1:	1600 Division Street
Address Line 2:	Ste. 500
Address Line 4:	Nashville, TENNESSEE 37203
ATTORNEY DOCKET NUMBER:	MJE00218NP
NAME OF SUBMITTER:	Rebecca M. Barnett
Total Attachments: 3 source=23X7494#page1.tif source=23X7494#page2.tif source=23X7494#page3.tif	

CH \$40.00 12790666

501483784

PATENT
REEL: 026043 FRAME: 0074

24/21
2/2

ASSIGNMENT

In consideration of the payment of one (\$1.00) dollar, and for other good and valuable consideration, the receipt of which is hereby acknowledged, Anja Wittke ("Assignor") assigns to Mead Johnson Nutrition Company of Evansville, Indiana ("Assignee") her entire right, title, and interest in and to an invention entitled "NUTRITIONAL COMPOSITIONS FOR ENHANCING IMMUNE FUNCTION" (the "Invention"), and in any and all patents, reissue patents, certificates of inventorship, design registrations, utility models, and the like, that may be granted in the U.S. or in any foreign country with respect to the Invention and improvements thereto and in any application, including continuations, continuations-in-part, or divisions, that may be filed with respect to the Invention, or improvements thereto, including U.S. Patent App. No.12/790,666, filed 5/28/2010.

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(Title of Application)

12/790,666
(Serial Number)

May 28, 2010
(Filing Date)

PATENT
REEL: 026043 FRAME: 0075



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PATENT
REEL: 026043 FRAME: 0076

Anja Wittke
Anja Wittke

3-28-11
Date

STATE OF Indiana
COUNTY OF Vanderburgh

Before me, Tammy J. Welcher, a Notary Public of the state and county aforesaid, personally appeared Anja Wittke, with whom I am personally acquainted, or proved to me on the basis of satisfactory evidence, and who upon oath, acknowledged that she is the within named Assignor, and that she executed the foregoing instrument for the purposes therein contained.

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PATENT
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