

ESCOBAR - URIBE ASOCIADOS

A B O G A D O S

Carrera 11A No 94-76 - Of 305 - PO Box 94948, Bogotá, D.C. - Colombia

Tels. (571) 6162051 - Fax (571) 6161889

www.escobaruribe.com - info@escobaruribe.com

3012/PCT

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

DIVISION DE NUEVAS CREACIONES

E.

S.

D.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

Rad: 06-110667-00003-0000

REC 2007-03-29 13:21:25 DEP 2007-03-29

IB 11 PATENTE

EVB 6/6 PASADONAL

ACT 444 RESPUESTA REQUE FOLIOS 10

Ref.: Expediente No 06.110.667

Solicitud de Patente a nombre de

The Trustees of the University of Pennsylvania.

Yo, JIMENA ESCOBAR URIBE, identificada como aparece al pie de mi firma, domiciliada en la carrera 11 A No. 94-76, of. 305, obrando como apoderada de la sociedad **The Trustees of the University of Pennsylvania.**, domiciliada en Philadelphia, Pennsylvania, Estados Unidos de América, solicitante de la patente de la referencia, en respuesta al oficio No. 1863 del 9 de febrero de 2007, me permito presentar documento (1) en que consta la cesión del derecho a la patente otorgado por los inventores a favor de mi mandante.

Sobre el particular, es importante resaltar que de conformidad con las normas legales vigentes, artículo 1 de la Resolución 210 de 2001, expedida por el Superintendente de Industria y Comercio y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por otro lado, la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, el documento de cesión anexo a este memorial es válidamente presentado en idioma inglés y con la correspondiente Apostilla.

Espero con esto haber dado cabal cumplimiento a lo solicitado por ese despacho y de conformidad con lo indicado en los artículos 3º, inciso 3, 35 inciso 2 y 59 inciso 2 del CCA, al analizar este memorial, atentamente ruego se traten todas las cuestiones planteadas con motivo del mismo.

Señor Superintendente,



JIMENA ESCOBAR URIBE

C.C.39.779.452 de Usaquén

T.P. 68228

Cód. 5376

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country : United States Of America
2. This public document has been signed by **MELODY L MARSDEN**
3. acting in the capacity of **NOTARY PUBLIC**
4. bears the seal/stamp **MELODY L MARSDEN, NOTARY PUBLIC, MONTGOMERY COUNTY, COMMONWEALTH OF PENNSYLVANIA**

Certified

5. at Harrisburg, Pennsylvania
6. The 22nd day of March, 2007
7. by Pedro A. Cortés, Secretary of the Commonwealth of Pennsylvania
8. No : 200711052
9. Seal/Stamp
10. Signature

Pedro A. Cortés

Pedro A. Cortés

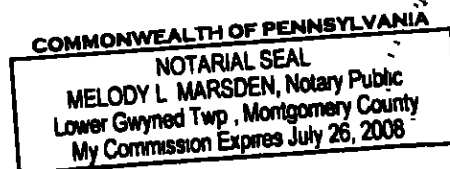


State of Pennsylvania

County of Montgomery

On March 21, 2007, I certify that the preceding/attached document is a true,
exact, complete and unaltered photocopy made by Sandra Weiss of an Assignment document
executed by the named Assignors, Guangping Gao on May 7, 2004, James M. Wilson on May
10, 2004 and Xiangyang Zhou on May 7, 2004.


Notary Public



ASSIGNMENT

WHEREAS, Guangping Gao, James M. Wilson, and Xiangyang Zhou hereinafter referred to as ASSIGNORS, have invented and are the owners of an invention entitled POLYVALENT VIRAL VECTORS AND A SYSTEM FOR PRODUCTION THEREOF said invention being fully set forth and described in the application for Letters Patent of the United States which has been prepared in connection with said invention, and filed on April 28, 2004,

WHEREAS, The Trustees of the University of Pennsylvania, a corporation organized and existing under and by virtue of the laws of the Commonwealth of Pennsylvania and engaged in business at 3160 Chestnut Street, Suite 200, Philadelphia, PA 19104-6283, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the said invention and any and all applications for Letters Patent and any and all Letters Patent of the United States and foreign countries which may be issued therefore;

NOW, THEREFORE, THIS IS TO WITNESS that in consideration of the sum of One Dollar (\$1 00) paid to ASSIGNORS by ASSIGNEE, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS have sold, assigned and set over, and hereby do sell, assign and set over, unto ASSIGNEE, its successors and assigns, the entire right, title and interest for, to and within the United States and all foreign countries, in and to the aforesaid invention and in and to any application for Letters Patent which ASSIGNEE at its expense may cause to be filed in the United States, under an international treaty, or in any foreign country, and in any United States or foreign patent which may be granted on said invention, including any extensions, non-provisionals, divisions, reissues, continuations, or continuations-in-part

And ASSIGNORS hereby authorize and request the Director of the USPTO to issue any and all Letters Patent of the United States to ASSIGNEE, its successors and assigns, as owner of all right, title and interest therein,

And for said consideration, it is hereby covenanted and agreed that at the request and expense of ASSIGNEE, its successors and assigns, ASSIGNORS will execute any further papers and do such other acts and things as may be necessary and proper to procure Letters Patent of said invention in the United States and in such foreign countries as ASSIGNEE, its successors and assigns may elect, and vest the full title thereto in ASSIGNEE, its successors and assigns;

And ASSIGNORS hereby authorize and direct its attorneys to insert, in the space provided below, the serial number of the aforesaid application for Letters Patent of the United States when the same shall have been made known to them by the United States Patent and Trademark Office

✍

IN WITNESS WHEREOF, this assignment has been executed on the dates indicated below

Date 5/7/04

Guangping Gao

NOTARIAL CERTIFICATE

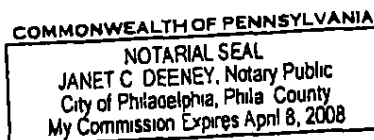
State of

County of

ss

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 7th day of May, 2004, personally appeared Guangping Gao to me know to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

Notary Public



Date 5-10-04

James M. Wilson
James M. Wilson

NOTARIAL CERTIFICATE

State of Pa
County of Phila

. ss.

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 10th day of May, 2004, personally appeared **James M. Wilson** to me know to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such

Janet C Deeney
Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
JANET C DEENEY, Notary Public
City of Philadelphia, Phila County
My Commission Expires April 8, 2008

24

Date May 07, 2004

Xiangyang Zhou

NOTARIAL CERTIFICATE

State of

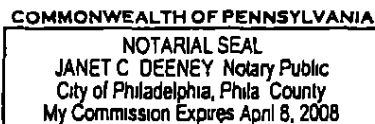
County of

Pa
Phila

ss.

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 7th day of May, 2004, personally appeared Xiangyang Zhou to me know to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such

Janet Deeney
Notary Public



Application for United States Letters Patent Serial Number 60/565,94 filed April 28, 2004

Carl for Howson and Howson
Howson and Howson



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D C 20231



MAY 18, 2004

PTAS

700084163A

HOWSON AND HOWSON
CATHY A. KODROFF
SPRING HOUSE CORPORATE CENTER
BOX 457
SPRING HOUSE, PA 19477

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231

RECORDATION DATE: 05/12/2004

REEL/FRAME: 014622/0677

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WILSON, JAMES M.

DOC DATE: 05/10/2004

ASSIGNEE:

TRUSTEES OF THE UNIVERSITY OF
PENNSYLVANIA, THE
3160 CHESTNUT STREET, SUITE 200
PHILADELPHIA, PENNSYLVANIA

19104-6283

SERIAL NUMBER: 60565941

PATENT NUMBER:

TITLE:

DOCKET NUMBER: NIL

FILING DATE:

ISSUE DATE:



141 A-
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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Washington, D C 20231



MAY 18, 2004

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* 700084161A*

HOWSON AND HOWSON
CATHY A KODROFF
SPRING HOUSE CORPORATE CENTER
BOX 457
SPRING HOUSE, PA 19477

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RECORDATION DATE: 05/12/2004

REEL/FRAME: 014622/0642
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GAO, GUANGPING

DOC DATE: 05/07/2004

ASSIGNOR:

ZHOU, XIANGYANG

DOC DATE: 05/07/2004

ASSIGNEE:

TRUSTEES OF THE UNIVERSITY OF
PENNSYLVANIA, THE
3160 CHESTNUT STREET, SUITE 200
PHILADELPHIA, PENNSYLVANIA

19104-6283

014622/0642 PAGE 2

SERIAL NUMBER: 60565941
PATENT NUMBER:
TITLE:
DOCKET NUMBER: NIL

FILING DATE:
ISSUE DATE:

JEFFREY OLSEN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS