

SEÑORES

**SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
CIUDAD**

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
Rad: 06-108716-00002-0000
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Eve: 3/8 FASE NACIONAL
Act 328 CTOINFORMACION Folios. 8

Ref.: No. de Radicación : 06-108716
Trámite: Patente de Invención PCT
Evento: PCT FASE NACIONAL
Título: PCT INDAZOLEZ, BENZOTIAZOLES,
BENZOISOTIAZOLES, BENZISOXAZOLES Y
PREPARACIÓN Y USOS DE ESTOS
Solicitante: MEMORY PHARMACEUTICALS
CORPORATION

ANEXO A EXPEDIENTE

MAURICIO JARAMILLO C., abogado en ejercicio, portador de la cédula de ciudadanía número 80'421,942 de Usaquén, y de la Tarjeta Profesional No. 74,555 del Consejo Superior de la Judicatura, actuando en mi carácter de apoderado de la Sociedad **MEMORY PHARMACEUTICALS CORPORATION.**, por medio del presente escrito me permito anexar al expediente el siguiente documento, para conocimiento de su despacho:

- Copia auténtica del documento de cesión a favor de **MEMORY PHARMACEUTICALS CORPORATION** presentado ante la USPTO.

NOTIFICACIONES

Informo que mi representado y el suscrito recibiremos las notificaciones en la secretaría de su despacho o en mi oficina de abogados, situada en la carrera 9 # 73-24 de Bogotá D.C., teléfonos 3107055 y 3105066, y fax 3106646.

Atentamente,

MAURICIO JARAMILLO C.
C.C. No. 80'421,942 de Usaquén
T.P. No. 74,555 del C.S.J.

Adjunto: lo anunciado.

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This public document

2. has been signed by E. Bornett

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of Patent and Trademark Office

Certified

5. at Washington, D.C.

6. the twenty-second of January, 2007

7. by Assistant Authentication Officer, United States Department of State

8. No. 07010776-2

9. Seal/Stamp:

10. Signature:

Sonya N. Johnson



A 1841981



THE UNITED STATES OF AMERICA

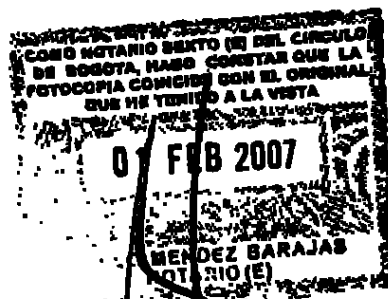
TO ALL TO WHOM THESE PRESENTS SHALL COME

UNITED STATES DEPARTMENT OF COMMERCE

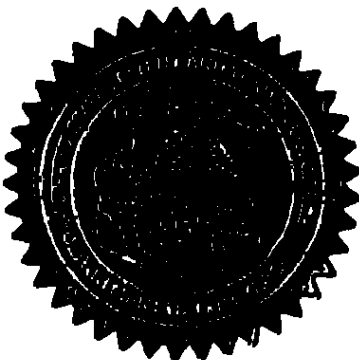
United States Patent and Trademark Office

November 21, 2006

**THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
June 22, 2005.**



**By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office**



E. Bornett
E. BORNETT
Certifying Officer

615



06-27-2005

PTO-1585

Rev. 10/02
USPS No. 0651-9637 (imp. 6/29/2005)

RECORDATION



DEPARTMENT OF COMMERCE
Patent and Trademark Office

103028522

PATENTS ONLY

To the Honorable Commissioner of Patent and Trademarks: Please record the attached documents or copy thereof.

1. Name of conveying party(ies): Wenge XIE Brian HERBERT Richard A. SCHUMACHER Truc Minh NGUYEN Jianguo MA Carla Maria GAUSS Ashok TEHIM Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	2. Name and address of receiving party(ies): Name: MEMORY PHARMACEUTICALS CORPORATION Street Address: 100 Phillips Parkway City: Montvale State: New Jersey Zip:07645 No additional names(s) or address(es) attached ☐ Yes ☒ No
3. Nature of Conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other Execution Date: April 27, 2005; April 28, 2005; April 29, 2005; April 27, 2005; April 27, 2005; April 29, 2005; May 9, 2005	

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 11/089,333 B. Patent No.(s) Additional numbers attached? ☐ Yes ☒ No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: MILLEN, WHITE, ZELANO & HRANIGAN, P.C. Address: Arlington Court Plaza I Suite 1400 2200 Clarendon BLVD. Arlington, VA 22201	6. Total number of applications and patents involved: 1 7. Total fee (37CFR 3.41) \$48.80 ☒ Enclosed ☐ Authorized to be charged to deposit account 8. DEPOSIT ACCOUNT NO. 13-3402 (Attach duplicate copies of this page if paying by deposit account)

DO NOT USE THIS SPACE FOR ANY OTHER INFORMATION

9. Statement and Signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Brion P. Heaney Names of Person Signing Signature	
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Total number of pages including cover sheet, attachments, and documents 3

Attorney Docket No. MEMORY-50

06/24/2005 REC'D 06060170 11/089333

01 FEB 2007

48.88

PATENT
REEL: 016711 FRAME: 0276

4

ASSIGNMENT

ATTY. DOCKET NO. MEMORY-30

676

HEREAS the below named inventor (if only one inventor is named below) or inventors (if plural inventors are named below) hereinafter referred to as the inventor(s) have invented a certain improvement(s) relating to

ES, BENZOTHAZOLES, BENZOISOTHAZOLES, BENZISOXAZOLES, AND PREPARATION AND USE THEREOF

in one or more of the following apply:

U.S. Provisional Application Serial No. 60/555,951 was filed in the United States Patent and Trademark Office on March 25, 2004, and U.S. Provisional Application Serial No. 60/616,033 was filed in the United States Patent and Trademark Office on October 6, 2004.

A U.S. Non-Provisional

☐ application for Letters Patent to be filed in the United States Patent and Trademark Office was executed on even date.
☒ Application Serial No. 11/089,533 for Letters Patent was filed in the U.S. Patent and Trademark Office on March 25, 2005 as International Application No. was filed on , designating the United States.

AND WHEREAS

MEMORY PHARMACEUTICALS CORPORATION
 100 Philip Parkway
 Montvale, NJ 07645

after referred to as the ASSIGNEE, is desirous of acquiring the entire right, title, and interest in and to said invention and all application(s) listed above, including any and all earlier priority rights (U.S. and foreign), divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, releases, recombinations and extensions thereof.

NOW, WITNESSETH that for good and valuable consideration, the receipt whereof is hereby acknowledged, ASSIGNOR hereby assigns, sells, and conveys to ASSIGNEE, its assigns and legal representatives, the entire and exclusive right, title and interest in and to said invention and application(s), including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, releases, recombinations and extensions thereof, and in and to any and all U.S. (including provisional) or foreign priority and/or convention rights or benefits taken or to be taken with respect to the filing or securing of patents in the United States and/or any countries foreign thereto concerning said invention, SAID ASSIGNOR, its assigns and legal representatives to have, hold, exercise, and enjoy said invention and application, including any and all earlier priority rights (U.S. and foreign), divisions and continuations thereof, any and all Letters Patent which may be granted thereon, including any and all renewals, releases, recombinations and extensions thereof, including the right to sue for damages occurring before the assignment, with all the rights, powers, privileges and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, releases, recombinations and extensions thereof, for the use and benefit of ASSIGNEE and its assigns and legal representatives, in as ample and beneficial a manner as all intents and purposes as the ASSIGNOR might or could have held and enjoyed the same, if the assignment had not been made.

AND ASSIGNOR hereby agrees to execute all papers that may be necessary to file applications in the United States and foreign countries for said invention and to assign the same to said ASSIGNEE, its assigns and legal representatives and to execute any other papers that may be needed in connection with filing said applications and securing Letters Patents thereon.

AND ASSIGNOR authorizes and requests the Commissioner of Patents and Trademarks to issue a Letters Patent on said application, and on any and all divisions and continuations thereof, to ASSIGNOR, its assigns and legal representatives, in accordance herewith.

The undersigned hereby grant(s) the law firm of Milten, White, Zelazo & Brumigan, P.C. the power to insert on this assignment information which may be necessary or desirable in order for the United States Patent and Trademark Office to properly record this document.

IN TESTIMONY WHEREOF this assignment is executed by ASSIGNOR

201 FULL NAME OF SOLE OR FIRST NAMED INVENTOR	INVENTOR'S SIGNATURE	DATE
Wenge XIE		4/27/05
202 FULL NAME OF SECOND JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Brian HERBERT		4/27/05
203 FULL NAME OF THIRD JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Richard A. SCHUMACHER		4/27/05
204 FULL NAME OF FOURTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Truc Minh NGUYEN		4-27-05
205 FULL NAME OF FIFTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Jianguo MA		4-27-05
206 FULL NAME OF SIXTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Carla Marie GAUSS		4/29/05
207 FULL NAME OF SEVENTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Ashok TEHIM		
208 FULL NAME OF EIGHTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
209 FULL NAME OF NINTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE

PATENT
 REEL: 016711 FRAME: 0277

S

ASSIGNMENT

ATTY. DOCKET NO. MEMORY-30

67

WHEREAS the below named inventor (if only one inventor is named below) or inventors (if plural inventors are named below) hereinafter referred to as the inventor(s) have invented a certain improvement(s) relating to

RES, BENZOTHAZOLES, BENZOISOTHAZOLES, BENZISOXAZOLES, AND PREPARATION AND USE THEREOF

in one or more of the following apply:

U.S. Provisional Application Serial No. 60/555,951 was filed in the United States Patent and Trademark Office on March 25, 2004, and U.S. Provisional Application Serial No. 60/5613,033 was filed in the United States Patent and Trademark Office on October 6, 2004.

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☐ as International Application No. was filed on , designating the United States.

AND WHEREAS

MEMORY PHARMACEUTICALS CORPORATION
 100 Philips Parkway
 Montvale, NJ 07645

after referred to as the ASSIGNOR, is desirous of acquiring the entire right, title, and interest in and to said invention and all application(s) listed above, including any and all earlier priority rights (U.S. and foreign), divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, releases, reexaminations and extensions thereof.

NOW, WITNESSETH that for good and valuable consideration, the receipt whereof is hereby acknowledged, ASSIGNOR hereby assigns, sells, and conveys to ASSIGNOR, its assigns and legal representatives, the entire and exclusive right, title and interest in and to said invention and application(s), including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, including any and all renewals, releases, reexaminations and extensions thereof, and in and to any and all U.S. (including provisional) or foreign priority and/or convention rights or benefits arising or to arise with respect to the filing or securing of patents in the United States and/or any countries foreign thereto concerning said invention, SAID ASSIGNOR, its assigns and legal representatives to have, hold, exercise, and enjoy said invention and application, including any and all earlier priority rights (U.S. and foreign) divisions and continuations thereof, any and all Letters Patent which may be granted therefor, including any and all renewals, releases, reexaminations and extensions thereof, including the right to sue for damages occurring before the assignment, with all the rights, powers, privileges and advantages in anywise arising from or pertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, releases, reexaminations and extensions thereof, for the use and benefit of ASSIGNOR and its assigns and legal representatives, in as ample and beneficial a manner to all intents and purposes as the ASSIGNOR might or could have held and enjoyed the same, if the assignment had not been made.

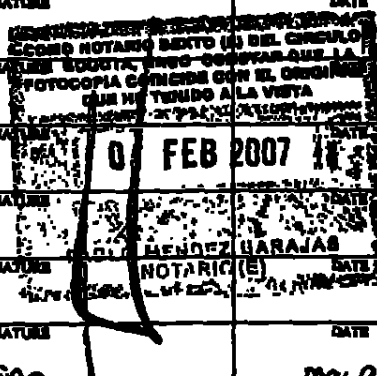
AND ASSIGNOR hereby agrees to execute all papers that may be necessary to file applications in the United States and foreign countries for said invention and to assign the same to said ASSIGNOR, its assigns and legal representatives and to execute any other papers that may be needed in connection with filing said applications and securing Letters Patents thereon.

AND ASSIGNOR authorizes and requests the Commissioner of Patents and Trademarks to issue a Letters Patent on said application, and on any and all divisions and continuations thereof, to ASSIGNOR, its assigns and legal representatives, in accordance herewith.

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Jianguo MA		
206 FULL NAME OF SIXTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Carla Maria GAUSS		
207 FULL NAME OF SEVENTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Ashok TEHIM	Ashok Tehim	May 9, 05
208 FULL NAME OF EIGHTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
209 FULL NAME OF NINTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE



RECORDED: 06/22/2005

PATENT
 REEL: 016711 FRAME: 0278

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