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SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
Rad: 06-113619- -00001-0000
Fea: 2005-11-15 16:49:35 Dep. 2020 NUECREACIONES
Tra: 11 PATENTEVI
Eve: 378 FASENACIONAL
Act: 329 CTOINFORMACION Folios: 14

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SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

DIVISION DE NUEVAS CREACIONES

E. S. D.

Re: Expediente No. 06 113619

Solicitud de patente PCT fase nacional

Solicitud internacional No. PCT/US2005/013812

Solicitante: MILLENNIUM PHARMACEUTICALS, INC

Yo, LUIS PATIÑO LEYVA, vecino de Bogotá D.C., portador de la tarjeta profesional No. 2698, obrando como apoderado en este negocio, me permito informar a usted que acompaño al presente memorial, para que sea agregado al expediente de la referencia, el documento en el que consta la cesión del derecho a la patente de los inventores al solicitante, debidamente legalizado.

Señor Superintendente,


LUIS PATIÑO LEYVA

C.C. No. 2.930.616 de Bogotá

T.P. No. 2698

mm.

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This public document

2. has been signed by P. R. Grant

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of Patent and Trademark Office

Certified

5. at Washington, D.C.

6. the thirty-first of October, 2006

7. by Assistant Authentication Officer, United States Department of State

8. No. 07003047-2

9. Seal/Stamp:

10. Signature:

Sonya N. Johnson

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THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME;

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

May 03, 2005

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
June 01, 2004.

By Authority of the
COMMISSIONER OF PATENTS AND TRADEMARKS



P. R. Grant

P. R. GRANT
Certifying Officer

267

FORM PTO-1595
(Rev. 6-83)

OMB No. 0851-0011 (exp. 4/94)

Tab Settings

06-03-2001

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Commissioner of Patents: Please record the _____
by thereof.

1. Name of conveying party(ies):
Michael E. Hepperle
Julie Fields Liu
Francis Soucy
Raman Prakash
Jeremy D. Little
Paul E. Fleming
Dominic Reynolds
Geraldine C. B. Harriman
Additional name(s) of conveying parties attached? ☐ Yes ☒ No

2. Name and address of receiving party (ies):
Name: Millennium Pharmaceuticals, Inc.
Internal Address: _____
Street Address: 40 Landsdowne Street
City: Cambridge State: MA Zip: 02139
Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____
Execution Dates: April 23, 26 & 27, 2004

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is : _____
A. Patent Application No.(s) 60/560,892 B. Patent No.(s) _____
Additional Numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Ian Robert Silverman
Internal Address: Millennium Pharmaceuticals, Inc.
Street Address: 40 Landsdowne Street
City: Cambridge State: MA ZIP: 02139

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41)\$ 40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:
501656
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Ian Robert Silverman Ian Robert Silverman May 28, 2004
Name of Person Signing Signature Date
Total number of pages including cover sheet, attachments, and document: 12

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services, Commissioner for Patents, P.O. Box 1480
Alexandria, VA 22313-1480

06/02/2004 STOK11 00000025 201666 14516092
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PATENT
REEL: 016386 FRAME: 0528

For: U.S. and Foreign Rights
For: U.S. Application
By: Inventors

ASSIGNMENT OF INVENTION

WHEREAS,

ASSIGNORS:

Michael E. Hepperle
280 Newbury Street #4, Boston, MA 02116
Nationality: Germany

Julie Fields Liu
1 Fox Run Lane, Lexington, MA 02420
Nationality: United States of America

Francois Soucy
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Nationality: Canada

Raman Prakash
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Nationality: India

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Nationality: United States of America

Paul E. Fleming
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Nationality: United States of America

Dominic Reynolds
27 Chalk Street, Apt. 1F, Cambridge, MA 02139
Nationality: United Kingdom

Geraldine C. B. Harriman
50 South Arnolda Road, Charlestown, RI 02813
Nationality: United States of America

PATENT
REEL: 016385 FRAME: 0529

221
269

Practitioner's Docket No. MPI04-003P1M

have co-invented a certain invention entitled:

BETA-CARBOLINES USEFUL FOR TREATING INFLAMMATORY DISEASE

for which they have executed a United States provisional application, which was filed on April 9, 2004, under U.S. Application No. 60/560,892; and

WHEREAS,

ASSIGNEE:

MILLENNIUM PHARMACEUTICALS, INC.

40 Landsdowne Street

Cambridge, MA 02139

State of Incorporation: Delaware

is desirous of acquiring ASSIGNORS' entire domestic and foreign right, title, and interest in and under the invention described in the patent application;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNORS hereby, without reservations, sell, assign and transfer to ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and to the invention in the United States and in every foreign country, and our entire right, title, and interest in and to the patent application and such other applications (e.g., provisional applications, non-provisional applications, continuations, continuations-in-part, divisionals, reissues, reexaminations, National phase applications, including petty patent applications and utility model applications) as may be filed on the invention in the United States or in any foreign country, and the patents, extensions, or derivations thereof, both foreign and domestic, that may issue thereon; said invention, applications, and patents to be held and enjoyed by ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said patents may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNORS hereby authorize the ASSIGNEE to insert in this assignment document the filing date and application number of the application if the date and number are unavailable at the time this document is executed.

ASSIGNORS hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain

(Page 2 of 10)

PATENT
REEL: 016385 FRAME: 0630

Practitioner's Docket No. MPI04-003P1M

from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein. ASSIGNORS further acknowledge that this assignment carries with it the right in ASSIGNEE to claim under the Patent Cooperation Treaty, the International Convention and/or other international arrangement for any such application the date of the United States application (or any other application on the invention) to gain priority with respect to other applications.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that we will at any time upon request, without further or additional consideration, but at the expense of the ASSIGNEE, execute and deliver such additional assignments and other writings and do such additional acts as the ASSIGNEE may deem necessary or desirable to perfect the ASSIGNEE's enjoyment of this assignment, and render all necessary assistance in making application for and obtaining original, continuation, continuation-in-part, divisional, reissued, reexamined, and National phase patents of the United States or of any and all foreign countries on the invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, and by executing statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representative of all parties hereto.

AND, ASSIGNORS do hereby authorize and request the Commissioner of Patents of the United States to issues such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 23 day of April, 2004

Michael E. Hepperle
Signature of Michael E. Hepperle

SIGNATURE WITNESSED BY:

(1) Date: April 23 / 04

Tracy J. Jenkins
Signature of Witness 1
Witness Printed Name: TRACY J. JENKINS

(2) Date: April 23, 2004

Kenneth B. Green
Signature of Witness 2
Witness Printed Name: Kenneth B. Green

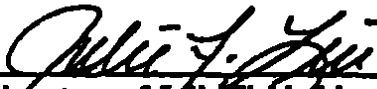
(Page 3 of 10)

PATENT
REEL: 016385 FRAME: 0531

~~273~~ 271

Practitioner's Docket No. MPI04-003P1M

IN WITNESS WHEREOF, I have hereunto set hand and seal this 27 day of April, 2004


Signature of Julie Fields Liu

SIGNATURE WITNESSED BY:

(1) Date: 4/27/04


Signature of Witness 1
Witness Printed Name: B. A. Kulkarni

(2) Date: 4-27-04


Signature of Witness 2
Witness Printed Name: Kenneth Gigstad

(Page 4 of 10)

PATENT
REEL: 015385 FRAME: 0532

274-9
272

Practitioner's Docket No. MPI04-003P1M

IN WITNESS WHEREOF, I have hereunto set hand and seal this 23rd day of April, 2004


Signature of Francois Soucy

SIGNATURE WITNESSED BY:

(1) Date: April 23 2004


Signature of Witness 1
Witness Printed Name: KEVIN T. SCOTT

(2) Date: April 23 2004


Signature of Witness 2
Witness Printed Name: Kenneth Gigot

275 10
273

Practitioner's Docket No. MPI04-003P1M

IN WITNESS WHEREOF, I have hereunto set hand and seal this 23rd day of
April, 2004

R - Prakash

Signature of Raman Prakash

SIGNATURE WITNESSED BY:

(1) Date: April 23 2004


Signature of Witness 1

Witness Printed Name: Kevin T. Sprout

(2) Date: April 23, 2004


Signature of Witness 2

Witness Printed Name: Kenneth Gigstad

(Page 6 of 10)

PATENT
REEL: 015386 FRAME: 0534

276 4
274

Practitioner's Docket No. MPI04-003P1M

IN WITNESS WHEREOF, I have hereunto set hand and seal this 26th day of April, 2004

Jeremy D. Little
Signature of Jeremy D. Little

SIGNATURE WITNESSED BY:

(1) Date: April 26 2004

[Signature]
Signature of Witness 1
Witness Printed Name: BING GUIN

(2) Date: April 26 2004

[Signature]
Signature of Witness 2
Witness Printed Name: Mingaki Das

(Page 7 of 10)

PATENT
REEL: 016386 FRAME: 0636

275

IN WITNESS WHEREOF, I have hereunto set hand and seal this 27 day of April, 2004



Signature of Paul E. Fleming

SIGNATURE WITNESSED BY:

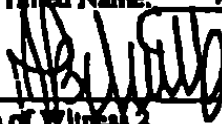
(1) Date: 4/27/04



Signature of Witness 1

Witness Printed Name: Michael Tazzelli

(2) Date: April 27th, 2004



Signature of Witness 2

Witness Printed Name: Jane F. Schmidt

298 12
276

Practitioner's Docket No. MPI04-003P1M

IN WITNESS WHEREOF, I have hereunto set hand and seal this 23rd day of
APRIL, 2004



Signature of Domiale Reynolds

SIGNATURE WITNESSED BY:

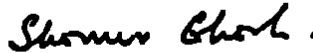
(1) Date: April 23/04



Signature of Witness 1

Witness Printed Name: TRACY J. JENKINS

(2) Date: April 23/04



Signature of Witness 2

Witness Printed Name: SHOMIR GHOSH.

(Page 9 of 10)

PATENT
REEL: 016385 FRAME: 0637

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277

IN WITNESS WHEREOF, I have hereunto set hand and seal this 27 day of April, 2004

Geraldine C B Harriman
Signature of Geraldine C. B. Harriman

SIGNATURE WITNESSED BY:

(1) Date: 4/27/04

Virginia LeHawkes
Signature of Witness 1
Witness Printed Name: Virginia LeHawkes

(2) Date: 4/27/04

Jean S. Penza
Signature of Witness 2
Witness Printed Name: Jean S. Penza

278.

REPUBLICA DE COLOMBIA
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

Folio 288

2020
Bogotá D.C.,

Doctor(a)
PATIÑO LEYVA LUIS
Apoderado(a) y/o Representante de
MILLENNIUM PHARMACEUTICALS, INC.

REFERENCIA	Radicación No.	6 113619
	Solicitud internacional	PCT/US2005/013812
	Fecha inicio fase nacional	09/11/2006
	Trámite	11
	Evento	378
	Actuación	416
	Oficio No.	2221
	Folios	1

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en la Decisión 486 de la Comisión de la Comunidad Andina, el Tratado de Cooperación en Materia de Patentes (PCT), el Reglamento y la Circular Única de la Superintendencia de Industria y Comercio, se envía el extracto de la solicitud a la Oficina de Comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial.

Cúmplase
Dado en Bogotá DC,

20 DIC. 2006

ALIX CARMENZA CESPEDES DE VERGEL
Jefe de la división de Nuevas Creaciones

aalvarado