

**Señora Jefe
DIVISIÓN DE NUEVAS CREACIONES
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
Bogotá, D.C.**

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
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Fec: 2007-02-15 09:59:23 Dep. 2020 NUECREACIONES
Tra. 11 PATENTEYII
Eve: 378 FASENACIONALI
Act 444 RESPUESTAREQUE Folios: 10

**Re.: Expediente No. 06 126.822
Fase Nacional, Solicitud de Patente PCT
a nombre de
SYNTHES GMBH
Código 011 - 378 - 444**

En relación con la solicitud contenida en el expediente de la referencia y, en particular, para dar respuesta a su último requerimiento hecho dentro del mismo, dentro del término conferido, por medio del presente escrito me permito manifestarle:

1. Acompaño copia certificada del documento donde consta la cesión del derecho a la patente del Inventor Sean Powell a favor de la sociedad Synthes (U.S.A.), debidamente firmado, autenticado por Notario Público y legalizado por Apostilla.
2. Acompaño copia certificada del documento donde consta la cesión del derecho a la patente de la sociedad Synthes (U.S.A.) a favor de la sociedad solicitante Synthes GmbH, debidamente firmado, autenticado por Notario Público y legalizado por Apostilla.

Le solicito se sirva agregar este escrito y sus anexos al expediente y continuar con el trámite de la solicitud.

De Usted Atentamente,

GERMAN CASTILLO GRAU
T.P. No. 2978 del C.S. I

Apostille

(Convention de La Haye du 5 Octobre 1981)

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1. Country: United States of America

This public document

2. has been signed by Norman Goodman

3. acting in the capacity of County Clerk

4. bears the seal/stamp of the county of New York

Certified

5. At New York, New York 6. the 22nd day of December 2006

7. by Special Deputy Secretary of State, State of New York

8. No. NYC-10233132B

9. Seal/Stamp

10. Signature



Aqil M. Qureshi
Special Deputy Secretary of State

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Page 1

DO HEREBY CERTIFY pursuant to the Executive Law of the State of New York, that

IN WITNESS WHEREOF, I have hereunto set my hand affixed my official seal this

Samuel Hillman

County Clerk and Clerk of the Supreme Court, New York County

VERIFICATION

STATE OF NEW YORK)
) ss:
COUNTY OF NEW YORK)

I verify that the attached document identified as:

Assignment

is a true copy of the document as executed on May 19, 2004 by Sean Powell to SYNTHES U.S.A. of United States and corresponding foreign rights in connection with U.S. Serial No. 10/849,714 filed May 19, 2004.

Courtney Manning
Courtney Manning

**COUNTY
CLERK**

Subscribed and sworn to before me this 14th day of

November, 2006.

Notary Public

JAMAL PARKER
Notary Public, State of New York
No. 01PA6042838
Qualified in Kings County
Certificate Filed in New York County
Commission Expires June 5, 2010

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58**RECORDATION FORM COVER SHEET
PATENTS ONLY**

Attorney Docket No.:
8932-947-999
(232232-999158)

**Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450**

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Sean Powell		2. Name and address of receiving party(ies): Name: <u>Synthes (U.S.A.)</u>	
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No		Address: <u>1302 Wright Lane East West Chester, Pennsylvania</u>	
3. Nature of conveyance: ☒ Assignment Merger Security Agreement Change of Name Other _____		Country (if other than USA): _____ Zip Code: <u>19380</u>	
Execution Date: <u>May 19, 2004</u>		Additional name(s) & address(es) attached? ☐ Yes ☒ No	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:			
A. Patent Application No.(s) <u>10/849,714</u>		B. Patent No.(s)	
Additional numbers attached? ☐ Yes ☒ No			
5. Name and address of party to whom correspondence concerning document should be mailed: JONES DAY 222 East 41st Street New York, New York 10017		6. Number of applications and patents involved: _____	
		7. Total fee (37 CFR 3.41):.....\$ 40 Please charge to the deposit account listed in Section 8.	
		8. Deposit account number: <u>50-3013</u>	
DO NOT USE THIS SPACE			
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and every attached copy is a true copy of the original document.</i>			
Brian M. Rothery by Jason S. Charkow	35,340 46,418		May 24, 2006
Name of Person Signing	Reg. No.	Signature	Date
Total number of pages including cover sheet:			2

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Registration Service
Director of the United States Patent and Trademark Office
P.O. Box 1490
Alexandria, VA 22313-1490
Or fax documents to Fax No.: 571-373-8140

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ASSIGNMENT

WHEREAS, I, Sean POWELL, ASSIGNOR, citizen of USA, residing at 105 Jacobs Drive, Coatsville, PA 19320, USA; am the inventor of the invention SNAP LOCK FOR DRILL SLEEVE for which I have executed an application for a Patent of the United States:

- ☒ which is executed concurrently herewith,
☒ which is identified by Pennie & Edmonds LLP docket no. 8832-947-999

AND WHEREAS, SYNTHES U.S.A., ASSIGNEE a general partnership existing under the laws of the Commonwealth of Pennsylvania and having a place of business at 1690 Russell Road, Paoli, PA 19301, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all renewals and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and releases thereof;

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, renewal and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal the day and year set opposite my signature.

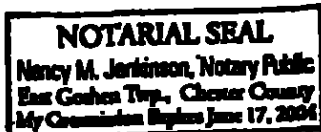
Date 19 May, 2004 Sean Powell L.S.
Sean Powell

State of Pennsylvania
County of Chester) ss.:

On May 19, 2004, before me, Nancy M. Jankin, Notary Public, personally appeared Sean POWELL, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Nancy M. Jankin



Apostille

(Convention de La Haye du 5 Octobre 1961)

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1. Country: **United States of America**

This public document

2. has been signed by **Norman Goodman**

3. acting in the capacity of **County Clerk**

4. bears the seal/stamp of the county of **New York**

Certified

5. At **New York, New York**

6. the **22nd** day of **December 2006**

7. by **Special Deputy Secretary of State, State of New York**

8. No. **NYC-10233131B**

9. Seal/Stamp

10. Signature



Aqil M. Qureshi

Special Deputy Secretary of State

State of New York
County of New York, } ss.:

I, NORMAN GOODMAN, County Clerk and Clerk of the Supreme Court of the State of New York, in and for the County of New York, a Court of Record, having by law a seal,
DO HEREBY CERTIFY pursuant to the Executive Law of the State of New York, that

whose name is subscribed to the annexed affidavit, deposition, certificate of acknowledgment or proof, was at the time of taking the same a NOTARY PUBLIC in and for the State of New York duly commissioned, sworn and qualified to act as such; that pursuant to law, a commission or a certificate of his official character, with his autograph signature has been filed in my office; that at the time of taking such proof, acknowledgment or oath, he was duly authorized to take the same; that I am well acquainted with the handwriting of such NOTARY PUBLIC or have compared the signature on the annexed instrument with his autograph signature deposited in my office, and I believe that such signature is genuine.

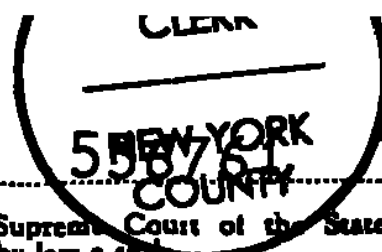
IN WITNESS WHEREOF, I have hereunto set my hand affixed my official seal this

DEC 14 2006

FEE PAID \$3.00

Norman Goodman

County Clerk and Clerk of the Supreme Court, New York County



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VERIFICATION

STATE OF NEW YORK)
) ss:
COUNTY OF NEW YORK)

I verify that the attached document identified as:

Assignment

is a true copy of the document as executed on May 22, 2006 by
SYNTHES (USA) to **SYNTHES GmbH** and **HFSC COMPANY** of United States
and corresponding foreign rights in connection with U.S. Serial
No. 10/849,714 filed May 19, 2004.

Courtney Manning
Courtney Manning
COUNTY

Subscribed and sworn to before me this 14th day of
November, 2006.

[Signature]
Notary Public

JAMAL PARKER
Notary Public, State of New York
No. 01PA8042838
Qualified in Kings County
Certificate Filed in New York County
Commission Expires June 8, 2010

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ASSIGNMENT

WHEREAS, Synthes (USA), ASSIGNOR, a Partnership organized under the laws of the Commonwealth of Pennsylvania and having a place of business at 1302 Wright Lane East, West Chester, PA 19380, has all right, title and interest in United States Patent Application Ser. No. 10949,714, now U.S. Patent No. 7,023,363, which was filed with the United States Patent and Trademark Office on May 18, 2004 entitled SNAP LOCK FOR DRILL SLEEVE and all inventions described, disclosed or claimed therein (hereinafter "the Invention");

AND WHEREAS, Synthes GmbH, ASSIGNOR, having a place of business at Hirschgasse 3, 4136 Churwil, Switzerland, is desirous of obtaining the entire right, title and interest to and under the Invention, including the right to bring an action and recover damages for past infringement, if any, in all geographic locations except the United States and its possessions and territories, Canada and its possessions and territories, the Bahamas, and Bermuda (hereinafter "the Excluded Geographic Locations");

AND WHEREAS, HIFC Company, ASSIGNEE, a Partnership organized under the laws of the Commonwealth of Pennsylvania and having a place of business at 1302 Wright Lane East, West Chester, PA 19380, is desirous of obtaining the entire right, title and interest to the Invention, including the right to bring an action and recover damages for past infringement, if any, in the Excluded Geographic Locations;

AND WHEREAS, ASSIGNOR, is desirous of assigning its entire right, title and interest in the Invention to the ASSIGNEE, including the right to bring an action and recover damages for past infringement, if any;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the said ASSIGNOR, has sold, assigned, transferred and set over, and does hereby sell, assign, transfer and set over, unto the said ASSIGNEE, their successors, legal representatives and assigns, all ASSIGNOR'S rights, title and interest in the Invention including the right to bring an action and recover damages for past infringement, if any; and all rights, title and interest in any corresponding patent applications and all divisions, renewals and extensions thereof; and all Patents which may be granted thereon and all renewals and extensions thereof; and all forms of industrial property protection, including, without limitation, patents, utility models, inventor's certificates and designs which may be granted for said Invention in any country, and all extensions, renewals and releases thereof;

NOW, THEREFORE, Synthes GmbH shall obtain all said rights, title and interest in the Invention in all geographic regions except the Excluded Geographic Locations; and HIFC Company shall obtain all said rights, title, and interest in the Invention in the Excluded Geographic Locations;

Assignment 2

ATTORNEYS

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AND ASSIGNOR HEREBY covenants and agrees that it has not executed, and will not execute, any agreement in conflict herewith.

AND ASSIGNOR HEREBY further covenants and agrees that it will communicate to the said ASSIGNEE, their successors, legal representatives and assigns, any data known to it respecting said invention, and tacitly in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and renewal applications, make all rightful claims, and generally do everything possible to aid the said ASSIGNEE, their successors, legal representatives and assigns, to obtain and secure proper protection for said invention.

AND ASSIGNOR HEREBY further covenants and agrees that it has read this Assignment, knows and understands its contents, and comprehends and agrees to all of its terms, conditions and meanings and their significance.

IN TESTIMONY WHEREOF, the person executing this Assignment in a representative capacity on behalf of the ASSIGNOR, warrants that he/she is fully authorized and empowered to do so and sets his/her hand and seal the day and year set with his/her signature.

ASSIGNOR: Synthes (USA)
Robert M. Ranker Authorized to sign on behalf of Synthes (USA)
Signature: Robert M. Ranker
Position/Title: Chief Patent Counsel
Address: 1302 Whipple Lane East
West Chester, Pennsylvania 19380
Date: May 27, 2006

State of Pennsylvania
County of Chester

On May 22, 2006, before me, Charles A. McPherson, Notary Public, personally appeared Robert M. Ranker, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Charles A. McPherson

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Charles A. McPherson, Notary Public
West Chester, Chester County
My Commission Expires Jan. 27, 2010
Member, Pennsylvania Association of Notaries

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ASSIGNEE: Syntex GmbH
Robert M. Rander Authorized to sign on behalf of Syntex GmbH
Signature: Robert M. Rander
Position/Title: Chief Patent Counsel
Address: 1302 Wiggins Lane East
West Chester, Pennsylvania 19380
Date: May 27, 2006

State of Pennsylvania
County of Chester) ss:

On May 22, 2006, before me, Carrie A. McPherson, Notary Public, personally appeared Robert M. Rander, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Carrie A. McPherson

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Carrie A. McPherson, Notary Public
West Chester, Chester County
My Commission Expires Jan. 27, 2010

Member, Pennsylvania Association of Notaries

ASSIGNEE: RFBC Company
Robert M. Rander Authorized to sign on behalf of RFBC Company
Signature: Robert M. Rander
Position/Title: Chief Patent Counsel
Address: 1302 Wiggins Lane East
West Chester, Pennsylvania 19380
Date: May 27, 2006

State of Pennsylvania
County of Chester) ss:

On May 22, 2006, before me, Carrie A. McPherson, Notary Public, personally appeared Robert M. Rander, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Carrie A. McPherson

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Carrie A. McPherson, Notary Public
West Chester, Chester County
My Commission Expires Jan. 27, 2010

Member, Pennsylvania Association of Notaries