

Señores
DIVISIÓN DE NUEVAS CREACIONES
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
Bogotá, D.C.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 07-087053- -00002-0000

Fecha: 2007-11-14 09:29:27 Dep. 2020 NUECREACION
Tra. 11 PATENTEIYII Eve: 379 FASENACIONALII
Act. 444 RESPUESTAREQUE Folios: 9

Re.: Código 011-378-444
Expediente No. 07-87.053
Fase Nacional de Solicitud
de Patente PCT
CELGENE CORPORATION

En relación con el expediente de la referencia y dando cumplimiento a la última providencia recaída sobre este asunto por medio del presente escrito me permito manifestarles lo siguiente:

1. Acompaño una copia auténtica del documento que acredita la cesión de los inventores a la sociedad solicitante, debidamente legalizado por Apostilla.

Renuncio al término faltante de traslado, y les solicito ordenar la publicación del extracto.

De Ustedes Atentamente,

LUIS FELIPE CASTILLO GIBSON
T.P. No. 68.995 del C.S.J.

Apostille

(Convention de La Haye du 5 Octobre 1961)

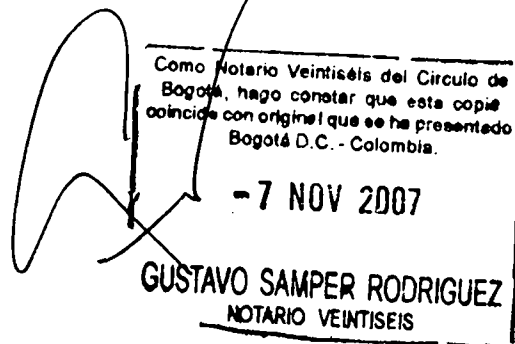
1. Country: **United States of America**
This public document
2. has been signed by **Norman Goodman**
3. acting in the capacity of **County Clerk**
4. bears the seal/stamp of the county of **New York**

Certified

5. At New York, New York
6. the 23rd day of October 2007
7. by Special Deputy Secretary of State, State of New York
8. No. NYC-10286364B
9. Seal/Stamp
10. Signature

James Bizzarri

James Bizzarri
Special Deputy Secretary of State



State of New York
County of New York,

NEW YORK
COUNTY

595908

Form 1

81

I, NORMAN GOODMAN, County Clerk and Clerk of the Supreme Court of the State of New York, in and for the County of New York, a Court of Record, having by law a seal,
DO HEREBY CERTIFY pursuant to the Executive Law of the State of New York, that

whose name is subscribed to the annexed affidavit, deposition, certificate of acknowledgment or proof, was at the time of taking the same a NOTARY PUBLIC in and for the State of New York duly commissioned, sworn and qualified to act as such; that pursuant to law, a commission or a certificate of his official character, with his autograph signature has been filed in my office; that at the time of taking such proof, acknowledgment or oath, he was duly authorized to take the same; that I am well acquainted with the handwriting of such NOTARY PUBLIC or have compared the signature on the annexed instrument with his autograph signature deposited in my office, and I believe that such signature is genuine.

IN WITNESS WHEREOF, I have hereunto set my hand affixed my official seal this

OCT 15 2007

FEE PAID \$3.00

Norman Goodman
County Clerk and Clerk of the Supreme Court, New York County

Como Notario Veintiseis del Circulo de
Bogotá, pego constar que esta copia
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-7 NOV 2007

GUSTAVO SAMPER RODRIGUEZ
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EN BLANCO
NOTARIA VEINTISEIS

EN BLANCO
NOTARIA VEINTISEIS

VERIFICATION

STATE OF NEW YORK)
) ss:
COUNTY OF NEW YORK)

I verify that the attached document identified as:

Assignment

is a true copy of the document as executed on March 16, 2006 by **Roger S. Chen** and **George W. Muller** to **CELGENE CORPORATION** of United States and corresponding foreign rights in connection with U.S. Serial No. 60/646,505 filed January 25, 2005.

Maxie Silkes

Subscribed and sworn to before me this 5 day of
October, 2007.
COUNTY
CLERK

Notary Public

Como Notario Veintiséis del Circulo de Bogotá, hago constar que esta copia coincide con original que se ha presentado Bogotá D.C. - Colombia.

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GUSTAVO SAMPER RODRIGUEZ
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JAMAL PARKER
Notary Public, State of New York
No. 01PA6042838
Qualified in Kings County
Certificate Filed in New York County
Commission Expires June 5, 2010



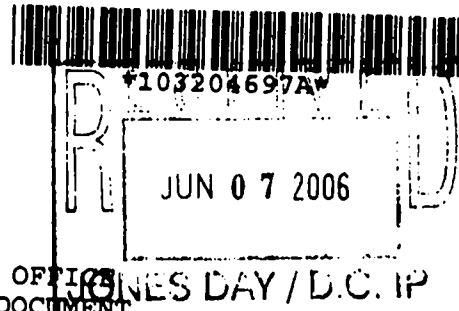
UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 23, 2006

PTAS

JONES DAY
51 LOUISIANA AVENUE, N.W.
WASHINGTON, D.C. 20001-2113



UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/21/2006

REEL/FRAME: 017660/0372
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 9516-365-888

ASSIGNOR:
MULLER, GEORGE W.

DOC DATE: 03/16/2006

ASSIGNOR:
CHEN, ROGER S.

DOC DATE: 03/16/2006

ASSIGNEE:
CELGENE CORPORATION
86 MORRIS AVENUE
SUMMIT, NEW JERSEY 07901

SERIAL NUMBER: 60646505

PATENT NUMBER:

TITLE: METHODS AND COMPOSITIONS USING 4-AMINO-2-(3-METHYL-2,6-DIOXOPIPERIDIN-3-YL)-ISOINDOLE-1,3-DIONE, AND STEREOISOMERS AND PRODRUGS THEREOF

FILING DATE: 01/25/2005

ISSUE DATE:

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HAND CARRY

Attorney Docket Number
9516-365-888
(JD 501872-888365)

03-24-2006



103204697

Alexandria, VA 22313-1450

Director

Office

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

George W. Muller and Roger S. Chen

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: March 16, 2006

2. Name and address of receiving party(ies):

Name: Celgene Corporation

Address: 86 Morris Avenue, Summit, NJ 07901

Country (if other than USA):

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 60/646,505

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

JONES DAY
51 Louisiana Avenue, N.W.
Washington, D.C. 20001-2113

6. Number of applications and patents involved:

7. Total fee (37 CFR 3.41):.....\$ 40.00
Please charge to the deposit account listed in Section 8.

8. Deposit account number:

503013

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anthony M. Insogna

35,203

by
Hoon Choi (Ltd. Recog. No. L0209)

Reg. No.

Signature

By: Hoon Choi
(Ltd. Recog. No. L0209)

March 21, 2006

Date

Total number of pages including cover sheet:

3

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
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Alexandria, VA 22313-1450

03/23/2006 DB/RNE 00006239 503013 60646505
01 FC18021 40.00 DA

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- 7 NOV 2007

GUSTAVO SAMPER RODRIGUEZ
NOTARIO VEINTISEIS

DC/D. 503698.1

ASSIGNMENT

WHEREAS, WE, GEORGE W. MULLER and ROGER S. CHEN, ASSIGNORS, citizens of the United States, residing at 250 Windmill Court, Bridgewater, NJ 08807, U.S.A., and 110 Christie St., Edison, NJ 08820, U.S.A., respectively, are the inventors of the invention in METHODS AND COMPOSITIONS USING 4-AMINO-2-(3-METHYL-2,6-DIOXOPIPERIDIN-3-YL)-ISOINDOLE-1,3-DIONE, AND STEREOISOMERS AND PRODRUGS THEREOF

- ☐ which is executed on ☐ even date herewith or ☐
- ☐ which is identified by Jones Day docket no.
- ☒ which was filed on January 25, 2005, Application No. 60/646,505
- ☐ We hereby authorize and request our attorney(s), , at Jones Day, to insert here in parentheses (Application number, _____ filed _____) the filing date and application number of said application when known.

and WHEREAS, CELGENE CORPORATION, organized and existing under the laws of the state of Delaware, and having an office for the transaction of business at 86 Morris Avenue, Summit, NJ 07901, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States provisional application and all applications claiming priority thereto under 35 U.S.C. §119(e) and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date March 16, 2006

Date March 16, 2006

Roger S. Chen L.S.
George W. Muller L.S.

State of New Jersey)
County of Summit) SS.:

on March 16, 2006, before me, Barbara Picone Notary Public, personally appeared Roger S. Chen, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

BARBARA PICONE
Notary Public
State of New Jersey
My Commission Expires Sep 2, 2010

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GUSTAVO SAMPER RODRIGUEZ
NOTARIO VEINTISEIS

02
00

State of New Jersey, SS:
County of Somerset

On March 11, 2006, before me, Barbara Picone, Notary Public, personally appeared George W. Muller, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Barbara Picone

BARBARA PICONE
Notary Public
State of New Jersey
My Commission Expires Sep 2, 2010

[Signature]

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7 NOV 2007
GUSTAVO SAMPER RODRIGUEZ
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NOTARIA VEINTISEIS

REPUBLICA DE COLOMBIA
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

Folio 88

2020
Bogotá D.C.,

Doctor(a)
CASTILLO GIBSON LUIS FELIPE
Apoderado(a) y/o Representante de
CELGENE CORPORATION

REFERENCIA	Radicación No.	07 87053
	Solicitud internacional	PCT/US2006/002503
	Fecha inicio fase nacional	25/08/2007
	Trámite	11
	Evento	379
	Actuación	416
	Oficio No.	6535
	Folios	1

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en la Decisión 486 de la Comisión de la Comunidad Andina, el Tratado de Cooperación en Materia de Patentes (PCT), el Reglamento y la Circular Única de la Superintendencia de Industria y Comercio, se envía el extracto de la solicitud a la Oficina de Comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial.

Cúmplase
Dado en Bogotá DC,

30 JUL. 2008

ALIX CARMENZA CESPEDES DE VERGEL
Jefe de la división de Nuevas Creaciones

adpal0