

**Castillo Grau & Asociados**

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 08-045550- -00002-0000

Fecha: 2008-07-25 12:17:51 Dep: 2020 NUECREACIONE  
Tra: 11 PATENTEIYII Eve: 378 FASENACIONALI  
Act: 444 RESPUESTAREQUE Folios: 12

**Señora Jefe**  
**DIVISIÓN DE NUEVAS CREACIONES**  
**SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO**  
**Bogotá, D.C.**

**Re.: Expediente No. 08 45.550**  
**Fase Nacional de Solicitud**  
**de Patente PCT**  
**a nombre de**  
**GLENMARK PHARMACEUTICALS S.A.**  
**Código 011 – 378 - 444**

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En relación con la solicitud contenida en el expediente de la referencia y, en particular, para dar respuesta a su último requerimiento hecho dentro del mismo, dentro del término conferido, por medio del presente escrito me permito manifestarle:

- Acompaño copia certificada del documento donde consta la cesión del derecho a las patentes de los inventores:
  
- Laxmikant Atmaram Gharat
  
- Uday Mukund Joshi
  
- Neelima Khairatkar Joshi

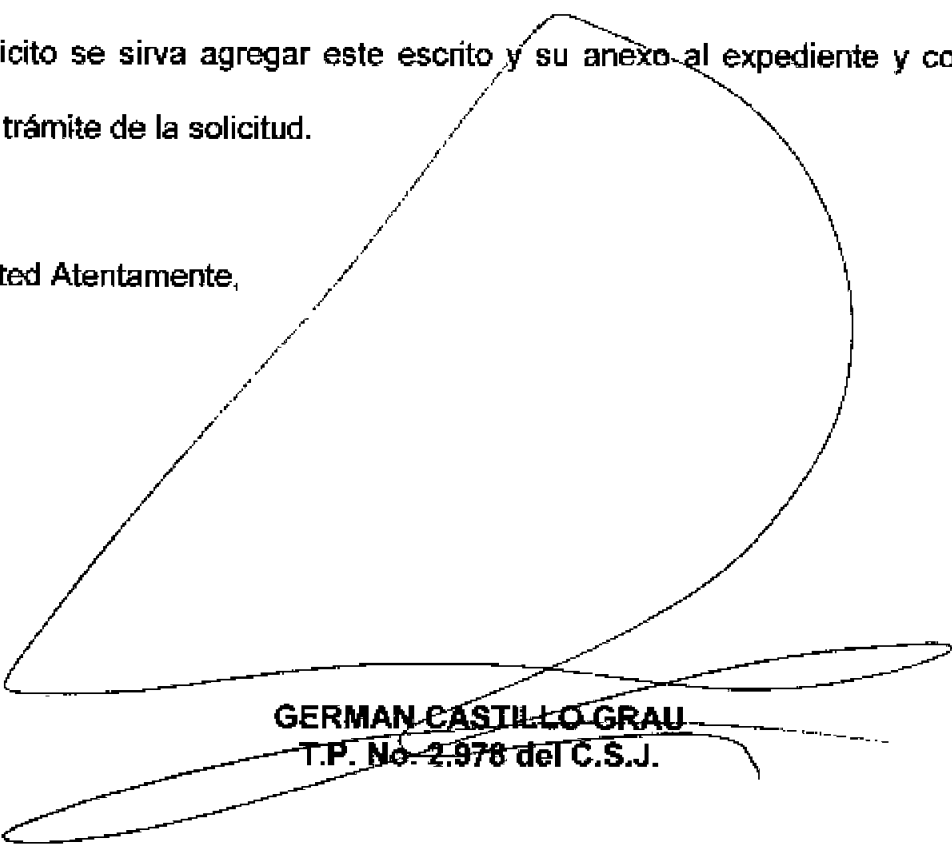
a favor de la sociedad solicitante Glenmark Pharmaceuticals S.A., debidamente firmado, autenticado por Notario Público y legalizado por Apostilla.

**Castillo Grau & Asociados**  
ABOGADOS

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Le solicito se sirva agregar este escrito y su anexo al expediente y continuar con el trámite de la solicitud.

De Usted Atentamente,



GERMAN CASTILLO GRAU  
T.P. No. 2.976 del C.S.J.

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# APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This public document

2. has been signed by E. Bornett

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of Patent and Trademark Office

## Certified

5. at Washington, D.C.

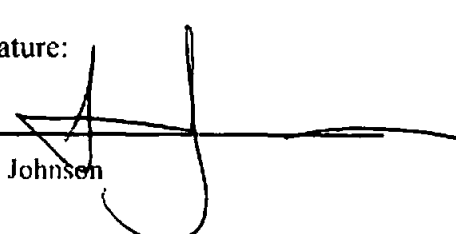
6. the twenty-fourth of June, 2008

7. by Assistant Authentication Officer, United States Department of State

8. No. 08027511-1

9. Seal/Stamp:

10. Signature:

  
Sonya N. Johnson

264  
5

A 7122225

# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

June 11, 2008

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE  
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON  
NOVEMBER 29, 2006.

By Authority of the  
Under Secretary of Commerce for Intellectual Property  
and Director of the United States Patent and Trademark Office



*E. Bornett*  
E. BORNETT  
Certifying Officer

# PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

|                                                                                      |                               |
|--------------------------------------------------------------------------------------|-------------------------------|
| SUBMISSION TYPE:                                                                     | NEW ASSIGNMENT                |
| NATURE OF CONVEYANCE:                                                                | ASSIGNMENT                    |
| <b>CONVEYING PARTY DATA</b>                                                          |                               |
| Name                                                                                 | Execution Date                |
| Laxmikant Atmaram Gharat                                                             | 11/06/2006                    |
| Uday Mukund Joshi                                                                    | 11/08/2006                    |
| Neelima Khairatkar Joshi                                                             | 11/06/2006                    |
| <b>RECEIVING PARTY DATA</b>                                                          |                               |
| Name:                                                                                | Glenmark Pharmaceuticals S.A. |
| Street Address:                                                                      | Chemin de la Combeta 5        |
| City:                                                                                | La Chaux-De-Fonds             |
| State/Country:                                                                       | SWITZERLAND                   |
| Postal Code:                                                                         | 2300                          |
| <b>PROPERTY NUMBERS Total: 1</b>                                                     |                               |
| Property Type                                                                        | Number                        |
| PCT Number:                                                                          | IB0602814                     |
| <b>CORRESPONDENCE DATA</b>                                                           |                               |
| Fax Number:                                                                          | (212)527-7701                 |
| <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> |                               |
| Phone:                                                                               | 2125277700                    |
| Email:                                                                               | fbarrison@darbylaw.com        |
| Correspondent Name:                                                                  | Robert C. Sullivan, Jr.       |
| Address Line 1:                                                                      | P.O. Box 5257                 |
| Address Line 4:                                                                      | New York, NEW YORK 10150-5257 |
| ATTORNEY DOCKET NUMBER:                                                              | 04366/2203475-WO1             |
| NAME OF SUBMITTER:                                                                   | Flynn Barrison                |
| Total Attachments: 4<br>source=00927583#page1.tif<br>source=00927583#page2.tif       |                               |

OP \$40.00 IB0602814

500185588

**PATENT**  
 REEL: 018561 FRAME: 0336

266  
X

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source=00927583#page4.tif

267  
8

Attorney Docket No.: 04366/2203475-WO1

### ASSIGNMENT

I, Laxmikant Atmaram Gharat, a citizen of India, residing at Parvati Apts., A-2; Patilwadi, Chandanwadi; Thane (West); Maharashtra-400 601; INDIA; and

I, Uday Mukund Joshi, a citizen of India, residing at 24, Shivtirth Society; M.G. Road, Naupada; Thane-400 602; INDIA; and

I, Neelima Khairatkar Joshi, a citizen of India, residing at C101, Dev Prayag Co-operative Housing Society; Bhakti Mandir Road; Near Hari Niwas, Panchpakhadi; Thane-400 602; INDIA;

and each of us, if more than one person is identified above (hereinafter "ASSIGNOR") in consideration of the sum of Ten Dollars (\$10.00), or the equivalent thereof, and other good and valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged, paid to ASSIGNOR by

Glenmark Pharmaceuticals S.A.

a Corporation organized under the laws of Switzerland, located at Chemin de la Combeta 5, 2300 La Chaux-De-Fonds, SWITZERLAND (hereinafter "ASSIGNEE"), do hereby sell and assign to said ASSIGNEE, its successors and assigns, the below indicated right, title, and interest, throughout the world in and to my Invention entitled:

### SUBSTITUTED BENZOFUSED DERIVATIVES AND THEIR USE AS VANILLOID RECEPTOR LIGANDS

invented by me and described in PCT International Application No. PCT/IB2006/002814, filed on October 9, 2006, Indian Application No. 1269/MUM/2005, filed October 7, 2005, U.S. Provisional Application No. 60/730,660, filed October 26, 2005, Indian Application No. 996/MUM/2006, filed June 26, 2006, and U.S. Provisional Application No. 60/807,205, filed July 13, 2006; and all patents, divisions, reissues, continuations and any extensions thereof and rights of priority therein, said interest being my entire ownership interest in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the

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Attorney Docket No.: 04366/2203475-WO1

full end of the term thereof, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not be made;

And for the consideration aforesaid, I hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with an application concerning said Invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said ASSIGNEE, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense; and I hereby appoint every present or future officer of said ASSIGNEE as my agent to sign all such papers and to do all such necessary acts on my behalf, to the fullest extent permitted by law;

And I hereby authorize and request the Commission of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

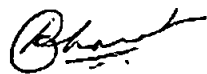
This assignment shall have an effective date corresponding to the last date of execution.

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Attorney Docket No.: 04366/2203475-WO1

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I have signed this document as my own free act and that all of the foregoing is true and correct.

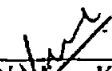
Dated: 06/11/2006

  
\_\_\_\_\_  
Laxmikant Atmaram Gharat,  
Inventor

Dated: 08/11/2006

  
\_\_\_\_\_  
Uday Mukund Joshi, Inventor

Dated: 6/11/2006

  
\_\_\_\_\_  
Neelima Khairatkar Joshi,  
Inventor

Attorney Docket No.: 04366/2203475-WO1

ACCEPTANCE BY ASSIGNEE

In connection with an assignment in any jurisdiction in which an acceptance by ASSIGNEE is required, I hereby accept this assignment on behalf of Glenmark Pharmaceuticals S.A.. I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that (check all that apply):

- ☐ I am an officer of the above-identified ASSIGNEE,
- ☒ I have signed this document on behalf of ASSIGNEE with the full authority of its board of directors,

and that all the foregoing is true and correct.

Dated: November 28, 2006

By: 

Name:

Dr. Vijay Soni

Title:

Executive Vice President Intellectual Property

**REPUBLICA DE COLOMBIA**  
**SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO**

Folio 272

2020  
Bogotá D.C.,

Doctor(a)  
CASTILLO GRAU GERMAN  
Apoderado(a) y/o Representante de  
GLENMARK PHARMACEUTICALS S.A.

|            |                            |                   |
|------------|----------------------------|-------------------|
| REFERENCIA | Radicación No.             | 8 45550           |
|            | Solicitud internacional    | PCT/IB2006/002814 |
|            | Fecha inicio fase nacional | 07/05/2008        |
|            | Trámite                    | 11                |
|            | Evento                     | 378               |
|            | Actuación                  | 416               |
|            | Oficio No.                 | 8015              |
|            | Folios                     | 1                 |

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en la Decisión 486 de la Comisión de la Comunidad Andina, el Tratado de Cooperación en Materia de Patentes (PCT), el Reglamento y la Circular Única de la Superintendencia de Industria y Comercio, se envía el extracto de la solicitud a la Oficina de Comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial.

Cúmplase  
Dado en Bogotá DC, 27 ABR. 2009

ALIX CARMENZA CESPEDES DE VERGEL  
Jefe de la división de Nuevas Creaciones

adpall

**Castillo Grau & Asociados**  
ABOGADOS

Señora Jefe  
DIVISIÓN DE NUEVAS CREACIONES  
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO  
Bogotá, D.C.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 08-045550- -00003-0000

Fecha: 2009-11-23 11:38:15 Dep. 2020 NUECREACION  
Tra. 11 PATENTEIYII Eve: 378 FASENACIONAL  
Act. 538 PETICION Folios: 2

Re.: Expediente No. 08 45.550  
Fase Nacional Solicitud de Patente PCT  
a nombre de  
GLENMARK PHARMACEUTICALS S.A.  
Código 011 - 378 - 538

En relación con la solicitud contenida en el Expediente de la referencia y, en particular, con la publicación del extracto de la misma en la Gaceta de la Propiedad Industrial No. 605 de 30 de Junio de 2009, por medio del presente escrito muy respetuosamente me permito solicitarle se sirva proceder a efectuar el **EXAMEN DE PATENTABILIDAD** de la solicitud aquí contenida.

Para tal efecto, acompaño el comprobante de pago por concepto de la tasa respectiva, Recibo No. 87,462

De Usted Atentamente,

GERMAN CASTILLO GRAU  
T.P. No. 2.978 del C.S.J.

## SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

NIT : 800176089-2

RECIBO OFICIAL DE CAJA : 09 - 87,462  
FECHA : NOVIEMBRE 23 DE 2009

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 08-045550- -00003-0000

Fecha: 2009-11-23 11:38:15 Dep. 2020 NUEGREACIONI  
Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI  
Act. 538 PETICION Folios: 2

## \*\*\*\*\* CONSIGNACION \*\*\*\*\*

| DEPOSITANTE               | TIPO PAGO    | BANCO           | CUENTA    | No. PAGO | FECHA PAGO | Vr. PAGO     |
|---------------------------|--------------|-----------------|-----------|----------|------------|--------------|
| CASTILLO GRAU Y ASOCIADOS | CONSIGNACION | BANCO DE BOGOTA | 062754387 | 44041373 | 23/11/2009 | 2,216,000.00 |

## \*\*\*\*\* CONCEPTO \*\*\*\*\*

| CANT. | RENTISTICO  | CONCEPTO                             | TOTAL CONCEPTO |
|-------|-------------|--------------------------------------|----------------|
| 1     | 50005-01-01 | SOLICITUDES                          |                |
|       |             | 85 EXAMEN DE PATENTABILIDAD DE INVEN | 452,000.00     |
|       |             | TOTAL :                              | \$ 452,000.00  |

SON: CUATROCIENTOS CINCUENTA Y DOS MIL PESOS

RESPONSABLE :

RECIBO DE CAJA APLICADO AL EXPEDIENTE No. \_\_\_\_\_