

**SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
DIRECCIÓN DE NUEVAS CREACIONES**

2020
Bogotá, D.C.,

Abogado
JUAN PABLO CADENA SARMIENTO

ASUNTO	Radicación	08 046 084
	Trámite	011
	Evento	378
	Actuación	519
	Oficio	
	Folios	39

213029

Patente de Invención



Patente de Modelo de Utilidad

Vía Nacional

Vía PCT (Fase Nacional)



Capítulo I



Capítulo II

No. Solicitud Internacional

PCT/US2006/043516

Fecha de Presentación Internacional

08/Noviembre/2006

Como resultado del examen de fondo realizado, con fundamento en el Artículo 45 de la Decisión 486 de la Comisión de la Comunidad Andina, se comunica:

1. Documentos estudiados

Descripción:	Folios 98 a 214	07/Mayo/2008
	Folios 231 a 295	07/Mayo/2008
	Folios 460 a 489	31/Enero/2011
Reivindicaciones:	Folios 215 a 218	07/Mayo/2008

Expediente 08 046 084 1er Art 45

	Folios 254 a 457	31/Enero/2011
Dibujos:	Folios 219 a 230	07/Mayo/2008
Oposiciones:	Folios 413 a 450	Lafranco 06/Abril/2010
Respuesta del solicitante:	Folios 452 a 457	31/Enero/2011
Prioridad:	US 60 734 798	08/Noviembre/2005
	US 60 820 561	27/Julio/2006

2. Análisis de la prioridad

Se acepta la reivindicación de prioridad porque esta solicitud fue presentada dentro del plazo establecido (Art. 9 D 486) y su contenido técnico corresponde con el de la prioridad reivindicada.

3. Objeto de la invención

Título de la solicitud: "Antagonistas de Neuropilina".

El problema planteado en esta solicitud consiste en la necesidad de suministrar anticuerpos alternativos del tipo Anti- Neuropilina-1 (Anti-NRP-1).

Para resolver este problema técnico la solicitud en estudio proporciona anticuerpos anti-NRP1 novedosos capaces de modular por lo menos una actividad biológica mediada por neuropilina.

El objeto de la presente Solicitud se relaciona con la Clasificación Internacional de Patentes (CIP): C07K16/00, C07K 16/28

4. No es una invención (Art 15 D 486 literales (a) – (f))

El objeto de las reivindicaciones 23-24 no se considera una invención, de acuerdo con el Art citado. El método de las reivindicaciones 23-24 para producir un anticuerpo anti-NRP-1OX40L de expresión y recuperación de un anticuerpo se considera un proceso esencialmente biológico.

5. De la Solicitud de Patente

Reivindicaciones (Art 30 D 486, Art 22 PCT)

El capítulo reivindicatorio presentado carece de claridad y concisión por cuánto:

- 1) Las reivindicaciones independientes que se refieren a un anticuerpo deben caracterizarlo a partir de su estructura completa, mencionando las secuencias que lo conforman en la **cadena ligera y cadena pesada**, o las secuencias variables de las cadenas ligera y pesada o las secuencias de los 6 CDR's que conforman **ambas cadenas**. La referencia a estructuras parciales del anticuerpo (por ejemplo solamente sus CDRs de cadena ligera o solamente las de cadena pesada) no son válidas puesto que no es una estructura activa, probada ni definida (reivindicaciones 1-4, 7-10);
- 2) Las reivindicaciones 19 y dependientes se refieren a polinucleótidos de determinada secuencia. Sin embargo, dado que el problema que resuelve la solicitud es proveer anticuerpos que se unen a NRP1 los polinucleótidos no hacen parte de la invención.
- 3) El vector reclamado en la reivindicación 20 y 21 carece de sustento en la descripción;
- 4) La línea celular mencionada en la reivindicación 22 debe ser caracterizada a partir de sus propias características, incluido el número del depósito del hibridoma al que se refiere, y estar plenamente sustentada en la descripción;
- 5) El método mencionado en la reivindicación 23-24 debe estar caracterizado por las etapas que lo conforman y estar plenamente sustentado en la descripción;
- 6) La composición farmacéutica y el kit mencionados en las reivindicaciones 25 y 26 deben caracterizarse a partir de los elementos y proporciones de los mismos que la componen, de acuerdo con la información sustentada en la descripción;
- 7) No se entiende a que se hace referencia con "artículo de fabricación" que comprende el anticuerpo anti-NRP1, se sugiere eliminar dicha reivindicación.

De esta manera, el capítulo reivindicatorio presentado no cumple con los requisitos establecidos en el art. 30 de la Decisión 486.

6. Unidad de invención (Art 25 D 486)

La solicitud no cumple con el requisito de unidad de invención porque se refiere a 2 grupos inventivos, definidos por las siguientes características esenciales:

1° Un anticuerpo Anti-Neuropilina-1 (Anti-NRP1) capaz de inhibir la interacción de NRP-1 con semaforina (Reivindicaciones 1-6, 13-18). Un polinucleótido que codifica el anticuerpo Anti-NRP1, un vector que comprende dicho polinucleótido, una célula huésped que comprende dicho vector (Reivindicaciones 19-22). Una composición farmacéutica, un kit y un artículo de fabricación que comprende dicho anticuerpo (Reivindicaciones 25-27).

2° Un anticuerpo Anti-Neuropilina-1 (Anti-NRP1) capaz de inhibir la interacción de NRP-1 con semaforina (Reivindicaciones 7-12, 13-18). Un polinucleótido que codifica el anticuerpo Anti-NRP1, un vector que comprende dicho polinucleótido, una célula huésped que comprende dicho vector (Reivindicaciones 19-22). Una composición farmacéutica, un kit y un artículo de fabricación que comprende dicho anticuerpo (Reivindicaciones 25-27).

El único concepto inventivo que comparten los anteriores grupos es proporcionar un anticuerpo Anti-Neuropilina-1 (Anti-NRP1). Sin embargo, este tipo de anticuerpos ya eran conocidos en el estado de la técnica mediante los documentos D1-D4, los cuales divulgan: un anticuerpo dirigido a NRP1 que inhibe por lo menos una actividad biológica mediada por neuropilina (D1, Discusión, pág. 481), un anticuerpo anti-NRP1 que inhibe la interacción de neuropilina 1 con semaforina (D2, pág. 40, primera columna, último párrafo), un anticuerpo anti-NRP1 que inhibe la interacción de neuropilina 1 con semaforina (D3, pág. 83, Abstract), un anticuerpo monoclonal que inhibe la interacción de neuropilina 1 con VEGF (D4, pág. 2341, Abstract).

El análisis anterior permite determinar que dicho único concepto no es nuevo ni inventivo y esto sumado a que no existen características técnicas adicionales entre los grupos permite concluir que no hay unidad de invención entre ellos.

Se sugiere presentar las solicitudes divisionales que considere necesarias, según los grupos mencionados o los considerados por el solicitante, ó limitar la solicitud inicial a una sola invención, eliminando de ella las otras invenciones.

El presente examen se llevará a cabo sobre el primer grupo inventivo.

7. Análisis de las Oposiciones

Argumentaciones de Lafranco

Afirma el oponente que esta solicitud pretende obtener patente para composiciones y combinaciones farmacéuticas donde se involucran moléculas que se encuentran plenamente identificadas y que ostentan beneficios patentarios, moléculas como Vatalanib (WO9835958, US6258812), Sunitinib (WO0160814, US6573293), Imatinib (EP564409, US5521184), Lapatinib (WO9935146, US6727256). Además afirma que la presente solicitud pretende solicitar una patente de invención para métodos de tratamiento los cuales no se consideran invenciones. Por lo anterior, el oponente afirma que la presente solicitud no cumple con los requisitos esenciales para acceder al derecho patentario por cuanto no se encuentran presentes la novedad y el nivel inventivo.

Respuestas del Solicitante

En respuesta a los comentarios del señor opositor el solicitante presenta un nuevo capítulo reivindicatorio compuesto por 29 reivindicaciones y resalta que se han eliminado las reivindicaciones alusivas a usos. Además menciona que en la evidencia presentada por el señor opositor no hay documentos que divulguen anticuerpos NRP1 que inhiban la unión de NRP1 con semaforina sin bloquear la unión de NRP1 con VEGF ni anticuerpos que inhiban la unión de NRP1 con VEGF sin bloquear la unión de NRP1 con semaforina y que por consiguiente las reivindicaciones presentadas son nuevas e inventivas.

Respuesta del Examinador Técnico

Las nuevas reivindicaciones presentadas (Reivindicaciones 1-29) superan las objeciones resaltadas por el oponente ya que se eliminan las reivindicaciones correspondientes a método de tratamiento, así como las correspondientes a los usos

del anticuerpo y adicionalmente se eliminan las correspondientes a combinaciones del anticuerpo con agentes adicionales. En cuanto a los documentos presentados en la oposición, estos no desvirtúan la novedad o el nivel inventivo del objeto de esta solicitud, ya que el objeto de esos documentos de patente es la protección de la molécula solamente (Vatalanib, Sunitinib, Imatinib, Lapatinib), sin hacer referencia al anticuerpo objeto de la solicitud en estudio, por lo cual no se considerarán dentro del examen de patentabilidad.

8. Determinación del Estado de la Técnica

El estado de la técnica se determinó con base en la fecha de presentación de la solicitud de prioridad: noviembre 08 de 2005, y se encontraron los siguientes documentos que anticipan el objeto de esta solicitud:

Ítem	Documento	Título	Fecha de Publicación*
D1	Nature Immunology, vol. 3, n° 5, mayo 2002, págs. 477-482.	A neuronal receptor, neuropilin 1, is essential for the initiation of the primary immune response.	Mayo/2002
D2	Molecular and celular neuroscience, vol. 18, n°1, Julio 2001, págs. 26-43.	Age-dependent effects of secreted semaphorins 3 ^a , 3F, y 3E on developing hippocampal axons: In vitro effects and phenotype of semaphorin 3 ^a (-/-) mice.	Julio/2001
D3	Neoplasia, vol 5, n°1, enero 2003, págs. 83-92.	Semaphorin SEM3F and VEGF have opposing effects on cell attachment and spreading.	Enero/2003
D4	Cáncer, vol. 101, n°10, noviembre 2004, págs. 2341-2350.	Pancreatic carcinoma cells express neuropilins and vascular endothelial growth factor, but not vascular endothelial growth factor receptors.	Noviembre/2004
D5	Developmental cell, vol. 5, n°1, julio 2003, págs.45-57.	Neuropilin-1 conveys semaphoring and VEGF signaling during neural and cardiovascular development.	Julio/2003

D1

Divulga (Discusión, pág. 481) un anticuerpo dirigido a NRP1 que inhibe por lo menos una actividad
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biológica mediada por neuropilina.

D2

Divulga (Pág. 40, primera columna, último párrafo) un anticuerpo anti-NRP1 que inhibe la interacción de neuropilina 1 con semaforina.

D3

Divulga (Pág. 83, Abstract) un anticuerpo anti-NRP1 que inhibe la interacción de neuropilina 1 con semaforina.

D4

Divulga (Pág. 2341, Abstract) un anticuerpo monoclonal que inhibe la interacción de neuropilina 1 con VEGF.

D5

Divulga (Pág. 45, Abstract) que NRP1 se enlaza a semaforina mediante el dominio a1a2 sin afectar el enlace a VEGF.

9. Examen de Patentabilidad (Art 14 D486)

Nivel Inventivo (Art18 D486)

La reivindicación 5 consiste en un anticuerpo anti-NRP1 el cual comprende las siguientes características técnicas:

Comparación de las características técnicas **esenciales**, con las del Estado de la Técnica:

Características esenciales	D1	D5
Anticuerpo anti-NRP1 que comprende las siguientes CDRs:	Divulga un anticuerpo anti-NRP1.	—
a) CDR-L1 que comprende la secuencia: SEC ID N° 123.		
b) CDR-L2 que comprende la secuencia: SEC ID N° 124.		
c) CDR-L3 que comprende la secuencia: SEC ID N° 125.		
d) CDR-H1 que comprende la secuencia: SEC ID N° 126.		
e) CDR-H2 que comprende la secuencia: SEC ID N° 127.		
f) CDR-H3 que comprende la secuencia: SEC ID N° 128.		

Que se une a NRP1 en el dominio a1a2 y bloquea la unión de NRP1 a semaforina sin bloquear la unión de NRP1 a VEGF.	—	Divulga que el enlace de NRP1 a semaforina se da mediante el dominio a1a2 sin afectar el enlace a VEGF (D5, Pág. 45, Abstract).
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Los documentos D1 y D5 se consideran el estado de la técnica cercano a la invención definida en la reivindicación 5 porque divulgan un anticuerpo anti-NRP1 (D1, Discusión, pág. 481) y además que el enlace de NRP1 a semaforina se da mediante el dominio a1a2 sin afectar el enlace a VEGF (D5, Pág. 45, Abstract).

La diferencia entre el anticuerpo definido en la reivindicación 5 y el anticuerpo de D1 consiste en las secuencias de los CDRs para el anticuerpo de la invención.

El efecto técnico que se logra con dicho anticuerpo es bloquear la unión de NRP1 a semaforina sin bloquear la unión de NRP1 a VEGF. La información contenida en el capítulo descriptivo no permite evidenciar que el efecto técnico es sorprendente y no hay ninguna diferencia considerable en cuanto a especificidad de unión o actividad, o alguna ventaja técnica para el anticuerpo de la invención.

Por lo tanto, el problema técnico objetivo que pretende resolver esta invención se puede formular así: "cómo modificar el anticuerpo anti-NRP1 conocido en D1 para conseguir anticuerpos alternativos a los ya conocidos.

Sin embargo, el hecho de que el enlace de NRP1 a semaforina se da mediante el dominio a1a2 sin afectar el enlace a VEGF (D5, Pág. 45, Abstract) ya está divulgado en el documento D5.

En consecuencia, conociendo que el sitio de unión del anticuerpo es el dominio a1a2 de NRP1 y que esto bloquea la unión a semaforina es obvio esperar que el anticuerpo de la invención tenga el mismo comportamiento y que se una al mismo sitio de unión produciendo los mismo efectos como el hecho de no interferir con el enlace a VEGF, por lo cual la invención se considera obvia.

La reivindicaciones 1-4, 6 y 13-18 no contiene características técnicas adicionales por lo tanto se considera que tampoco son inventivas.

Conclusión:

Las reivindicaciones 1-6 y 13-18 cumplen el requisito de novedad (Art. 16), pero no cumple con el requisito de nivel inventivo (Art. 18).

10. Conclusión

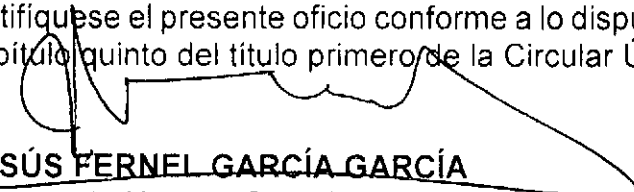
Los requisitos de patentabilidad de la presente solicitud están afectados así:

Ítem	Documento	Reivindicaciones afectadas	Requisito que afecta
D1	A neuronal receptor, neuropilin 1, is essential for the initiation of the primary immune response.	1-6 y 13-18	Nivel Inventivo
D5	Neuropilin-1 conveys semaphoring and VEGF signaling during neural and cardiovascular development.	1-6 y 13-18	Nivel Inventivo

En cumplimiento del artículo 45 de la Decisión 486 de la Comisión de la Comunidad Andina, se le indica que debe dar respuesta dentro del término de sesenta (60) días contados a partir de la fecha de notificación de la presente comunicación. En caso de no dar respuesta al presente requerimiento, o si a pesar de la respuesta subsistieran los impedimentos para la concesión, se denegará la patente.

Se invita al solicitante se sirva indicar, si así lo considera, su renuncia al término faltante de sesenta (60) días para dar respuesta a este requerimiento y presentarla por escrito.

Notifíquese el presente oficio conforme a lo dispuesto en el numeral 5.2, literal e), del capítulo quinto del título primero de la Circular Única No. 10 de 2001.


JESÚS FERNEL GARCÍA GARCÍA
 Director de Nuevas Creaciones (E)

El anterior requerimiento fue notificado por fijación en lista, de fecha

27 JUL. 2012

Realizado por: Ximena Andrade.

Revisado por: Consuelo Leguizamón. 

Aprobado por: Jesús Fernel García García.

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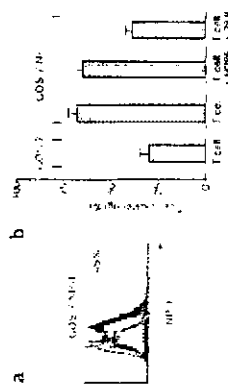


Figure 3. Neutrophil-1 is expressed by resting T cells and T cell subsets. (a) Left panel: FACS analysis of resting T cells, monocytes, adherent cells, and resting cells. The y-axis represents expression level (0 to 100). The x-axis categories are Resting T cells, Monocytes, Adherent cells, and Resting cells. NRP-1 is expressed in all populations, while CD3 and CD4 are primarily in T cells. (b) Right panel: Flow cytometry histogram showing NRP-1 expression on CD3+ cells. The x-axis is NRP-1 (log scale) and the y-axis is CD3+ cells. The histogram shows a peak at high NRP-1 expression.

Neutrophil-1 expression by T cells

To determine whether neutrophil-1 expression *in vivo* reflected its expression *in vitro*, sections of human lymph nodes were stained with neutrophil-1 antibodies. We selected samples from patients with reactive dermatophyte lymphadenitis, because such samples contain high numbers of DCs in the interfollicular areas. Neutrophil-1-expressing cells were found in lymph node T cell areas (Fig. 2a,b, short arrows). These stained cells were large with irregular nuclei and abundant cytoplasm, which was consistent with the distribution and morphology of DCs. Analysis of serial sections of the same biopsy samples stained for CD1a, indicated that these large cells were indeed DCs (Fig. 2c). Finally, using double immunofluorescence with antibodies to neutrophil-1 and CD1a, we showed that the CD1a+ cells also expressed neutrophil-1 (Fig. 2d).

The distinct interaction between T lymphocytes and DCs results in formation of the immunological synapse. The formation of this structure leads to polarization of molecules such as CD1a, CD1b, and CD1c on the effector T lymphocyte. Using immunofluorescence,

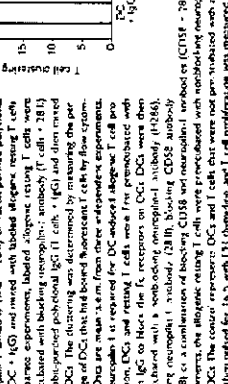


Figure 4. Addition of soluble neutrophil-1 to DCs and T cells. (a) We tested the binding of soluble neutrophil-1 to DCs or resting T cells by measuring the percentage of DCs or T cells bound to soluble neutrophil-1 by flow cytometry using anti-NRP-1 antibody. DCs were preincubated with soluble neutrophil-1 or vehicle. (b) Flow cytometry histogram showing NRP-1 expression on CD3+ cells. The x-axis is NRP-1 (log scale) and the y-axis is CD3+ cells. The histogram shows a peak at high NRP-1 expression.

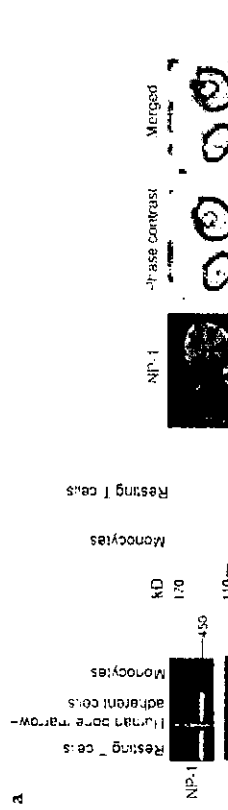


Figure 5. Neutrophil-1 is expressed by resting T cells and T cell subsets. (a) Left panel: FACS analysis of resting T cells, monocytes, adherent cells, and resting cells. The y-axis represents expression level (0 to 100). The x-axis categories are Resting T cells, Monocytes, Adherent cells, and Resting cells. NRP-1 is expressed in all populations, while CD3 and CD4 are primarily in T cells. (b) Right panel: Flow cytometry histogram showing NRP-1 expression on CD3+ cells. The x-axis is NRP-1 (log scale) and the y-axis is CD3+ cells. The histogram shows a peak at high NRP-1 expression.

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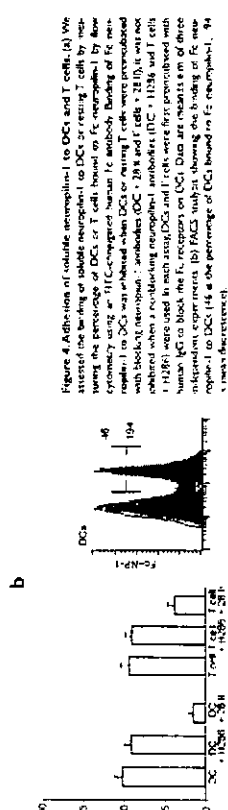


Figure 6. Neutrophil-1 mediates DC-T cell clustering and DC-induced proliferation of resting T cells. (a) Neutrophil-1-expressing DCs were cocultured with resting T cells in the presence of soluble neutrophil-1 or vehicle. (b) Flow cytometry histogram showing NRP-1 expression on CD3+ cells. The x-axis is NRP-1 (log scale) and the y-axis is CD3+ cells. The histogram shows a peak at high NRP-1 expression.



Figure 7. Neutrophil-1 is expressed by resting T cells and T cell subsets. (a) Left panel: FACS analysis of resting T cells, monocytes, adherent cells, and resting cells. The y-axis represents expression level (0 to 100). The x-axis categories are Resting T cells, Monocytes, Adherent cells, and Resting cells. NRP-1 is expressed in all populations, while CD3 and CD4 are primarily in T cells. (b) Right panel: Flow cytometry histogram showing NRP-1 expression on CD3+ cells. The x-axis is NRP-1 (log scale) and the y-axis is CD3+ cells. The histogram shows a peak at high NRP-1 expression.

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Figure 8. Neutrophil-1 mediates DC-T cell clustering and DC-induced proliferation of resting T cells. (a) Neutrophil-1-expressing DCs were cocultured with resting T cells in the presence of soluble neutrophil-1 or vehicle. (b) Flow cytometry histogram showing NRP-1 expression on CD3+ cells. The x-axis is NRP-1 (log scale) and the y-axis is CD3+ cells. The histogram shows a peak at high NRP-1 expression.

CORRIGENDA

A neuronal receptor, neuropilin-1, is essential for the initiation of the primary immune response

Julius A. Treisman, Yves Coppieters, Nadine Lammich, Marc C. Robert, Holger W. Loh, and Thomas G. F. Slater

Nature Immunology 3, 477–482

In the May 2002 issue of *Nature Immunology*, an error in the caption for Figure 1 was identified. The correct caption is as follows: "Figure 1. The expression of neuropilin-1 is essential for the initiation of the primary immune response."



c

Angiogenin: an antimicrobial ribonuclease

Thomas Ganz

Nature Immunology 4, 213–214

In the March 2003 issue of *Nature Immunology*, Page 213, second column the last sentence should have read: "In this issue of *Nature Immunology*, Hupper et al. describe angiogenin-4 (Ang4) as a previously unrecognized and abundant antimicrobial constituent of neutrophilic cell granules."

Age-Dependent Effects of Secreted Semaphorins 3A, 3F, and 3E on Developing Hippocampal Axons: *In Vitro* Effects and Phenotype of Semaphorin 3A (–/–) Mice

Esther Pozas,¹ María Pascual,^{1*} Kim Tuyen Nguyen Ba-Charvet,¹ Patricia Gujarrío,^{1*} Constantino Sorcello,¹ Alain Chédotal,¹ José A. Del Río,^{1*} and Eduardo Soriano^{2,3*}

¹Departamento de Cell Biology and Neuroscience Research Center (CIBER), University of Barcelona, E-8028, Spain, and INSERM U1066, Hôpital de la Pitié-Salpêtrière, Paris, France, ²INSERM U1066, Hôpital de la Pitié-Salpêtrière, Paris, France, ³INSERM U1066, Hôpital de la Pitié-Salpêtrière, Paris, France

We studied the role of Semaphorins in the formation of hippocampal connections at embryonic and early postnatal stages. We show that the embryonic entorhinal cortex has a repulsive effect on embryonic hippocampal axons that disappears gradually at postnatal stages. Such chemorepulsion is blocked by Neuropilin-1 and -2 blocking antibodies. However, at postnatal stages, the inner layers of the entorhinal cortex attract CA1 axons. At these stages, Semaphorin 3A and Semaphorin 3F bind commissural and entorhinal axons. Semaphorin 3A repels hippocampal axons at E14–P2, but not at E13. A similar spatiotemporal pattern of chemorepulsion is observed for Semaphorin 3A on entorhinal axons, in contrast to Semaphorin 3F, which repels these axons only at postnatal ages. Semaphorin 3E also repels hippocampal axons but exclusively at E14. We show that Semaphorin 3A and Semaphorin 3F can induce the collapse of hippocampal growth cones and that membrane-bound Semaphorin 3A and Semaphorin 3F can guide hippocampal axons in the stripe assay. In Semaphorin 3A (–/–) mice, the entorhinal projection is largely normal although single axons innervate aberrantly the stratum radiatum and theilus. Thus, the chemorepulsion evoked by Semaphorin 3A, Semaphorin 3F, and Semaphorin 3E is dynamically regulated in the developing hippocampal formation.

INTRODUCTION

The major hippocampal afferents arise from the entorhinal cortex. The CA1 hilar region and the septum

are the main projection targets. These regions should be

Semaphorins and Hippocampal Development

It is suggested that class 3 Semaphorins may play a role in axon fasciculation and branching (Malk et al., 1997). These effects are mediated by Neuropilin 1 and Neuropilin 2, which are high affinity receptors for class 3 Semaphorins (Chen et al., 1997; Reuter et al., 1997; Li and Reuter, 1997; Kottmann et al., 1997). In addition, Plexins bind to Neuropilins and are functional receptors for Class 3 secreted Semaphorins (Takahashi et al., 1998; Taniuchi et al., 1999). Most studies on secreted Semaphorins focus on the specific developmental stages at which axons exit from the parent brain region, and indicated that these molecules play a role in the initial paths of developing axons. However, we report Semaphorins are expressed through later developmental stages and in the adult, and they may be involved in processes such as layer-specific targeting, synaptogenesis or reactive synaptogenesis (Luo et al., 1993; Giger et al., 1996, 1998; Sliemers et al., 1996; Paterkamp et al., 1998a,b). In fact, Semaphorin 3A has been shown to collapse adult nociceptive sensory afferents (Lundell et al., 1997; Reza et al., 1998).

To understand the role of Semaphorins throughout development, we analyzed the effects of secreted Semaphorins on the hippocampal system at different stages, from the onset of axon outgrowth to the stages when the layer-specific connections were formed. In addition, we evaluated different regions of the hippocampal system in order to investigate the age-dependent action of endogenous chemorepulsive signals and analyzed the implication of Neuropilin receptors. Finally, phenotypic analyses of Semaphorin 3A-deficient mice were carried out.

RESULTS

Semaphorin 3A and Semaphorin 3F Induce Growth Cone Collapse and Orient Hippocampal Axons

Previous studies have shown that Semaphorin 3A and Semaphorin 3F repel hippocampal (H) and entorhinal cortex (EC) axons in three-dimensional collagen gels at embryonic days E15–E17 (Hedger et al., 1998). To determine whether Semaphorin-induced chemorepulsion of hippocampal axons was mediated by growth cone collapse and/or reorientation of axons, we performed collapse and stripe assays. For the collapse assay, embryonic hippocampal explants from the hippocampus (CA1 region) and the EC were cultured on coverslips, and then exposed to recombinant secreted Semaphorins for 20–45 min to hippocampal and EC axons treated with control medium (from mock-transfected) ECIS cells, growth cones

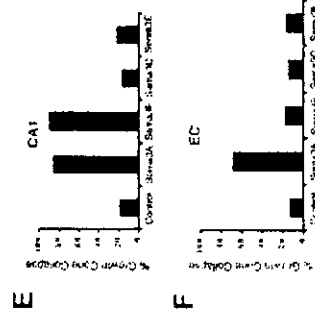
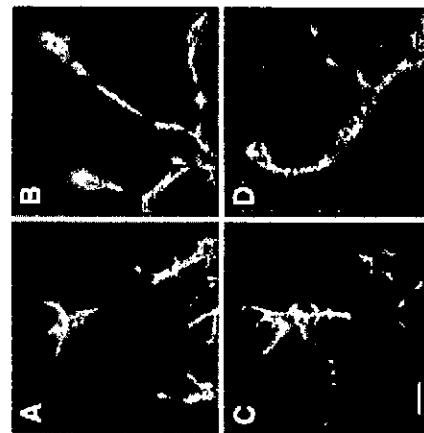


FIG. 1. Representative photomicrographs of hippocampal explants from E14 and E13. Explants from E14 and E13 are triangular in shape. Explants from E14 and E13 treated with Semaphorin 3A and Semaphorin 3F are collapsed and elongated. Explants from E14 and E13 treated with Semaphorin 3E are triangular in shape. Scale bars: A, B, 100 μ m; C, D, 50 μ m. **FIG. 2.** Bar graphs showing the percentage of growth cone collapse and elongation for CA1 and EC explants. Explants from E14 and E13 were cultured on coverslips in the presence of control medium or Semaphorins 3A, 3F, and 3E. The percentage of growth cone collapse and elongation for each Semaphorin is shown. The data are the mean $\pm$ SEM of three separate experiments.

exhibited large, star-shaped, and characteristic triangular shapes with lamellipodia and filopodia (Figs. 1A, 1C, 1E, and 1F). After 20–45 min of incubation with

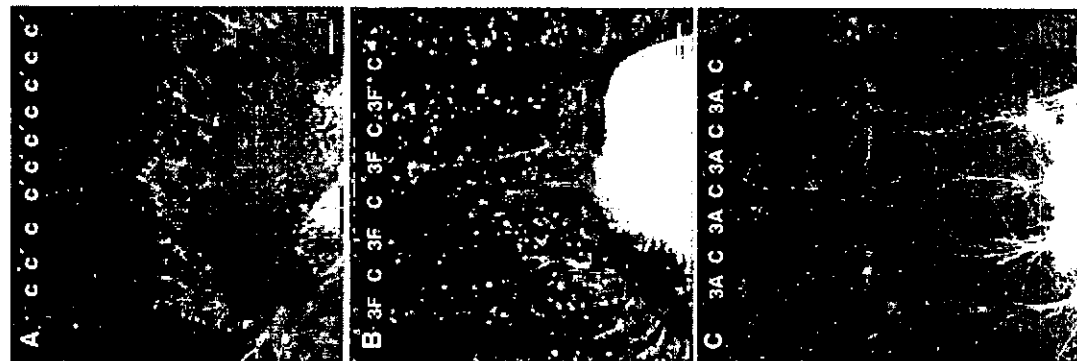


FIG. 2. Fluorescence micrographs of hippocampal explants. Hippocampal explants were cultured in the presence of Semaphorin 3F or Semaphorin 3A. **A:** Control explant. **B:** Explant expressing Semaphorin 3F. **C:** Explant expressing Semaphorin 3A. Scale bar, 100 μ m. **A:** Control explant. **B:** Explant expressing Semaphorin 3F. **C:** Explant expressing Semaphorin 3A. Scale bar, 100 μ m. **A:** Control explant. **B:** Explant expressing Semaphorin 3F. **C:** Explant expressing Semaphorin 3A. Scale bar, 100 μ m.

Sema3A, the majority of hippocampal and entorhinal growth cones (86 and 60%, respectively) had round, apple, or "pencil-like" shapes and lacked filopodia and lamellipodia (Figs. 1D–F), which is characteristic of collapsed growth cones (Lee et al., 1993; Goshima et al., 1995; Eftink-Lessner et al., 1997; Li and Strittmatter, 1997). In contrast, Sema3F induced a selective increase in the number of collapsed growth cones in hippocampal axons (89%) but not in EC axons (Figs. 1B, 1E, and 1F), consistent with studies showing that Sema3F does not repel entorhinal fibers at E15–E17 (Richard et al., 1998). In addition, Sema3F and Sema3A had no effect on growth cone morphology (Figs. 1F and 1G). We conclude that secreted Sema3A and Sema3F differentially induce the collapse of hippocampal and EC growth cones.

In mammals, secreted Semaphorins have a short stretch of basic amino acids at their carboxy termini, which is likely to limit the diffusion of Semaphorins (Cubitt and Koleschko, 1996; Bagnard et al., 1998). To further explore the hypothesis that developing hippocampal axons could be spatially reoriented by restricted presentations of membrane-bound secreted Semaphorins, we used the stripe assay. Hippocampal axons grew uniformly irrespective of the stripes when confronted with alternating lanes of membranes from mock-transfected and untransfected COS cells (Fig. 2A). In contrast, and as expected from the chemorepulsive and collapsing activities of Sema3F and Sema3A, hippocampal axons confronted with alternating lanes of membranes from COS cells expressing Sema3F or Sema3A and those from control COS cells, invariably avoided Semaphorin membranes (Figs. 2B and 2C). We conclude that membrane-bound Sema3F and Sema3A can act as a short range cue for hippocampal axons, inhibiting their growth and reorienting it toward the Semaphorin-free stripes.

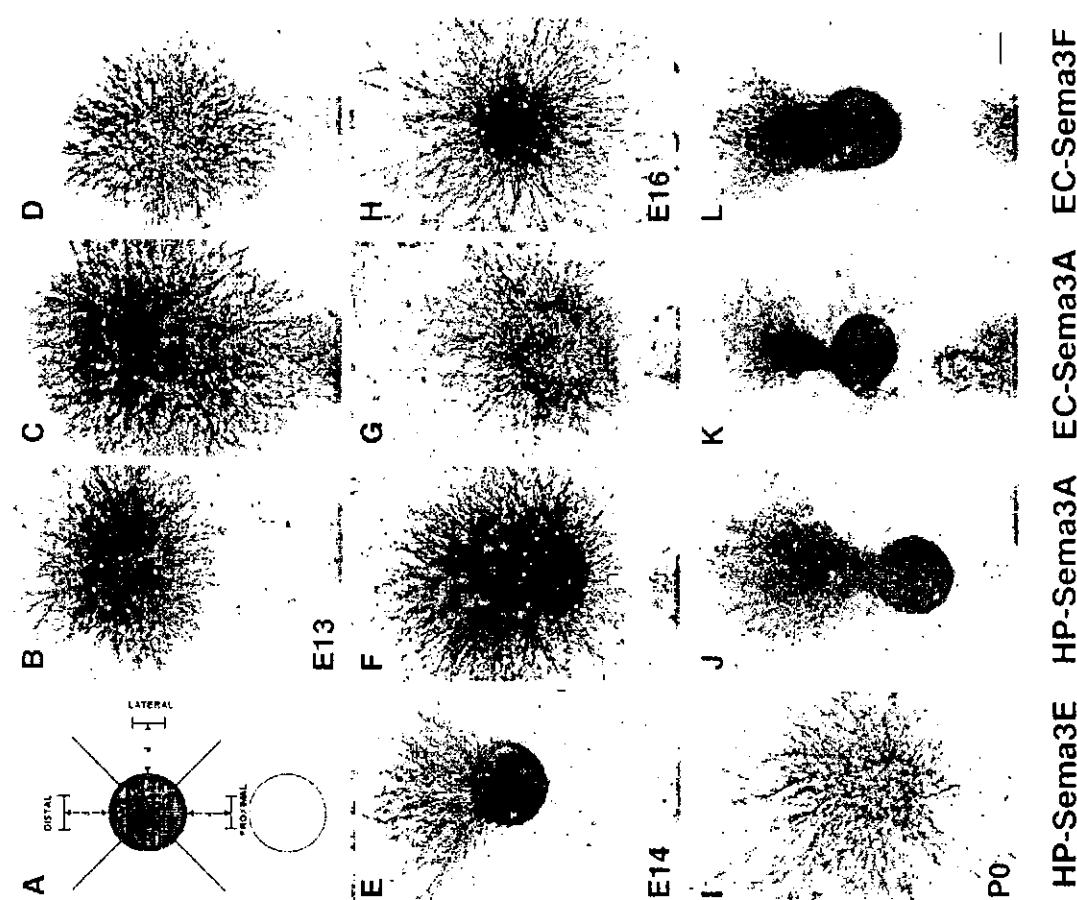


FIG. 3. Fluorescence micrographs of hippocampal explants. Hippocampal explants were cultured in the presence of Semaphorin 3F or Semaphorin 3A. **A:** Control explant. **B:** Explant expressing Semaphorin 3F. **C:** Explant expressing Semaphorin 3A. Scale bar, 100 μ m. **A:** Control explant. **B:** Explant expressing Semaphorin 3F. **C:** Explant expressing Semaphorin 3A. Scale bar, 100 μ m.

Age-Dependent Effects of Secreted Semaphorins in the Hippocampal Formation

In a previous study we showed that *Sema3A* and *Sema3F* repel hippocampal axons at E15–E17 (Chedotal *et al.*, 1998). However, both semaphorins and their receptors are expressed in the hippocampal area at earlier and later developmental stages, which suggests additional functions (Guge *et al.*, 1996, 1998; Chedotal *et al.*, 1998). To determine how an effect of semaphorin actions and additional functions of secreted semaphorins, we performed a time course analysis of semaphorin effects on explants from E13 to postnatal day 2 (P2). Explants were cocultured at a distance with aggregates of transfected COS cells in collagen gels. Axonal growth was monitored by immunostaining against the axonal specific β -III tubulin, and the number of fibers was counted in the distal and proximal quadrants (Fig. 3A). Hippocampal and entorhinal explants cocultured with control, mock-transfected cells, exhibited radial axonal growth at any age assayed (E13–P2) (Figs. 4A, 4B). Similar results were obtained when E13 explants were cocultured with Semaphorin-transfected COS cells (Figs. 3B, 3C and 4A). However, a consistent chemorepulsive effect was observed when hippocampal and entorhinal explants were cocultured with *Sema3A* at E14 (Figs. 3E, 3G, and 4B), and for hippocampal explants that not for entorhinal explants cocultured with *Sema3F* secreting COS cells (Figs. 4B; see also Fig. 4D). A similar but more chemorepulsive effect was apparent at E16 in both hippocampal and EC explants (Fig. 4C), which confirm our previous observations at E15–P2 (Chedotal *et al.*, 1998). These data indicate that the onset of *Sema3A* and *Sema3F*-mediated chemorepulsion begins at E11 in the hippocampal system.

Next we wanted to determine whether these effects lasted at early postnatal stages (P0–P2), when hippocampal afferents had already reached their target regions and layers in the hippocampus (Super and Super, 1994; Super *et al.*, 1998). The strong chemorepulsive effect of *Sema3A* and *Sema3F* on hippocampal axons was maintained at P0–P2 with a robustness sim-

ilar to that at E16 (Figs. 3I, 4D, and 4E). For the EC, we divided the entorhinal plate into an upper (ECu) and inner (ECi) half, to assess it is known that only the upper layers (ECu) project to the hippocampus (Amaral and Witter, 1995). *Sema3A* elicited a chemorepulsive effect on both the upper and inner EC, although particularly at P2, the effect was less dramatic than at embryonic stages (Figs. 3K, 4D, and 4E). In addition, and contrary to previous stages, both the inner and lower entorhinal cortex were strongly repelled by *Sema3A* at P0 (Fig. 4I and 4D). At P2, however, such repulsion was only observed in the inner EC (Fig. 4E). The *Sema3F*-induced chemorepulsion was only maintained for the inner ECu for the upper EC (Fig. 4E). The present findings show clear age-dependent effects of *Sema3A* and *Sema3F* on hippocampal axons and a striking chemorepulsive effect of *Sema3F* on entorhinal axons only, within a narrow developmental window.

We were unable to detect any effect of *Sema3C* on the growth of hippocampal and entorhinal axons at any tested age (E13–P2) (Figs. 4A, 4F). Consistent with our previous data at E15–P2, the same holds true for *Sema3E* at all the developmental stages tested except for E14 (Figs. 3F and 4F). Only at this stage did we find that hippocampal but not entorhinal axons were repelled in the presence of *Sema3E*-secreting COS cells (Figs. 3F and 4F). Again, these observations support the notion that secreted semaphorins act at very strict developmental stages.

Binding Sites for *Sema3A* and *3F* Are Dynamically Regulated During Hippocampal Development

Previous studies have shown that both *neuropilin-1* and *neuropilin-2* transcripts are highly expressed in the hippocampal formation including the EC, from E14 to postnatal stages (Chedotal *et al.*, 1996; Chedotal *et al.*, 1998). This contrasts for instance with the lack of effect of *Sema3C* on embryonic entorhinal axons. To clarify this discrepancy, and to better understand the dynamic effects of *Sema3A* and *Sema3F* on developing hippocampal axons, we mapped *in situ* the binding sites

for recombinant *Sema3A* and *Sema3F* tagged with alkaline phosphatase (AP). Brain sections from E16–E18 embryos and P0–P2 postnatal mice were incubated with Semaphorin-AP fusion proteins and developed with an AP-enzymatic reaction. At E16–E18 *Sema3A*-AP-binding sites were observed through the dentate gyrus and alveus, the developing white matter (Fig. 5A). In addition, staining was also present in the plexiform layers, including the stratum lacunosum moleculare (slm) (the entorhinal termination zone), the stratum oriens (so), and stratum radiatum (sr) (the corpus callosum/associational termination zones) (Fig. 5A). A similar pattern of *Sema3F* binding sites, although less intense, was found at P0–P2 (not shown). This pattern of binding is consistent with the chemorepulsive exerted by *Sema3A* on both hippocampal and entorhinal axons.

Sema3F-AP staining at E16–E18 was evident in the developing white matter and dentate gyrus and in the stratum oriens and radiatum, which are the layers of termination for the commissural-associated pathway (Fig. 5B). In contrast, AP staining was undetectable in the stratum lacunosum moleculare. This is consistent with the observation that *Sema3F* repels embryonic hippocampal axons, but not embryonic entorhinal fibers (Chedotal *et al.*, 1998; present findings Fig. 4). However, sections from postnatal (P0–P2) brains showed a wide distribution of *Sema3F*-AP binding sites, with labeling in both fiber tracts and the different plexiform layers of the hippocampus, including the stratum lacunosum moleculare (the entorhinal termination zone) (Fig. 5C). The data indicate that at these stages, both commissural/associated and entorhinal axons display binding sites for *Sema3F*.

Hippocampal Axons Are Transiently Repelled by Diffusible Signals Released from the EC

Previous investigations have shown that the EC releases long-range diffusible signals that repel E15–E17 hippocampal axons (Chedotal *et al.*, 1998). These observations agree with the early patterning and trajectories of hippocampal afferents in which a both CA1 and CA3 fibers grow through the dentate gyrus, while the hippocampal midline but do not invade the EC (Super *et al.*, 1993; Super and Super, 1998). To determine whether EC-derived chemorepulsive signals varies during development, we analyzed the effect of entorhinal explants on hippocampal axonal growth at different stages. Explants from the EC and hippocampal axons were cocultured in collagen gels and hippocampal axonal growth was monitored by exposing DAPI-labeled explants to a 30 min

methylnitrocelulose membrane cryostat. As in our previous study (Chedotal *et al.*, 1998), we found that EC explants consistently repelled the outgrowth of CA1 and CA3 axons at E16 (Figs. 6B and 7B). Such a marked chemorepulsive effect was already detected at E14 (Figs. 6A and 7A). However, EC-induced chemorepulsion was virtually absent at postnatal stages (P0–P2), when mild chemorepulsion was observed only at P0 when the CA3 region was confronted with the upper EC (Figs. 6C and 7C). In addition, we noted that the inner layers of the EC, but not the upper EC, exerted strong chemorepulsion on CA1 hippocampal axons at P0–P2 (Figs. 6D, 7C, and 7D). CA3 axons exhibited neither the chemorepulsive nor chemotactic responses at any of the ages tested (Figs. 7B and 7D). We conclude that the EC exerts a chemorepulsive effect on developing hippocampal axons at embryonic stages, which disappears gradually at postnatal stages. Conversely, the inner layers of the entorhinal cortex attract at a distance CA1 axons only at perinatal stages, which is consistent with the fact that CA1 but not CA3 pyramidal neurons send axon collaterals that innervate the inner layers of the EC (Amaral and Witter, 1995).

Neuropilin-1 and Neuropilin-2 Receptors Mediate EC-Induced Chemorepulsion

To test whether EC-induced chemorepulsion on hippocampal axons was mediated by Neuropilin receptors, we cocultured hippocampal and EC explants in the presence of anti-NP-1 or anti-NP-2 blocking antibodies (Chedotal *et al.*, 1998; Giger *et al.*, 1998) and hippocampal axonal growth was monitored by injecting DAPI. These experiments showed that at E14 and E16 EC-induced chemorepulsion of hippocampal axons was abolished in the presence of anti-NP-1 but not in the presence of anti-NP-2 in control IgGs (Figs. 8A, 8C, 8E, and 8F). In contrast, at P0 both anti-NP-1 and NP-2 antibodies blocked chemorepulsion of hippocampal axons induced by the upper EC (Figs. 8D and 8G). These data support the participation of endogenous secreted semaphorins and the specific activation of Neuropilin receptors in the EC-induced chemorepulsion of hippocampal axons.

Analysis of *Sema3A*-Deficient Mice

Finally, we analyzed the impact of the lack of *Sema3A* on the development of entorhinal/hippocampal axons *in vivo*. For this, PVL was injected in the EC of wild-type and *Sema3A*-deficient mice at P0–P2. As in previous studies, entorhinal/hippocampal fibers were co-

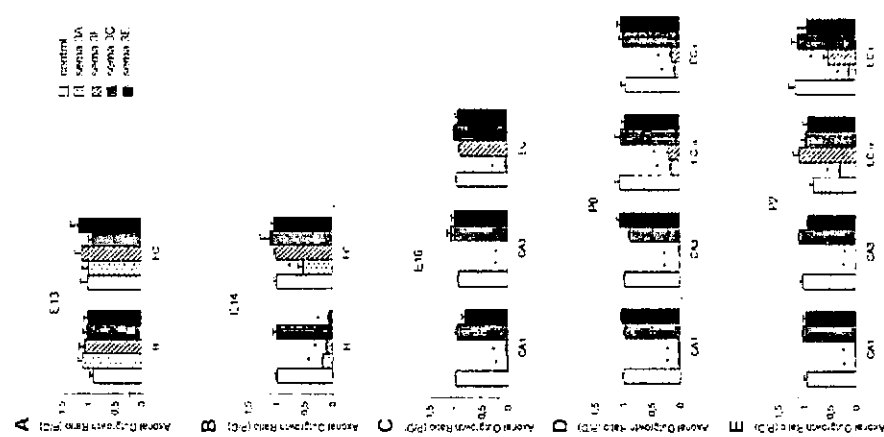


FIG. 4. Age-dependent effect of hippocampal axons on dentate granule cell survival. Hippocampal axons were cultured in the presence of hippocampal axons (CA1, CA2, CA3, CA4, DG) and P0 DG. Histograms show the percentage of dentate granule cells that survived in the presence of hippocampal axons. The y-axis represents the percentage of cells that survived. The x-axis represents the percentage of cells that survived. The legend indicates the different hippocampal regions: CA1 (white), CA2 (hatched), CA3 (dotted), CA4 (solid black), and DG (solid black). The data shows that the percentage of cells that survived decreases with age, particularly in CA1 and CA2.

stricted in wild-type mice to the ipsilateral stratum lacunosum-moleculare with no fibers present in the stratum radiatum and subgranular layers (Fig. 9A). At these stages, a few axons invaded the molecular layer of dentate gyrus as well. Laminal axons were also present in the fimbria and white matter (Fig. 9A).

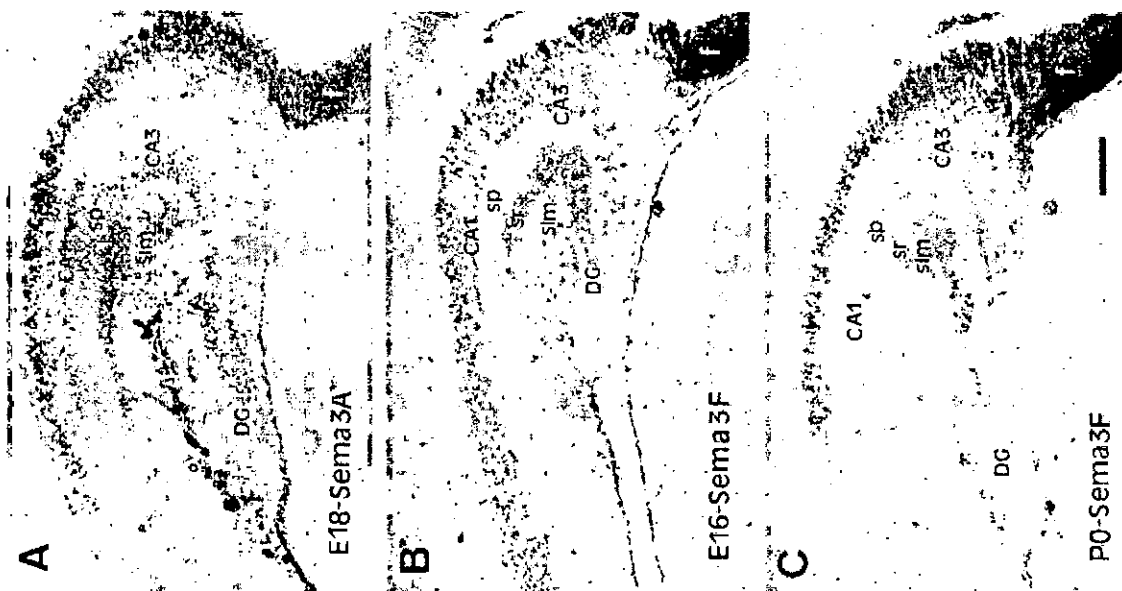
In *sema3A* deficient mice, an essentially similar pattern of termination was observed (Figs. 9B, 9D). Thus, entorhinal hippocampal axons terminated in the stratum lacunosum-moleculare of the ipsilateral hippocampus and fibers ran into the fimbria and the white matter. However, there were both single fibers and axonal fascicles that invaginated in the stratum radiatum and stratum radiatum and the fimbria (Figs. 9B, 9D). Also, scattered neurons were retrogradely labeled in the fimbria (Fig. 9D). We thus conclude that the lack of *sema3A* does not alter essentially the basic pattern of termination of entorhinal hippocampal axons *in vivo* at early postnatal stages, although it causes minor targeting errors.

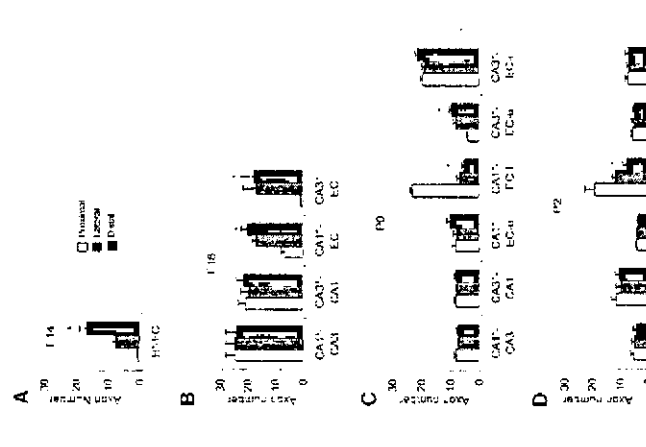
DISCUSSION

A Combination of Endogenous Chemorepellent and Chemoattractive Factors Play a Role in the Development of Hippocampal Connections

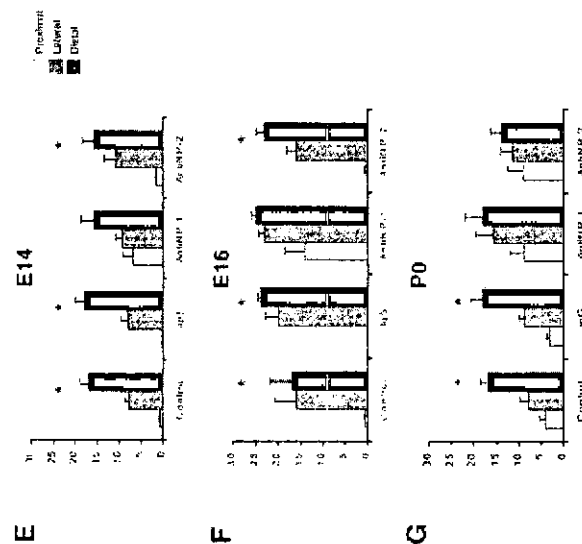
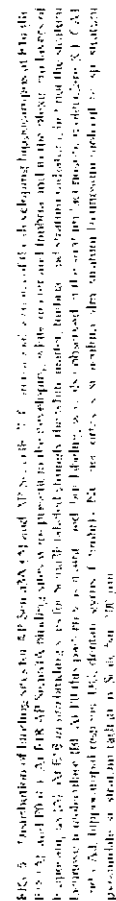
During the early development of hippocampal connections, the CA1 and CA2 perirhinal neurons send axons toward the telencephalic midline hippocampal commissure through the fimbria. However, hippocampal axons do not invade the entorhinal area (Saper and Soriano, 1994; Amaral and Witter, 1995; Saper et al., 1998; Barrell et al., 1999a,b). In a previous study, we showed that the EC releases chemorepellent diffusible factors for the growth of hippocampal axons at E15, E17, and we proposed that such chemorepellent activity prevent hippocampal axons to invade the EC (Chedotal et al., 1998). Here we show that EC-induced chemorepellence of hippocampal axons is already present at E14, and that it disappears completely at early postnatal stages. Such an age-dependent pattern of EC-evoked chemorepellence on hippocampal axons is consistent with the onset of developing hippocampal connections, which starts at E14 (Saper and Soriano, 1994; Saper et al., 1998). Furthermore, the lack of endogenous chemorepellent activity at early postnatal stages when hippocampal axons have already reached their target areas, suggests that the role of long-range chemorepellent factors secreted by EC may be related to the early guidance of hippocampal axon trajectories.

In addition to the repulsive action of molecules re-





derived from the *fit*, chemoductive factors present in the media, the sexup or bipotential itself could cooperate in directing hippocampal axons towards the

[illegible]

have been proposed previously for sensory and cortical neurons. Alexander *et al.* (1993, Puschel *et al.*, 1995, Bagdasarian *et al.*, 1998). Taken together, these results suggest that sampling effects on developing hippocampal pyramidal cells are caused by both long-range and local perturbations, resulting in collapse and reformation of synapses.

isotonic and normotonic conditions, after wash out of the agonist, the agonist-induced depolarization was completely and transiently suppressed (Fig. 10). However, the

repulsion of hippocampal axons at embryonic stages of NP1-4 affinity is only blocked by anti-NP3, but not by anti-NP2 antibodies, suggests that *Sema3A* is the endogenous factor mediating repulsion of CA3 CA1 axons at these ages. Thus, although recombinant *Sema3A* causes collapse and reorientation of embryonic hippocampal axons, and these axons display *Sema3A* binding sites, EC-derived *Sema3A* does not appear to contribute significantly to long range chemorepulsion of hippocampal axons in agreement with our stripe assay. Restricted diffusion and local presentation of *Sema3A* may serve as a short distance guidance cue for embryonic hippocampal axons. In line with this, the fact that *Sema3A* and *Sema3B* still chemorepelled hippocampal axons at postnatal stages, long after these axons have reached their target layers, suggests the participation of secreted *Sema3* proteins in processes such as target selection and cell selection, and axonal branching and synaptogenesis. Since EC-induced chemorepulsion of hippocampal axons at postnatal stages is significantly reduced in the presence of NP1 or NP2 blocking antibodies, it is likely that both *Sema3A* and *Sema3B* contribute to this process.

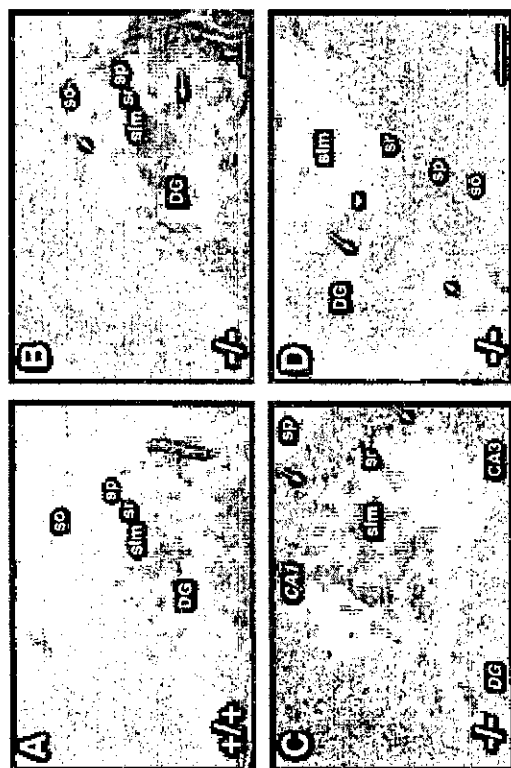
axonal branching and synapse formation. Since Ca^{2+} -induced chemorepulsion of hippocampal axons at postnatal stages is significantly reduced in the presence of NP1 or NP2 blocking antibodies, it is likely that both *Sema3A* and *Sema3F* contribute to this process.

inhibits responding to ACTH stimulation, induces collapse and downregulation of growth cones, probably acting through NPY receptors (Miyazaki *et al.* 1994a,b). Here

we have consistently found that Semaph3 events strong, the downregulation of hippocampal axons at E14, but not at E13.5 (Fig. 12). Semaph3 expression in the hippocampal system, including the EC, and hippocampus, starts at E14 with expression increasing with age to peak at E18 (Fig. 13). This expression pattern is similar to that observed in the embryonic hippocampus (Fujita et al., 1999a). E.P. unpublished observations). The formation of such extremely short processes of Semaph3-exposed hippocampal neurons is reminiscent of Semaph3-induced axon branching in cerebellar granule cells (Fujita et al., 1999b), although it is possible that it may be related to the early guidance of hippocampal axons. One possibility is that Semaph3, produced by pyramidal neurons, may act as an attractive molecule on pyramidal neurons themselves, helping their axons to exit the hippocampus. In addition, since Semaph3 induces morphological differentiation of P0 L2 cells, apparently through receptors other than Neuropilin (Sasaki et al., 1999), this Semaph3 action may affect the differentiation of hippocampal L2 cells.

The Development of Hippocampal Connections Is a Multifactorial Process Involving Different

Consistent with previous studies (Graham *et al.*, 1998), we were unable to detect major alterations in the hippocampal populations of extracellular *serum*-A β following mTBI. These results, together with our findings showing an ap-

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foundly normal pattern of the main topographical connections in *neocortex 21* – *cortex 1* (Ben et al. 2000; Giger et al. 2000), suggests a similar developmental process in the cortex of different species, and, respectively, under the control of different genetic and regulatory factors and substrate-based mechanisms, including Ephrins, Slits, ephraeclins and Wnt proteins. Notches, Semaphorins, the Rho GTPase, Src and *Net* (Li, 1999; Cline et al. 1998; Nguyen et al. 1997; Li et al. 1999; Skutella et al. 1999; Barak et al. 2000) present receptors. In line with this, we observed mild layer-specific misrouting errors of developing retinal axons to *semaphorin 3A* (~ 1 %) mice, which abnormally innervate retinal layers such as the stratum opticum and the plexiform layer. Since similar abnormalities have recently been described in the layer-specific targeting of non-retinal, non-somatosensory afferents in *net-1* (~ 1 %) and *Barak* (~ 1 %) mice (Giger et al. 2000), the suggestion that they represent

EXPERIMENTAL METHODS

Animals

UE Fautice (UEFA) Cup, Lyon, France) at several European (UEFA Cup, UEFA Champions League) and national (Ligue 1, Coupe de France) levels. The players were

hippocampal axons is also likely to be controlled by different signals acting at sequential developmental stages (De Roo et al., 1997; Skene et al., 1999; Stein et al., 1999; Super et al., 1998; Tallbäck et al., 2000). The neuronal expression of Semaphorins and their receptors in the adult hippocampus, together with the present results showing that postnatal hippocampal axons still respond to these molecules, suggest that semaphorins could play a role in the process of axonal remyelination which occur in adult brain.

Stripe Assay

Cell membranes were prepared essentially as described previously by Charvet *et al.* (1993). Briefly, transfection of COS cells was kept for 30 min at 4°C in a solution of 0.5 M urea in PBS, with 2000 kU streptomycin, 59 U/ml leucine aminase inhibitor (Boehr) and homogenized in a Dounce apparatus. The homogenate was centrifuged and the supernatant dialyzed overnight against:

used for the culture experiments and binding assays. The day at which a vaginal plug was detected was considered as embryonic day 0 (E0) and the day of birth as postnatal day 0 (P0).

PPS. The concentration of each membrane suspension was adjusted such that an optical density of 1.15 at 260 nm (measured in a Beckman DU-680S) would yield an optical density of 2.0 at 260 nm (Beckman spectrophotometer). Cells, along with a fermenting medium, were air-lifted on nitrogen gas, poly-carbonate filters, (Corning) and were prepared according to Wadden *et al.* (1987). Cell extracts were stained with the vital dye, fluorescein diacetate, which stains all living cells and their precursors.

Explant Cultures and Cocultures

Embryonic and postnatal brains were collected and sectioned at 250–350 μ m using a vibratome.



Reducing the Burden of Disease

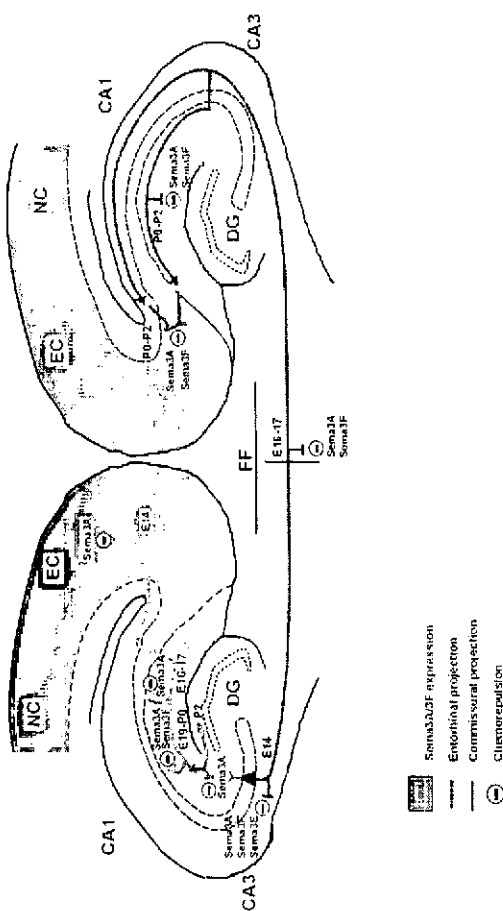


TABLE 10. Synaptic changes accompanying the mean findings of the present study. At E14 collocated axons (E14 axons) were present in the histological sections; synaptic changes related to this age, a significant subset of Synap3+ thermoreceptor axons (E14 axons) were identified. At later days, axonal terminals were no longer in the stratum lucidum, and no additional Synap3+ thermoreceptor axons (E14 axons) were identified. At E14, numerous non-Synap3+ and Synap3+ heterolateral collocated axons (E14 axons) were identified. At later stages (E17), when collocated axons start to migrate the degree of heterolateral collocated axons is decreased (stage 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107, 108, 109, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138, 139, 140, 141, 142, 143, 144, 145, 146, 147, 148, 149, 150, 151, 152, 153, 154, 155, 156, 157, 158, 159, 160, 161, 162, 163, 164, 165, 166, 167, 168, 169, 170, 171, 172, 173, 174, 175, 176, 177, 178, 179, 180, 181, 182, 183, 184, 185, 186, 187, 188, 189, 190, 191, 192, 193, 194, 195, 196, 197, 198, 199, 200, 201, 202, 203, 204, 205, 206, 207, 208, 209, 210, 211, 212, 213, 214, 215, 216, 217, 218, 219, 220, 221, 222, 223, 224, 225, 226, 227, 228, 229, 230, 231, 232, 233, 234, 235, 236, 237, 238, 239, 240, 241, 242, 243, 244, 245, 246, 247, 248, 249, 250, 251, 252, 253, 254, 255, 256, 257, 258, 259, 260, 261, 262, 263, 264, 265, 266, 267, 268, 269, 270, 271, 272, 273, 274, 275, 276, 277, 278, 279, 280, 281, 282, 283, 284, 285, 286, 287, 288, 289, 290, 291, 292, 293, 294, 295, 296, 297, 298, 299, 300, 301, 302, 303, 304, 305, 306, 307, 308, 309, 310, 311, 312, 313, 314, 315, 316, 317, 318, 319, 320, 321, 322, 323, 324, 325, 326, 327, 328, 329, 330, 331, 332, 333, 334, 335, 336, 337, 338, 339, 340, 341, 342, 343, 344, 345, 346, 347, 348, 349, 350, 351, 352, 353, 354, 355, 356, 357, 358, 359, 360, 361, 362, 363, 364, 365, 366, 367, 368, 369, 370, 371, 372, 373, 374, 375, 376, 377, 378, 379, 380, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398, 399, 400, 401, 402, 403, 404, 405, 406, 407, 408, 409, 410, 411, 412, 413, 414, 415, 416, 417, 418, 419, 420, 421, 422, 423, 424, 425, 426, 427, 428, 429, 430, 431, 432, 433, 434, 435, 436, 437, 438, 439, 440, 441, 442, 443, 444, 445, 446, 447, 448, 449, 450, 451, 452, 453, 454, 455, 456, 457, 458, 459, 460, 461, 462, 463, 464, 465, 466, 467, 468, 469, 470, 471, 472, 473, 474, 475, 476, 477, 478, 479, 480, 481, 482, 483, 484, 485, 486, 487, 488, 489, 490, 491, 492, 493, 494, 495, 496, 497, 498, 499, 500, 501, 502, 503, 504, 505, 506, 507, 508, 509, 510, 511, 512, 513, 514, 515, 516, 517, 518, 519, 520, 521, 522, 523, 524, 525, 526, 527, 528, 529, 530, 531, 532, 533, 534, 535, 536, 537, 538, 539, 540, 541, 542, 543, 544, 545, 546, 547, 548, 549, 550, 551, 552, 553, 554, 555, 556, 557, 558, 559, 560, 561, 562, 563, 564, 565, 566, 567, 568, 569, 570, 571, 572, 573, 574, 575, 576, 577, 578, 579, 580, 581, 582, 583, 584, 585, 586, 587, 588, 589, 590, 591, 592, 593, 594, 595, 596, 597, 598, 599, 600, 601, 602, 603, 604, 605, 606, 607, 608, 609, 610, 611, 612, 613, 614, 615, 616, 617, 618, 619, 620, 621, 622, 623, 624, 625, 626, 627, 628, 629, 630, 631, 632, 633, 634, 635, 636, 637, 638, 639, 640, 641, 642, 643, 644, 645, 646, 647, 648, 649, 650, 651, 652, 653, 654, 655, 656, 657, 658, 659, 660, 661, 662, 663, 664, 665, 666, 667, 668, 669, 670, 671, 672, 673, 674, 675, 676, 677, 678, 679, 680, 681, 682, 683, 684, 685, 686, 687, 688, 689, 690, 691, 692, 693, 694, 695, 696, 697, 698, 699, 700, 701, 702, 703, 704, 705, 706, 707, 708, 709, 710, 711, 712, 713, 714, 715, 716, 717, 718, 719, 720, 721, 722, 723, 724, 725, 726, 727, 728, 729, 730, 731, 732, 733, 734, 735, 736, 737, 738, 739, 740, 741, 742, 743, 744, 745, 746, 747, 748, 749, 750, 751, 752, 753, 754, 755, 756, 757, 758, 759, 760, 761, 762, 763, 764, 765, 766, 767, 768, 769, 770, 771, 772, 773, 774, 775, 776, 777, 778, 779, 780, 781, 782, 783, 784, 785, 786, 787, 788, 789, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, 800, 801, 802, 803, 804, 805, 80

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Fluorescein- and ethanethiol explants were placed in a glass coverslip coated with Lentinan (5 µg/ml) and were cultured as described above. After 2–3 days *in vitro*, explants emerged individually from the explants and individual fibers and their growth cones could be viewed. For the collapse assays, 5 µl of concentrated control or conditioned media containing different recombinant Semaphorins were added to the cultures for 30–15 min (doses: 100–1000 ng/ml). Cultures were fixed with 4% paraformaldehyde, stained with phalloidin-TRITC (from

Analysis of the data growth was performed after class III B-implant instrumented dog at 100 mm. The

[illegible][illegible]

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The confocal unit was connected to an inverted microscope (Olympus IX73; Olympus, Tokyo, Japan). Maximal resolution was obtained with Olympus plan apo $\times 60$ water, 1.3 numerical aperture objective lens ($\lambda = 0.3 \mu\text{m}$; $z = 0.45 \mu\text{m}$). RBY fluorescent images were excited with the 568-nm yellow

PHARMX fluorescent dyes were excited with the 568-nm yellow line of a HeNe laser. The emission of fluorescence was collected through a dichroic mirror and the emission of fluorescence was collected through a photomultiplier (PMT2) through a 522-nm band pass filter. The emission of fluorescence was collected through a photomultiplier (PMT1) through a 605-nm band pass filter.

The Alexa488 fluorochrome was excited with the 488-nm blue line of an Ar laser. The emission of fluorescence was collected through a dichroic mirror and the emission of fluorescence was collected through a photomultiplier (PMT2) through a 522-nm band pass filter. The emission of fluorescence was collected through a photomultiplier (PMT1) through a 605-nm band pass filter.

The fluorescence signal collection, image construction, and scaling were performed through the control software (Leasersharp 3.2; BioRad). Images and data analysis were performed through the software Lasersharp (BioRad) and Origin 5.0 (Microcal Software, Northampton, MA), respectively. Results were expressed as mean $\pm$ SEM. Statistical significance between the different treatment groups was tested using Student's *t*-test.

GST Pull-Down Assays

The GST-PAK-CRIB domain and GST-Rhotekin-RBD were cloned into pGEX-2T fusion (gift of J. G. Collard, Memofonds Cancer Institute, Amsterdam, The Netherlands) [23]. The GST-PAK-CRIB and GST-Rhotekin-RBD recombinant peptides were prepared in *Escherichia coli* (BL21 strain) and purified using glutathione-spharose beads (Amersham Pharmacia Biotech, Bucks, UK).

A total of 5×10^6 MCF7 cells were washed twice in cold PBS and then lysed in 400 µl of lysis buffer [50 mM Tris-HCl, pH 7.4, 150 mM NaCl, 5 mM MgCl₂, 0.05% NP-40, 0.5% Triton X-100, 0.1% SDS, 10 mM DTT, 1% DOC (wt/vol), 1% Triton X-100, 0.1% SDS, 25 mM PMSF, 20 mM leupeptin, 0.8 M aprotinin, 10 mM sodium dodecyl sulphate]. Total amount of protein in each lysate was measured and diluted to 1 µg/µl. Lysates were repeatedly passed through a syringe followed by centrifugation at 14,000g for 1 minute. The supernatant was mixed with 10 µl of glutathione-sepharose beads corresponding to 10–20 µg of GST fusion protein and incubated at 4 °C overnight. Bead-bound complexes were washed once in lysis buffer and three times in the same buffer without DOC. Samples were boiled in Laemmli sample buffer and fractionated by a 12% SDS-PAGE gel electrophoresis, followed by Western blotting. The presence of RhpA was revealed using a polyclonal anti-RhpA antibody (Santa Cruz Biotechnology). The presence of Ract1 was revealed using a monoclonal anti-Ract1 antibody (Transduction Laboratories, Lexington KY). As second antibodies, sheep antirabbit IgG, 1 µg/ml linked (American Pharmacia Biotech); or a donkey antirat IgG, HRP-linked (Amersham Pharmacia Biotech) were used and blots were developed by ECL detection.

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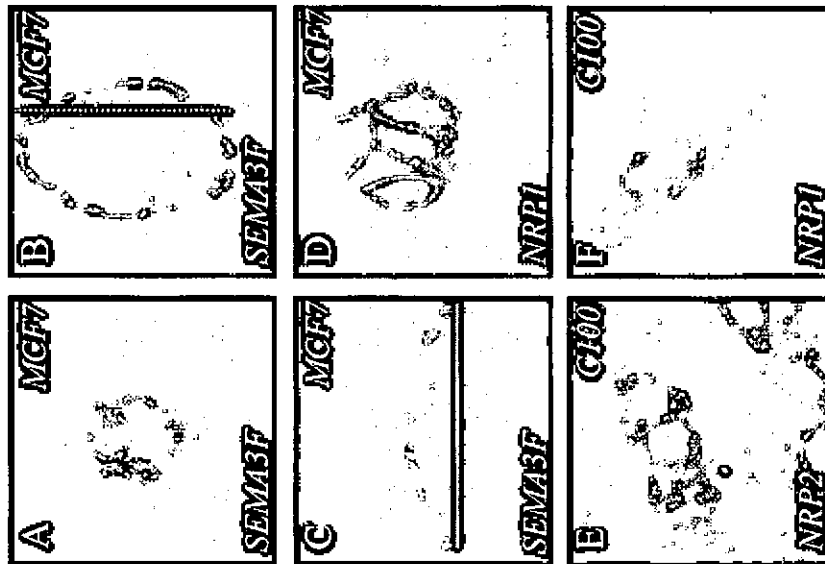
C100 cells were transfected with Rac1 GFP. The medium was replaced 2 days after transfection by medium without FCS for 14 hours. Time lapse microscopy was started after AP-SIN3A or AP media addition and

ESTIMATE IN 2010: **2.4%** of the population of the BS

ments were performed with a 2-hour preincubation with 10 μ g/ml anti-NRP2 polyclonal antibody (Santa Cruz Biotechnology) or/and 10 μ g/ml anti-NRP1 polyclonal antibody (OncoGene, Darmstadt, Germany) as described [28].

Confocal Microscopy and Data Analysis

Labelled cells and those observed by transmission light
confocal microscopy and confocal microscopy
were examined by confocal scanning microscopy using a
BioRad MRC 1024 ES (BioRad, Hemel Hempstead, UK).

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recorded every 30 seconds for 30 minutes. The GFP was excited with the 488-nm line and the emission of fluorescence was collected through a 522-nm band pass filter.

Results

Expression of SIMA3F, Neuropilins, VEGF, KDR, and FLT-1 in MCF7 and C100 Adenocarcinoma Cell Lines

The effects of SEMA3F were investigated in two breast cancer cell lines, which exhibited different oncogenic phenotypes. MCF7 cells, which grow in spheroids and exhibit numerous intracellular contractile, provide a model of low metastatic potential. In contrast, C100 cells are highly motile and metastatic. Quantitative RT-PCR demonstrated that MCF7 cells express SEMA3F and NPPI (Table 1), but barely

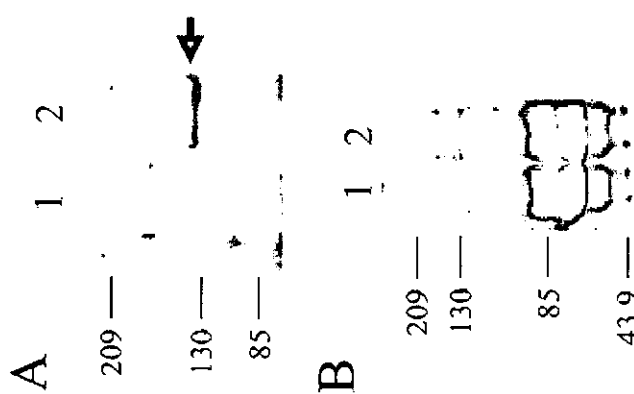


Figure 2. Western blot analysis of AP-1/SEMA3F expression. Media from transfected AP-1 or AP-1/SEMA3F ("COX") cells were concentrated in 10 times, and analyzed by Western blotting. The blots were probed with anti-AP-1 (American Cyanamid, France), and subjected to the tyrosinated form of a 125I-polyclonal anti-AP-1 antiserum. The blot was probed with a 125I-polyclonal anti-AP-1 antibody (1:250). Confirmed in (A) and loading controls carried by Coomassie blue are shown in (B).

more factors that positively affect membrane ruffling. Alternatively, the effect of the AP control medium could be due to the 0.5% serum from the transfection COS cells because the MCF7 cells were placed in serum-free medium before treatment (Figure 3A). This background effect was a so dose-dependent (Figure 3A). Nevertheless, membrane ruffling was strongly inhibited by AP-SLMA3F (Figure 4B). When 100 ng/ml VEGF was added to control AP medium, the ruffling activity was promoted (Figure 4C). AP-SLMA3F at 60 ng/ml was able to block the positive effect of VEGF (Figure 4C). These results are consistent with competing actions of SEMA3A and VEGF on endothelial cells [16].

undetectable NRP2. Immunostaining of MCF7 cells confirmed those results. Using a specific polyclonal antibody raised against SEMA3F [30], cytoplasmic SEMA3F was detected. By laser confocal microscopy, the major distribution of SEMA3F was in near-membrane domains of cells delineating the periphery of the islets (Figure 1, A and B) in a vertical section through the islets. SEMA3F is apically located (Figure 1C). Staining with anti-NRP2 polyclonal antibodies showed that NRP2 was localized at the plasma membrane of all cells within the islets (Figure 1D), whereas NRP2 staining was negative (not shown), consistent with the RT-PCR results. The membranous pattern of staining in MCF7 islets for SEMA3F was reminiscent of that obtained in normal bronchial epithelial cells *in situ*, as well as in cultured adenocarcinoma Calu-3 cells [8].

In contrast, the C100 cells contain very low levels of SEMA3F transcripts, about 1600 times less than MCF7 (Table 1), and immunostaining with the polyclonal antibody was negative (not shown). Quantitative RT-PCR showed that C100 cells had high levels of NRP2 receptor mRNA, and immunostaining against NRP2 showed a cytoplasmic vesicular pattern together with a significant distribution of NRP2 at the surface membrane (Figure 1E). NRP1 was also expressed in C100, but at levels that were approximately one-third that in MCF7 (Table 1, Figure 1F). Both C100 and MCF7 expressed moderate levels of VEGF (compared to various other cell lines not shown) and low to absent levels of the VEGF receptor, KDR. In addition, FLT-1 was barely detectable in MCF7 and absent in C100 cells.

Rapid Effects of Extracellular SEMA3F on Cell Morphology
Previous studies have shown that class 3 semaphorins have a repulsive effect on developing axons and in COS7 cells transfected with neurons and plexins [25]. To explore the effects of SEMA3F in MCF7 and C100 cells, we transfected COS7 cells with alkaline phosphatase-labeled SEMA3F (AP-SLMA3F), and the cultured supernatant was used as a source of SEMA3F. As a negative control, COS7 cells were transfected with the AP vector only. This approach was first described by two groups [12,31], and further widely used by others because bacterial or baculovirus-produced semaphorins are insoluble, presumably due to their highly asulfo-linked nature. Secretion of AP-SLMA3F was confirmed by Western blot (Figure 2) and

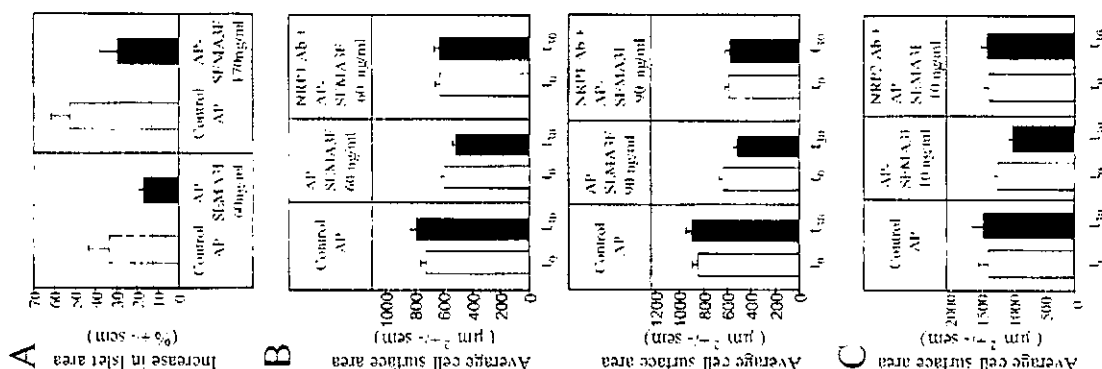


Figure 3. AP-SLMA3F reduces the ability of MCF7 cells to spread and C100 cells to cluster. (A) Total MCF7 islet areas were measured before and after 25 minutes of treatment with COS7 conditioned medium containing 0.5% FBS, AP-SLMA3F, or control medium (AP-SLMA3F 10 ng/ml). (B) Average cell surface area of MCF7 cells was measured after 25 minutes of treatment with COS7 conditioned medium containing 0.5% FBS, AP-SLMA3F, or control medium (AP-SLMA3F 10 ng/ml). (C) Average cell surface area of C100 cells was measured after 25 minutes of treatment with COS7 conditioned medium containing 0.5% FBS, AP-SLMA3F, or control medium (AP-SLMA3F 10 ng/ml). Error bars represent SEM.

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Figure 3. MCF7 cell spreading is inhibited by VEGF but not by the AP-SLMA3F. MCF7 cells were grown with control AP or AP-SLMA3F and then exposed to VEGF for 25 minutes. (A) Total MCF7 islet areas were measured before and after 25 minutes of treatment with COS7 conditioned medium containing 0.5% FBS, AP-SLMA3F, or control medium (AP-SLMA3F 10 ng/ml). (B) Average cell surface area of MCF7 cells was measured after 25 minutes of treatment with COS7 conditioned medium containing 0.5% FBS, AP-SLMA3F, or control medium (AP-SLMA3F 10 ng/ml). (C) Average cell surface area of C100 cells was measured after 25 minutes of treatment with COS7 conditioned medium containing 0.5% FBS, AP-SLMA3F, or control medium (AP-SLMA3F 10 ng/ml). Error bars represent SEM.

ability to block SEMA3F action. In MCF7, the SEMA3F effects on cell spreading were inhibited by anti-NRP1 antibodies (Figure 3B), whereas in C100 cells (Figure 3C), anti-NRP2 blocked SEMA3F inhibition of cell spreading and anti-NRP1 had no effect. These results are consistent with the levels of NRP1 and NRP2 expression in MCF7 and C100 cells (Table 1) and also demonstrate that NRP1 can function in SEMA3F signaling.

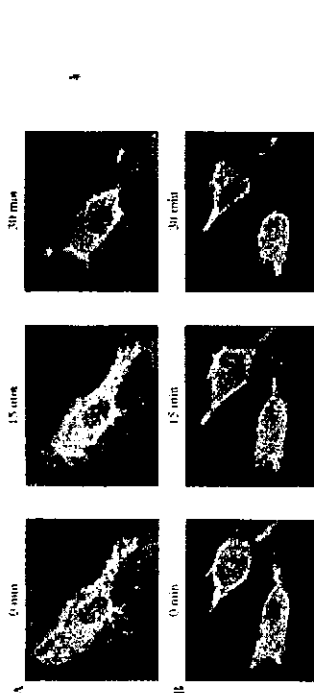
As neuropilin signal transduction has been shown to involve small GTPases in neural cells, we looked for changes in the amount of active GTP-bound Rac1 and RhoA using GST fusion proteins expressing either the GST-PAK-CRIB domain or GST-Rhotekin-FBD. In a pull-down experiment using extracts of C100 and MCF7 cells treated with AP-SEMA3F, we did not identify any difference in levels of GTP-bound Rac1 and RhoA (Figure 5). Also, we did not find any difference in the amount of total Rac1 and RhoA upon AP-SEMA3F treatment. As this negative result might have been due to insufficient sensitivity, we examined the subcellular distribution of Rac1 using C100 cells transfected with Rac1-GFP. Time lapse analysis by confocal laser scanning microscopy showed that Rac1 was predominant at intracellular junctions before the addition of AP-SEMA3F. Upon

addition of AP-SEMA3F, Rac1 was observed first at the base of lamellipodia and subsequently at the membrane (Figure 6A). Isolated cells became spherical at the end of the retraction process and were decorated with Rac1 around the membrane (Figure 6B). These results indicate that SEMA3F induces changes in Rac1 activation, at least at a local level, and that the presence of Rac1 at the membrane is associated with the retraction.

Discussion
Sema3 proteins were initially identified on the basis of their inhibition of nerve growth cone migration; however, they also affect non-neural tissues. On the basis of its localization at 3p21.3, a region that undergoes frequent loss of heterozygosity in lung tumors, and because of the activity of genomic clones containing SEMA3F in suppressing tumorigenicity of mouse fibrosarcoma A9 cells [32], SEMA3F was hypothesized to be a tumor-suppressor gene. Subsequent studies demonstrated that SEMA3F protein expression was decreased or absent in high-grade lung cancers [8]. These studies also suggested, based on complementary staining patterns, that SEMA3F might compete with VEGF for binding to their common neuropilin receptors. More recent experiments using single gene transfectants have confirmed that SEMA3F has tumor-suppressor gene activity [10].

Our results demonstrate that SEMA3F and VEGF have opposing actions on primary tumor cells. Whereas VEGF promotes cell spreading and membrane ruffling, activities associated with increased cell migration and metastasis, SEMA3F inhibits these changes and is able to block the effects of VEGF. During a brief treatment with AP-SEMA3F, MCF7 cell spreading and lamellipodia extension were inhibited and membrane ruffling was reduced. Even though MCF7 cells do not express NRP2 (or express very little), they express NRP1 and responded to the cultured supernatant of AP-SEMA3F-expressing COS7 cells. These effects were mediated by NRP1, as shown by the use of

Figure 6. Rac1 moved to the base of lamellipodia and later in the membrane upon AP-SEMA3F treatment. Time lapse analysis by confocal laser scanning microscopy showed Rac1-GFP localization in connected (A) and retracted (B) C100 cells (R1) at time 0, 15, and 30 minutes after AP-SEMA3F addition.



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Retraction of the cell body and extensions could be observed in MCF7 cells that had separated from the islet. After exposure to SEMA3F for 30 minutes, these cells rounded-up and eventually detached from adjacent cells. This resulted in an overall decrease in the number of cells within a microscope field, whereas with control COS7 supernatant, the number of cells increased from cell division.

Responses in C100 cells were more complex because of morphologic heterogeneity. Those cells could be divided in three groups representing small round cells with few extensions, spindle-shaped cells with neurite-like cell processes, and extended cells with large lamellipodia. Whereas the first and second groups of cells did not appear to respond to SEMA3F, cell spreading was significantly inhibited after 30 minutes by 10 ng/ml AP-SEMA3F in the third subset of cells having an extended morphology. Thus, the average cell surface area decreased as a result of lamellipodia retraction (Figure 3C), and other cells were observed to round-up and detach from the substrate.

SEMA3F Signal Transduction
As MCF7 and C100 cells exhibited different patterns of NRP1 and NRP2 expression, we tested antibodies for their

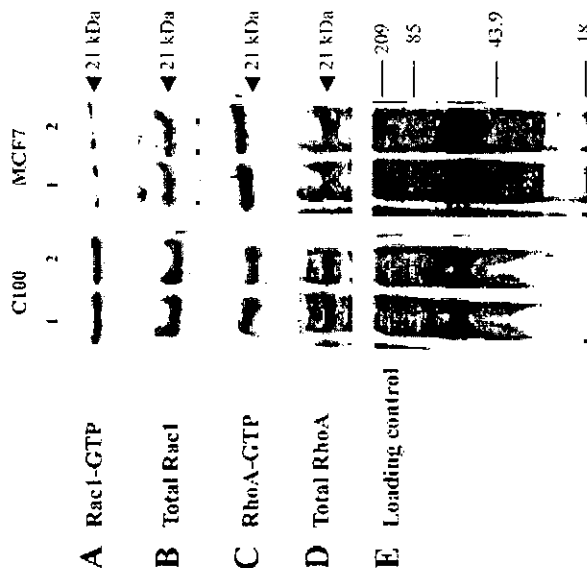


Figure 5. Levels of Rac1-GTP were not affected by AP-SEMA3F. Levels of active Rac1-GTP, total Rac1, GTP-bound RhoA, total RhoA, and loading control were analyzed by Western blot after electrophoresis on a 12% SDS polyacrylamide gel and a blocking reaction as shown in Fig 1.

inhibitor activity is regulated by phosphatidylinositol-3-kinase. *J Cell Biol* 143: 135-149.

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and (IV) made into 3D2.3 and cell culture medium (serum free) and serum free medium (serum free). *Proc Natl Acad Sci USA* 93: 4120-25.

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dependent (for review, see Ref. [20]). Similarly, plexin-A1, a coreceptor for class 3 semaphorins, interacts not only with Rnd1 but also with RhoA, and those GTPases have antagonistic effects on the activity of plexin-A1 [37]. These authors suggested that interaction of Rnd1 results in a conformational change that ultimately activates downstream signal transduction cascades, including Rac1, RhoA, LIM kinase 1, and cofilin that mediate growth cone collapse [38]. Indeed, we demonstrated in epithelial tumor cells a clear recruitment of Rac1 to retraction fibers upon AP-SEMA3F treatment.

Finally, we have some additional observations regarding the viability of the detached cells following SEMA3F exposure. These cells were not able to reach and the number of cells decreased over time, suggesting that they underwent apoptosis or anoikis. An apoptotic effect was reported for SEMA3A in sensory neurons [39] and in neural progenitors [40]. This apoptotic effect was shown to be mediated by NHP1 and was antagonized by VEGF [40]. We also performed additional experiments showing that C100 cells undergo apoptosis in response to transfected SEMA3F as evidenced by annexin and propidium iodide staining (data not shown).

In summary, we have shown that mammary endocrine-tumor cells stimulated with SEMA3F lose lamellipodia extensions and cell-cell contacts, and eventually detach with subsequent apoptosis or anoikis. These effects can be mediated by either NHP1 or NHP2 receptors and appear to involve Rac1 redistribution.

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Pancreatic Carcinoma Cells Express Neuropilins and Vascular Endothelial Growth Factor, but Not Vascular Endothelial Growth Factor Receptors

Appendix I

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express the resistance of *At* to *U*1-mediated growth, the *At* genes (*At*U1cs) (*At*U1c) is a unique stimulus of argon-
ogenesis and has functions in a protein permeability
modulating agent and an endoplasmic reticulum survival factor
Alternative splicing that originates in the *At*U1c gene
generates two isoforms of the VEGT-A protein
VEGT-A1 and VEGT-A2, VEGT-A1 and VEGT-A2
are the most abundant isoforms
in *At*U1c-A1 binds to three *At*U1c-A1 receptors
VEGT-A1 with high affinity, VEGT-A2, 100 kD
VEGT-A2 and VEGT-A1 are associated with
the *At*U1c-A1 protein. All VEGT-A splice forms bind
to VEGT-A1 and VEGT-A2, a member of the
same family of receptor-associated tyrosine kinases, is
a receptor for VEGT-A1 and VEGT-A2 but not for VEGT-
A2. VEGT-A1 has been shown to be essential for
embryogenesis and has been implicated in argon-
ogenesis in both embryos and adults.

Several studies suggest that NRPs play an important role in tumor growth and angiogenesis and may serve as markers for the progression of prostate carcinoma, breast carcinoma, and pancreatic carcinoma.¹⁻³ In human prostate and breast carcinoma cell lines have been found to overexpress NRp-1 proteins while not expressing any of the VEGFs.^{4,5} In addition, overexpression of NRp-1 appears to be correlated with the metastatic potential of prostate carcinoma cells and with advanced disease stage and grade.^{6,7} NRp-1 is also expressed in placenta tumors,⁸ astrocytoma,⁹ meningioma,¹⁰ and lung carcinoma.¹¹ In addition, NRp-2 expression has been reported in osteosarcoma,¹² neuroblastoma,¹³ bladder carcinoma,¹⁴ and lung carcinoma.¹⁵ Two recent reports have demonstrated that NRp's may play a role in pancreatic carcinoma. Cohen et al.¹⁶ documented moderate to strong NRp-2 expression in 27 of 49 endocrine pancreatic tumor specimens, whereas staining for this protein was found only in a distinct subset of islet cells situated primarily at the islet periphery in normal pancreatic tissue specimens. However, the biologic role of NRp-2 in endocrine pancreatic tumors is not clear. At present, NRp-2 is used as a diagnostic marker for such tumors. An immunohistochemical analysis conducted by Frank et al.¹⁷ revealed that NRp-1 was expressed in 12 of 12 human pancreatic adenocarcinoma specimens but was absent in nonmalignant pancreatic tissue specimens. Furthermore, NRp-1 mRNA was detected in 9 of 11 human pancreatic adenocarcinoma cell lines by Northern blot analysis. Nonetheless, the specific role of NRp-1 in pancreatic adenocarcinoma and other malignancies remains to be elucidated.

At present, data regarding the expression and quantitation of NtBs in pancreatic carcinomas are limited, and data on the role of NtBs in colonizing ex-

In a recent, systematic, prospective, multicenter study, we investigated the expression of the NRP-1, NRP-2, VEGFR-1, VEGFR-2, VEGFR-3, and VEGFR-4 in human pancreatic carcinoma cell lines (Capan-1 and MIA PaCa-2), normal human pancreatic islets, and primary human pancreatic islets, and in individual epithelial cells (HFFs), human umbilical vein endothelial cells (HUVECs), and surplus human adult umbilical vein specimens using a panel of five real-time quantitative reverse transcription-polymerase chain reaction (RT-PCR) and immunohistochemical staining. Proliferation of pancreatic carcinoma cells in vitro was assessed by growth rate of pancreatic carcinoma cells expressing high levels of NRP-1. Cells were examined after three cells were treated with VEGF at various doses. These studies should add to our understanding of the progression and control of pancreatic carcinoma, potentially leading to the development of novel diagnostic markers and effective treatment strategies.

MATERIALS AND METHODS

Chemicals and Reagents

The 45 SBR Green supernatant and Script cDNA syn-
thesis kits were purchased from Bio-Rad Hercules,
Alameda, CA, and RNAscope-PCR and RNA removal kits
were obtained from Ambion (Austin, TX). ³²P-labeled
nucleotides were purchased from Perkin Elmer (Boston,
MA). U3-M2, bulgekit media, trypsin, ethylenedi-
amine, acetic acid, and trypsin neutralization solu-
tion were obtained from Clontech (Walckersville, MD).
Finally, recombinant human VEGF₁₆₅ was purchased
from Sigma (St. Louis, MO).

Cell and Tissue Culture

The human pancreatic carcinoma cell lines Panc-1 and Panc-2 were purchased from the American Culture Type Culture Collection (ATCC; Rockville, MD), and the human pancreatic carcinoma cell lines HPAF-X and HPAF-C were obtained from Clontech Inc. HPAF-C transitory HPAF-X were a kind gift from Dr. Ming-sung Tsao (University of Toronto, Toronto, Ontario, Canada), and HPAF-X were described previously.^{1,26} Panc-1 cells were cultured in Dulbecco modified Eagle medium (DMEM) with 10% fetal bovine serum (FBS) at 37 °C with 5% CO₂. Panc-2 cells were grown in DMEM with 10% FBS and 2.5% horse serum at 37 °C with 5% CO₂. Cells were passed up to 20 times after they were obtained from the ATCC, with their media being refreshed every 2–3 days. HPAF-X cells were grown in ECM-2, Bull-Hit medium, a basic cell-culture media containing hydrocortisone, flutamide, growth factor B, VitA, long RA, insulin-like growth factor for 1, ascorbic acid, epidermal growth factor (EGF), pentamidine, amphotericin, and transferrin, supplemented with 10% FBS. HPAF-Xs were passaged no more than 1 or 3 experiments. HPAF-C cells were cultured in Keratinocyte serum free medium (KSFM) supplemented with serum

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were 5.3 times higher than in normal HPDE cells but were similar to NRP-1 mRNA levels in HUVECs. In contrast, Pano-1 and EPDE cells exhibited similar NRP-2 mRNA levels, which were approximately 18.3 and 14.0 times lower, respectively, than NRP-2 mRNA levels in HUVECs. Furthermore, NRP-1 mRNA levels were 29.7 times higher than NRP-2 mRNA levels in Pano-1 cells and approximately 4.0 times higher than NRP-2 mRNA levels in EPDE cells, whereas NRP-1 mRNA levels were only 1.7 times higher than NRP-2 mRNA levels in HUVECs. Thus, increased expression of NRP-1 in Pano-1 cells may play a role in malignant progression.

Also noteworthy is that VEGFR mRNA was not detected in pancreatic carcinoma cells or in HUVECs, whereas VEGFR mRNA was present in HUVECs. Levels of VEGFR-2, for instance, abundant VEGFR were 2.3 times higher than VEGFR-1 levels and 6.5 times higher than VEGFR-3 levels in HUVECs. Furthermore, VEGFR mRNA was detected at similar levels in Pano-1, MIA PaCa-2, and EPDE cells, but not in HUVECs.

NRP-1, NRP-2, and VEGFR-2 Immunoreactivity in Pano-1 Cells

To confirm the expression and localization of NRP-1 and NRP-2 proteins in Pano-1 cells, staining of these cells with anti-NRP-1 and anti-NRP-2 antibodies was performed, followed by immunofluorescence staining. As shown in Figure 2, NRP-1 was expressed on the surface of Pano-1 cells, whereas NRP-2 was only weakly expressed in the Pano-1 cell line. Aside from NRP-1 and NRP-2, scant VEGFR-2 expression was observed in Pano-1 cells, a finding that was consistent with real-time RT-PCR results.

Effect of VEGF on the Proliferation of Pano-1 Cells

VEGF is a multifunctional cytokine that plays an important role in angiogenesis and endothelial cell proliferation. To examine whether VEGF stimulates the proliferation of pancreatic carcinoma cells, we incubated Pano-1 cells with VEGF₁₆₅ at various concentrations for 24 hours, with HUVEC samples run concurrently as controls. Cell proliferation was detected using a [³H]thymidine incorporation assay. As shown in Figure 3, a low concentration of VEGF (as low as 12 ng/mL) increased cell proliferation by up to 61%, and 10 ng/mL VEGF₁₆₅ increased Pano-1 cell proliferation by 63% relative to control samples (*P* < 0.01). In addition, 2 ng/mL and 10 ng/mL VEGF₁₆₅ stimulated cell proliferation approximately 22% and 41%, respectively, in HUVECs compared with control samples. Considering the absence of VEGFR in the elevated expression of NRP-1 in Pano-1 cells, our results indi-

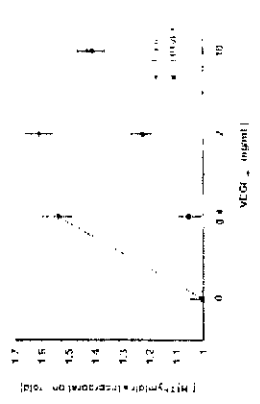


FIGURE 3. Effect of vascular endothelial growth factor (VEGF) on cell proliferation in Pano-1 and human umbilical vascular endothelial cells (HUVECs). Subconfluent Pano-1 cells or HUVECs were subjected to seven subcultures for 24 hours and then treated with VEGF₁₆₅ at various concentrations for a total of 24 hours. At each time point, [³H]thymidine (1 microCi per well) was added to the cells. [³H]thymidine incorporation was measured using a Top Count microplate scintillation and uniscreen counter (Packard Instrument Co., Meriden, CT). Treatment with VEGF significantly increased Pano-1 cell proliferation (*P* < 0.05). Data are expressed as mean values ± standard deviations from triplicate experiments.

cate that NRP-1 may serve as an alternative receptor for VEGF in pancreatic carcinoma cells.

NRP-1 Antibody Blocks VEGF-Induced Cell Proliferation

To examine whether NRP-1 antibody blocked VEGF-induced cell proliferation, 1 μg/mL anti-NRP-1 antibody was incubated with Pano-1 cells for 1 hour at 37 °C before treatment with VEGF₁₆₅. As shown in Figure 4, cells treated with VEGF exhibited significantly increased cell proliferation compared with negative controls (*P* < 0.05). However, VEGF-induced cell proliferation was blocked to a significant extent by NRP-1-specific antibodies, and similar levels of [³H]thymidine incorporation were noted in the VEGF/NRP-1-specific antibody group and the control group. Thus, NRP-1, but not VEGFR, appears to mediate VEGF-induced cell proliferation in Pano-1 cells.

NRP and VEGFR Immunoreactivity in Pancreatic Adenocarcinoma Tissue Specimens

To investigate the expression of NRPs and VEGFRs in pancreatic carcinoma tissue specimens, we collected clinical specimens of pancreatic adenocarcinoma tissue and surrounding normal tissue from patients undergoing surgery and ascertained the presence of NRPs and VEGFRs using immunohistochemical methods. As shown in Figure 5, NRP-1 was expressed significantly in pancreatic carcinoma tissue specimens, but not in samples of normal surrounding tissue. In

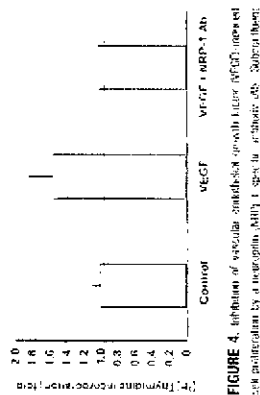


FIGURE 4. Effect of vascular endothelial growth factor (VEGF) on cell proliferation in Pano-1 cells with NRP-1-specific antibody. Subconfluent Pano-1 cells were subjected to seven subcultures for 24 hours and then treated with 1 μg/mL anti-NRP-1 (Ab Santa Cruz Biotechnology Santa Cruz, CA) before being treated with VEGF₁₆₅ for 24 hours. At the 24-hour mark, [³H]thymidine (1 microCi per well) was added to the cells. [³H]thymidine incorporation was measured using a Top Count microplate scintillation and uniscreen counter (Packard Instrument Co., Meriden, CT). Data were expressed as mean values ± standard deviations from triplicate experiments.

contrast, NRP-2 was weakly expressed in pancreatic carcinoma tissue or in normal surrounding tissue. These findings indicate that NRP-1 may be a valuable marker for the diagnosis of pancreatic carcinoma. It also is noteworthy that no VEGFRs were detected in pancreatic tissue specimens (data not shown). Overall, our findings in tissue specimens were consistent with our observations in Pano-1 cells.

DISCUSSION

The current study represents a detailed analysis of the expression status of NRPs, VEGFR, and VEGFRs in human pancreatic carcinoma cells and clinical specimens and of the involvement of NRPs in VEGF-induced pancreatic carcinoma cell proliferation. We have examined both mRNA and protein levels of NRP-1, NRP-2, VEGFR-1, VEGFR-2, VEGFR-3, and VEGF in human pancreatic carcinoma cell lines (Pano-1 and MIA PaCa-2) and in surgical specimens using quantitative real-time RT-PCR and immunohistochemical analysis. Pano-1 cells expressed high levels of NRP-1 compared with normal EPDE cells, but similar levels of NRP-2 were observed in Pano-1 and EPDE cells. No pancreatic carcinoma or EPDE cells expressed any of the VEGFRs, but these cells did express VEGF mRNA. Exogenous VEGF stimulated Pano-1 cell proliferation by up to 61%, and this stimulation of growth was blocked by an NRP-1-specific antibody, indicating that NRP-1 may be involved in regulating pancreatic carcinoma growth in the absence of VEGFRs.

The VEGFR family and VEGFRs have been involved

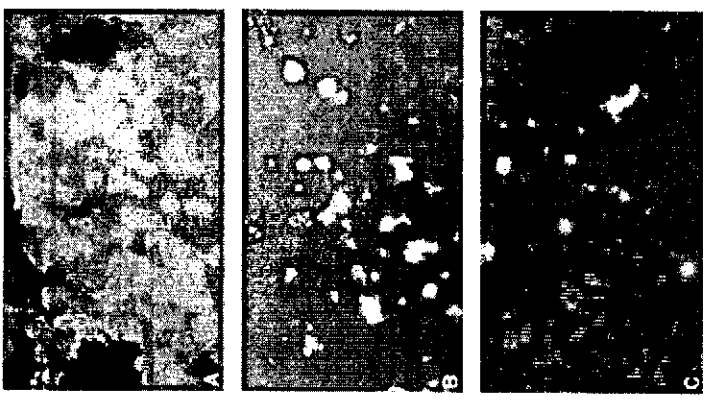


FIGURE 2. Neurotrophin (NRP)-1, NRP-2, and vascular endothelial growth factor receptor (VEGFR)-2 immunoreactivity in Pano-1 cells. Pano-1 cells were seeded on chamber slides and incubated with anti-NRP-1, anti-NRP-2, and anti-VEGFR-2 antibodies, respectively. Cells then were stained with propidium iodide (PI) or fluorescein isothiocyanate (FITC) conjugated secondary antibodies. Nuclei were counterstained with 4',6-diamidino-2 phenylindole (DAPI) or Texas Red. (A) NRP-1 staining. Red (PI) indicates NRP-1 positive staining (strong blue DAPI indicates nuclear staining). (B) NRP-2 staining. Green (FITC) indicates NRP-2 positive staining (weak red Texas Red indicates nuclear staining). (C) VEGFR-2 staining. Green (FITC) indicates VEGFR-2 positive staining (very weak red Texas Red indicates nuclear staining). Pano-1 cells strongly expressed NRP-1, weakly expressed NRP-2, and did not express or minimally expressed VEGFR-2. Original magnification × 400 (*A*, *C*).

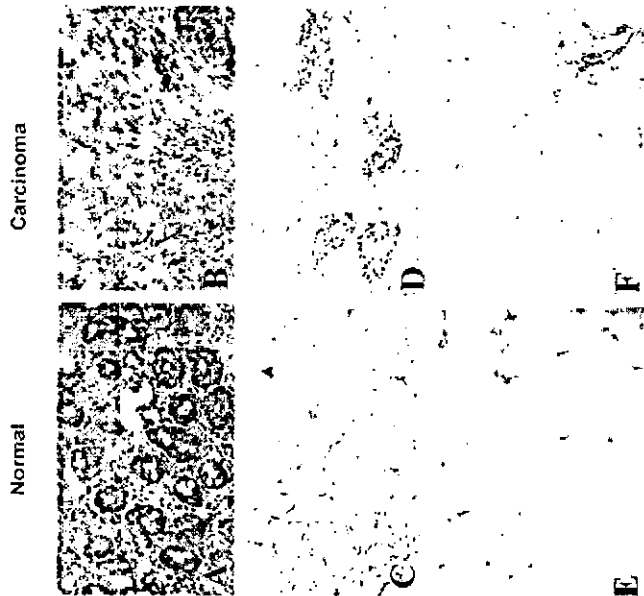


FIGURE 5. Neuropilin-1 and NRP-2 immunoreactivity in pancreatic carcinoma tissue. Specimens from pancreatic carcinoma and normal pancreatic islets were stained with anti-NRP-1 and anti-NRP-2 antibodies. (A) Low magnification (400 $\times$) of normal pancreatic islets (A) and surrounding stroma (B). (B) High magnification (400 $\times$) of normal pancreatic islets (A) and surrounding stroma (B). (C) High magnification (400 $\times$) of normal pancreatic islets (A) and surrounding stroma (B). The carcinoma section shows similar panels A, B, and C, but with more intense and widespread staining, particularly in the stroma and around the tumor cells.

lated the growth of VEGF-bearing cells, which was blocked by a VEGF antagonist, suggesting a possible autocrine mitogenic loop in pancreatic carcinoma cells that is mediated by VEGF and VEGFRs. VEGF overexpression is believed to be associated with increased vascularization, poor prognosis, and high-grade tumor metastasis in several tumor types, including lung carcinoma and pancreatic carcinoma.^{21,22} One of the most notable discoveries of the current study is that VEGF stimulates pancreatic carcinoma cell proliferation without VEGFRs. Although pancreatic carcinoma cells expressed higher levels of VEGF than did HUVECs, they did not express VEGFRs. Alternative receptors such as NRP-1 may play a role in the activity of VEGF and may induce cell proliferation via signal transduction pathways. The current study provides evidence suggesting the existence of an alternative pathway in pancreatic carcinoma cells that is mediated by the interaction between VEGF and NRP-1. Parkhi et al¹⁸ also found evidence indicating

that VEGF plays an important role in pancreatic carcinoma growth and that VEGF is a potential target for VEGF expression was not reported by these investigators.

NRP-1 has recently been shown to bind to certain isoforms of VEGF, such as VEGF₁₂₁, and VEGF₁₆₅, in addition to heparan sulfate. The binding of VEGF to NRP-1 is located in the C-terminal region of NRP-1, and the extracellular domain of VEGF is responsible for its interaction with NRP-1. Many studies have investigated the role of NRP-1 in tumor progression. Overexpression of NRP-1 and NRP-2 has been observed in pancreatic carcinoma, prostate carcinoma, and lung carcinoma.²³ In addition, differential expression of NRP-1 has been observed in myoepithelial and vascular smooth muscle cells in prostatic and nonprostatic human breast, and consequently, NRP-1 may serve as a marker for the progression of breast carcinoma. Furthermore, NRP-2 is believed to be a novel marker in pancreatic islet cells and endocrine pancreatic tumors,²⁴ and NRP-1 and NRP-2 coexpression were found to be significantly correlated with increased vascularity and poor prognosis in non-small cell lung carcinoma.²⁵ The current study demonstrated that NRP-1 was expressed at high levels in pancreatic carcinoma cells, consistent with previous studies. Fukui et al²⁶ reported aberrant expression of NRP-1 and NRP-2, but not VEGFRs, in human pancreatic carcinoma cells; those investigators also documented elevated expression of VEGF ligands in pancreatic carcinoma cells. The potential functions of NRP-1 were not investigated in that study. We found that treatment of Pan-1 cells with VEGF led to increased cell proliferation in a dose-dependent manner. This is a noteworthy finding, because VEGFRs are not present in Pan-1 cells, whereas NRP-1 is. VEGF may interact with NRP-1 to induce cell proliferation in human pancreatic carcinoma cells. Thus, the VEGF-NRP-1 system may play an important role in pancreatic carcinoma tumorigenesis. In fact, interruption of the binding of VEGF to NRP-1 led to decreased mitogenic activity in human endothelial cells and less binding of VEGF to VEGFRs, indicating that NRP-1 may serve as important receptors for VEGF in VEGFR-positive cells.²⁷ The current study clearly demonstrates that VEGF can stimulate the proliferation of pancreatic carcinoma cells in a VEGFR-independent manner and that MoAb against NRP-1 can successfully block the mitogenic activity of VEGF, indicating the significant role of NRP-1 in pancreatic carcinoma growth.

NRP-1 has a short cytoplasmic tail (an amino acid

signal transduction). In VEGF-positive cells, NRP-1 may form complexes with VEGFRs to enhance their interaction with VEGF. In high VEGF-binding cells, NRP-1 could enhance the binding of VEGF to VEGFRs, which induces downstream signal transduction pathways. In VEGFR-negative cells, other receptors may play a role in signal transduction through their interaction with NRP-1. Two additional receptors are plexin A and L1, which are cell adhesion molecules belonging to the immunoglobulin superfamily (L1-CAM). Plexin A, a member of the plexin family, has been shown to form complexes with both NRP-1 and L1 and acts as a signal-transducing adaptor when NRP-1 functions as a ligand-binding subunit in semaphorin-induced signal transduction pathways.²⁸ In addition, NRP-1 can form stable complexes with L1-CAM via their extracellular domains, and L1 may be required for the modulation of the semaphorin signal in NRP-1/plexin A complexes. L1-deficient axons do not respond to semaphorin A stimulation.²⁹ Further studies aimed at ascertaining the presence of plexin A and L1-CAM in other candidate receptors on the surface of pancreatic carcinoma cells are underway. Lipid rafts, which are specialized microdomains on the cell surface that contain high concentrations of cholesterol and sphingolipids, may also play a role in NRP-1 signal transduction by concentrating signal transducing receptors and NRP-1 in a microenvironment and bringing them into proximity with one another to trigger the downstream signal pathway. However, Wang et al³⁰ reported that NRP-1 can promote cell migration in endothelial cells without assistance from other molecules and demonstrated the importance of the three C-terminal amino acids (S1A/CXKH) of NRP-1 with regard to this molecule's cell signaling ability. Whether NRP-1 can independently mediate cell proliferation in pancreatic carcinoma cells remains unclear, and more detailed studies involving chimeric NRP-1 mutants are warranted to elucidate the mechanism by which the NRP-1 signal transduction pathway operates.

In summary, pancreatic carcinoma is a clinically significant condition that carries a poor prognosis. Furthermore, the molecular mechanisms underlying pancreatic carcinoma tumorigenesis are poorly understood. The current study demonstrated that NRP-1 expression was substantially elevated in the pancreatic carcinoma cell line Pan-1 and in pancreatic adenocarcinoma tissue specimens composed with normal pancreatic epithelial cells and pancreatic tissue specimens. VEGFRs were not expressed in any pancreatic carcinoma cell lines or tissue specimens, whereas relatively high levels of VEGF expression were documented in these settings. More notable was the

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Neuropilin-1 Conveys Semaphorin and VEGF Signaling during Neural and Cardiovascular Development

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Summary

Neuropilin-1 (Npn-1) is a receptor that binds multiple ligands from structurally distinct families, including secreted semaphorins (Sema) and vascular endothelial growth factors (VEGF). We generated *npn-1* knockout mice, which express an altered ligand binding site variant of Npn-1, and *npn-1* conditional null mice to establish the cell-type and ligand specificity of Npn-1 function in the developing cardiovascular and nervous systems. Our results show that VEGF-Npn-1 signaling in endothelial cells is required for angiogenesis. In striking contrast, Sema-Npn-1 signaling is not essential for general vascular development but is required for axonal pruning by several populations of neurons in the CNS and PNS. Remarkably, both Sema-Npn-1 signaling and VEGF-Npn-1 signaling are critical for heart development. Therefore, Npn-1 is a multifunctional receptor that mediates the activities of structurally distinct ligands during development of the heart, vasculature, and nervous system.

Introduction

During development, many distinct processes contribute to organ morphogenesis including cell proliferation, migration, differentiation, and neural innervation and vascularization. These developmental events are under the control of numerous cues present in the extracellular environment. One cell surface receptor whose function has been implicated in development of both the cardiovascular and nervous systems is neuropilin-1 (Npn-1), a type I transmembrane protein with a small cytoplasmic domain and multiple extracellular domains (Gu et al., 2002).

Npn-1 is a type I transmembrane protein with a small cytoplasmic domain and multiple extracellular domains (Gu et al., 2002).

capable of mediating a variety of protein-protein interactions (Fujisawa et al., 1997). While Npn-1 can mediate heterophilic cell adhesion (Fujisawa et al., 1997; Shimizu et al., 2000), it is also the ligand binding subunit of the semaphorin 3D/neuropilin-1 (Sema3D/Npn-1) receptor complex (He and Tessier-Lavigne, 1997; Kolodkin et al., 1997). Semaphorins comprise a large family of proteins that described as regulators of axon pathfinding (Ruiters et al., 2003). Class 3 semaphorins, including Sema3A, are secreted vertebrate semaphorins that can act as potent axon repellents for specific populations of neurons. These ligands appear to exert their chemo-repulsive effects via receptor complexes which include the ligand binding subunit Npn-1, or its close family member Npn-2, and a signal transducing subunit consisting of one of four class A plexin proteins (He et al., 2002). Six class 3 secreted semaphorins have been identified, Sema3A, 3B, 3C, 3D, 3E, and 3F, and each of these ligands can bind with high affinity to either Npn-1, Npn-2, or to both. Moreover, Npn-1 is necessary for Sema3A-mediated chemorepulsion, whereas Npn-2 is necessary for Sema3F-mediated chemorepulsion.

Interestingly, Npn-1 is also expressed in endothelial cells and can bind with high affinity to secreted isoforms of vascular endothelial growth factor (VEGF) (Soker et al., 1998). VEGFs, including VEGF₁₂₁, are critical regulators of vasculogenesis, angiogenesis, and vascular remodeling. Likewise, Npn-2 binds to distinct but overlapping set of VEGF family ligands (Niedel et al., 2002). The biological effects of VEGFs are mediated by their signaling receptors, the receptor tyrosine kinases Flt-1 (VEGFR-1), Flt-1/KDR (VEGFR-2), and VEGFR-3 (Petersen, 2001; Niedel et al., 1999). Thus, VEGF heterodimers are likely composed of VEGFR alone or VEGFR complexes with Npn-1 and/or Npn-2. Indeed, overexpression of Npn-1 in vascular endothelial cells enhances both the affinity labeling of VEGF₁₂₁ to VEGFR-2 and also VEGF₁₂₁-induced cell chemotaxis (Soker et al., 1998).

Despite these *in vitro* findings, how Npn-1 and Npn-2 function *in vivo* in mature organisms for VEGF ligands during development remains poorly understood. Analysis of *npn-1* and *npn-2* null mice has, however, provided some insight into neuropilin function *in vivo*. *npn-1* null mice die midway through gestation, *E10.5-E12.5*, and exhibit defects in the heart, vasculature, and nervous system (Kawasaki et al., 1999; Kishikawa et al., 1997). Furthermore, a genetic interaction between VEGF and Npn-1 has been observed in zebrafish (Lee et al., 2002). In contrast to *npn-1* null mice, *npn-2* null mice are viable but exhibit multiple nervous system defects and have a paucity of lymphatic vessels (Chen et al., 2000; Giger et al., 2000; Yuan et al., 2002). *npn-1* and *npn-2* double mutant mice die early in gestation, around *E8.5*, because of severe vascular anomalies in both the embryo and placenta (Kishikawa et al., 2002). The various defects in neuropilin mutant mice could be due to deficiencies of Sema-Npn signaling, VEGF-Npn signaling, or both. In fact, mouse genetic analyses have revealed that, like VEGFs, two secreted semaphorins,

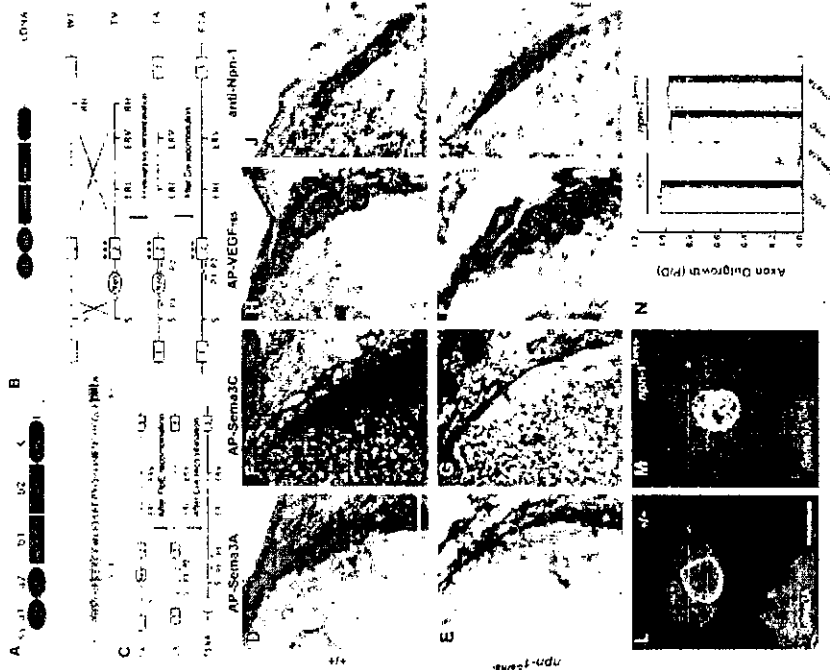


Figure 1. Generation and Characterization of *npn-1* Mice

(A) Extracellular domain structure of the Npn-1 protein and a 7 amino acid substitution in the R1 domain of the Npn-1 at C10 domain (Gu et al., 2002). (B) Southern blot analysis of genomic DNA from npn-1 mice. The boxes represent npn-1 exons 1-11. The largest vector (TV) contains a 1.8 kb fragment of the Npn-1 gene. The smallest vector (R1) contains a 0.5 kb fragment of the Npn-1 gene. The R1 vector was used to generate the npn-1 null mice. (C) RT-PCR analysis of npn-1 mRNA levels in npn-1 null mice. The R1 vector was used to generate the npn-1 null mice. (D) Immunoblot analysis of Npn-1 protein levels in npn-1 null mice. The R1 vector was used to generate the npn-1 null mice. (E) Immunohistochemistry of npn-1 null mice showing defects in heart and vasculature. (F) Quantification of axon outgrowth in npn-1 null mice.

Sema3A and Sema3C control specific aspects of cardiovascular development (Behar et al., 1996; Fener et al., 2001; Litwack, in addition to their prominent roles as regulators of vascular and neural development, VEGFs may serve as potent trophic factors for motor, sensory, and sympathetic neurons (Dostrovsky et al., 2001; Sendtler et al., 1999, 2000). Moreover, both secreted semaphorins and VEGFs are widely expressed within both the nervous system and cardiovascular primordium throughout development. Thus, many secreted semaphorins and VEGF proteins are implicated in both neural and cardiovascular development. *Npn-1* null mice die midway through gestation, very early in the development of the cardiovascular and nervous systems, and therefore how *Npn-1* mediates semaphorin and/or VEGF signaling in the heart, vasculature, and nervous system is not known.

In untried *Npn-1*'s ligand- and cell-type-specific functions and thereby shed light on the regulation of cardiovascular and neuronal development, we sought to selectively disrupt *Npn-1* interactions with semaphorins while retaining interactions with VEGFs. Guided by the structure of the bovine Semaphorin CUB domain (Romer et al., 1997), we identified 7 amino acids located on two adjacent hydrophobic loops of the amino-terminal *Npn-1* CUB domain that are critical for binding to the Sema3A domain of class 3 semaphorins. Substitution of these 7 amino acids completely disrupts Sema3A-*Npn-1* binding but does not affect VEGF-*Npn-1* binding or VEGF-*Npn-1*'s ability to associate with its receptors (i.e., signaling receptor, VEGFR2) (Gut et al., 2002). Here, we describe a mouse mutant (*npr-1*) that was generated by altering the coding determinants of these 7 amino acids within the *npr-1* locus by homologous recombination in ES cells. The *npr-1* mouse expresses normal levels of *Npn-1* protein, but Sema3A-*Npn-1* signaling is abolished while VEGF-*Npn-1* signaling is retained. For complementary analyses, we also used a Cre-loxP strategy to generate a conditional *npr-1* null mouse. Furthermore, we crossed *npr-1* mice and *npr-2* null mice to generate double mutant mice in which all secreted semaphorin signaling is abolished. Analyses of these mutant mice allow us to determine the ligand- and cell-type specificity of *Npn-1* function in vivo. Our findings indicate that *Npn-1* coordinates the activities of structurally distinct ligands that control the development of the heart, vasculature, and nervous system.

Results

Generation of *npr-1* Mice
We first sought to delineate the ligand specificity of *Npn-1* function during neural and endoneurial development. Our recent structure function analysis revealed

that substitution of 7 amino acids located in three regions of the amino-terminal *Npn-1* CUB domain completely disrupts Sema3A-*Npn-1* binding but does not affect VEGF-*Npn-1* binding or the ability of VEGF to associate with or activate its signaling receptor, VEGFR2 (Gut et al., 2002). Therefore, we used a gene replacement strategy to generate a knock-in mouse, which we call *npr-1*, that expresses only the mutant *Npn-1* protein (Figure 1). Homozygous *npr-1* mice express normal levels of *Npn-1* protein (Figures 1J and 1K). Moreover, alkaline phosphatase (AP) staining bearing experiments show that *npr-1* mice display normal AP-VEGF₁₂₁ binding (Figures 1H and 1I), but little or no AP-Sema3A or AP-Sema3C binding, to endogenous receptors on axons within the dorsal root entry zone (DREZ; Figures 1D-1G). Importantly, neurons from *npr-1* mice are completely unresponsive to Sema3A. In a three-dimensional collagen matrix, wild-type dorsal root ganglia (DRG) sensory axons were robustly repelled by Sema3A-transfected COS cell aggregates, whereas sensory axons from *npr-1* mutant mice were not repelled by Sema3A and often grew directly into Sema3A-expressing cell aggregates (Figures 1L-1M).

Interestingly, unlike *npr-1* null mice, which die around E12.5 (Katsukawa et al., 1997), homozygous *npr-1* mice survive until birth. This suggests that VEGF-*Npn-1* signaling, but not Sema3A-*Npn-1* signaling, is critical for embryonic viability. Approximately 25% of P0 mice from *npr-1* intercrosses are *npr-1*; however, only 63% of these *npr-1* mice are alive at P7. Sensory axons of *npr-1* mice are grossly reduced, and at least some of these axons are found in the DRG. The viability of *npr-1* mice allowed us to investigate semaphorin-dependent and -independent *Npn-1* signaling events during both embryonic and postnatal development. To define the role of Sema3A-*Npn-1* signaling in development of the nervous system, we assessed the integrity of both PNS and CNS projections in *npr-1* mice and, for comparison, in *npr-1* null embryos when feasible.

***Npn-1* and Neural Development**

Embryonic Cranial and Spinal Nerves
As observed previously (Katsukawa et al., 1997), whole-mount neurofilament immunostaining revealed that both cranial and spinal nerves are severely defasciculated and abnormally extended in both E11.5 and E12.5 *npr-1* null embryos (Figures 2B and 2F). Abnormal projections of cranial and spinal nerves, including defasciculation and aberrant axon arborizations, were also observed in *npr-1* mice (Figures 2D and 2H). Interestingly, some of these neural defects appear qualitatively different between *npr-1* null and *npr-1* mice. For example, distal axons of the ophthalmic nerve in *npr-1* null

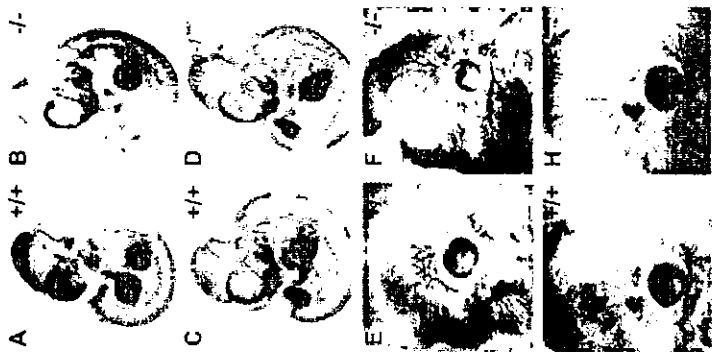


Figure 2. Cranial and Spinal Nerves Are Severely Defasciculated in Both *npr-1* Null and *npr-1* Mice. (A-D) Whole-mount neurofilament staining of E11.5 *npr-1* null (A, C) and *npr-1* (B, D) cranial nerves. (E-H) Whole-mount neurofilament staining of E12.5 *npr-1* null (E, G) and *npr-1* (F, H) spinal nerves. (A, C) *npr-1* null cranial nerves show severe defasciculation and abnormal projections. (B, D) *npr-1* cranial nerves show less severe defasciculation and abnormal projections. (E, G) *npr-1* null spinal nerves show severe defasciculation and abnormal projections. (F, H) *npr-1* spinal nerves show less severe defasciculation and abnormal projections. Scale bars: (A, C) 0.5 mm; (E, G) 0.2 mm.

npr-1 appear considerably more defasciculated and disorganized than those observed in *npr-1* mice (Figures 2B and 2H). Axon branches from the ophthalmic nerve extend much further in the *npr-1* mouse than in other *npr-1* null or wild-type mice, and thus

branches exhibit a more regular and equally spaced distribution in the region surrounding the developing eye (Fig. 7). The differences between *npr-1* null and *npr-1* mice indicate that the differences in the phenotypes of *npr-1* and *npr-1* mice are due to some *npr-1*-independent *Npn-1* functions in these neurons, including axon fasciculation mediated through *Npn-1* heterophilic adhesive events (Shimizu et al., 2000; Taguchi et al., 1997). Therefore, Sema3A-*Npn-1* signaling is indeed required for cranial and spinal nerve projections, and additional Sema-independent *Npn-1* functions may promote fasciculation of some peripheral nerves.

Vestibuloocular Projections
We next assessed the role of Sema3A-*Npn-1* signaling during development of the vestibuloocular nerve (VIII), which conveys sensory information from hair cells of the ear to the brain stem. Lipophilic dye placed into the brain stem shows that afferent fibers of VIII innervate each of the sensory end organs of the ear by E12.5 (Figure 3A). The E12.5 *npr-1* mutant mice have additional smaller fiber bundles that extend beyond their normal termination zones within the sensory end organs (Figures 3B and 3C) to form at least three types of defects (four out of four). In most *npr-1* mice (three out of four), aberrant fibers course between the sensory epithelia of the anterior and horizontal semicircular canal, piercing through the oblique capsule to reach the skin above the ear (Figure 3B). In other *npr-1* mice (three out of four), fibers emanate from the utricle, run anteriorly around the ear, and terminate at or near the posterior canal crista. One *npr-1* mouse had fibers that formed a loop around the forming cochlea (Figure 3C). Efferent projections were not observed in any of the wild-type mice; however, a few efferent fibers projecting to the posterior crista were observed in some heterozygous mice (two out of three; data not shown).

These vestibular nerve projection defects persisted in E14.5 (two out of four) and E15.5 (three out of five) *npr-1* mice but not in either wild-type or heterozygous mice (two out of two). Efferent afferent projections extended beyond the anterior and horizontal canal epithelium (Figure 3B), pierced the oblique wall, and extended toward the anterior branch of the trigeminal nerve (data not shown). Dye injection into nulloleptomeral nerve in three separate animals resulted in retrograde labeling of vestibular fibers and neurons in the rostral part of the vestibular ganglion (Figures 3F-3G). Apparently, a subset of afferent fibers of the vestibular nerve project beyond their normal termination fields in the absence of Sema3A-*Npn-1* signaling to innervate areas of the skin normally innervated exclusively by the trigeminal nerve. Nevertheless, these fibers maintain their peripheral projections and terminate in the vestibular nuclei of the brain stem rather than in the nearby trigeminal sensory nuclei (data not shown). Therefore, Sema3A-*Npn-1* signaling is required for guidance of peripheral projections of bipolar neurons of the vestibular ganglion, presumably providing a stop signal near the sensory epithelium.

Sensory Afferent Projections to the Spinal Cord
We next examined the projections of DRG sensory axons into spinal cord gray matter of E14.5 and postnatal day 2 (P2) *npr-1* mice. Normally, cutaneous afferent

DRG and H- and *npr-1* mice (D, G, and H) with AP-Sema3A (D and E), AP-Sema3C (F and G), or AP-VEGF (H and I). (J-K) Quantification of semaphorin activity is measured by the area of growth cone (J), which is the extent of axon outgrowth distal to the cell aggregate. Shown are mean $\pm$ SEM of 12 mice (J and K) and 10 mice (L and M). Scale bars: (D-K) 40 μ m; (L-M) 45 μ m.



Figure 3. Somatostatin-1 signaling is required for sensory afferent innervation of the inner ear and spiral cord. Panels A-F show immunohistochemical staining for Ngn-1 in E12.5 *ngn-1*^{-/-} mice. Vestibular nerve fibers are labeled (A-C), and the spiral cord is labeled (D-F). Panels A and D show control (n=10), while B, C, E, and F show *ngn-1*^{-/-} mice. Panels A and D show normal innervation, while B, C, E, and F show reduced or absent innervation. Scale bars are 100 μm.

axons project to the DREZ and then stall during a "waiting period," which in the mouse extends from E11 until E15, before invading the spinal cord. As shown in Figure 3H, TrkA-positive fibers in wild-type embryos are restricted to the DREZ of E14.5 (n = 3). In contrast, many TrkA-positive fibers in *ngn-1*^{-/-} mice have already entered the gray matter at E14.5, and a subset of these errant projections extends into the most ventral regions of the spinal cord (Figure 3I and data not shown; n = 3). At a later time, P2, TrkA-positive fibers in wild-type mice have invaded the spinal cord and are completely restricted to their target fields within the dorsal laminae (Figure 3J, n = 7). In contrast, in *ngn-1*^{-/-} mice, some axons were observed outside of their normal termination zones (traveling along the midline and into the medial-ventral spinal cord Figure 3K, n = 7). Similar aberrant projections were reported in *sonata3A* null mice (Behar et al., 1998). Thus, *Sema3A* signaling through Ngn-1 is indeed required for guiding the central projections of a subset of TrkA-POS two axons of cutaneous sensory neurons during development.

Corpus Callosum
Other later developing CNS fiber tracts were also disrupted in *ngn-1*^{-/-} mice including axons of the corpus callosum, which project to the contralateral cortical hemisphere. This fiber tract normally binds axially to the AP *Sema3A* fusion protein (Figure 4). Dll labeling experiments revealed callosal defects in all E17.5 *ngn-1*^{-/-} embryos examined (Figures 4B, 4C, and 4E–4H, n = 10). Corpus callosum phenotypes varied from mild, in which some callosal axons resided from the main bundle into the dorsal wedge and septum but most crossed the midline (Figures 4D and 4E), to more severe phenotypes where the main callosal bundle was fully

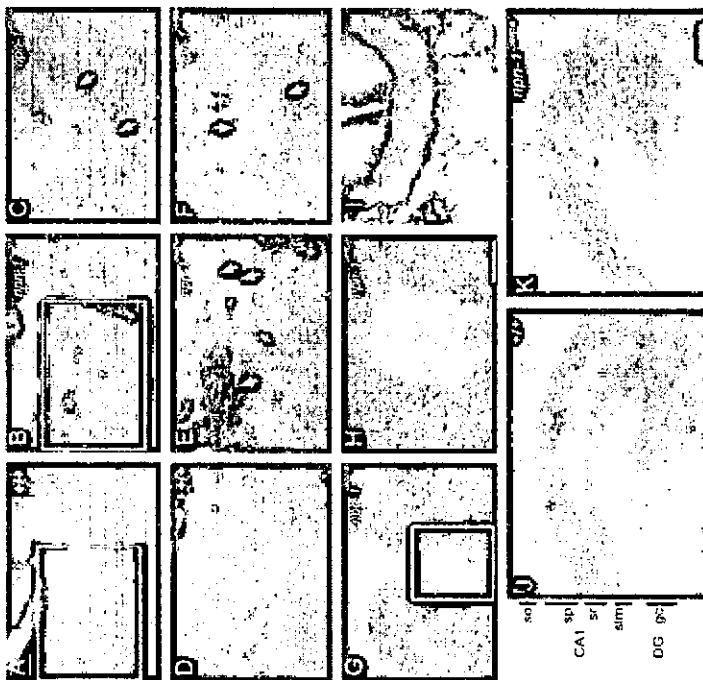


Figure 4. Axonal projection defects in the corpus callosum and hippocampus in *ngn-1*^{-/-} mice. Panels A–H show immunohistochemical staining for Ngn-1 in E17.5 *ngn-1*^{-/-} mice. Panels A–C show the corpus callosum, and panels D–H show the hippocampus. Panels A and D show control (n=10), while B, C, E, and F show *ngn-1*^{-/-} mice. Panels A and D show normal axonal projections, while B, C, E, and F show defects. Scale bars are 100 μm.

stratum radiatum and the hilus (Pozas et al., 2001). Therefore, we conclude that *Sema3A*, and probably additional secreted semaphorins in the hippocampus, act

through Ngn-1 to restrict axonal projections of entorhinohippocampal neurons to their specific targets within the stratum lacunosum-moleculare.



did, however, observe that the basal dendrites of layer 5 cortical neurons within the neocortex (compare Figures 5C–5E and 5H–5J), but not neurons in the cingulate cortex (compare Figures 5B and 5G), of *npr-1^{tsam}; thyl-1::GFP* mice were markedly diminished in both length and complexity. Thus, *Sema-1/Npr-1* signaling contributes to basal, but not apical, cortical neuron dendrite development.

While our results support

Cortical Neuron Dendrites

In addition to their roles as axonal chemorepellents, astrocyte-secreted chemoattractants have also been implicated in dendritic arborization (Behar et al., 1986; Pollock et al., 1998, 2000). Therefore, we next assessed the integrity of both horizontal and basal dendrites of layer 5 pyramidal neurons from *gfp-⁺/gfp-⁻* mice. Since many *gfp-⁺/gfp-⁻* mice are viable at P0–P1, our analysis was carried out with P2–P4 (*n* = 15) and P14–P17 (*n* = 3) brains, lines at which dendrites of layer 5 pyramidal neurons are elaborated. To examine cortical neuronal morphology, we crossed *gfp-⁺/gfp-⁻* mice expressing GFP in a small subset of neurons (*gfp-⁺/gfp-⁻*; *thy-1::GFP* mice, Figures 5A and 5B) or mice lacking expression of GFP (*gfp-⁻/gfp-⁻*; *thy-1::GFP* and *SC-1::GFP*) (Feng et al., 2000). Although Semaphorin can serve as an attractant for apical dendrites and a repellent for proximal dendrites of cortical neurons in vitro (Pollock et al., 1998), we did not observe apical dendrite retraction or axon elimination defects in cortical dendrites of either type, nor did we observe basal dendrite retraction or axon elimination defects in cortical dendrites of either type, nor did we observe basal dendrite retraction or axon elimination defects in cortical dendrites of either type, nor did we observe basal dendrite retraction or axon elimination defects in cortical dendrites of either type. We observed no differences between *gfp-⁺/gfp-⁻* and *gfp-⁻/gfp-⁻* mice (Figures 5C–E, left and right panels, respectively).

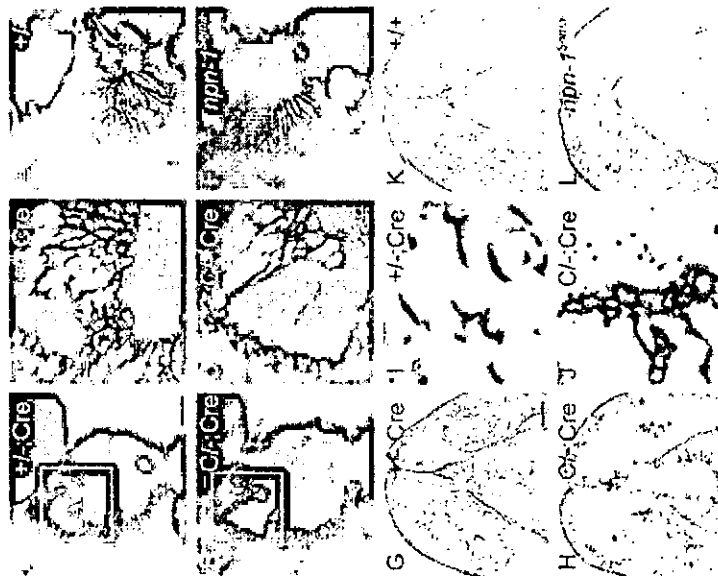


Figure 6. Severe Vasculature Disruption in Endothelial cell-specific non-1 null mutant (Cre), but Not in non-1^{+/+} mice. (A-F) Whole-mount anti-PECAM staining of the endothelial cell-specific non-1 null mutant (Cre) and a wild type littermate control embryo (G). (A) and (B) are displayed at higher magnification. (C) and (D) are displayed at higher magnification. (E) and (F) are displayed at higher magnification. (G) is a whole-mount anti-PECAM staining of a wild type littermate control embryo. (H) is a whole-mount anti-PECAM staining of a wild type littermate control embryo. (I) is a whole-mount anti-PECAM staining of a wild type littermate control embryo. (J) is a whole-mount anti-PECAM staining of a wild type littermate control embryo. (K) is a whole-mount anti-PECAM staining of a wild type littermate control embryo. (L) is a whole-mount anti-PECAM staining of a wild type littermate control embryo. (M) is a whole-mount anti-PECAM staining of a wild type littermate control embryo. Scale bars: (A, B, and G), 0.7 mm; (C, D, H, and I), 350 μ m; (E and J), 50 μ m.

Sema3A, Npn-1 signaling in endothelial cells appears to control growth of the aorta. Thus, Npn-1 is a vascular, multifunctional receptor for distinct families of ligands that coordinate heart, vasculature, and nervous system development.

Non-1 and Nervous System Development

The chemorepellent Sema3A was the first identified ligand for Npn-1, and several lines of evidence indicate that Npn-1 is an obligate coreceptor for Sema3A, while

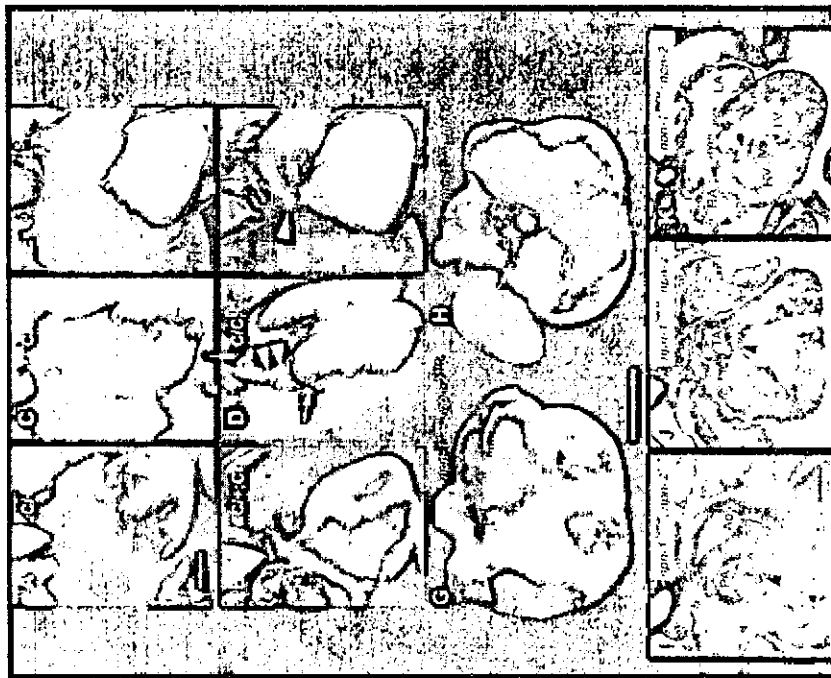


Figure 7. Correlative Defects in Endothelial Cell-Specific non-1 Null Mice. (A-F) Gross anatomy of the hearts of wild-type (A) and non-1 null mice (B). (G-L) Histological sections of the hearts of wild-type (G) and non-1 null mice (H). (M-P) Histological sections of the hearts of non-1 null mice (M) and non-1 null mice (P). (Q-R) Histological sections of the hearts of non-1 null mice (Q) and non-1 null mice (R). (S-T) Histological sections of the hearts of non-1 null mice (S) and non-1 null mice (T). (U-V) Histological sections of the hearts of non-1 null mice (U) and non-1 null mice (V). (W-X) Histological sections of the hearts of non-1 null mice (W) and non-1 null mice (X). (Y-Z) Histological sections of the hearts of non-1 null mice (Y) and non-1 null mice (Z). (AA-AD) Histological sections of the hearts of non-1 null mice (AA) and non-1 null mice (AD).

Table 1. Cardiac Defects in C/C-*Cre*, *apn*¹⁴², *apn*², and . . .

Genotype	PTA	BAE	ADC	VSD
SHC/SHC	1/11	1/7	4/0	3/6
SHC/+	0/1	10/11	0/1	1/1
+/+	0/8	1/4	0/4	0/4
SHC-1 ^{+/+}	5/9	5/9	3/9	2/6

Sealed.

Sema3A and one or more additional secreted semaphorins act together with Net to instruct the layer-specific targeting of entorhinohippocampal projections. We have observed several axon guidance defects in *sema3A* mutant mice. These include precocious entry of the Sema3A-positive fibers into the spinal cord, defects in the formation of the corpus callosum, and aberrant projections of vestibular ganglion neurons within the inner ear. Sema3A, Sema3D, and Sema3E can each bind to Net-1, and, therefore, these neuronal effects may be due to a lack of signaling by any or all of these four secreted semaphorins. Furthermore, while we have not observed defects in orientation of apical dendrites and axons of layer 5 cortical neurons in P2, P6, or P14 *sema3A* mice, we have noted striking differences in both the length and complexity of their basal dendrites.

Additional comparisons between non-1^{lacZ} mice and mice lacking one or more genes encoding each of the three 3' exons will be necessary to establish which of these ligands might instruct the fasciculation and arborizing of axons and the growth of dendrites of Non-1⁻-expressing cortical neurons during development.

Vpn-1 and Cardiovascular Development:

To investigate Npn-1 function in vasculature development, we generated mice in which Npn-1 was specifically deleted in endothelial cells. We found that the Npn-1-deficient mice (*Npn-1^{fl/fl}*) had a severely disrupted *Ct* (Cre mice, indicating that Npn-1 is indeed required within endothelial cells for angiogenesis). These defects are likely due to a deficiency in VEGF-Npn-1 signaling since the vasculature of *Npn-1^{fl/fl}* mice appears normal.

Compared to the relatively simple cell-type- and ligand-specificities of Npn-1 signaling requirements in

Developmental requires a remarkable degree of coordination between the families of ligands that signal through the nervous system and vasculature, we found that, near

[illegible]

Both VEGF and semaphorin ligands and functions within endothelial cells to coordinate cell development. Our findings also indicate that VEGF-Npn-1 signaling in endothelial cells controls septation of the outflow tract and topological origin of the coronary arteries. These results also support a model in which Semax3 signaling, through either Npn-1 or Npn-2, instructs outflow tract septation; however, the cell-type dependence of this signaling event is less clear. Indeed, Semax3 signaling is required for outflow tract development (Fenster et al., 2001), and this ligand can bind with high affinity to both Npn-1 and Npn-2. Interestingly, while a Npn-1/Npn-2 heterodimer was previously suggested to be a Semax3 receptor in sympathetic neurons (Chen et al., 1997, 1998; Takahashi et al., 1998), we observed outflow tract defects in the non-*1⁺2⁻* mice only in a *npn-2*-*2⁻* background, strongly supporting a distinct model in which either Npn-1 or Npn-2 can serve as a Semax3 receptor in the developing heart. It has been suggested that Semax3 guides migrating cardiac neural crest cells as they take up residence in the proximal outflow tract, where they play a crucial role in outflow tract septation (Brown et al., 2001; Fenster et al., 2001). We also consider these results consistent with this possibility. They are also consistent with a Semax3 signaling requirement in another tract type, possibly endothelial cells, for outflow tract septation. In any case, our results indicate that a subfamily of the VEGF family of ligands signal through a common receptor, Npn-1. To coordinate septation of the cardiac outflow tract. Lastly, a Semax3-Npn-1 signal is required for proper development of the aorta, and this signal is likely propagated within endothelial cells since *Behar et al.* (1996) and in both the *C/C*-Cre mice and *npn-1⁺* mice reported here.

In summary, our findings show that members of distinct ligand families, semaphorins and VEGFs, act through a common receptor, Npr-1, to instruct axonal outgrowth in neurons and growth of the vasculature, respectively. Remarkably, through Npr-1, members of these same ligand families collaborate to orchestrate development of the heart. Thus, nonopoids have the unique capacity to mediate functional interactions between distinct families of ligands to coordinate complex morphogenetic events during development.

Experimental Procedures

Generation of non- β^{Hem} , non- β^{Cond} , and non- β^{Null} Mice

[illegible][illegible]

AP Fusion Protein Binding to Tissue Sections

PHG Repairmen Assay
 PHG were dissociated from E14.5 wild-type or mutant embryos, and cell suspension machines were performed essentially as previously described (Kodani et al., 1977). Statistical analysis was performed using a two-way ANOVA.

Whole-Mount Immunoprecipitation and Immunoblotting Procedures

Whole-mount immunoprecipitation and immunoblotting were used in PRIS containing 1% P-4, 1% P-6, and whole-mount immunoprecipitation was performed as described [Gjorge et al., 2000]. Using anti- β -casein monoclonal antibodies against either PRCAM-1 (clone 12A12, 1:1,000; Calbiochem, La Jolla, CA) or PRCAM-2 (clone 12A13, 1:500 dilution; Pharmingen, San Diego, CA) or anti- β -casein monoclonal antibody against PR-8 (clone 12A14, 1:500 dilution; Pharmingen, San Diego, CA) was used to immunoprecipitate 250 μ g of total protein from hybridoma cells. 1.50 μ g of total protein from hybridoma cells was used for immunoblotting. Serial dilutions from 1:100 to 1:10,000 were used for immunoblotting. Secondary antibodies, immunoprecipitation buffer, and immunoblotting reagents were purchased from Pierce and Warriner, Rockford, IL.

Whole-mount immunoprecipitation and immunoblotting were performed as described [Gjorge et al., 2000].

[illegible]

enterograde and retrograde labeling of vasculosomata projections

[illegible]

Executive Summary

[illegible]

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