

Señores
DIRECCION DE NUEVAS CREACIONES
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
Bogotá, D.C.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 13-284465- -00002-0000

Fecha: 2014-02-21 09:41:54 Dep. 2020 DIR.NUEVASCR
Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI
Act. 444 RESPUESTAREQUE Folios: 14

Re.: Código 011-378-444
Expediente No. 13-284.465
Fase nacional de Solicitud
de Patente PCT
PEARL THERAPEUTICS, INC.

En relación con el expediente de la referencia y dando cumplimiento a la última providencia recaída sobre este asunto por medio del presente escrito me permito manifestarles lo siguiente:

1. Adjunto una copia del poder conferido por la sociedad PEARL THERAPEUTICS, INC. domiciliada en Redwood City, Estado de California, E.U.A.
2. Acompaño una copia certificada del documento que acredita la cesión de los inventores a la sociedad solicitante.
3. Igualmente acompaño el comprobante de pago por concepto de exceso de reivindicaciones por valor de \$ 1'581.000.00, recibo No. 20959

Renuncio al término faltante de traslado, y les solicito ordenar la publicación del extracto.

De Ustedes Atentamente,


LUIS FELIPE CASTILLO GIBSONE
T.P. No. 68.995 del C.S.J.

Castillo Grau & Associates

Law Offices
P.O. Box 251473
Bogotá, Colombia

FOR PATENTS AND TRADEMARKS IN

COLOMBIA

PODER

(1)

domiciliado en (2)

nombramos al Dr. Germán Castillo Grau y/o Adriana Castillo Gibsone y/o Luis Felipe Castillo Gibsone

con oficinas en la Carrera 13 No. 37-43, Piso 12 en Santafé de Bogotá, D.C., Colombia como sus representantes con poder especial amplio y suficiente, para recabar de las oficinas y autoridades Colombianas, administrativas, judiciales o de policía, en primera o segunda instancia, la Obtención de Patentes de Invención, Registro de Marcas, de Diseños Industriales, de Nombres y Enseñas Comerciales, de Registros Sanitarios, Nombres de Dominio, Variedades Vegetales, lo mismo que trasposos, extensiones, renovaciones, cambios de nombre y domicilio, registro de licencias de explotación y registro de licencias de uso referentes a tales actuaciones, a cuyo efecto les facultamos para dar ante dichas autoridades todos los pasos necesarios al objeto indicado, elevar solicitudes, formular descripciones, enmiendas, protestas, declaraciones, apelaciones y reclamos, solicitar la aplicación de medidas cautelares, aceptar en nuestro nombre y representación la Cesión de derechos de invención y de patente de invención que se nos haga por cualquier persona, abonar todos los impuestos cuotas y pagos determinados por la ley, recibir todos los documentos y valores dando el descargo respectivo, llenar cualesquiera otros requisitos, desistir, y tomar en fin todas las medidas que creyeren conducentes al resguardo de nuestros intereses y en caso de presentarse oposiciones para que intervengan como demandantes o como demandados, con todas las demás facultades legales necesarias. Este poder comprende además las facultades de recibir, desistir, transigir, comprometer, sustituir, revocar sustituciones, recibir notificaciones y nombrar apoderados judiciales o extra-judiciales

Dado y firmado en (4)

Firma/Signature

Sarvajna Kumar Dwivedi

Co-Founder and Chief Scientific Officer

Corporate Seal to be Affixed

POWER OF ATTORNEY

(1) PEARL THERAPEUTICS, INC.

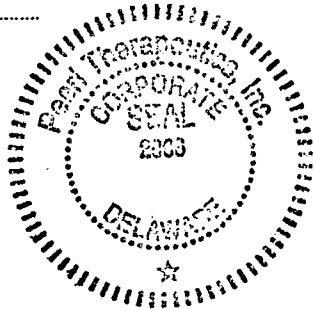
domiciled in (2) 200 Saginaw Drive
Redwood City, California 94063
USA

hereby appoint Dr. Germán Castillo Grau and/or Adriana Castillo-Gibsone and/or Luis Felipe Castillo-Gibsone

with offices at Carrera 13 No. 37-43, 12th Floor in Bogotá, Colombia as our representatives with special ample and sufficient power to obtain from the offices and Colombian authorities, administrative, judicial and police, in first or in second instance, Patents of Invention, registration of Trade or Service Marks, Industrial Designs, of Commercial Names and Ensigns, Health and Sanitary Registrations, Domain Names, Plant Varieties, as well as assignments, extensions, renewals, changes of names and addresses, registration of licences of exploitation and licences of use referring to said actions who are empowered to take before said authorities all of the necessary steps for the purposes indicated, to file applications, to formulate descriptions, corrections, protests, declarations, appeals and claims, apply for precautionary measures, to accept on our behalf and representation the assignment of rights on invention and titles of invention that may be done to us by any person, to pay all taxes, quotas and payments prescribed by law, to receive all the documents and proceeds giving the respective acquittance or receipt, to fulfill any other requisites to desist and take in fact any other measures that may be deemed conducive to the safeguard of our interests, and in case oppositions are presented to intervene as plaintiff or defendant, with all necessary legal faculties. This power includes the faculties to receive, desist, compromise, transact, substitute it in all or in part, to revoke substitutions, to receive notifications and to appoint judicial or extra-judicial attorneys.

Given and signed in (4)

November 30, 2013



A 7453005

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

December 23, 2013

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
MAY 3, 2013.

By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office



T. LAWRENCE
Certifying Officer

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Reinhard Vehring	07/31/2011
Michael Steven Hartman	08/02/2011
David Lechuga-Ballesteros	07/26/2011
Adrian Edward Smith	08/19/2011
Vidya B. Joshi	07/27/2011
Sarvajna Kumar Dwivedi	08/02/2011
RECEIVING PARTY DATA	
Name:	Pearl Therapeutics, Inc.
Street Address:	200 Saginaw Drive
City:	Redwood City
State/Country:	CALIFORNIA
Postal Code:	94063
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13109884
CORRESPONDENCE DATA	
Fax Number:	2063867500
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Email:	sewebb@stoel.com
Correspondent Name:	Samuel E. Webb
Address Line 1:	Stoel Rives LLP
Address Line 2:	600 University Street, Suite 3600
Address Line 4:	Seattle, WASHINGTON 98029
ATTORNEY DOCKET NUMBER:	49742/404
NAME OF SUBMITTER:	Samuel E. Webb

OP \$40.00 13109884

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PATENT
 REEL: 030349 FRAME: 0517

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Signature:	/Samuel E. Webb/
Date:	05/03/2013
Total Attachments: 7 source=49742-404SignedAssignment-Final#page1.tif source=49742-404SignedAssignment-Final#page2.tif source=49742-404SignedAssignment-Final#page3.tif source=49742-404SignedAssignment-Final#page4.tif source=49742-404SignedAssignment-Final#page5.tif source=49742-404SignedAssignment-Final#page6.tif source=49742-404SignedAssignment-Final#page7.tif	

WHEN RECORDED RETURN TO:

Stoel Rives LLP
600 University Street, Suite 3600
Seattle, Washington 98101

PATENT APPLICATION
Docket No.: 49742/404

ASSIGNMENT

We, REINHARD VEHRING of 10935 40A Avenue, Edmonton, Alberta T63 0T1; MICHAEL STEVEN HARTMAN of 412 Richmond Drive #4, Millbrae, CA 94030; DAVID LECHUGA-BALLESTEROS of 1879 Blossom Hill Road, San Jose, CA 95124; ADRIAN EDWARD SMITH of 2 Wilmington Acres Court, Emerald Hills, CA 94062; VIDYA B. JOSHI of 812 Seal Pointe Drive, Redwood City, CA 94065; and SARVAJNA KUMAR DWIVEDI of 409 Whisper Lane, Redwood City, CA 94065, have invented the inventions described the following patent applications: United States Application Serial No. 13/109,884, entitled COMPOSITIONS, METHODS & SYSTEMS FOR RESPIRATORY DELIVERY OF TWO OR MORE ACTIVE AGENTS, filed on May 17, 2011; and International Application No. PCT/US11/36868, entitled COMPOSITIONS, METHODS & SYSTEMS FOR RESPIRATORY DELIVERY OF TWO OR MORE ACTIVE AGENTS, filed on May 17, 2011.

The Assignee, Pearl Therapeutics, Inc., 200 Saginaw Drive, Redwood City, CA 94063, desires to secure the entire right, title and interest therein.

For good and valuable consideration, the receipt and sufficiency of which we hereby acknowledge, we HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said inventions in the above-identified patent applications and in all divisions, continuations and continuations-in-part of said applications, or reissues or extensions of letters patent or patents granted thereon, and in all corresponding applications filed in the United States and in countries foreign to the United States, including all divisions, continuations and continuations-in-part of said applications, and in all patents issuing thereon,

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including all reissues, or extensions of said patents, in the United States and foreign countries.

The right to file foreign patent applications on said inventions in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said inventions to the Assignee as the owner of the entire interest, for the sole use and benefit of the Assignee, its successors, assigns and legal representatives.

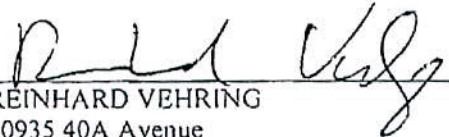
We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said inventions, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said inventions.

This assignment and agreement shall be binding upon our heirs and legal representatives.

DATED this 31 day of July, 2011.


REINHARD VEHRING
10935 40A Avenue
Edmonton, Alberta
T6C 0T1, Canada

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9

This assignment and agreement shall be binding upon our heirs and legal representatives.

DATED this 02 day of August, 2011.



MICHAEL STEVEN HARTMAN
412 Richmond Drive #4
Millbrae, CA 94030

A

This assignment and agreement shall be binding upon our heirs and legal representatives.

DATED this 26 day of JULY, 2011.



DAVID LECHUGA-BALLESTEROS
1879 Blossom Hill Road
San Jose, CA 95124

#10
This assignment and agreement shall be binding upon our heirs and legal representatives.

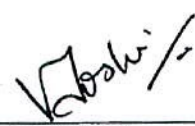
DATED this 19th day of August 2011.


ADRIAN EDWARD SMITH
2 Wilmington Acres Court
Emerald Hills, CA 94062

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K

This assignment and agreement shall be binding upon our heirs and legal representatives.

DATED this 27th day of JULY, 2011.

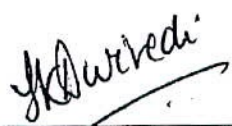


VIDYA B. JOSHI
812 Seal Pointe Drive
Redwood City, CA 94065

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75

This assignment and agreement shall be binding upon our heirs and legal representatives.

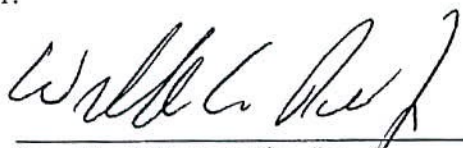
DATED this 02 day of AUGUST, 2011.



SARVAJNA KUMAR DWIVEDI
409 Whisper Lane
Redwood City, CA 94065

This Assignment is hereby acknowledged and accepted.

DATED this 19 day of August, 2011.



For: Pearl Therapeutics, Inc.
Name: William E Pich Jr
Title: V.P. Finance

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

NIT : 800.176.089-2

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RECIBO DE CAJA

No. 14 - 0020159

Bogotá D.C., Febrero 21 de 2014 - 09:30:04

RECIBIDO DE : CASTILLO GRAU & ASOCIADOS LTDA.

NI 800.098.704

*** Soporte del Pago ***

TIPO PAGO	BANCO	CUENTA	No. PAGO	FECHA PAGO	VR. PAGO
CONSIGNACION	BANCO DE BOGOTA	062754387	457324910	21/02/2014	1.581.000.00

*** Conceptos Pagados ***

CANT. RENTISTICO	CONCEPTO	Vr. UNDITARIO	Vr. CONCEPTO
51 50005-01-01 SOLICITUDES	1607 REIVINDICACION PATENTE UNITARIA ADICIONAL A LAS 10 INIC	31.000.00	1.581.000.00
			<u>\$1.581.000.00</u>

SON: **UN MILL&OACUTE;N QUINIENTOS OCHENTA Y UN MIL PESOS MONEDA CORRIENTE***

Responsable: _____

Recibo de Caja Aplicado al Expediente No. _____

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 13-284465- -00002-0000

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Sede Centro: Carrera 13 No. 27 - 00 Pisos 3,4,5 y 10 Bogotá, D.C.- Colombia

Web: www.sic.gov.co e-mail: info@sic.gov.co Conmutador: (571) 5870000 Fax: (571) 5870284 Línea: 018000-910165 Call Center: (571) 6513240

, Febrero 21 de 2014 - 09:30:05