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Act. 329 CTOINFORMACION Folios: 10

Señores

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

DIVISIÓN DE NUEVAS CREACIONES

E. \_\_\_\_\_ S. \_\_\_\_\_ D. \_\_\_\_\_

Ref · Expediente N°. 08-129.824

**Solicitud de Patente de Invención titulada: "COMPUESTOS QUE TIENEN  
ACTIVIDAD EN EL TRANSPORTADOR GLYT1"**

**Solicitante: GLAXO GROUP LIMITED**

**MEMORIAL DE ALCANCE**

CARLOS R. OLARTE, mayor de edad y vecino de Bogotá, identificado tal como aparece al pie de mi firma, en mi carácter de apoderado de la sociedad en referencia, me permito aportar junto al presente memorial, un (1) documento de cesión debidamente legalizado, en que consta la cesión de cuatro (4) inventores al solicitante, junto con su correspondiente traducción, según lo previsto en el Art. 26-k de la Decisión 486.

Atentamente,

CARLOS R. OLARTE  
C.C. N°. 79.782.747 de Bogotá  
T.P. 74.295

Anexo: Documento de Cesión

Sheet No ' 2

**Box No. VIII(ii) DECLARATION: ENTITLEMENT TO APPLY FOR AND BE GRANTED A PATENT**

The declaration must conform to the standardized wording provided for in Section 212; see Notes to Boxes Nos. VIII, VIII(I) to (v) (in general and the specific Notes to Box No. VIII(ii)). If this Box is not used, this sheet should not be included in the request.

Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:

in relation to international application No. PCT /EP2007/056106

GLAXO GROUP LIMITED is entitled to apply for and be granted a patent by virtue of the following:

- (i) transfer of entitlement from inventors **Clare Louise ANDERTON, David CLAPHAM, Trevor Raymond KEEL and Leanda Jane KINDON** to GlaxoSmithKline Services Unlimited by way of employment contract
- (ii) an assignment from GlaxoSmithKline Services Unlimited to Glaxo Group Limited dated 1 October 2007

☐ This declaration is continued on the following sheet, "Continuation of Box No. VIII(ii)".

Form PCT/RO/101 (declaration sheet (ii)) (March 2001; reprint January 2003)

See Notes to the request form

Sheet No .

**Box No. VIII(iii) DECLARATION: ENTITLEMENT TO CLAIM PRIORITY**

The declaration must conform to the standardized wording provided for in Section 213; see Notes to Boxes Nos. VIII, VIII(i) to (v) (in general) and the specific Notes to Box No. VIII(iii) and 51bis.1(a)(iii)

Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii)):

in relation to international application No. PCT/EP2007/056106

GLAXO GROUP LIMITED is entitled to claim priority of earlier application No. 06/2420.0 filed in the United Kingdom by virtue of the following:

- (i) transfer of entitlement from inventors **Clare Louise ANDERTON, David CLAPHAM, Trevor Raymond KEEL and Leanda Jane KINDON** to GlaxoSmithKline Services Unlimited by way of employment contract
- (ii) an assignment from GlaxoSmithKline Services Unlimited to Glaxo Group Limited dated 1 October 2007

☐ This declaration is continued on the following sheet, "Continuation of Box No. VIII(iii)".

Form PCT/RO/101 (declaration sheet (iii)) (March 2001; reprint January 2003)

See Notes to the request form

**Box No. VIII(iv) DECLARATION: INVENTORSHIP (only for the purposes of the designation of the United States of America).** The declaration must conform to the following standardized wording provided for in Section 214; see Notes to Boxes Nos. VIII, VIII(I) to (v) (in general) and the specific Notes to Box No. VIII (iv). If this Box is not used, this sheet should not be included in the request.

**Declaration of Inventorship (Rules 4.17(iv) and 51bis.1(a)(iv))  
for the purposes of the designation of the United States of America:**

I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.

This declaration is directed to the international application of which it forms a part (if filing declaration with application).

This application is directed to international application No. PCT/EP2007/056106 (if furnishing declaration pursuant to Rule 26ter).

I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.

I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Application," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.

Prior Applications: \_\_\_\_\_

I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name: **Clare Louise ANDERTON**

Residence: Stevenage, Hertfordshire, United Kingdom  
(city and either US state, if applicable, or country)

Mailing Address: GlaxoSmithKline, Corporate Intellectual Property - UW2220, P.O. Box 1539  
King of Prussia, Pennsylvania 19406-0939

Citizenship: British

Inventor's Signature: C. Anderton  
(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent.)

Date: 17 Aug 2007  
(of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)

Name: **David CLAPHAM**

Residence: Harlow, Essex, United Kingdom  
(city and either US state, if applicable, or country)

Mailing Address: GlaxoSmithKline, Corporate Intellectual Property - UW2220, P.O. Box 1539  
King of Prussia, Pennsylvania 19406-0939

Citizenship: British

Inventor's Signature: [Signature]  
(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent.)

Date: 20 Aug 2007  
(of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)

☐ This declaration is continued on the following sheet, "Continuation of Box No. VIII(iv)".

Sheet No. 2

**Continuation of Box No. VIII(i) to (v) Declaration**

If the space is insufficient in any of Boxes Nos. VIII(i) to (v) to furnish all the information, including in the case where more than two inventors are to be named in Box No. VIII (iv), in such case, write "Continuation of Box No. VIII" (indicate the item number of the Box) and furnish the information in the same manner as required for the purposes of the Box in which the space was insufficient. If additional space is needed in respect of two or more declarations, a separate continuation box must be used for each such declaration. If this Box is not used, this sheet should not be included in the request.

Name: Trevor Raymond KEEL

Residence: : Stevenage, Hertfordshire, United Kingdom

(city and either US state, if applicable, or country)

Mailing Address: GlaxoSmithKline, Corporate Intellectual Property - UW2220, P.O. Box 1539  
King of Prussia, Pennsylvania 19406-0939

Citizenship: British

Inventor's Signature: 

(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent.)

Date: 20 AUG 2007

(of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)

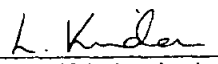
Name Leanda Jane KINDON

Residence: : Stevenage, Hertfordshire, United Kingdom

(city and either US state, if applicable, or country)

Mailing Address: GlaxoSmithKline, Corporate Intellectual Property - UW2220, P.O. Box 1539  
King of Prussia, Pennsylvania 19406-0939

Citizenship: British

Inventor's Signature: 

(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent.)

Date: 20 Aug 2007

(of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)

## APOSTILLA

(Convención de la Haya del 5 de Octubre de 1961)

### REINO UNIDO DE GRAN BRETAÑA E IRLANDA DEL NORTE

1. País: Reino Unido de Gran Bretaña e Irlanda del Norte  
El presente documento público
2. Ha sido firmado por: **James Kerr Milligan**
3. Que actuó en su calidad de **Notario Público**
4. Lleva el sello **del mencionado Notario Público**  
Se certifico
5. En Londres
6. El 23 de agosto de 2007
7. Por parte del Principal Secretario de Estado de su Majestad para  
Asuntos Extranjeros y de la Mancomunidad.
8. Bajo el Número **H501533**
9. Lleva el Sello de la Oficina de Asuntos Extranjeros y de la  
Mancomunidad de Londres.
10. Firmado por **L. Robinson**  
Por el Secretario de Estado.



Nosotros,  
Clare Louise ANDERTON  
David CLAPHAM  
Trevor Raumound KEEL  
Leanda Jane KINDON

Por medio de la presente cedemos a

**GLAXO GROUP LIMITED**

Una sociedad organizada de conformidad con las leyes de Gran Bretaña.

Domiciliada en

**Glaxo Wellcome House, Berkeley Avenue,  
Greenford, Middlesex, UB6 0NN, Reino Unido.**

(en adelante el "Cesionario"), todos mis (nuestros) derechos, título e interés  
sobre mi(nuestra) invención tal como describe en:

**COMPUESTOS QUE TENGAN ACTIVIDAD EN EL TRANSPORTE GLyT1**

En lo que concierne a la República de Colombia.

Lugar y Fecha: 11 de Agosto de 2007  
(Fdo) Clare Louise ANDERTON

Lugar y Fecha: 20 de Agosto de 2007  
(Fdo) David CLAPHAM

Lugar y Fecha: 20 de Agosto de 2007  
(Fdo) Trevor Raymond KEEL

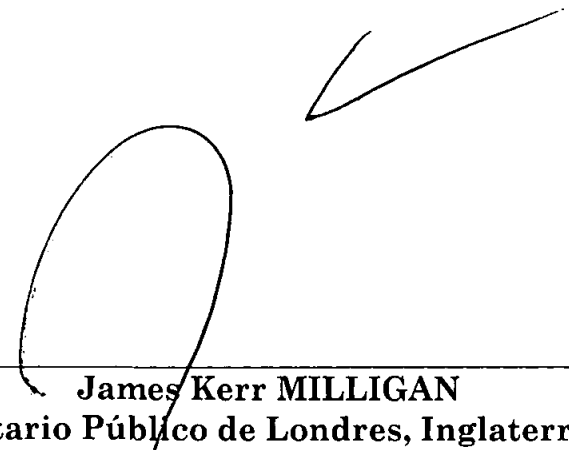
Lugar y Fecha: 20 de Agosto de 2007  
(Fdo) Leanda Jane KINDON

Yo, el infrascrito **James Kerr MILLIGAN**, Notario Público de la Ciudad de Londres, Inglaterra, por la Autoridad Real debidamente admitido y juramentado,

**C E R T I F I C O:**

**QUE** la Cesión que se adjunta fue firmada por los Señores Doña **Clare Louise ANDERTON**, titular del carnet de conducir británico número **KING9 761090 CL9CW 26A**, expedido el día 6 de mayo de 2006, Don **David CLAPHAM**, titular de pasaporte británico número **034123808**, expedido por la Agencia de Pasaportes del Reino Unido el día 4 de diciembre de 1998, Don **Trevor Raymond KEEL**, titular del carnet de conducir británico número **KEEL9705179TR9EU 07**, expedido el día 24 de febrero de 2005, y Doña **Leanda Jane KINDON**, titular de pasaporte británico número **030843528**, expedido por la Agencia de Pasaportes del Reino Unido el día 23 de marzo de 1998, cuyas identidades me constan.

**Y PARA QUE CONSTE** donde y como convenga y fuere necesario expido el presente Certificado que firmo y sello en dicha Ciudad de Londres, el día de hoy veintitrés de agosto del año dos mil siete.



**James Kerr MILLIGAN**  
Notario Público de Londres, Inglaterra



| ASSIGNMENT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | CESION                                                                                                                                                                                                                                                                                                                                            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>Clare Louise ANDERTON</b><br/><b>David CLAPHAM</b><br/><b>Trevor Raymond KEEL</b><br/><b>Leanda Jane KINDON</b></p> <p>By means of this document assign to</p> <p><b>Glaxo Group Limited</b></p> <p>A company organized under the laws of <b>Great Britain</b></p> <p>Domiciled in<br/><b>Glaxo Wellcome House, Berkeley Avenue,<br/>Greenford, Middlesex, UB6 0NN,<br/>United Kingdom</b></p> <p>(hereinafter referred to as the ASSIGNEE). All my (our) rights, title and interest in and to my (our) invention for a new and useful improvement entitled and as fully set forth and described in:</p> <p><b>Compounds having activity at the GlyT1 transporter</b></p> <p>So far as the Republic of Colombia is concerned.</p> <p>Place and date: <u>Stevenage</u><br/><u>17 Aug 2007</u></p> <p><u>Clare Louise ANDERTON</u><br/><b>Clare Louise ANDERTON</b></p> <p>Place and date: <u>Harlow</u> <u>20 Aug 2007</u></p> <p><u>David CLAPHAM</u><br/><b>David CLAPHAM</b></p> | <p>por medio de la present cedo (cedemos) a</p> <p>una _____ organizada baja las leyes de</p> <p>domiciliada en</p> <p>(de ahora en adelante el CESIONARIO), todos mis (nuestros) derechos, titulo e interes sobre mi (nuestra) invencion tal como describe en:</p> <p>En lo que concierne a la Republica de Colombia.</p> <p>Place and date:</p> |

Place and date: STEVENAGE, 20<sup>TH</sup> AUG 2007

  
**Trevor Raymond KEEL**

Place and date: Stevenage, 20 Aug 2007

  
**Leanda Jane KINDON**

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