

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 08-127238- -00002-0000

Fecha: 2009-03-19 16:42:47 Dep. 2020 NUECREACIONE
Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI
Act. 444 RESPUESTAREQUE Folios: 11

AS: 108.985

Señora Jefe

DIVISION DE NUEVAS CREACIONES

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

E. S. D.

RESPUESTA A OFICIO 0598 DEL 23 DE ENERO DE 2009

REF: Expediente No. 08 127.238 - Solicitud de Patente Entrada en Fase Nacional
Corresp. a la Solicitud Internacional PCT/US2007/069889
a favor de **GENENTECH, INC.**

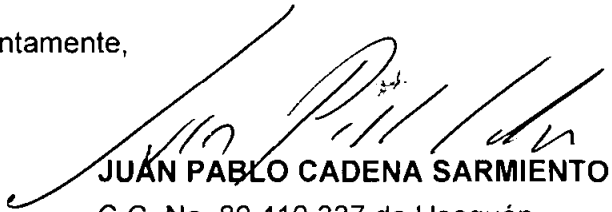
Titulada: ANTICUERPOS E INMUNOCONJUGADOS Y SUS USOS.

JUAN PABLO CADENA SARMIENTO, vecino de Bogotá, portador de la tarjeta profesional No. 57492, obrando como apoderado de la sociedad, **GENENTECH, INC.**, según lo acredita el documento de poder presentado en esa División, para dar cumplimiento al requerimiento notificado por fijación en lista el 23 de enero de 2009, de acuerdo con los Artículos 38 y 39 de la Decisión 486 de la comisión de la Comunidad Andina, me permito allegar:

1. Documentos (3) donde consta la cesión del derecho a la patente otorgado por el inventor al solicitante, debidamente apostillados, junto con sus correspondientes traducciones al castellano.
2. Extracto para publicación y Tarjeta de archivo temático.

Respecto a la no aceptación de las modificaciones presentadas, nos permitimos **OBSERVAR** que estas corresponden con una corrección tipográfica y no implican una ampliación de la materia inicialmente reclamada, por lo tanto solicitamos respetuosamente su aceptación.

De la señora Jefe atentamente,


JUAN PABLO CADENA SARMIENTO
C.C. No. 80.410.337 de Usaquén
Cra 7 No. 71-21, Torre B, Piso 4

Bogotá, 18 de marzo de 2009
CHP/MXB/jpg

AS:1089053
(03)

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This public document

2. has been signed by T. Wallace

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of U.S. Patent and Trademark Office

Certified

5. at Washington, D.C.

6. the twenty-eighth of November, 2008

7. by Assistant Authentication Officer, United States Department of State

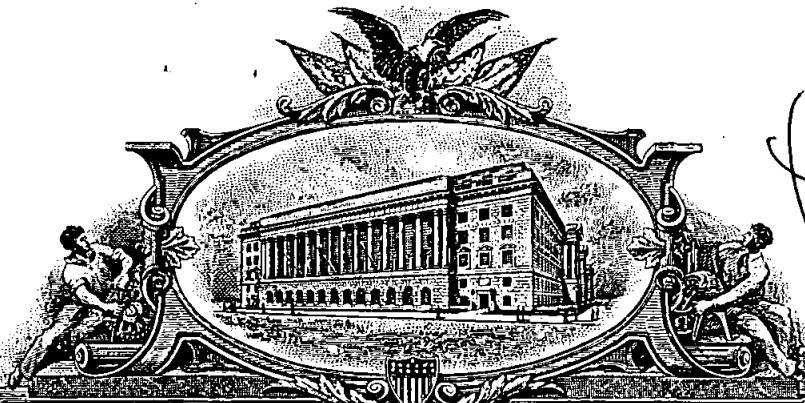
8. No. 09006307-6

9. Seal/Stamp:

10. Signature:



Patrick O. Hatchett



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

November 13, 2008

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
November 01, 2006.

By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office



T. Wallace
T. WALLACE
Certifying Officer

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Allen Ebens</td><td>10/25/2006</td></tr><tr><td>Alane Gray</td><td>10/30/2006</td></tr><tr><td>Wei-Ching Liang</td><td>10/23/2006</td></tr><tr><td>Yan Wu</td><td>10/23/2006</td></tr></tbody></table>	Name	Execution Date	Allen Ebens	10/25/2006	Alane Gray	10/30/2006	Wei-Ching Liang	10/23/2006	Yan Wu	10/23/2006	
Name	Execution Date										
Allen Ebens	10/25/2006										
Alane Gray	10/30/2006										
Wei-Ching Liang	10/23/2006										
Yan Wu	10/23/2006										
RECEIVING PARTY DATA											
Name:	Genentech, Inc.										
Street Address:	1 DNA Way										
City:	So. San Francisco										
State/Country:	CALIFORNIA										
Postal Code:	94080-4990										
PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>06080932</td></tr></tbody></table>	Property Type	Number	Application Number:	06080932							
Property Type	Number										
Application Number:	06080932										
CORRESPONDENCE DATA											
Fax Number:	(650)952-9881										
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone:	650-225-2066										
Email:	hebert.barbara@gene.com										
Correspondent Name:	Deirdre L. Conley										
Address Line 1:	1 DNA Way										
Address Line 2:	M/S49										
Address Line 4:	So. San Francisco, CALIFORNIA 94080-4990										
ATTORNEY DOCKET NUMBER:	PR2262										
NAME OF SUBMITTER:	Deirdre L. Conley										

CH \$40.00 06080932

500172665

PATENT
REEL: 018464 FRAME: 0050

805

6

Total Attachments: 5

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PATENT

REEL: 018464 FRAME: 0051

X
Docket No. PR2262**ASSIGNMENT**

WHEREAS, Allen Ebens, a citizen of US, residing at 1932 Arroyo Ave, San Carlos, CA 94070, and Alane Gray, a citizen of US, residing at 122 Kestrel Court, Brisbane, CA 94005, and Wei-Ching Liang, a citizen of TW, residing at 840 Sea Spray Lane #112, Foster City, CA 94404, and Yan Wu, a citizen of US, residing at 846 Andromeda Lane, Foster City, CA 94404, and , a citizen of , residing at (hereinafter "ASSIGNORS"), have invented a new and useful invention in

ANTIBODIES AND IMMUNOCONJUGATES AND USES THEREFOR

for which a provisional application Serial No. 60/809,328 (Docket No. PR2262) has been filed by them on May 30, 2006; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

807

Application No. 60/809,328

Docket No. PR2262

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: 10/25/2006


Allen Ebens

South San Francisco

Dated: _____

Alan Gray

South San Francisco

Dated: _____

Wei-Ching Liang

South San Francisco

Dated: _____

Yan Wu

Application No. 60/809,328

Docket No. PR2262

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: _____

Allen Ebens

South San Francisco

Dated: 10.30.2006

Alane Gray
Alane Gray

South San Francisco

Dated: _____

Wei-Ching Liang

South San Francisco

Dated: _____

Yan Wu

PATENT

• REEL: 018464 FRAME: 0054

809

✓

Application No. 60/809,328

Docket No. PR2262

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Allen Ebens

Dated: _____

South San Francisco

Alane Gray

Dated: _____

South San Francisco



Wei-Ching Liang

Dated: 10/23/06

South San Francisco

Yan Wu

Dated: _____

810

4

Application No. 60/809,328

Docket No. PR2262

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: _____

Allen Ebens

South San Francisco

Dated: _____

Alane Gray

South San Francisco

Dated: _____

Wei-Ching Liang

South San Francisco

Dated: 10/23/2006

Yan Wu
Yan Wu

RECORDED: 11/01/2006

PATENT
REEL: 018464 FRAME: 0056

811



7

TRADUCCIÓN AL ESPAÑOL DEL DOCUMENTO DE CESIÓN A
GENENTECH, INC firmado por los cedentes y el cesionario.

APOSTILLA

(Convención de la Haya del 5 de octubre de 1961)

1. País: Estados Unidos de América

Este documento público

- 2. ha sido firmado por T. Wallace
- 3. actuando en capacidad de Funcionario que Certifica
- 4. lleva el sello/timbre de la Oficina de Marcas y Patentes de E.U.

Certificado

- 5. en Washington, D.C.
- 6. el veintiocho de noviembre de 2008
- 7. por el Funcionario de Autenticación Asistente, Departamento de Estado de los Estados Unidos.
- 8. No. 09006307-6
- 9. Sello/timbre

10. Firma

(Firma)
Patrick O. Hatchett

[Sello]

813

19

LOS ESTADOS UNIDOS DE AMÉRICA
A TODO AQUEL QUE PUEDA LLEGAR LA PRESENTE:

DEPARTAMENTO DE COMERCIO DE ESTADOS UNIDOS
Oficina de Marcas y Patentes

13 de Noviembre de 2008

LA PRESENTE CERTIFICA QUE EL ANEXO ES UNA COPIA VERDADERA
DE LOS ARCHIVOS DE ESTA OFICINA DE UN DOCUMENTO
ARCHIVADO EL 1 DE NOVIEMBRE DE 2006.

Por la autoridad del
Subsecretario de Comercio para la Propiedad Intelectual
y Director de la Oficina de Marcas y Patentes de los Estados Unidos

(Firma)
T. WALLACE
Funcionario que certifica

Hay un sello que dice:

16

Anexos totales: 5
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Fuente=PR2262_Assignment#page3.tif
Fuente=PR2262_Assignment#page4.tif
Fuente=PR2262_Assignment#page5.tif

PATENTE

ROLLO: 018464 CUADRO: 0051

CESIÓN

CONSIDERANDO QUE, **Allen Ebens**, un ciudadano de los E.U.A., residente en **1932 Arroyo Ave., San Carlos, CA 94070**, y **Alane Gray**, un ciudadano de los E.U.A., residente en **122 Kestrel Court, Brisbane, CA 94005**, y **Wei-Ching Liang**, una ciudadana de Taiwan, residente en **840 Sea Spray Lane #112, Foster City, CA 94404**, y **Yan Wu**, un ciudadano de U.S.A., residente en **846 Andromeda Lane, Foster City, CA 94404**, (en adelante denominados como "CEDENTES"), han inventado una invención nueva y útil

ANTICUERPOS E INMUNOCONJUGADOS Y SUS USOS

para la cual se presentó una solicitud provisional con Serie No. 60/809,328 (Expediente No. PR2262) por ella el 30 de mayo de 2006; y

CONSIDERANDO QUE, **GENENTECH, INC.**, una corporación organizada y existente bajo y por virtud de las leyes del Estado de Delaware, que tiene oficinas en 1 DNA Way, South San Francisco, California 94080-4990, está deseosa de adquirir un interés en y para dicha invención, y en y para las patentes que se obtendrán de la misma;

AHORA, POR LO TANTO, téngase por sabido por todo aquel que le concierna:

Que por una consideración buena y valiosa el recibo de la cual se reconoce por la presente, dichos CEDENTES han y por la presente venden, ceden, transfieren y traspasan a dicha **GENENTECH, INC.** sus sucesores y cesionarios, el derecho, título e interés completo y exclusivo incluyendo todos los derechos bajo la Convención de Paris para la Protección de la Propiedad Industrial, en y para dicha invención, y en y para cualquiera y todas las patentes que se concedan y emitan para la misma o cualquier continuación, división, renovación, o sustitución de la misma, y en cuanto a patentes cualquier reemisión o reexaminación de las mismas, no solo para, por y en los Estados Unidos de América, sus territorios y posesiones, sin para, por y en todos los otros países; y se ha y por la presente se autoriza y solicita que las agencias gubernamentales respectivas emitan dichas patentes a **GENENTECH, INC.** de acuerdo con esta cesión.

Dichos CEDENTES garantizan y acuerdan cooperar con **GENENTECH, INC.**, para posibilitar a dicha **GENENTECH, INC.**, a disfrutar hasta su máxima extensión el derecho, título e interés aquí cedido en Estados Unidos y los países extranjeros. Tal cooperación por dichos CEDENTES incluye la pronta producción de hechos y documentos pertinentes, dando testimonio, ejecutando peticiones, juramentos, especificaciones, declaraciones u otros documentos, y otra ayuda todas hasta el punto considerado necesario o deseable por dichas **GENENTECH, INC.** (a) para perfeccionar el derecho, título e interés aquí cedido; (b) para procesar cualquiera de dichas solicitudes; (c) para presentar y procesar solicitudes de reemisión de cualquiera de dichas patentes; (d) para procesos de interferencia u otros procesos de prioridad que involucren dicha invención; y (e) para procedimientos legales que involucren dicha invención y cualquier solicitud de la misma y cualquier patente concedida sobre la misma, incluyendo sin limitación procedimientos de oposición, procedimientos de cancelación, disputas de prioridad, procesos de uso público, acciones de violación y acciones de la corte; con la condición que los gastos incurridos por dichos CEDENTES al proporcionar dicha cooperación deban ser pagados por **GENENTECH, INC.**

Los términos y garantías de esta cesión deben actuar en beneficio de dicha **GENENTECH, INC.** sus sucesores, cesionarios y otros representantes legales, y deberá ser obligante sobre dichos CEDENTES, sus respectivos herederos, representantes legales y cesionarios.

Dichos CEDENTES por la presente garantizan y manifiestan que no han entrado y no entrará en ninguna cesión, contrato o entendimiento en conflicto con la presente.

Solicitud No. 60/809,328

Expediente No. PR2262

EN TESTIMONIO DE LO CUAL se suscriben como sigue:

South San Francisco
Fecha: Octubre 25, 2006

(firma)
Allen Ebens

South San Francisco
Fecha: _____

Alane Gray

South San Francisco
Fecha: _____

Wei-Ching Liang

South San Francisco
Fecha: _____

Yan Wu

14

817

Solicitud No. 60/809,328

Expediente No. PR2262

EN TESTIMONIO DE LO CUAL se suscriben como sigue:

South San Francisco
Fecha: _____

Allen Ebens

South San Francisco
Fecha: October 30, 2006

(firma)
Alane Gray

South San Francisco
Fecha: _____

Wei-Ching Liang

South San Francisco
Fecha: _____

Yan Wu

23

818

Solicitud No. 60/809,328

Expediente No. PR2262

EN TESTIMONIO DE LO CUAL se suscriben como sigue:

South San Francisco
Fecha: _____

Allen Ebens

South San Francisco
Fecha: _____

Alane Gray

South San Francisco
Fecha: Octobre 23, 2006

(firma)
Wei-Ching Liang

South San Francisco
Fecha: _____

Yan Wu

PATENTE
ROLLO: 018464 CUADRO: 0055-

21 819

EN TESTIMONIO DE LO CUAL se suscriben como sigue:

South San Francisco
Fecha: _____

Allen Ebens

South San Francisco
Fecha: _____

Alane Gray

South San Francisco
Fecha: _____

Wei-Ching Liang

South San Francisco
Fecha: Octobre 23, 2006

(firma)
Yan Wu

AS: 1089 (F)
(13)

27

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

Country: United States of America

his public document

has been signed by T. Wallace

acting in the capacity of Certifying Officer

bears the seal/stamp of U.S. Patent and Trademark Office

Certified

at Washington, D.C.

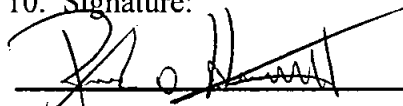
the twenty-eighth of November, 2008

by Assistant Authentication Officer, United States Department of State

No. 09006307-7

Seal/Stamp:

10. Signature:



Patrick O. Hatchett

821

27

A 715624R

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

November 13, 2008

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
May 01, 2007.

By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office



T. Wallace
T. WALLACE
Certifying Officer

822

PATENT ASSIGNMENT

onic Version v1.1
heet Version v1.1

MISSION TYPE:	NEW ASSIGNMENT
JRE OF CONVEYANCE:	ASSIGNMENT

VEYING PARTY DATA

Name	Execution Date
1 J. Ebens	04/27/2007
ie M. Gray	04/27/2007
-Ching Liang	04/25/2007
Wu	04/27/2007

EIVING PARTY DATA

ne:	Genentech, Inc.
et Address:	1 DNA Way
:	South San Francisco
e/Country:	CALIFORNIA
tal Code:	94080

PERTY NUMBERS Total: 1

Property Type	Number
lication Number:	60908941

RESPONDENCE DATA

Number: (650)982-9881
espondence will be sent via US Mail when the fax attempt is unsuccessful.
ne: 650/225-2066
il: cmricks@gene.com
espondent Name: Deirdre L. Conley
ess Line 1: 1 DNA Way
ess Line 4: South San Francisco, CALIFORNIA 94070

ORNEY DOCKET NUMBER:	PR2262-1
E OF SUBMITTER:	Deirdre L. Conley

Attachments: 2
e=PR2262-1Assignment#page1.tif

500268575

PATENT
REEL: 019233 FRAME: 0730

CH 60908941 \$40.00

ASSIGNMENT

WHEREAS, Allen J. Ebens, Jr., a citizen of the United States, residing at 1932 Arroyo Avenue, San Carlos, CA 94070, and Alane M. Gray, a citizen of the United States, residing at 122 Kestrel Court, Brisbane, CA 94005, and Wei-Ching Liang, a citizen of Taiwan, residing at 840 Sea Spray Lane #112, Foster City, CA 94404, and Yan Wu, a citizen of the United States, residing at 846 Andromeda Lane, Foster City, CA 94404, (hereinafter "ASSIGNORS"), have invented a new and useful invention in

ANTIBODIES AND IMMUNOCONJUGATES AND USES THEREFOR

for which a provisional application Serial No. 60/908,941 (Docket No. PR2262-1) has been filed by them on March 29, 2007; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

824

Application No. 60/908,941

Docket No. PR2262-1

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows:

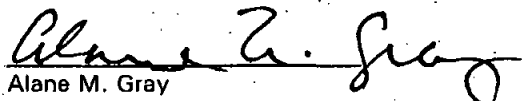
South San Francisco

Dated: 4/27/07


Allen Ebens

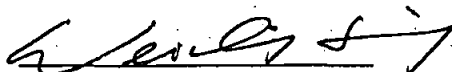
South San Francisco

Dated: 4.27.07


Alane M. Gray

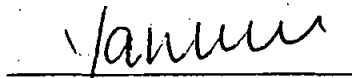
South San Francisco

Dated: 04/25/07


Wei-Ching Liang

South San Francisco

Dated: 4.27.07


Yan Wu

895

2

40

TRADUCCIÓN AL ESPAÑOL DEL DOCUMENTO DE CESIÓN A
GENENTECH, INC firmado por los cedentes y el cesionario.

APOSTILLA

(Convención de la Haya del 5 de octubre de 1961)

1. País: Estados Unidos de América

Este documento público

2. ha sido firmado por T. Wallace
3. actuando en capacidad de Funcionario que Certifica
4. lleva el sello/timbre de la Oficina de Marcas y Patentes de E.U.

Certificado

5. en Washington, D.C.
6. el veintiocho de noviembre de 2008
7. por el Funcionario de Autenticación Asistente, Departamento de Estado de los Estados Unidos.
8. No. 09006307-7
9. Sello/timbre

10. Firma

(Firma)

Patrick O. Hatchett

[Sello]

31 827

LOS ESTADOS UNIDOS DE AMÉRICA
A TODO AQUEL QUE PUEDA LLEGAR LA PRESENTE:

DEPARTAMENTO DE COMERCIO DE ESTADOS UNIDOS
Oficina de Marcas y Patentes

13 de Noviembre de 2008

LA PRESENTE CERTIFICA QUE EL ANEXO ES UNA COPIA VERDADERA
DE LOS ARCHIVOS DE ESTA OFICINA DE UN DOCUMENTO
ARCHIVADO EL 1 DE MAYO DE 2007.

Por la autoridad del
Subsecretario de Comercio para la Propiedad Intelectual
y Director de la Oficina de Marcas y Patentes de los Estados Unidos

(Firma)
T. WALLACE
Funcionario que certifica

Hay un sello que dice:
Oficina de Marcas y Patentes de Estados Unidos
Departamento de Comercio

829

37

Fuente=PR2262-1Assignment#page2.tif

Solicitud No. 60/908,941

Expediente No. PR2262-1

Dichos CEDENTES por la presente garantizan y manifiestan que no han entrado y no entrará en ninguna cesión, contrato o entendimiento en conflicto con la presente.

EN TESTIMONIO DE LO CUAL se suscriben como sigue:

South San Francisco
Fecha: Abril 27, 2007

(firma)
Allen Ebens

South San Francisco
Fecha: Abril 27, 2007

(firma)
Alane M. Gray

South San Francisco
Fecha: Abril 25, 2007

(firma)
Wei-Ching Liang

South San Francisco
Fecha: Abril 27, 2007

(firma)
Yan Wu

ARCHIVADO: 05/01/2007

PATENTE
ROLLO: 019233 CUADRO: 0733

AS 832
10705

3/3

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: United States of America

This public document

2. has been signed by T. Wallace

3. acting in the capacity of Certifying Officer

4. bears the seal/stamp of U.S. Patent and Trademark Office

Certified

5. at Washington, D.C.

6. the twenty-eighth of November, 2008

7. by Assistant Authentication Officer, United States Department of State

8. No. 09006307-8

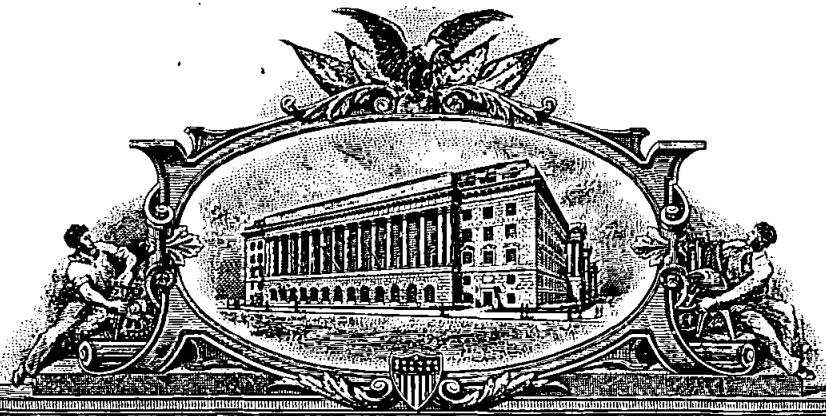
9. Seal/Stamp:

10. Signature:



Patrick O. Hatchett

833



A 7156248

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

November 13, 2008

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
May 04, 2007.

By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office



T. Wallace
T. WALLACE
Certifying Officer

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Allen J. Ebens Jr.</td><td>05/04/2007</td></tr><tr><td>Alane M. Gray</td><td>05/04/2007</td></tr><tr><td>Wei-Ching Liang</td><td>05/03/2007</td></tr><tr><td>Yan Wu</td><td>05/02/2007</td></tr><tr><td>Shang-Fan Yu</td><td>05/03/2007</td></tr></tbody></table>	Name	Execution Date	Allen J. Ebens Jr.	05/04/2007	Alane M. Gray	05/04/2007	Wei-Ching Liang	05/03/2007	Yan Wu	05/02/2007	Shang-Fan Yu	05/03/2007	
Name	Execution Date												
Allen J. Ebens Jr.	05/04/2007												
Alane M. Gray	05/04/2007												
Wei-Ching Liang	05/03/2007												
Yan Wu	05/02/2007												
Shang-Fan Yu	05/03/2007												
RECEIVING PARTY DATA													
Name:	Genentech, Inc.												
Street Address:	1 DNA Way												
City:	South San Francisco												
State/Country:	CALIFORNIA												
Postal Code:	94080												
PROPERTY NUMBERS Total: 1													
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>60911829</td></tr></tbody></table>	Property Type	Number	Application Number:	60911829									
Property Type	Number												
Application Number:	60911829												
CORRESPONDENCE DATA													
Fax Number:	(650)952-9881												
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>													
Phone:	650/225-2066												
Email:	cmricks@gene.com												
Correspondent Name:	Deirdre L. Conley												
Address Line 1:	1 DNA Way												
Address Line 4:	South San Francisco, CALIFORNIA 94080												
ATTORNEY DOCKET NUMBER:	PR2262-2												
NAME OF SUBMITTER:	Deirdre L. Conley												

CH \$40.00 60911829

500271109

PATENT
REEL: 019251 FRAME: 0812

4N 835

Total Attachments: 2
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ASSIGNMENT

WHEREAS, Allen J. Ebens, Jr., a citizen of the United States, residing at 1932 Arroyo Avenue, San Carlos, CA 94070, and Alane M. Gray, a citizen of the United States, residing at 122 Kestrel Court, Brisbane, CA 94005, and Wei-Ching Liang, a citizen of Taiwan, residing at 840 Sea Spray Lane #112, Foster City, CA 94404, and Yan Wu, a citizen of the United States, residing at 846 Andromeda Lane, Foster City, CA 94404, and Shang-Fan Yu, a citizen of Taiwan, residing at 1103 Sandalwood Lane, Milpitas, CA 95035 (hereinafter "ASSIGNORS"), have invented a new and useful invention in

ANTIBODIES AND IMMUNOCONJUGATES AND USES THEREFOR

for which a provisional application Serial No. 60/911,829 (Docket No. PR2262-2) has been filed by them on April 13, 2007; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Application No. 60/911,829

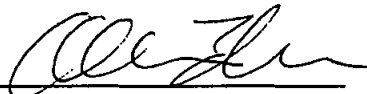
Docket No. PR2262-2

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

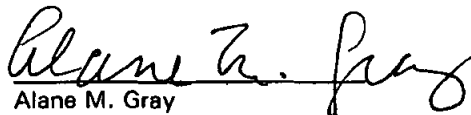
South San Francisco

Dated: 5/4/2007


Allen Ebens

South San Francisco

Dated: 5.4.2007


Alane M. Gray

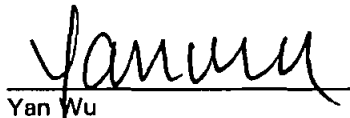
South San Francisco

Dated: 5/3/2007


Wei-Ching Liang

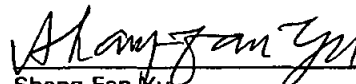
South San Francisco

Dated: 5/2/2007


Yan Wu

South San Francisco

Dated: 5/3/2007


Shang-Fan Yu

837

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838
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TRADUCCIÓN AL ESPAÑOL DEL DOCUMENTO DE CESIÓN A
GENENTECH, INC firmado por los cedentes y el cesionario.

APOSTILLA

(Convención de la Haya del 5 de octubre de 1961)

1. País: Estados Unidos de América

Este documento público

2. ha sido firmado por T. Wallace
3. actuando en capacidad de Funcionario que Certifica
4. lleva el sello/timbre de la Oficina de Marcas y Patentes de E.U.

Certificado

5. en Washington, D.C.
6. el veintiocho de noviembre de 2008
7. por el Funcionario de Autenticación Asistente, Departamento de Estado de los Estados Unidos.
8. No. 09006307-8
9. Sello/timbre

10. Firma

(Firma)
Patrick O. Hatchett

[Sello]

WY 839

LOS ESTADOS UNIDOS DE AMÉRICA
A TODO AQUEL QUE PUEDA LLEGAR LA PRESENTE:

DEPARTAMENTO DE COMERCIO DE ESTADOS UNIDOS
Oficina de Marcas y Patentes

13 de Noviembre de 2008

LA PRESENTE CERTIFICA QUE EL ANEXO ES UNA COPIA VERDADERA
DE LOS ARCHIVOS DE ESTA OFICINA DE UN DOCUMENTO
ARCHIVADO EL 4 DE MAYO DE 2007.

Por la autoridad del
Subsecretario de Comercio para la Propiedad Intelectual
y Director de la Oficina de Marcas y Patentes de los Estados Unidos

(Firma)
T. WALLACE
Funcionario que certifica

Hay un sello que dice:
Oficina de Marcas y Patentes de Estados Unidos
Departamento de Comercio

841

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Anexos totales: 2
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Fuente=PR2262-2Assignment#page2.tif

PATENTE

ROLLO: 019251 CUADRO: 0813

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ve

Solicitud No. 60/911,829

Expediente No. PR2262-2

Dichos CEDENTES por la presente garantizan y manifiestan que no han entrado y no entrará en ninguna cesión, contrato o entendimiento en conflicto con la presente.

EN TESTIMONIO DE LO CUAL se suscriben como sigue:

South San Francisco
Fecha: Mayo 4, 2007

(firma)
Allen Ebens

South San Francisco
Fecha: Mayo 4, 2007

(firma)
Alane M. Gray

South San Francisco
Fecha: Mayo 3, 2007

(firma)
Wei-Ching Liang

South San Francisco
Fecha: Mayo 2, 2007

(firma)
Yan Wu

South San Francisco
Fecha: Mayo 3, 2007

(firma)
Shang-Fan yu

844

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 Industria y Comercio SUPERINTENDENCIA		SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO DIVISION DE NUEVAS CREACIONES TARJETA ARCHIVO TEMÁTICO																											
PATENTE DE INVENCION <u> X </u> PCT <u> X </u> MODELO DE UTILIDAD <u> </u> DISEÑO INDUSTRIAL <u> </u>																													
(21) N° de solicitud: 08 127.238		(22) Fecha de solicitud: 28-11-2008																											
(541) Clasificación Internacional:		FIGURA CARACTERISTICA 6 x 6 cm																											
(71) Solicitante(s): GENENTECH, INC.																													
(72) Inventor(es): Allen, J. EBENS Jr., Alane M. GRAY, Wei-Ching LIANG, Yan WU, Shang-Fan YU.																													
(74) Agente: JUAN PABLO CADENA SARMIENTO																													
<table border="1"> <thead> <tr> <th>(30) Prioridad</th> <th>(31)</th> <th>No. Prioridad</th> <th>(32)</th> <th>Fecha</th> <th>(33)</th> <th>País</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td>60/809,328</td> <td></td> <td>30-05-2006</td> <td></td> <td>US</td> </tr> <tr> <td></td> <td></td> <td>60/908,941</td> <td></td> <td>29-03-2007</td> <td></td> <td>US</td> </tr> <tr> <td></td> <td></td> <td>60/911,829</td> <td></td> <td>13-04-2007</td> <td></td> <td>US</td> </tr> </tbody> </table>						(30) Prioridad	(31)	No. Prioridad	(32)	Fecha	(33)	País			60/809,328		30-05-2006		US			60/908,941		29-03-2007		US			60/911,829
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		60/911,829		13-04-2007		US																							
(85) Fecha inicio fase nacional: 30-12-2008		(87) Publicación internacional:																											
(86) Datos relativos a la presentación de la solíc. PCT		Fecha: 06-12-2007																											
Fecha de presentación de la solicitud: 29-05-2007		No. Publicación: WO 2007/140371 A3																											
No. de solicitud: PCT/US2007/069889																													
(54) Título: ANTICUERPOS E INMUNOCONJUGADOS Y SUS USOS.																													
(57) Resumen																													
Anticuerpos anti-CD22, inmunoconjugados de los mismos y métodos de fabricación y aplicación de ambos.																													
CONTINUA AL RESPALDO ...																													

845

 Industria y Comercio SUPERINTENDENCIA		SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO DIVISION DE NUEVAS CREACIONES EXTRACTO PARA PUBLICACIÓN SOLICITUD DE PATENTE DE INVENCION PCT	
(21) N° de solicitud: 08 127.238		(51) Int Cl:	
(22) Fecha de solicitud: 28-11-2008		(71) Solicitante(s) GENENTECH, INC.	
(30) Prioridad	(31) No. Prioridad	(32) Fecha	(33) Pais
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	60/908,941	29-03-2007	US
	60/911,829	13-04-2007	US
(72) Inventor(es) Allen, J. EBENS Jr., Alane M. GRAY, Wei-Ching LIANG, Yan WU, Shang-Fan YU.		(74) Agente: JUAN PABLO CADENA SARMIENTO	
(85) Fecha inicio fase nacional: 30-12-2008		(87) Publicación internacional	
(86) Datos relativos a la presentación de la solicitud PCT		Fecha: 06-12-2007	
Fecha de presentación de la solicitud: 29-05-2007		N° publicación: WO 2007/140371 A3	
No. de solicitud: PCT/US2007/069889			
(54) Título: ANTICUERPOS E INMUNOCONJUGADOS Y SUS USOS.			

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Anticuerpos anti-CD22, inmunoconjugados de los mismos y métodos de fabricación y aplicación de ambos.

REPUBLICA DE COLOMBIA
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

Folio 846

2020
Bogotá D.C.,

Doctor(a)
CADENA SARMIENTO JUAN PABLO
Apoderado(a) y/o Representante de
GENENTECH, INC.

REFERENCIA	Radicación No.	8 127238
	Solicitud internacional	PCT/US2007/069889
	Fecha inicio fase nacional	30/12/2008
	Trámite	11
	Evento	378
	Actuación	416
	Oficio No.	8997
	Folios	1

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en la Decisión 486 de la Comisión de la Comunidad Andina, el Tratado de Cooperación en Materia de Patentes (PCT), el Reglamento y la Circular Única de la Superintendencia de Industria y Comercio, se envía el extracto de la solicitud a la Oficina de Comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial.

Cúmplase
Dado en Bogotá DC,

15 OCT. 2009


ALIX CARMENZA CESPEDES DE VERGEL
Jefe de la división de Nuevas Creaciones

adpall