

P-2301

**SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
DIVISION DE NUEVAS CREACIONES**

E. S. D.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 09-096416- -00004-0000

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Tra. 11 PATENTE Y Lve: 378 FASE NACIONAL
Act. 444 RESPUESTA REQUE Folios: 8

Ref.: Expediente No. 09.096.416
Solicitud de Patente a nombre de
Johnson Controls Technology company

Yo, DILIA MARÍA RODRÍGUEZ D'ALEMAN, identificada como aparece al pie de mi firma, domiciliada en la Carrera 11 No. 86-53, piso 6, obrando como apoderada de la sociedad **Johnson Controls Technology company**, domiciliada en Holland, Michigan, Estados Unidos de América, en respuesta al oficio No. 11952 notificado por fijación en lista el día 23 de octubre de 2009, atentamente me permito presentar copia de la cesión radicada en la solicitud internacional PCT/US2008/055481.

Sobre el particular, es importante resaltar que de conformidad con las normas legales vigentes, artículo 1 de la Resolución 210 de 2001, expedida por el Superintendente de Industria y Comercio y artículo 2 de la Convención de la Haya, el documento en inglés que se pretenda aportar como parte de los trámites de propiedad industrial ante la Superintendencia de Industria y Comercio no requieren traducción. Por otro lado, la legalización consular y/o diplomática de los documentos expedidos en el extranjero no es necesaria. Por lo tanto, el documento de cesión anexo a este memorial es válidamente presentado en idioma inglés y con la correspondiente Apostilla.

Espero con esto haber dado cabal cumplimiento a lo solicitado por ese despacho y de conformidad con lo indicado en los artículos 3°, inciso 3, 35 inciso 2 y 59 inciso 2 del CCA, al analizar este memorial, atentamente ruego se traten todas las cuestiones planteadas con motivo del mismo.

Señor Superintendente,

DILIA MARÍA RODRÍGUEZ D'ALEMAN
C.C. 41.654.882 de Bogotá.
T.P. 20824 CSJ

The State of Wisconsin

Office of the
Secretary of State

CERTIFIED

APOSTILLE

(Convention de La Haye du 5 Octobre 1961)

1. Country: United States of America
2. This public document is signed by T. Lawrence
3. acting in the capacity of Certifying Officer, United States Department of Commerce, United States Patent and Trademark Office
4. bears the seal/stamp of said office

CERTIFIED for Colombia

5. at Madison, Wisconsin
6. December 14, 2009
7. by the Secretary of State of Wisconsin
8. No. 187043
9. Seal/stamp:



10. Signature

Douglas LaFollette

DOUGLAS LA FOLLETTE
Secretary of State

This Apostille only confirms the authority of the person named in Number 2. It does not mean that the contents of the attached document are correct, or that the Secretary of State approves of the contents.

A 7213879



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

December 02, 2009

**THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
NOVEMBER 20, 2009.**

**By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office**

A handwritten signature in cursive script, reading "T. Lawrence".

**T. LAWRENCE
Certifying Officer**



PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Jeffrey L. Troxel	08/05/2008
Charles J. Schaeffer	09/19/2008
Glenn W. Andersen	08/12/2008
Michael E. LaCroix	08/14/2008
Wen-Hong Kao	08/21/2008
RECEIVING PARTY DATA	
Name:	Johnson Controls Technology Company
Street Address:	912 E. 32nd Street
City:	Holland
State/Country:	MICHIGAN
Postal Code:	49423
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US0855481
CORRESPONDENCE DATA	
Fax Number:	(608)257-1507
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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ATTORNEY DOCKET NUMBER:	JCI-450
NAME OF SUBMITTER:	Christopher J. Hussin

OP \$40.00 US0855481

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PATENT
 REEL: 023552 FRAME: 0250

Total Attachments: 4

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PATENT
REEL: 023552 FRAME: 0251

ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor (hereinafter referred to singly and collectively as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

Johnson Controls Technology Company
912 East 32nd Street
Holland, MI 49423
United States of America

(hereinafter referred to as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries in and to this invention relating to

NEGATIVE GRID FOR BATTERY

as set forth in this United States Patent Application

check one ☐ executed concurrently herewith,
 ☐ executed on _____,
 ☒ Serial No. PCT/US2008/055481 Filed February 29, 2008

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to file patent applications in any or all countries on the above-identified invention or inventions in the name of the undersigned or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable under the International Convention or otherwise.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

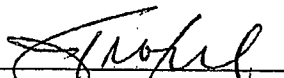
ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of **Foley & Lardner LLP** the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of **Foley & Lardner LLP** do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

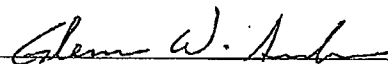
Executed this 5 day of August, 2008


JEFFREY L. TROXEL

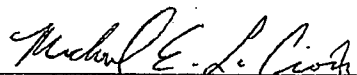
Executed this 19 day of September, 2008


CHARLES J. SCHAEFFER

Executed this 12 day of August, 2008.


GLENN W. ANDERSEN

Executed this 14 day of August, 2008.


MICHAEL E. LACROIX

Executed this _____ day of _____, 20____.

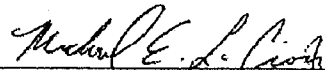
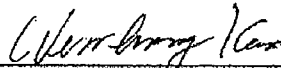
WEN-HONG KAO

82

Atty. Dkt. No. 047654-0531

Executed this 5 day of August, 2008
JEFFREY L. TROXELExecuted this 14 day of _____, 20____

CHARLES J. SCHAEFFER

Executed this 12 day of August, 2008.
GLENN W. ANDERSENExecuted this 14 day of August, 2008.
MICHAEL E. LACROIXExecuted this 21 day of August, 2008.
WEN-HONG KAO

8



2020

Bogotá D.C.,

Doctor(a)

RODRIGUEZ D^a ALEMAN DILIA MARIA

Apoderado(a) y/o Representante de
JOHNSON CONTROLS TECHNOLOGY COMPANY

REFERENCIA	Radicación No.	9 96416
	Solicitud internacional	PCT/US2008/055481
	Fecha inicio fase nacional	02/10/2009
	Trámite	11
	Evento	378
	Actuación	416
	Oficio No.	11202
	Folios	1

Teniendo en cuenta que la solicitud de privilegio de patente que se tramita bajo el expediente indicado en la referencia, reúne los requisitos de forma establecidos en la Decisión 486 de la Comisión de la Comunidad Andina, el Tratado de Cooperación en Materia de Patentes (PCT), el Reglamento y la Circular Única de la Superintendencia de Industria y Comercio, se envía el extracto de la solicitud a la Oficina de Comunicaciones para efecto de su publicación en la Gaceta de Propiedad Industrial.

Cúmplase

Dado en Bogotá DC,

10 SET. 2010

ALIX CARMENZA CASPEDES DE VERGEL
Directora de Nuevas Creaciones (c)

jfernand