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OLARTE MOURE & ASOCIADOS LTDA.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 11-037695- -00003-0000

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Act. 444 RESPUESTAREQUE Folios: 13

Señores

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

DIRECCIÓN DE NUEVAS CREACIONES

E. _____ S. _____ D. _____

Ref: Expediente N°. 11-037.695

Solicitud de Patente de Invención titulada: "ANTAGONISTAS DE PCSK9"

Solicitante: RINAT NEUROSCIENCE CORPORATION y PFIZER INC.

Nuestra Ref: P2011/000062

RESPUESTA AL OFICIO N° 8874 NOTIFICADO

POR FIJACION EN LISTA No. 207, DE FECHA 03 DE JUNIO DE 2011,

(EXAMEN DE FORMA)

CARLOS R. OLARTE, mayor de edad y vecino de Bogotá, D.C., identificado tal como aparece al pie de mi firma, en mi carácter de apoderado de la sociedad en referencia, me permito dar respuesta al auto proferido por ese Despacho, dentro del término legal previsto en el artículo 39 de la Decisión 486 en los siguientes términos:

1. En respuesta a la única observación del Examinador, me permito aportar junto al presente memorial, un (1) documento de cesión debidamente legalizado, según los artículos 259 y 260 del CPC, en el que consta la cesión de ocho (8) inventores al solicitante, junto con su correspondiente traducción, según lo previsto en el Art. 26-k de la Decisión 486.

Atentamente,

CARLOS R. OLARTE
CC. No. 79.782.747 de Bogotá
T.P. 74.295

Adjunto:

Documento de cesión

Este documento público es una traducción al español de un documento en idioma inglés presentado ante mí.

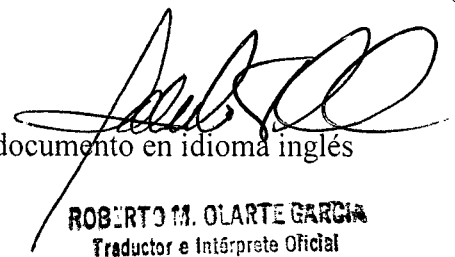
ROBERTO M. OLARTE GARCÍA

Traductor e Intérprete Oficial

Ministerio de Justicia

Licencia 0041/1996

11/62
261


ROBERTO M. OLARTE GARCÍA

Traductor e Intérprete Oficial

Resolución No. 0041

Minijusticia 1996

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APOSTILLA

(Convención de La Haya del 5 de octubre de 1961)

1. País: **Estados Unidos de América**
2. Este documento público ha sido firmado por **SAMI A. SWADEK**
3. Actuando en calidad de **Notario Público para el Distrito de Columbia**
4. Lleva el sello de **SAMI A. SWADEK, Notario Público para el Distrito de Columbia**

CERTIFICA

5. en Washington, D.C.
6. **28 de marzo de 2011**
7. por el Secretario delegado del Distrito de Columbia
8. Número **248686**
9. Sello: (ilegible)
10. Firma: **Cynthia Brock-Smith** (firma ilegible)

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DE LOS ESTADOS UNIDOS
DE AMÉRICA

262

CESIÓN

A título oneroso, nosotros, **Bruce Charles GOMES, Julie Jia Li HAWKINS, Xiayang QIU y Yuli WANG**; ciudadanos de manera respectiva de los **ESTADOS UNIDOS DE AMÉRICA, ESTADOS UNIDOS DE AMÉRICA, ESTADOS UNIDOS DE AMÉRICA, y ESTADOS UNIDOS DE AMÉRICA**, con domicilios, de manera respectiva, en **Pfizer, Inc., 620 Memorial Drive, Cambridge, Massachusetts, 02139, ESTADOS UNIDOS DE AMÉRICA, Pfizer Global and Research and Development, Eastern Point Road, Groton, Connecticut, 06340, ESTADOS UNIDOS DE AMÉRICA, Pfizer Global and Research and Development, Eastern Point Road, Groton, Connecticut, 06340, ESTADOS UNIDOS DE AMÉRICA, y Pfizer Global and Research and Development, 10777 Science Center Drive, San Diego, California, 92121, ESTADOS UNIDOS DE AMÉRICA**, por medio de la presente vendemos y cedemos a **PFIZER INC., una sociedad organizada bajo las leyes del Estado de Delaware, Estados Unidos de América con domicilio en 235 East 42nd Street, Nueva York, NY, 10017, Estados Unidos de América**, todos nuestros derechos, títulos e intereses de nuestro invento sobre una nueva y útil mejora en **ANTAGONISTAS DE PCSK9** para la República de Colombia, según se expone y describe en la especificación, y por medio de la presente autorizamos y solicitamos al Comisionado de Patentes de Colombia que otorgue dicha patente a nombre de **PFIZER INC.**, según lo establece esta cesión.

En testimonio de lo cual, nos suscribimos,

(firma ilegible) – 3/10/2011

Lugar, fecha y firma – Bruce Charles GOMES

(firma ilegible) – 3/09/2011 – Groton, Connecticut, USA

Lugar, fecha y firma – Julie Jia Li Hawkins

(firma ilegible) – 3/08/2011 Groton, Connecticut, USA

Lugar, fecha y firma – Xiayang QIU

(firma ilegible) – 3/09/2011 – San Diego, CA

Lugar, fecha y firma – Yuli WANG

4
263

CESIÓN

A título oneroso, nosotros, **Yasmina Noubia ABDICHE, Javier Fernando CHAPARRO RIGGERS, Hong LIANG, Jaume PONS y Pavel STROP**; ciudadanos de manera respectiva de **GRAN BRETAÑA, ALEMANIA, ESTADOS UNIDOS DE AMÉRICA, ESPAÑA y ESTADOS UNIDOS DE AMÉRICA**, con domicilios, de manera respectiva, en **Rinat Laboratories, Pfizer, Inc., 230 East Grand Avenue, South San Francisco, California, 94080, ESTADOS UNIDOS DE AMÉRICA, Rinat Laboratories, Pfizer, Inc., 230 East Grand Avenue, South San Francisco, California, 94080, ESTADOS UNIDOS DE AMÉRICA, Rinat Laboratories, Pfizer, Inc., 230 East Grand Avenue, South San Francisco, California, 94080, ESTADOS UNIDOS DE AMÉRICA, Rinat Laboratories, Pfizer, Inc., 230 East Grand Avenue, South San Francisco, California, 94080, ESTADOS UNIDOS DE AMÉRICA, y Rinat Laboratories, Pfizer, Inc., 230 East Grand Avenue, South San Francisco, California, 94080, ESTADOS UNIDOS DE AMÉRICA**, por medio de la presente vendemos y cedemos a **RINAT NEUROSCIENCE CORPORATION**, una sociedad organizada bajo las leyes del Estado de Delaware, Estados Unidos de América con domicilio en **230 East Grand Avenue, South San Francisco, California, 94080, Estados Unidos de América**, todos nuestros derechos, títulos e intereses de nuestro invento sobre una nueva y útil mejora en **ANTAGONISTAS DE PCSK9** para la República de Colombia, según se expone y describe en la especificación, y por medio de la presente autorizamos y solicitamos al Comisionado de Patentes de Colombia que otorgue dicha patente a nombre de **RINAT NEUROSCIENCE CORPORATION**, según lo establece esta cesión.

En testimonio de lo cual, nos suscribimos,

230 E Grand Ave, SSF, CA 94080 - (firma ilegible) – 3/16/2011

Lugar, fecha y firma – Yasmina Noubia ABDICHE

230 E Grand Ave, SSF, CA 94080 - (firma ilegible) – 3/16/2011

Lugar, fecha y firma – Javier Fernando CHAPARRO RIGGERS

230 E Grand Ave, SSF, CA 94080 - (firma ilegible) – 3/16/2011

24

Lugar, fecha y firma – Hong LIANG

230 E Grand Ave, SSF, CA 94080 - (firma ilegible) – 3/16/2011

Lugar, fecha y firma – Jaume PONS

230 E Grand Ave, SSF, CA 94080 - (firma ilegible) – 3/16/2011

Lugar, fecha y firma – Pavel STROP


ROBERTO M. OLARTE GARCIA
Traductor e Intérprete Oficial
Resolución No. 0041
Minijusticia 1993

825

RECONOCIMIENTO NOTARIAL DE CALIFORNIA

Estado de California

Condado de San Mateo

El 16 de marzo de 2011 ante mi, Jocelyne González comparecieron Yasmina Noubia ABDICHE, Javier Fernando CHAPARRO RIGGERS, Hong LIANG, Jaume PONS y Pavel STROP

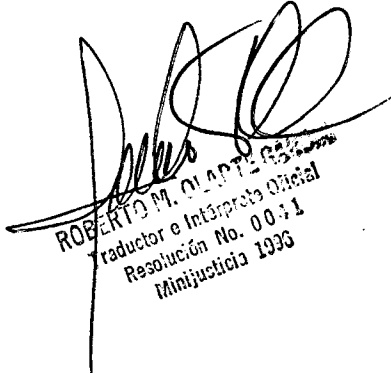
quienes satisfactoriamente comprobaron ser las personas cuyos nombres aparecen en el instrumento adjunto y reconocieron ante mí que firmaron dicho documento en calidad de funcionarios autorizados, y que por medio de sus rúbricas sobre el instrumento la institución a nombre del cual actuaron suscribió el instrumento.

Certifico bajo juramento y bajo las leyes del Estado de California que lo anterior es verdadero y correcto.

En testimonio de lo cual,

Firma (ilegible)

Sello: JOCELYNE M. GONZALEZ
COMISIÓN NO. 1791019
NOTARIO PÚBLICO – CALIFORNIA
CONDADO DE ALAMEDA
Mi comisión vence el 10 de febrero de 2012

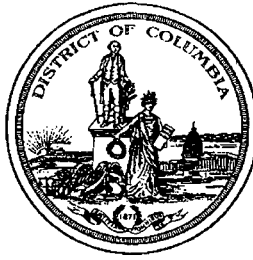

ROBERTO M. OLARTE
Traductor e Intérprete Oficial
Resolución No. 0031
Ministerio 1993

Descripción del Documento Adjunto

Título o Tipo de Documento: Cesión

Fecha del Documento: 16 de marzo de 2011

Número de páginas: 2



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This Apostille does not certify the content of the document for which it was issued.

Apostille

(Convention de La Haye du 5 octobre 1961)

1. District of Columbia, United States of America
2. This public document has been signed by SAMI A. SWADEK
3. acting in the capacity of Notary Public in and for the District of Columbia
4. bears the seal/stamp of SAMI A. SWADEK, District of Columbia Notary Public

CERTIFIED

5. at Washington, D.C.
6. the 28th day of March 2011
7. by *Acting Secretary of the District of Columbia*
8. No. 248686
9. Seal/Stamp



10. Signature:

Cynthia Brock-Smith

Cynthia Brock-Smith

ASSIGNMENT

For valuable consideration paid to me (us) by **Bruce Charles GOMES, Julie Jia Li HAWKINS, Xiayang QIU and Yuli WANG**; citizen(s) respectively of **UNITED STATES OF AMERICA, UNITED STATES OF AMERICA, UNITED STATES OF AMERICA and UNITED STATES OF AMERICA**; and resident(s) respectively) of **Pfizer Inc., 620 Memorial Drive, Cambridge, Massachusetts, 02139, UNITED STATES OF AMERICA, Pfizer Global Research and Development, Eastern Point Road, Groton, Connecticut, 06340, UNITED STATES OF AMERICA, Pfizer Global Research and Development, Eastern Point Road, Groton, Connecticut, 06340, UNITED STATES OF AMERICA and Pfizer Global Research and Development, 10777 Science Center Drive, San Diego, California, 92121, UNITED STATES OF AMERICA**, I (we) do hereby sell and assign to the said **PFIZER INC., a corporation organized under the laws of the State of Delaware, United States of America of 235 East 42nd Street, New York, New York, 10017, United States of America**, all my (our) right, title and interest in and to my (our) invention for a new and useful Improvement in **PCSK9 ANTAGONISTS** so far as the Republic of Colombia is concerned, as fully set forth and described in the specification, and I (we) do hereby authorize and request the Commissioner of Patents of Colombia to issue said patent to the said **PFIZER INC.** in accordance with this assignment.

In witness whereof I (we) have hereunto set my (our) hand(s) this

Bruce Charles Gomes 3/10/11
Place, date and Signature - Bruce Charles GOMES

Place, date and Signature - Julie Jia Li HAWKINS

Place, date and Signature - Xiayang QIU

Place, date and Signature - Yuli WANG

268

ASSIGNMENT

For valuable consideration paid to me (us) by **Bruce Charles GOMES, Julie Jia Li HAWKINS, Xiayang QIU and Yuli WANG**; citizen(s) respectively of **UNITED STATES OF AMERICA, UNITED STATES OF AMERICA, UNITED STATES OF AMERICA and UNITED STATES OF AMERICA**; and resident(s) respectively) of **Pfizer Inc., 620 Memorial Drive, Cambridge, Massachusetts, 02139, UNITED STATES OF AMERICA, Pfizer Global Research and Development, Eastern Point Road, Groton, Connecticut, 06340, UNITED STATES OF AMERICA, Pfizer Global Research and Development, Eastern Point Road, Groton, Connecticut, 06340, UNITED STATES OF AMERICA and Pfizer Global Research and Development, 10777 Science Center Drive, San Diego, California, 92121, UNITED STATES OF AMERICA**, I (we) do hereby sell and assign to the said **PFIZER INC., a corporation organized under the laws of the State of Delaware, United States of America of 235 East 42nd Street, New York, New York, 10017, United States of America**, all my (our) right, title and interest in and to my (our) invention for a new and useful Improvement in **PCSK9 ANTAGONISTS** so far as the Republic of Colombia is concerned, as fully set forth and described in the specification, and I (we) do hereby authorize and request the Commissioner of Patents of Colombia to issue said patent to the said **PFIZER INC.** in accordance with this assignment.

In witness whereof I (we) have hereunto set my (our) hand(s) this

Place, date and Signature - Bruce Charles GOMES

B. C. Gomes

Groton, Connecticut, USA

MAR - 9 2011

Place, date and Signature - Julie Jia Li HAWKINS

Julie Jia Li Hawkins

Groton, Connecticut, USA

MAR - 8 2011

Place, date and Signature - Xiayang QIU

Place, date and Signature - Yuli WANG

1254

ASSIGNMENT

For valuable consideration paid to me (us) by **Bruce Charles GOMES, Julie Jia Li HAWKINS, Xiayang QIU and Yuli WANG**; citizen(s) respectively of **UNITED STATES OF AMERICA, UNITED STATES OF AMERICA, UNITED STATES OF AMERICA and UNITED STATES OF AMERICA**; and resident(s) respectively) of **Pfizer Inc., 620 Memorial Drive, Cambridge, Massachusetts, 02139, UNITED STATES OF AMERICA, Pfizer Global Research and Development, Eastern Point Road, Groton, Connecticut, 06340, UNITED STATES OF AMERICA, Pfizer Global Research and Development, Eastern Point Road, Groton, Connecticut, 06340, UNITED STATES OF AMERICA and Pfizer Global Research and Development, 10777 Science Center Drive, San Diego, California, 92121, UNITED STATES OF AMERICA**, I (we) do hereby sell and assign to the said **PFIZER INC., a corporation organized under the laws of the State of Delaware, United States of America of 235 East 42nd Street, New York, New York, 10017, United States of America**, all my (our) right, title and interest in and to my (our) invention for a new and useful Improvement in **PCSK9 ANTAGONISTS** so far as the Republic of Colombia is concerned, as fully set forth and described in the specification, and I (we) do hereby authorize and request the Commissioner of Patents of Colombia to issue said patent to the said **PFIZER INC.** in accordance with this assignment.

In witness whereof I (we) have hereunto set my (our) hand(s) this

Place, date and Signature - **Bruce Charles GOMES**

Place, date and Signature - **Julie Jia Li HAWKINS**

Place, date and Signature - **Xiyang QIU**

San Diego, CA

Yuli Wang

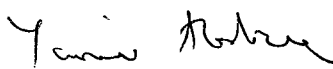
3/9/2011

Place, date and Signature - **Yuli WANG**

ASSIGNMENT

For valuable consideration paid to me (us) by Yasmina Noubia ABDICHE, Javier Fernando CHAPARRO RIGGERS, Hong LIANG, Jaume PONS and Pavel STROP; citizen(s) respectively of GREAT BRITAIN, GERMANY, UNITED STATES OF AMERICA, SPAIN and UNITED STATES OF AMERICA; and resident(s) respectively) of Rinat Laboratories, Pfizer Inc., 230 East Grand Avenue, South San Francisco, California, 94080, UNITED STATES OF AMERICA, Rinat Laboratories, Pfizer Inc., 230 East Grand Avenue, South San Francisco, California, 94080, UNITED STATES OF AMERICA, Rinat Laboratories, Pfizer Inc., 230 East Grand Avenue, South San Francisco, California, 94080, UNITED STATES OF AMERICA, Rinat Laboratories, Pfizer Inc., 230 East Grand Avenue, South San Francisco, California, 94080, UNITED STATES OF AMERICA, Rinat Laboratories, Pfizer Inc., 230 East Grand Avenue, South San Francisco, California, 94080, UNITED STATES OF AMERICA and Rinat Laboratories, Pfizer Inc., 230 East Grand Avenue, South San Francisco, California, 94080, UNITED STATES OF AMERICA, I (we) do hereby sell and assign to the said RINAT NEUROSCIENCE CORPORATION, a corporation organized under the laws of the State of Delaware, United States of America of 230 East Grand Avenue, South San Francisco, California, 94080, United States of America, all my (our) right, title and interest in and to my (our) invention for a new and useful Improvement in PCSK9 ANTAGONISTS so far as the Republic of Colombia is concerned, as fully set forth and described in the specification, and I (we) do hereby authorize and request the Commissioner of Patents of Colombia to issue said patent to the said RINAT NEUROSCIENCE CORPORATION in accordance with this assignment.

In witness whereof I (we) have hereunto set my (our) hand(s) this

230 E Grand Ave SSF CA 94080 3/16/11 
Place, date and Signature - Yasmina Noubia ABDICHE

230 E Grand Ave SSF CA 94080 3/16/11 
Place, date and Signature - Javier Fernando CHAPARRO RIGGERS

28

230 E Grand Ave SSF CA 94080 3/16/11



Place, date and Signature - Hong LIANG

230 E Grand Ave SSF CA 94080 3/16/11



Place, date and Signature - Jaume PONS

230 E Grand Ave SSF CA 94080 3/16/11



Place, date and Signature - Pavel STROP

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

San Mateo County

On March 16th 2011 before me,

Date

Jocelyne Gonzalez

Here Insert Name and Title of the Officer

personally appeared

Yasmina Norbia Abdiche, Javier Fernando Chaparro Riggers,

Name(s) of Signer(s)

Honghiang, Jaume Pons and Pavel Strop

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

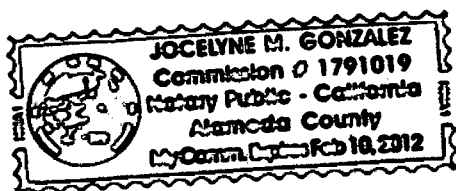
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Signature of Notary Public

Place Notary Seal Above



OPTIONAL

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Document Date: March 16 2011

Number of Pages: 2

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Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer — Title(s): _____
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney in Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER

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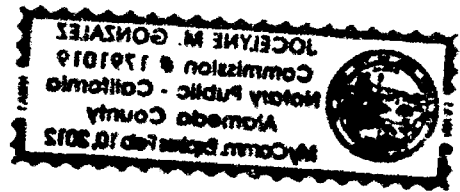
Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer — Title(s): _____
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney in Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here



I certify this to be
the original document

A handwritten signature, likely of the notary, written in dark ink.

District of Columbia: SS
Subscribed and Sworn to before me,

this 28th day of MARCH, 2011

A handwritten signature of Sami A. Swadek, written in dark ink.

Sami A. Swadek, Notary Public, D.C.

My commission expires June 30, 2014