

Señor Director
DIRECCION DE NUEVAS CREACIONES
Superintendencia de Industria y Comercio
E. S. D.

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO



No. 15-289518- -00006-0000

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Tra. 11 PATENTEIYII Eve: 378 FASENACIONALI
Act. 329 CTOINFORMACION Folios: 34

Trámite : 011 Evento : 378 Actuación : 329

Referencia : Expediente No. 15.289.518
Asunto : Solicitud de patente para **DERIVADOS DE BENZIMIDAZOL COMO INHIBIDORES DE BROMODOMINIO**
Solicitante : **GILEAD SCIENCES, INC.**
Fecha de solicitud : 04 de diciembre de 2015

ANDRÉS RINCÓN USCÁTEGUI, actuando como apoderado de la sociedad solicitante **GILEAD SCIENCES, INC.**, con este escrito presento dos (2) documentos en que consta la cesión de derechos de los dieciséis (16) inventores a favor de la sociedad solicitante, junto con su correspondiente traducción al español.

De acuerdo con lo anterior, comedidamente solicito a Usted proseguir con el trámite de este asunto.

Señor Director,

ANDRÉS RINCÓN USCÁTEGUI

C.C. 79.780.910 de Bogotá

T.P. 114.908

COLO-10647-0841-0003-3
SDC\CBA\ID-288172\WPC10647
No. M-2016-288172\SDC

"EXCELENCIA LEGAL EN UN MUNDO SIN FRONTERAS"

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MEDELLÍN - San Fernando Plaza - Carrera 43 A No. 1 - 50 - Torre Protección Oficina 852 - Código Postal 050021 - Tel: (57-4) 520 4760 / (57-4) 326 0789 / (57) 317 365 8663 / (57) 318 532 2365 - COLOMBIA
BARRANQUILLA - Calle 64 No. 50-20 - Oficina 201 - Código Postal 080002 - Barrio El Prado - Tel. (57-5) 3 51 64 40 - COLOMBIA



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 4, 2014

PTAS

GILEAD SCIENCES, INC.
333 LAKESIDE DRIVE
FOSTER CITY, CA 94404

502836146

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 06/03/2014

REEL/FRAME: 033022/0235
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 1020.P4C AND 1020.P4F

ASSIGNOR:
CHIN, GREGORY

DOC DATE: 04/20/2014

ASSIGNOR:
DU, JINFU

DOC DATE: 04/30/2014

ASSIGNOR:
ELBEL, KRISTYNA

DOC DATE: 04/30/2014

ASSIGNOR:
LEE, RICK

DOC DATE: 04/30/2014

ASSIGNOR:
MISH, MICHAEL

DOC DATE: 04/30/2014

ASSIGNOR:
MUNOZ, MANUEL

DOC DATE: 04/30/2014

ASSIGNOR:
SHEVICK, SOPHIE

DOC DATE: 04/30/2014

ASSIGNEE:
GILEAD SCIENCES, INC.
333 LAKESIDE DRIVE
FOSTER CITY, CALIFORNIA 94404

APPLICATION NUMBER: 14273147 FILING DATE: 05/08/2014
PATENT NUMBER: ISSUE DATE:
TITLE: BENZIMIDAZOLE DERIVATIVES AS BROMODOMAIN INHIBITORS

APPLICATION NUMBER: FILING DATE:
PATENT NUMBER: ISSUE DATE:
PCT NUMBER: US2014037344
TITLE:

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

4 X

ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made by Gregory Chin; Jinfa Du; Kristyna Elbel; Rick Lee; Michael Mish; Manuel Munoz and Sophie Shevick who have a mailing address at c/o Gilead Sciences, Inc., 333 Lakeside Drive, Foster City, California 94404 (hereinafter referred to as Assignors) respectively,

WHEREAS, Assignors have invented certain new and useful improvements in BENZIMIDAZOLE DERIVATIVES AS BROMODOMAIN INHIBITORS, set forth in a Patent application for Letters Patent of the United States, for which Assignors have filed an application for patent both under the Patent Cooperation Treaty (PCT) and in the United States of America concurrently herewith. Where this Assignment is not filed concurrently with the application, the following identifying information may be added after execution:

U.S. Serial No.: 14/273,147

Filing Date: May 08, 2014

PCT Serial No.: PCT/US2014/037344

Filing Date: May 08, 2014

WHEREAS, Gilead Sciences, Inc., a Corporation organized under and pursuant to the laws of Delaware having its principal place of business at 333 Lakeside Drive, Foster City, California 94404 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, to all whom it may concern, be it known that for good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and applications for Letters Patent, and in and to any and all direct and indirect provisionals, national stage applications, divisions, continuations, continuations-in-part, substitutions, and reissues of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted

and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

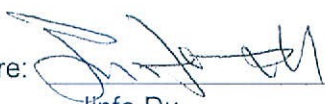
86

I hereby grant Assignee(s), the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark office for recordation of this document, including the power to insert on this assignment the application number and filing date of said application when known.

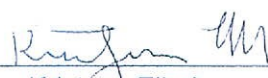
Date: 4/20/14

Signature: 
Gregory Chin

Date: 4/20/2014

Signature: 
Jinfa Du

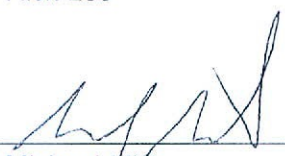
Date: 4/30/2014

Signature: 
Kristyna Elbel

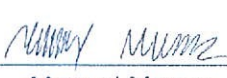
Date: 04/30/2014

Signature: 
Rick Lee

Date: April 30, 2014

Signature: 
Michael Mish

Date: 4/30/2014

Signature: 
Manuel Munoz

Date: 4/30/2014

Signature: 
Sophie Shevick

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1

Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT																
NATURE OF CONVEYANCE:	ASSIGNMENT																
CONVEYING PARTY DATA																	
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>GREGORY CHIN</td><td>04/20/2014</td></tr><tr><td>JINFA DU</td><td>04/30/2014</td></tr><tr><td>KRISTYNA ELBEL</td><td>04/30/2014</td></tr><tr><td>RICK LEE</td><td>04/30/2014</td></tr><tr><td>MICHAEL MISH</td><td>04/30/2014</td></tr><tr><td>MANUEL MUNOZ</td><td>04/30/2014</td></tr><tr><td>SOPHIE SHEVICK</td><td>04/30/2014</td></tr></tbody></table>	Name	Execution Date	GREGORY CHIN	04/20/2014	JINFA DU	04/30/2014	KRISTYNA ELBEL	04/30/2014	RICK LEE	04/30/2014	MICHAEL MISH	04/30/2014	MANUEL MUNOZ	04/30/2014	SOPHIE SHEVICK	04/30/2014	
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SOPHIE SHEVICK	04/30/2014																
RECEIVING PARTY DATA																	
Name:	GILEAD SCIENCES, INC.																
Street Address:	333 LAKESIDE DRIVE																
City:	FOSTER CITY																
State/Country:	CALIFORNIA																
Postal Code:	94404																
PROPERTY NUMBERS Total: 2																	
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>14273147</td></tr><tr><td>PCT Number:</td><td>US2014037344</td></tr></tbody></table>	Property Type	Number	Application Number:	14273147	PCT Number:	US2014037344											
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PCT Number:	US2014037344																
CORRESPONDENCE DATA																	
Fax Number:	(650)522-5575																
Phone:	6505743000																
Email:	sylvia.brennan@gilead.com																
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if																	

<i>provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	GILEAD SCIENCES, INC.
Address Line 1:	333 LAKESIDE DRIVE
Address Line 4:	FOSTER CITY, CALIFORNIA 94404
ATTORNEY DOCKET NUMBER:	1020.P4C AND 1020.P4F
NAME OF SUBMITTER:	LAWRENCE F. ROZSNYAI
Signature:	/Lawrence F. Rozsnyai, Reg. No. 58,427/
Date:	06/03/2014
Total Attachments: 3 source=1020.P4C and 1020.P4F Executed Assgn Add Invntrs#page1.tif source=1020.P4C and 1020.P4F Executed Assgn Add Invntrs#page2.tif source=1020.P4C and 1020.P4F Executed Assgn Add Invntrs#page3.tif	
RECEIPT INFORMATION	
EPAS ID:	PAT2882742
Receipt Date:	06/03/2014



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 4, 2014

PTAS

GILEAD SCIENCES, INC.
333 LAKESIDE DRIVE
FOSTER CITY, CA 94404

502836176

UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 06/03/2014

REEL/FRAME: 033022/0399
NUMBER OF PAGES: 11

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DOCKET NUMBER: 1020.P4C AND 1020.P4F

ASSIGNOR:

AKTOUDIANAKIS, EVANGELOS

DOC DATE: 03/20/2014

ASSIGNOR:

CORKEY, BRITTON KENNETH

DOC DATE: 03/03/2014

ASSIGNOR:

JIANG, ROBERT H.

DOC DATE: 04/08/2014

ASSIGNOR:

KOBAYASHI, TETSUYA

DOC DATE: 03/03/2014

ASSIGNOR:

MARTINEZ, RUBEN

DOC DATE: 04/09/2014

ASSIGNOR:

METOBO, SAMUEL E.

DOC DATE: 03/20/2014

ASSIGNOR:

SPERANDIO, DAVID

DOC DATE: 03/20/2014

ASSIGNOR:
YANG, HAI

DOC DATE: 03/20/2014

ASSIGNOR:
ZABLOCKI, JEFF

DOC DATE: 03/03/2014

ASSIGNEE:
GILEAD SCIENCES, INC.
333 LAKESIDE DRIVE
FOSTER CITY, CALIFORNIA 94404

APPLICATION NUMBER: 14273147 FILING DATE: 05/08/2014
PATENT NUMBER: ISSUE DATE:
TITLE: BENZIMIDAZOLE DERIVATIVES AS BROMODOMAIN INHIBITORS

APPLICATION NUMBER: FILING DATE:
PATENT NUMBER: ISSUE DATE:
PCT NUMBER: US2014037344
TITLE:

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made by Evangelos Aktoudianakis; Britton Kenneth Corkey; Tetsuya Kobayashi; Samuel E. Metobo; David Sperandio; Hai Yang and Jeff Zablocki who have a mailing address at c/o Gilead Sciences, Inc., 333 Lakeside Drive, Foster City, California 94404 and Robert H. Jiang who resides at 8057 Park Villa Circle, Cupertino California 95014 and Ruben Martinez who resides at 10661 Calston way, San Diego California 92126, respectively (hereinafter referred to as Assignors);

WHEREAS, Assignors have invented certain new and useful improvements in BENZIMIDAZOLE DERIVATIVES AS BROMODOMAIN INHIBITORS, set forth in a Patent application for Letters Patent of the United States, for which Assignors have filed an application for patent both under the Patent Cooperation Treaty (PCT) and in the United States of America concurrently herewith. Where this Assignment is not filed concurrently with the application, the following identifying information may be added after execution:

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use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

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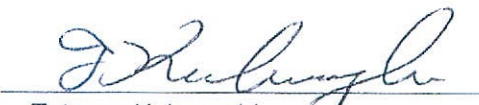
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Date: 3/20/2014 Signature: 
Evangelos Aktoudianakis

Date: 3/3/2014 Signature: 
Britton Kenneth Corkey

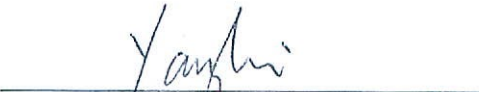
Date: _____ Signature: _____
Robert H. Jiang

Date: 3/3/2014 Signature: 
Tetsuya Kobayashi

Date: _____ Signature: _____
Ruben Martinez

Date: 3/20/2014 Signature: 
Samuel E. Metobo

Date: 3/20/2014 Signature: 
David Sperandio

Date: 03/20/2014 Signature: 
Hai Yang

Date: 3/3/2014 Signature: 
Jeff Zablocki

ASSIGNMENT BY INVENTORS

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Date: _____ Signature: _____
Evangelos Aktoudianakis

Date: _____ Signature: _____
Britton Kenneth Corkey

Date: 4/8/2014 Signature: 
Robert H. Jiang

Date: _____ Signature: _____
Tetsuya Kobayashi

Date: _____ Signature: _____
Ruben Martinez

Date: _____ Signature: _____
Samuel E. Metobo

Date: _____ Signature: _____
David Sperandio

Date: _____ Signature: _____
Hai Yang

Date: _____ Signature: _____
Jeff Zablocki

1728

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WHEREAS, Gilead Sciences, Inc., a Corporation organized under and pursuant to the laws of Delaware having its principal place of business at 333 Lakeside Drive, Foster City, California 94404 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, to all whom it may concern, be it known that for good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and applications for Letters Patent, and in and to any and all direct and indirect provisionals, national stage applications, divisions, continuations, continuations-in-part, substitutions, and reissues of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own

use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

I hereby grant Assignee(s), the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark office for recordation of this document, including the power to insert on this assignment the application number and filing date of said application when known.

Date: _____ Signature: _____
Evangelos Aktoudianakis

Date: _____ Signature: _____
Britton Kenneth Corkey

Date: _____ Signature: _____
Robert H. Jiang

Date: _____ Signature: _____
Tetsuya Kobayashi

Date: ^{PM} April 14^{9th} 2014 Signature: 
Ruben Martinez

Date: _____ Signature: _____
Samuel E. Metobo

Date: _____ Signature: _____
David Sperandio

Date: _____ Signature: _____
Hai Yang

Date: _____ Signature: _____
Jeff Zablocki

20 20

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT																				
NATURE OF CONVEYANCE:	ASSIGNMENT																				
CONVEYING PARTY DATA																					
<table><tr><th>Name</th><th>Execution Date</th></tr><tr><td>EVANGELOS AKTOUDIANAKIS</td><td>03/20/2014</td></tr><tr><td>BRITTON KENNETH CORKEY</td><td>03/03/2014</td></tr><tr><td>ROBERT H. JIANG</td><td>04/08/2014</td></tr><tr><td>TETSUYA KOBAYASHI</td><td>03/03/2014</td></tr><tr><td>RUBEN MARTINEZ</td><td>04/09/2014</td></tr><tr><td>SAMUEL E. METOBO</td><td>03/20/2014</td></tr><tr><td>DAVID SPERANDIO</td><td>03/20/2014</td></tr><tr><td>HAI YANG</td><td>03/20/2014</td></tr><tr><td>JEFF ZABLOCKI</td><td>03/03/2014</td></tr></table>		Name	Execution Date	EVANGELOS AKTOUDIANAKIS	03/20/2014	BRITTON KENNETH CORKEY	03/03/2014	ROBERT H. JIANG	04/08/2014	TETSUYA KOBAYASHI	03/03/2014	RUBEN MARTINEZ	04/09/2014	SAMUEL E. METOBO	03/20/2014	DAVID SPERANDIO	03/20/2014	HAI YANG	03/20/2014	JEFF ZABLOCKI	03/03/2014
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SAMUEL E. METOBO	03/20/2014																				
DAVID SPERANDIO	03/20/2014																				
HAI YANG	03/20/2014																				
JEFF ZABLOCKI	03/03/2014																				
RECEIVING PARTY DATA																					
<table><tr><td>Name:</td><td>GILEAD SCIENCES, INC.</td></tr><tr><td>Street Address:</td><td>333 LAKESIDE DRIVE</td></tr><tr><td>City:</td><td>FOSTER CITY</td></tr><tr><td>State/Country:</td><td>CALIFORNIA</td></tr><tr><td>Postal Code:</td><td>94404</td></tr></table>		Name:	GILEAD SCIENCES, INC.	Street Address:	333 LAKESIDE DRIVE	City:	FOSTER CITY	State/Country:	CALIFORNIA	Postal Code:	94404										
Name:	GILEAD SCIENCES, INC.																				
Street Address:	333 LAKESIDE DRIVE																				
City:	FOSTER CITY																				
State/Country:	CALIFORNIA																				
Postal Code:	94404																				
PROPERTY NUMBERS Total: 2																					
<table><tr><th>Property Type</th><th>Number</th></tr><tr><td>Application Number:</td><td>14273147</td></tr><tr><td>PCT Number:</td><td>US2014037344</td></tr></table>		Property Type	Number	Application Number:	14273147	PCT Number:	US2014037344														
Property Type	Number																				
Application Number:	14273147																				
PCT Number:	US2014037344																				
CORRESPONDENCE DATA																					
Fax Number: (650)522-5575																					

Phone:	6505743000
Email:	sylvia.brennan@gilead.com
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	GILEAD SCIENCES, INC.
Address Line 1:	333 LAKESIDE DRIVE
Address Line 4:	FOSTER CITY, CALIFORNIA 94404

ATTORNEY DOCKET NUMBER:	1020.P4C AND 1020.P4F
NAME OF SUBMITTER:	LAWRENCE F. ROZSNYAI
Signature:	/Lawrence F. Rozsnyai, Reg. No. 58,427/
Date:	06/03/2014

Total Attachments: 9

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RECEIPT INFORMATION	
EPAS ID:	PAT2882772
Receipt Date:	06/03/2014

TRADUCCIÓN

OFICINA DE PATENTES Y MARCAS DE LOS ESTADOS UNIDOS

Subsecretaría de Comercio para la Propiedad Intelectual y Dirección de la Oficina de Patentes y Marcas de los Estados Unidos

4 de junio de 2014

502836146

PTAS

GILEAD SCIENCES, INC., 333 LAKESIDE DRIVE, FOSTER CITY, CA 94404.

OFICINA DE PATENTES Y MARCAS DE LOS ESTADOS UNIDOS

AVISO DE INSCRIPCIÓN DE DOCUMENTO DE CESIÓN

EL DOCUMENTO ADJUNTO HA SIDO INSCRIPTO POR LA DIVISIÓN CESIONES DE LA OFICINA DE PATENTES Y MARCAS DE LOS ESTADOS UNIDOS. EN LA SALA DE BÚSQUEDAS DE CESIONES SE ENCUENTRA A DISPOSICIÓN UNA COPIA COMPLETA EN MICROFILM BAJO EL NRO DE ROLLO Y CUADRO QUE SE INDICAN AL PIE.

SE RUEGA REVISAR TODA LA INFORMACIÓN CONTENIDA EN ESTE AVISO. LA MISMA REFLEJA LOS DATOS EXISTENTES EN EL SISTEMA DE CESIONES DE LA OFICINA DE PATENTES Y MARCAS. SI ENCUENTRA ALGÚN ERROR, PUEDE PONERSE EN CONTACTO CON EL EMPLEADO CUYO NOMBRE FIGURA EN ESTE AVISO AL 571-272-3350.

SE RUEGA ENVIAR LOS PEDIDOS DE CORRECCIÓN A: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

Fecha de inscripción: 03/06/2014

Rollo/cuadro: 033022/0235 Cant. de páginas: 5

ASUNTO: CESIÓN DE PARTICIPACIÓN DEL CESIONARIO (VER DETALLES EN EL DOCUMENTO).

NÚMERO DE EXPEDIENTE: 1020.P4C AND 1020.P4F

CEDENTE:

CHIN, GREGORY

FECHA DEL DOC.: 20/04/2014

CEDENTE:

DU, JINFU

FECHA DEL DOC.: 30/04/2014

CEDENTE:

ELBEL, KRISTYNA

FECHA DEL DOC.: 30/04/2014

CEDENTE:

LEE, RICK

FECHA DEL DOC.: 30/04/2014

CEDENTE:

MISH, MICHAEL

FECHA DEL DOC.: 30/04/2014

CEDENTE:

MUNOZ, MANUEL

FECHA DEL DOC.: 30/04/2014

CEDENTE:

SHEVICK, SOPHIE

FECHA DEL DOC.: 30/04/2014

CESIONARIA:

GILEAD SCIENCES, INC., 333 LAKESIDE DRIVE, FOSTER CITY, CA 94404.

NÚMERO DE SOLICITUD: 14273147

FECHA DE PRESENTACIÓN: 08/05/2014

TÍTULO: DERIVADOS DE BENZIMIDAZOL COMO INHIBIDORES DE BROMODOMINIO

NÚMERO DE PCT: US2014037344

DEPARTAMENTO DE CESIONES

DIVISIÓN DE REGISTROS PÚBLICOS

[Página siguiente]

CESIÓN POR LOS INVENTORES

La presente cesión, es celebrada por **Gregory Chin; Jinfa Du; Kristyna Elbel; Rick Lee; Michael Mish; Manuel Munoz y Sophie Shevick**, con domicilio en c/o Gilead Sciences, Inc., 333 Lakeside Drive, Foster City, California 94404, (en adelante denominados Cedentes) respectivamente;

POR CUANTO, los cedentes han inventado determinadas mejoras nuevas y útiles para **"DERIVADOS DE BENZIMIDAZOL COMO INHIBIDORES DE BROMODOMINIO"**, divulgadas en una Solicitud de Patentes de invención de los Estados Unidos, respecto de las cuales los Cedentes han presentado una solicitud de patente tanto bajo el Tratado de Cooperación en Materia de Patentes (PCT) como en los Estados Unidos de América en forma simultánea. Si esta Cesión no fuera presentada junto con la solicitud, se pueden insertar los siguientes datos de identificación después de su firma:

Nro. de acta de los Estados Unidos: 14/273.147. Fecha de presentación: 8 de mayo de 2014.

Nro. de acta PCT: PCT/US2014/037344. Fecha de presentación: 8 de mayo de 2014.

Por cuanto **Gilead Sciences, Inc.**, una sociedad anónima constituida de conformidad con las leyes de Delaware con sede comercial en 333 Lakeside Drive, Foster City, California 94404 (en adelante denominada Cesionaria) desea adquirir la totalidad de los derechos, título e interés sobre las invenciones y las Solicitudes de patentes de invención de los Estados Unidos, y sobre todas las patentes de invención de los Estados Unidos que se obtengan de las mismas.

AHORA, POR LO TANTO, a quien corresponda, por la presente se hace saber que por contraprestación válida y suficiente de cuya entrega se acusa recibo, el Cedente ha vendido, cedido, transferido y traspasado, y por la presente vende, cede, transfiere y traspasa a dicha Cesionaria, sus sucesores, representantes legales y cesionarios, todos los derechos, la titularidad e intereses sobre las mencionadas invenciones y solicitud de Patente de invención, y sobre todas las provisionales, fases nacionales, divisionales, continuaciones, continuaciones en parte, sustituciones y reemisiones parciales directas e indirectas de dicha solicitud, y todas las solicitudes de Patente de Invención de los Estados Unidos u otros países que eventualmente se otorguen al respecto, y reemisiones, nuevos exámenes y prórrogas de dichas Patentes de Invención, y todos los derechos emanados de la Convención Internacional para la Protección de la Propiedad Industrial, para que sean investidos por la Cesionaria para su propio uso y beneficio y para el uso y beneficio de sus sucesores, representantes legales o cesionarios por el plazo completo por el cual dichas patentes puedan ser otorgadas y/o extendidas, y en la misma medida en la que hubieran sido investidos por el Cedente si esta venta y cesión no se hubieran producido.

Y por la misma contraprestación, el Cedente declara y se compromete ante la Cesionaria, sus sucesores, representantes legales y cesionarios que, en el momento de la firma y otorga de la presente, y salvo por los derechos, títulos y/o intereses que hayan surgido legalmente para la Cesionaria o que ya hayan sido transferidos a la Cesionaria, que es el único titular legítimo de todos los derechos, títulos e intereses de las invenciones y solicitudes de Patente mencionadas, y que éstas se encuentran libres de gravámenes y que el Cedente tiene pleno derecho y autoridad legal para vender y traspasar las mismas en la forma que aquí se establece;

Y por la misma contraprestación, el Cedente en este acto se compromete y acuerda con la Cesionaria, sus sucesores, representantes legales y cesionarios, que el Cedente firmará todos los papeles y documentos, y firmará las declaraciones juradas necesarias u otros actos que sean necesarios o requeridos para la obtención, mantenimiento, cumplimiento y defensa de cualquier Patente de Invención y solicitudes de Patentes de Invención relativas a dichas invenciones, sin cargo para la Cesionaria, sus sucesores, representantes legales o cesionarios, cuando el letrado de dicha Cesionaria, o el de sus sucesores, representantes legales o cesionarios así lo recomienden: que en un trámite relacionado con dichas invenciones o solicitudes de patente, o en un trámite relacionado con la o las Patentes o solicitudes de Patentes de Invención relativas a dichas invenciones, en cualquier país, por ejemplo no limitativo en procedimientos de oposición, sería legítimo y deseable; o que cualquier divisional, continuación o continuación parcial de cualesquier solicitudes de Patente, o cualquier reemisión, reexaminación o prórroga de las Patentes que se otorguen sobre dichas solicitudes, sería legítimo y deseable.

Y el Cedente reconoce la existencia de una obligación de cesión de esta invención a la cesionaria en el momento de efectuarse la invención.

Por la presente otorgo a la Cesionaria la facultad para insertar en la presente cesión toda identificación adicional que pueda ser necesaria o deseable para cumplir con las normas de la Oficina de Patentes y Marcas de Estados Unidos para la inscripción de este documento, incluida la facultad de insertar en la presente cesión el número de solicitud y la fecha de presentación de dicha solicitud cuando se conozcan."

[Firmado, ilegible] **Gregory Chin**

Fecha: 20/04/2014

[Firmado, ilegible] **Jinfa Du**

Fecha: 30/04/2014

[Firmado, ilegible] **Kristyna Elbel**

Fecha: 30/04/2014

[Firmado, ilegible] **Rick Lee**

Fecha: 30/04/2014

[Firmado, ilegible] **Michael Mish**

Fecha: 30/04/2014

[Firmado, ilegible] **Manuel Munoz**

Fecha: 30/04/2014

[Firmado, ilegible] **Sophie Shevick**

Fecha: 30/04/2014

[Página siguiente]

CARÁTULA DE CESIÓN DE PATENTE

Versión electrónica v1.1

Versión de formulario v1.2

Tipo de trámite: NUEVA CESIÓN

Naturaleza del traspaso: CESIÓN

Información de los cedentes:

Nombre	Fecha de la Firma
Gregory Chin	20/04/2014
Jinfa Du	30/04/2014
Kristyna Elbel	30/04/2014
Rick Lee	30/04/2014
Michael Mish	30/04/2014
Manuel Munoz	30/04/2014
Sophie Shevick	30/04/2014

Información del cesionario

Nombre: **Gilead Sciences, Inc.**

Dirección: 333 Lakeside Drive

Ciudad: Foster City

Estado/País: CALIFORNIA

Código Postal: 94404

27

Cantidad de activos: 2

Solicitud Nro.	14273147
PCT Nro.	US2014037344

Información para envío postal

Número de Fax: (650)522-5575

Número de Teléfono: 650574300

Correo electrónico: sylvia.brennan@gilead.com

La correspondencia será enviada primero por correo electrónico; si no se logra, será enviada por fax, si se provee; si eso no se logra, será enviada por Correo de los Estados Unidos.

Nombre del Corresponsal: Gilead Sciences. Inc.

Domicilio, Renglón Nro 1: 333 Lakeside Drive

Domicilio, Renglón Nro 4: Foster City, CALIFORNIA 94404

Número de Expediente del Agente: 1020.P4C AND 1020.P4F

Nombre del firmante: LAWRENCE F. ROZSYAI

Fecha: 03/06/2014

Cantidad de adjuntos: 3

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Fuente=1020.P4C and 1020.P4F Executed Assgn Add Invntrs#page3.tif

INFORMACIÓN DE RECIBO

ID EPAS: PAT2882742

Fecha del recibo: 03/06/2014

28 28

TRADUCCIÓN

OFICINA DE PATENTES Y MARCAS DE LOS ESTADOS UNIDOS

Subsecretaría de Comercio para la Propiedad Intelectual y Dirección de la Oficina de Patentes y Marcas de los Estados Unidos

4 de junio de 2014

502836176

PTAS

GILEAD SCIENCES, INC., 333 LAKESIDE DRIVE, FOSTER CITY, CA 94404.

OFICINA DE PATENTES Y MARCAS DE LOS ESTADOS UNIDOS

AVISO DE INSCRIPCIÓN DE DOCUMENTO DE CESIÓN

EL DOCUMENTO ADJUNTO HA SIDO INSCRIPTO POR LA DIVISIÓN CESIONES DE LA OFICINA DE PATENTES Y MARCAS DE LOS ESTADOS UNIDOS. EN LA SALA DE BÚSQUEDAS DE CESIONES SE ENCUENTRA A DISPOSICIÓN UNA COPIA COMPLETA EN MICROFILM BAJO EL NRO DE ROLLO Y CUADRO QUE SE INDICAN AL PIE.

SE RUEGA REVISAR TODA LA INFORMACIÓN CONTENIDA EN ESTE AVISO. LA MISMA REFLEJA LOS DATOS EXISTENTES EN EL SISTEMA DE CESIONES DE LA OFICINA DE PATENTES Y MARCAS. SI ENCUENTRA ALGÚN ERROR, PUEDE PONERSE EN CONTACTO CON EL EMPLEADO CUYO NOMBRE FIGURA EN ESTE AVISO AL 571-272-3350.

SE RUEGA ENVIAR LOS PEDIDOS DE CORRECCIÓN A: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

Fecha de inscripción: 03/06/2014

Rollo/cuadro: 033022/0399 Cant. de páginas: 11

ASUNTO: CESIÓN DE PARTICIPACIÓN DEL CESIONARIO (VER DETALLES EN EL DOCUMENTO).

NÚMERO DE EXPEDIENTE: 1020.P4C AND 1020.P4F

CEDENTE:

AKTOUDIANIS, EVANGELOS

FECHA DEL DOC.: 20/03/2014

CEDENTE:

29 28

CORKEY, BRITTON KENNETH

FECHA DEL DOC.: 03/03/2014

CEDENTE:

JIANG, ROBERT H.

FECHA DEL DOC.: 08/04/2014

CEDENTE:

KOBAYASHI, TETSUYA

FECHA DEL DOC.: 03/03/2014

CEDENTE:

MARTINEZ, RUBEN

FECHA DEL DOC.: 09/04/2014

CEDENTE:

METOBO, SAMUEL E.

FECHA DEL DOC.: 20/03/2014

CEDENTE:

DAVID, SPERANDIO

20/03/2014

CEDENTE:

YANG, HAI

20/03/2014

CEDENTE:

ZABLOCKI, JEFF

20/03/2014

CESIONARIA:

GILEAD SCIENCES, INC., 333 LAKESIDE DRIVE, FOSTER CITY, CA 94404.

NÚMERO DE SOLICITUD: 14273147

FECHA DE PRESENTACIÓN: 08/05/2014

TÍTULO: **DERIVADOS DE BENZIMIDAZOL COMO INHIBIDORES DE BROMODOMINIO**

NÚMERO DE PCT: US2014037344

DEPARTAMENTO DE CESIONES

DIVISIÓN DE REGISTROS PÚBLICOS

[Página siguiente]

CESIÓN POR LOS INVENTORES

La presente cesión, es celebrada por **Evangelos Aktoudianakis; Britton Kenneth Corkey; Tetsuya Kobayashi; Samuel E. Metobo; David Sperandio; Hai Yang y Jeff Zablocki**, con domicilio en c/o Gilead Sciences, Inc., 333 Lakeside Drive, Foster City, California 94404; y **Robert H. Jiang**, con domicilio en 8057 Park Villa Circle, Cupertino California 95014; y **Ruben Martinez**, con domicilio en 10661 Calston Way, San Diego California 92126, (en adelante denominados Cedentes) respectivamente;

POR CUANTO, los cedentes han inventado determinadas mejoras nuevas y útiles para **"DERIVADOS DE BENZIMIDAZOL COMO INHIBIDORES DE BROMODOMINIO"**, divulgadas en una Solicitud de Patentes de invención de los Estados Unidos, (PCT) como en los Estados Unidos de América en forma simultánea. Si esta Cesión no fuera presentada junto con la solicitud, se pueden insertar los siguientes datos de identificación después de su firma:

Nro. de acta de los Estados Unidos: 14/273.147. Fecha de presentación: 8 de mayo de 2014.

Nro. de acta PCT: PCT/US2014/037344. Fecha de presentación: 8 de mayo de 2014.

Por cuanto **Gilead Sciences, Inc.**, una sociedad anónima constituida de conformidad con las leyes de Delaware con sede comercial en 333 Lakeside Drive, Foster City, California 94404 (en adelante denominada Cesionaria) desea adquirir la totalidad de los derechos, título e interés sobre las invenciones y las Solicitudes de patentes de invención de los Estados Unidos, y sobre todas las patentes de invención de los Estados Unidos que se obtengan de las mismas.

AHORA, POR LO TANTO, a quien corresponda, por la presente se hace saber que por contraprestación válida y suficiente de cuya entrega se acusa recibo, el Cedente ha vendido, cedido, transferido y traspasado, y por la presente vende, cede, transfiere y traspasa a dicha Cesionaria, sus sucesores, representantes legales y cesionarios, todos los derechos, la titularidad e intereses sobre las mencionadas invenciones y solicitud de Patente de invención, y sobre todas las provisionales, fases nacionales, divisionales, continuaciones, continuaciones en parte, sustituciones y reemisiones parciales directas e indirectas de dicha solicitud, y todas las solicitudes de Patente de Invención de los Estados Unidos u otros países que eventualmente se otorguen al respecto, y reemisiones, nuevos exámenes y prórrogas de dichas Patentes de Invención, y todos los derechos

31

emanados de la Convención Internacional para la Protección de la Propiedad Industrial, para que sean investidos por la Cesionaria para su propio uso y beneficio y para el uso y beneficio de sus sucesores, representantes legales o cesionarios por el plazo completo por el cual dichas patentes puedan ser otorgadas y/o extendidas, y en la misma medida en la que hubieran sido investidos por el Cedente si esta venta y cesión no se hubieran producido.

Y por la misma contraprestación, el Cedente declara y se compromete ante la Cesionaria, sus sucesores, representantes legales y cesionarios que, en el momento de la firma y otorga de la presente, y salvo por los derechos, títulos y/o intereses que hayan surgido legalmente para la Cesionaria o que ya hayan sido transferidos a la Cesionaria, que es el único titular legítimo de todos los derechos, títulos e intereses de las invenciones y solicitudes de Patente mencionadas, y que éstas se encuentran libres de gravámenes y que el Cedente tiene pleno derecho y autoridad legal para vender y traspasar las mismas en la forma que aquí se establece;

Y por la misma contraprestación, el Cedente en este acto se compromete y acuerda con la Cesionaria, sus sucesores, representantes legales y cesionarios, que el Cedente firmará todos los papeles y documentos, y firmará las declaraciones juradas necesarias u otros actos que sean necesarios o requeridos para la obtención, mantenimiento, cumplimiento y defensa de cualquier Patente de Invención y solicitudes de Patentes de Invención relativas a dichas invenciones, sin cargo para la Cesionaria, sus sucesores, representantes legales o cesionarios, cuando el letrado de dicha Cesionaria, o el de sus sucesores, representantes legales o cesionarios así lo recomienden: que en un trámite relacionado con dichas invenciones o solicitudes de patente, o en un trámite relacionado con la o las Patentes o solicitudes de Patentes de Invención relativas a dichas invenciones, en cualquier país, por ejemplo no limitativo en procedimientos de oposición, sería legítimo y deseable; o que cualquier divisional, continuación o continuación parcial de cualesquier solicitudes de Patente, o cualquier reemisión, reexaminación o prórroga de las Patentes que se otorguen sobre dichas solicitudes, sería legítimo y deseable.

Y el Cedente reconoce la existencia de una obligación de cesión de esta invención a la cesionaria en el momento de efectuarse la invención.

Por la presente otorgo a la Cesionaria la facultad para insertar en la presente cesión toda identificación adicional que pueda ser necesaria o deseable para cumplir con las normas

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de la Oficina de Patentes y Marcas de Estados Unidos para la inscripción de este documento, incluida la facultad de insertar en la presente cesión el número de solicitud y la fecha de presentación de dicha solicitud cuando se conozcan.”

[Firmado, ilegible] **Evangelos Aktoudianakis**

Fecha: 20/03/2014

[Firmado, ilegible] **Britton Kenneth Corkey**

Fecha: 03/03/2014

[Firmado, ilegible] **Tetsuya Kobayashi**

Fecha: 03/03/2014

[Firmado, ilegible] **Samuel E. Metobo**

Fecha: 20/03/2014

[Firmado, ilegible] **David Sperandio**

Fecha: 20/03/2014

[Firmado, ilegible] **Hai Yang**

Fecha: 20/03/2014

[Firmado, ilegible] **Jeff Zablocki**

Fecha: 03/03/2014

[Sigue texto del mismo tenor]

[Firmado, ilegible] **Robert H. Jiang**

Fecha: 08/04/2014

[Sigue texto del mismo tenor]

[Firmado, ilegible] **Ruben Martinez**

Fecha: 09/04/2014

[Página siguiente]

CARÁTULA DE CESIÓN DE PATENTE

Versión electrónica v1.1

Versión de formulario v1.2

Tipo de trámite: NUEVA CESIÓN

Naturaleza del traspaso: CESIÓN

Información de los cedentes:

Nombre	Fecha de la Firma
Evangelos Aktoudianakis	20/03/2014

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33

Britton Kenneth Corkey	03/03/2014
Robert H. Jiang	08/04/2014
Tetsuya Kobayashi	03/03/2014
Ruben Martinez	09/04/2014
Samuel E. Etobo	20/03/2014
David Sperandio	20/03/2014
Hai Yang	20/03/2014
Jeff Zablocki	03/03/2014

Información del cesionario

Nombre: **Gilead Sciences, Inc.**

Dirección: 333 Lakeside Drive

Ciudad: Foster City

Estado/País: CALIFORNIA

Código Postal: 94404

Cantidad de activos: 2

Solicitud Nro.	14273147
PCT Nro.	US2014037344

Información para envío postal

Número de Fax: (650)522-5575

Número de Teléfono: 650574300

Correo electrónico: sylvia.brennan@gilead.com

La correspondencia será enviada primero por correo electrónico; si no se logra, será enviada por fax, si se provee; si eso no se logra, será enviada por Correo de los Estados Unidos.

Nombre del Corresponsal: Gilead Sciences. Inc.

Domicilio, Renglón Nro 1: 333 Lakeside Drive

Domicilio, Renglón Nro 4: Foster City, CALIFORNIA 94404

Número de Expediente del Agente: 1020.P4C AND 1020.P4F

Nombre del firmante: LAWRENCE F. ROZSYAI

Fecha: 03/06/2014

Cantidad de adjuntos: 3

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