

INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION (with Priority Rights)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled: **CAPSULE SHELLS COMPRISING AN ESTERIFIED CELLULOSE ETHER**

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

Dow Global Technologies LLC
2040 Dow Center
Midland, Michigan 48674
United States of America

an entity organized and existing under the laws of the state of **Delaware** (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
62/000,714	May 20, 2014	United States of America

of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request.

I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recordal of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At: this <u>21st</u> day of <u>May</u> , 20 <u>14</u> Effective as of May 20, 2014
Signature: 
Full Name: Jin Zhao
Residence: 2615 Brookwood Midland, Michigan 48640
Country: United States of America
Citizenship: United States of America
P.O. Address: Same as Residence
Employed By: The Dow Chemical Company
Of Country: United States of America

At: this _____ day of _____, 20 _____ Effective as of May 20, 2014
Signature: _____
Full Name: Brian Koblinski
Residence: 4113 Dyckman Road Midland, Michigan 48640
Country: United States of America
Citizenship: United States of America
P.O. Address: Same as Residence
Employed By: The Dow Chemical Company
Of Country: United States of America

At: this _____ day of _____, 20 _____ Effective as of May 20, 2014
Signature: _____
Full Name: Robert L. Schmitt
Residence: 40 Regional Road Ammandale, New Jersey 08801
Country: United States of America
Citizenship: United States of America
P.O. Address: Same as Residence
Employed By: The Dow Chemical Company
Of Country: United States of America

At: this _____ day of _____, 20 _____
Signature: _____
Full Name: _____
Residence: _____
Country: _____
Citizenship: _____
P.O. Address: _____
Employed By: _____
Of Country: _____

This Assignment 110 is in the English (EN) language and has the same meaning in other languages with the same 110 number and R/Date

This Assignment 110 is in the English (EN) language and has the same meaning in other languages with the same 110 number and R/Date
FORM 110 (R. 5/97)

INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION (with Priority Rights)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled: **CAPSULE SHELLS COMPRISING AN ESTERIFIED CELLULOSE ETHER**

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

Dow Global Technologies LLC
2040 Dow Center
Midland, Michigan 48674
United States of America

an entity organized and existing under the laws of the state of **Delaware** (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
62/000,714	May 20, 2014	United States of America

of the application, on which the claim for priority will be based, and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request.

I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recording of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At: _____
this _____ day of _____, 20____
Effective as of May 20, 2014

Signature: _____
Full Name: Jin Zhao
Residence: 2615 Brookwood
Midland, Michigan 48640
Country: United States of America
Citizenship: United States of America
P.O. Address: Same as Residence
Employed By: The Dow Chemical Company
Of Country: United States of America

At: Midland, MI
this 9 day of July, 2014
Effective as of May 20, 2014

Signature: Brian Koblinski
Full Name: Brian Koblinski
Residence: 4113 Dyckman Road
Midland, Michigan 48640
Country: United States of America
Citizenship: United States of America
P.O. Address: Same as Residence
Employed By: The Dow Chemical Company
Of Country: United States of America

At: _____
this _____ day of _____, 20____
Effective as of May 20, 2014

Signature: _____
Full Name: Robert L. Schmitt
Residence: 40 Regional Road
Annandale, New Jersey 08801
Country: United States of America
Citizenship: United States of America
P.O. Address: Same as Residence
Employed By: The Dow Chemical Company
Of Country: United States of America

At: _____
this _____ day of _____, 20____
Effective as of May 20, 2014

Signature: _____
Full Name: _____
Residence: _____
Country: _____
Citizenship: _____
P.O. Address: _____
Employed By: _____
Of Country: _____

[illegible]

FORM 110 (2-55)

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

an entity organized and existing under the laws of the state of **Delaware** (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)), and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request.

Subscribed and Declared by me/us:

At: _____
this _____ day of _____, 20____
Effective as of May 20, 2014

Signature: _____
Full Name: Brian Koblinski
Residence: 4113 Dyckman Road
Midland, Michigan 48640
Country: United States of America
Citizenship: United States of America
P.O. Address: Same as Residence
Employed By: The Dow Chemical Company
Of Country: United States of America

At: _____
this _____ day of _____, 20____

Signature: _____
Full Name: _____
Residence: _____

Country: _____
Citizenship: _____
P.O. Address: _____
Employed By: _____
Of Country: _____

This Assignment 110 is in the ENGLISH language and has the same meaning in other languages with the same 110 number and R/Date.

This Assignment 110 is in the ENGLISH language and has the same meaning in other languages with the same 110 number and R/Date.

FORM 110 (R 5/97)

INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION

(with Priority Rights)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled: **CAPSULE SHELLS COMPRISING AN ESTERIFIED CELLULOSE ETHER**

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

Dow Wolff Cellulosics GmbH & Co. OHG
August-Wolff-Strasse 13
29699 Bomlitz
Germany

an entity organized and existing under the laws of the state of **Germany** (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
62/000,714	May 20, 2014	United States of America

of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request.

I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recording of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At: Bomlitz
this 22 day of May, 2014
Effective as of May 20, 2014

Signature: [Signature]
Full Name: Roland Adden
Residence: Mohnblumenweg 18
29664 Walsrode
GERMANY
Country: Germany
Citizenship: Germany
P.O. Address: Same as Residence
Employed By: Dow Wolff Cellulosics GmbH & Co. OHG
Of Country: Germany

At: _____
this _____ day of _____, 20 _____

Signature: _____
Full Name: _____
Residence: _____
Country: _____
Citizenship: _____
P.O. Address: _____
Employed By: _____
Of Country: _____

At: _____
this _____ day of _____, 20 _____

Signature: _____
Full Name: _____
Residence: _____
Country: _____
Citizenship: _____
P.O. Address: _____
Employed By: _____
Of Country: _____

At: _____
this _____ day of _____, 20 _____

Signature: _____
Full Name: _____
Residence: _____
Country: _____
Citizenship: _____
P.O. Address: _____
Employed By: _____
Of Country: _____

ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE: **DOW GLOBAL TECHNOLOGIES LLC**
2040 Dow Center
Midland, Michigan 48674
United States of America

hereby accept the ASSIGNMENT which is dated: May 21, 2014; July 9, 2014 and August 8, 2014, respectively

From, the ASSIGNOR: Jin Zhao, 2615 Brookwood, Midland, Michigan 48640, United States of America, Citizen of United States of America; Brian Koblinski, 4113 Dyckman Road, Midland, Michigan 48640, United States of America, Citizen of United States of America; and Robert L. Schmitt, 40 Regional Road, Annandale, New Jersey 08801, United States of America, Citizen of United States of America

of the invention entitled:

CAPSULE SHELLS COMPRISING AN ESTERIFIED CELLULOSE ETHER

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

Signed at Midland, Michigan 48674, USA

this 14 day of August, 20 14

DOW GLOBAL TECHNOLOGIES LLC

By: Susan M. Zerull
SUSAN M. ZERULL
Authorized Representative

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA)
STATE OF MICHIGAN) SS
County/Parish of Midland)

On this 14 day of August, 20 14, personally appeared before me, **SUSAN M. ZERULL, Authorized Representative**, known or identified to me to be the individual described in and who executed the foregoing document and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said entity organized and existing under the laws of **the State of Delaware, United States of America**.

I further certify that said authority, dated **June 1, 2014**, is based on

A delegation of signature authority of said Limited Liability Company

which I have seen, appointing the said individual Authorized Representative with full power to sign the foregoing document on behalf of the said entity and that the purposes for which said document is granted are within the scope of the objects or activities of said entity.

SEAL

Kathy Sue Wertz

Kathy Sue Wertz
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES APRIL 07, 2018

This Assignee Acceptance 660 is in the ENGLISH language and has the same meaning in other languages with the same 660 number

Kathy Sue Wertz
Kathy Sue Wertz
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES APRIL 07, 2018

This Assignment 440 is in the ENGLISH language and has the same meaning in other languages with the same 440 number.

This Assignment 440 is in the ENGLISH language and has the same meaning in other languages with the same 440 number.

FORM 440

ASSIGNMENT

(with Priority Rights)
and
INVENTORSHIP DECLARATION

We, ASSIGNOR, of: **DOW WOLFF CELLULOSICS GMBH & CO. OHG**
August-Wolff-Strasse 13
29699 Bomlitz
Germany

an entity **organized and existing under the laws of GERMANY** do hereby claim and declare that we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof from the sole, true and first inventor(s):

Roland Adden, Mohnblumenweg 18, 29664 Walsrode, Germany, Citizen of Germany

by an Assignment dated: May 27, 2014 of an invention relating to and entitled:

CAPSULE SHELLS COMPRISING AN ESTERIFIED CELLULOSE ETHER

We do hereby declare, for good and valuable consideration, received, sell, assign, and transfer unto:

WE, the ASSIGNEE: **THE DOW CHEMICAL COMPANY**
2030 Dow Center
Midland, Michigan 48674
United States of America

an entity **organized and existing under the laws of the State of Delaware, United States of America** our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make application for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all rights to claim priority under all International Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
62/000,714	May 20, 2014	United States of America

of the application on which the priority will be based; and in and to any Letters Patent that may be issued for said invention in any and all countries; and we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application, as may be requested by said Assignee; and we do hereby authorize said Assignee to insert here the corresponding Application Number, Filing Date, and Filing Country

Application Number	Filing Date	Filing Country

of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith, and consent to the recordal of this Assignment being under covenant, not only that we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Sworn by us at **Midland, Michigan 48674, USA**

this 13 day of August, 20 14

CORP.
SEAL

DOW WOLFF CELLULOSICS GMBH & CO. OHG

By: 
DARRYL P. FRICKEY
Authorized Representative

Attach Notarial Certificate

[illegible]

This Notarial Certificate 550 is in the ENGLISH language and has the same meaning in other languages with the same 550 number.

Kathy Sue Wertz
Kathy Sue Wertz
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES APRIL 07, 2018

This Assignee Acceptance 660 is in the ENGLISH language and has the same meaning in other languages with the same 660 number

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FORM 660

ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE: **THE DOW CHEMICAL COMPANY**
2030 Dow Center
Midland, Michigan 48674
United States of America

hereby accept the ASSIGNMENT which is dated: August 13, 2014

From, the ASSIGNOR:
DOW WOLFF CELLULOSICS GMBH & CO. OHG
August-Wolff-Strasse 13
29699 Bomlitz
Germany

of the invention entitled:

CAPSULE SHELLS COMPRISING AN ESTERIFIED CELLULOSE ETHER

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

Signed at Midland, Michigan 48674, USA

this 13 day of August, 20 14

CORP.
SEAL

THE DOW CHEMICAL COMPANY

By: D.P. Frickey

DARRYL P. FRICKEY
Authorized Representative

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA)
 STATE OF MICHIGAN) SS
 County/Parish of Midland)

On this 13 day of August, 2014, personally appeared before me, **DARRYL P. FRICKEY, Authorized Representative**, known or identified to me to be the individual described in and who executed the foregoing document and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said entity organized and existing under the laws of the **State of Delaware, United States of America**.

I further certify that said authority, dated **July 12, 2013**, is based on

a Certified Resolution

which I have seen, appointing the said individual Authorized Representative with full power to sign the foregoing document on behalf of the said entity and that the purposes for which said document is granted are within the scope of the objects or activities of said entity.

SEAL

Kathy Sue Wertz

Kathy Sue Wertz
 Kathy Sue Wertz
 NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN
 MY COMMISSION EXPIRES APRIL 07, 2018

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FORM 440

ASSIGNMENT

(with Priority Rights)
and
INVENTORSHIP DECLARATION

We, ASSIGNOR, of: **THE DOW CHEMICAL COMPANY**
2030 Dow Center
Midland, Michigan 48674
United States of America

an entity **organized and existing under the laws of the State of Delaware, United States of America** do hereby claim and declare that we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof from the sole, true and first inventor(s):

Roland Adden, Mohnblumenweg 18, 29664 Walsrode, Germany, Citizen of Germany

by an Assignment dated: May 27, 2014 of an invention relating to and entitled:

CAPSULE SHELLS COMPRISING AN ESTERIFIED CELLULOSE ETHER

We do hereby declare, for good and valuable consideration, received, sell, assign, and transfer unto:

WE, the ASSIGNEE: **DOW GLOBAL TECHNOLOGIES LLC**
2040 Dow Center
Midland, Michigan 48674
United States of America

an entity **organized and existing under the laws of the State of Delaware, United States of America** our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make application for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all rights to claim priority under all International Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
62/000,714	May 20, 2014	United States of America

of the application on which the priority will be based; and in and to any Letters Patent that may be issued for said invention in any and all countries; and we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application, as may be requested by said Assignee; and we do hereby authorize said Assignee to insert here the corresponding Application Number, Filing Date, and Filing Country

Application Number	Filing Date	Filing Country

of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith, and consent to the recordal of this Assignment being under covenant, not only that we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Sworn by us at **Midland, Michigan 48674, USA**

this 13 day of August, 20 14

CORP.
SEAL

THE DOW CHEMICAL COMPANY

By:

DARRYL P. FRICKEY
Authorized Representative

Attach Notarial Certificate

[illegible]

This Notarial Certificate 550 is in the ENGLISH language and has the same meaning in other languages with the same 550 number

Kathy Sue Wertz
Kathy Sue Wertz
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES APRIL 07, 2018

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This Assignee Acceptance 660 is in the ENGLISH language and has the same meaning in other languages with the same 660 number.

FORM 660

ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE: **DOW GLOBAL TECHNOLOGIES LLC**
2040 Dow Center
Midland, Michigan 48674
United States of America

hereby accept the ASSIGNMENT which is dated: August 13, 2014

From, the ASSIGNOR: **THE DOW CHEMICAL COMPANY**
2030 Dow Center
Midland, Michigan 48674
United States of America

of the invention entitled:

CAPSULE SHELLS COMPRISING AN ESTERIFIED CELLULOSE ETHER

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

Signed at Midland, Michigan 48674, USA

this 14 day of August, 20 14

DOW GLOBAL TECHNOLOGIES LLC

By: Susan M Zerull
SUSAN M. ZERULL
Authorized Representative

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA)
 STATE OF MICHIGAN) SS
 County/Parish of Midland)

On this 14 day of August, 20 14, personally appeared before me, **SUSAN M. ZERULL, Authorized Representative**, known or identified to me to be the individual described in and who executed the foregoing document and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said entity organized and existing under the laws of **the State of Delaware, United States of America**.

I further certify that said authority, dated **June 1, 2014**, is based on

A delegation of signature authority of said Limited Liability Company

which I have seen, appointing the said individual Authorized Representative with full power to sign the foregoing document on behalf of the said entity and that the purposes for which said document is granted are within the scope of the objects or activities of said entity.

SEAL

Kathy Sue Weritz
Kathy Sue Weritz
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES APRIL 07, 2018