



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 19, 2015

PTAS

STEPHANIE A. YONKER
1 DNA WAY
SOUTH SAN FRANCISCO, CA 94080-4990

503574405

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 11/18/2015

REEL/FRAME: 037069/0292
NUMBER OF PAGES: 12

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: P32464-US

ASSIGNOR:

SUN, LIPING L.

DOC DATE: 11/03/2015

ASSIGNOR:

CHEN, YVONNE MAN-YEE

DOC DATE: 11/04/2015

ASSIGNOR:

DENNIS, MARK S.

DOC DATE: 11/04/2015

ASSIGNOR:

EBENS, ALLEN J., JR.

DOC DATE: 11/05/2015

ASSIGNOR:

POLSON, ANDREW

DOC DATE: 11/16/2015

ASSIGNEE:

GENENTECH, INC.

1 DNA WAY

SOUTH SAN FRANCISCO, CALIFORNIA

94080-4990

APPLICATION NUMBER: 62088487

FILING DATE: 12/05/2014

PATENT NUMBER:

ISSUE DATE:

TITLE: ANTI-CD79B ANTIBODIES AND METHODS OF USE

ASSIGNMENT RECORDATION BRANCH

PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3621032

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
LIPING L. SUN	11/03/2015
YVONNE MAN-YEE CHEN	11/04/2015
MARK S. DENNIS	11/04/2015
ALLEN J. EBENS JR.	11/05/2015
ANDREW POLSON	11/16/2015
RECEIVING PARTY DATA	
Name:	GENENTECH, INC.
Street Address:	1 DNA WAY
City:	SOUTH SAN FRANCISCO
State/Country:	CALIFORNIA
Postal Code:	94080-4990
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	62088487
CORRESPONDENCE DATA	
Fax Number:	(650)952-9881
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	jones.deena@gene.com
Correspondent Name:	STEPHANIE A. YONKER
Address Line 1:	1 DNA WAY
Address Line 4:	SOUTH SAN FRANCISCO, CALIFORNIA 94080-4990
ATTORNEY DOCKET NUMBER:	P32464-US
NAME OF SUBMITTER:	STEPHANIE A. YONKER
SIGNATURE:	/Stephanie A. Yonker/
DATE SIGNED:	11/17/2015
Total Attachments: 10	
source=20151117093816#page1.tif	
source=20151117093816#page2.tif	

ASSIGNMENT

WHEREAS, Liping L. Sun, Yvonne Man-Yee Chen, Mark S. Dennis, Allen J. Ebens, Jr. and Andrew Polson (each an "ASSIGNOR"), have invented a new and useful invention in

ANTI-CD79B ANTIBODIES AND METHODS OF USE

for which an application Serial No. 62/088,487 (Docket No. P32464-US) for Letters Patent has been filed by them on December 05, 2014; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all applications filed and Letters Patents granted and issued therefor, or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

The undersigned ASSIGNOR(S) covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by the undersigned ASSIGNOR(S) includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance, all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by the undersigned ASSIGNOR(S) in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon the undersigned ASSIGNOR(S), their respective heirs, legal representatives and assigns.

The undersigned ASSIGNOR(S) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF I/we undersign as follows;

11/03/2015

Date

LIPING L. SUN

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: ~~US~~ CHINA L.S.

Date

Yvonne Man-Yee Chen

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Date

Mark S. Dennis

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Date

Allen J. Ebens, Jr.

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Date

Andrew Polson

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

ASSIGNMENT

WHEREAS, Liping L. Sun, Yvonne Man-Yee Chen, Mark S. Dennis, Allen J. Ebens, Jr. and Andrew Polson (each an "ASSIGNOR"), have invented a new and useful invention in

ANTI-CD79B ANTIBODIES AND METHODS OF USE

for which an application Serial No. 62/088,487 (Docket No. P32464-US) for Letters Patent has been filed by them on December 05, 2014; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all applications filed and Letters Patents granted and issued therefor, or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

The undersigned ASSIGNOR(S) covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by the undersigned ASSIGNOR(S) includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance, all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by the undersigned ASSIGNOR(S) in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon the undersigned ASSIGNOR(S), their respective heirs, legal representatives and assigns.

The undersigned ASSIGNOR(S) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF I/we undersign as follows;

LIPING L. SUN

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Yvonne Chen

11/4/15

Yvonne Man-Yee Chen

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Mark S. Dennis

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Allen J. Ebers, Jr.

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Andrew Polson

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

ASSIGNMENT

WHEREAS, Liping L. Sun, Yvonne Man-Yee Chen, Mark S. Dennis, Allen J. Ebens, Jr. and Andrew Polson (each an "ASSIGNOR"), have invented a new and useful invention in

ANTI-CD79B ANTIBODIES AND METHODS OF USE

for which an application Serial No. 62/088,487 (Docket No. P32464-US) for Letters Patent has been filed by them on December 05, 2014; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all applications filed and Letters Patents granted and issued therefor, or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

The undersigned ASSIGNOR(S) covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by the undersigned ASSIGNOR(S) includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance, all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by the undersigned ASSIGNOR(S) in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon the undersigned ASSIGNOR(S), their respective heirs, legal representatives and assigns.

The undersigned ASSIGNOR(S) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF I/we undersign as follows;

LIPING L. SUN

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Yvonne Man-Yee Chen

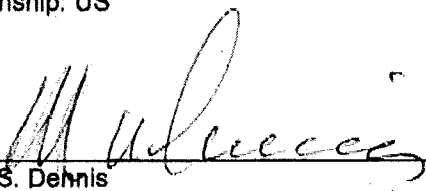
Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US


Mark S. Dennis

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Allen J. Ebens, Jr.

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Andrew Polson

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

ASSIGNMENT

WHEREAS, Liping L. Sun, Yvonne Man-Yee Chen, Mark S. Dennis, Allen J. Ebens, Jr. and Andrew Polson (each an "ASSIGNOR"), have invented a new and useful invention in

ANTI-CD79B ANTIBODIES AND METHODS OF USE

for which an application Serial No. 62/088,487 (Docket No. P32464-US) for Letters Patent has been filed by them on December 05, 2014; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all applications filed and Letters Patents granted and issued therefor, or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

The undersigned ASSIGNOR(S) covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by the undersigned ASSIGNOR(S) includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance, all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by the undersigned ASSIGNOR(S) in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon the undersigned ASSIGNOR(S), their respective heirs, legal representatives and assigns.

The undersigned ASSIGNOR(S) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF I/we undersign as follows; 18

LIPING L. SUN

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Yvonne Man-Yee Chen

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Mark S. Dennis

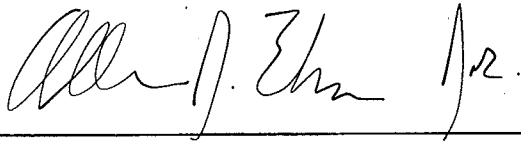
Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US



Nov 5, 2015

Allen J. Ebens, Jr.

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Andrew Polson

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

ASSIGNMENT

WHEREAS, Liping L. Sun, Yvonne Man-Yee Chen, Mark S. Dennis, Allen J. Ebens, Jr. and Andrew Polson (each an "ASSIGNOR"), have invented a new and useful invention in

ANTI-CD79B ANTIBODIES AND METHODS OF USE

for which an application Serial No. 62/088,487 (Docket No. P32464-US) for Letters Patent has been filed by them on December 05, 2014; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all applications filed and Letters Patents granted and issued therefor, or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

The undersigned ASSIGNOR(S) covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by the undersigned ASSIGNOR(S) includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance, all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by the undersigned ASSIGNOR(S) in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon the undersigned ASSIGNOR(S), their respective heirs, legal representatives and assigns.

The undersigned ASSIGNOR(S) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF I/we undersign as follows; 18

LIPING L. SUN

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Yvonne Man-Yee Chen

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Mark S. Dennis

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Allen J. Ebens, Jr.

Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

Andrew Polson

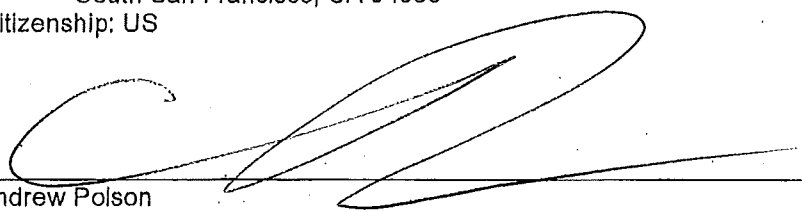
Date

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US



11/16/2015