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August 16, 2017

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APPLICATION NUMBER: 62/440,448
FILING DATE: *December 30, 2016*

THE COUNTRY CODE AND NUMBER OF YOUR PRIORITY APPLICATION, TO BE USED FOR FILING ABROAD UNDER THE PARIS CONVENTION, IS US62/440,448



Certified by

Nichelle K. Lee

Under Secretary of Commerce
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AUTOMOTIVE LAMINATED GLAZING WITH ENHANCED SPECTRAL PROPERTIES USING BAND ABSORPTION DYES

Field of Invention

The present invention relates generally to the field of laminated glazing using optical narrow band absorption dyes.

Abstract

The present invention provides for a laminate that utilizes the ability of a narrow band absorbing dyes to absorb selective wavelengths of light by identifying a color target and tuning to that target. Working with just glass compositions, coatings, interlayers and films, all of which act as broad band filters, it is difficult to fine tune the spectral response of a laminate. Narrow band absorbing dyes are used to selectively tune the spectral response to achieve targeted performance in the UV, visible and IR ranges of the spectrum.

Background of the invention

The various glass compositions, interlayers, films and coatings, used to improve solar control, reduce weight and add other features in laminated glass, may shift visible color, the white point, towards a less desirable aesthetic. This is because these materials act as optical broad band filters. As such, they typically are active over a broad range with a low slope leading to less than desired response especially in the transition areas.

Limited options exist for controlling and adjusting the spectral response. Working with just the glass compositions, coatings, interlayers and films it is difficult to fine tune the spectral response of a laminate within a narrow band of the spectrum. The final result is often a compromise between performance, aesthetics and regulatory compliance. As can be appreciated, means for fine tuning of the spectral response would enhance both performance and aesthetics.

Description of drawings

Figure 1 is a cross section of a typical laminate;

Figure 2 is a cross section of the laminate of the present invention with film.

Guide to the numbers

- 1 #1 Surface
- 2 #2 Surface
- 3 #3 Surface
- 4 #4 Surface
- 5 Glass
- 6 Interlayer
- 7 Film

Detailed description of the invention

The present invention refers to a laminate which uses narrow band absorbers to fine tune and adjust the spectral response of the laminate.

Narrow band absorbers, with absorption bands as narrow as 10 nm, filter out light with low visible color even at high optical densities. Some examples of the spectral response are shown in Charts 1 - 4. These narrow band absorption dyes are used to create selective wavelength filters. These filters are used in LASERs, camera lenses, eye glasses and in security applications. The present invention may combine multiple absorbers to customize the shape of the absorption. Narrow band absorbers can also be used in conjunction with broad band absorbing dyes to create sharp cut-off, long pass filters.

Referring to FIG. 1, it is shown a laminated glass comprised of an assembly of at least two glass layers **5** which are bonded together using plastic layers **6**.

In one embodiment of the present invention, as shown in FIG. 2, the plastic layer **6** can also include a film layer **7**. A film **7** is defined to include but is not limited to: polyester (PET), polymer dispersed liquid crystal (PDLC), suspended particle device (SPD), coated solar control film, electro-chromic film (EC) or a tinted film.

Narrow band absorbers are available in forms that can be mixed with solvents or water and that can be dispersed within plastics. Multiple means for application of this type of bands can be applied by spray or any other suitable means known in the art, of a water or solvent based carrier to the glass **5** or plastic layers **6**.

Alternately, the dye can be mixed in with the plastic **6** prior to forming of the plastic layer. In this manner, the narrow band absorbers can be applied, in any combination, as a coating to any of the glass or plastic surfaces (**1, 2, 3, or 4**) or dispersed in the plastic layers. The dyes can be incorporated such as to cover the entire area of the laminate or a selective portion.

Multiple applications cross section can be applied for the present invention, such as for automotive glazings is a windshield. In such applications, a combination of narrow band and broad band absorbing dyes can be configured to filter or more accurately *attenuate visible light* in multiple or single combinations of spectral bands.

This alone or in combination with technologies such as glass coatings, films, interlayers and glass compositions constitutes a novel optical filter. The filter is comprised of any number of dyes applied to any combination of surfaces of the glass and plastic surface and/or dispersed in any combination of the plastic layers.

Highly thermally stable, narrow band, *visible light absorbing* dyes can be used to selectively tune color in automotive glazing. Narrow band absorbers have been of interest for some time due the high exciton diffusion coefficient properties and the very specific tunable wavelength absorption, some with characteristics as low as 10 nm at half-mast and some with characteristics as wide as 100 nm at half-mast. In this particular instance, the present invention may use the narrow wavelength absorptions as a method of selectively tuning color without compromising total visible light (Tvis) into the vehicle.

The laminate of the present invention utilizes the ability of the narrow band absorber to absorb selective wavelengths of light by identifying a color target and tuning to that target. At the same time this allows more total visible light to enter through the total glazing than with a broad band filter. This provides more flexibility to use more absorbers at different wavelengths and/or to mix these absorbers with broad band absorbers to attain our final desired effect and create an optical filter. For instance,

In applications where tuning color is particularly difficult, as when the selected components of the laminate have a function driven “lock” on a certain range of bandwidths, tuning can become very difficult if not impossible with broad band absorbers. Variable light transmission products like SPD, PDLC, or EC often exhibit these properties. Using a lot of the available visible spectrum and coming close to the total allowable Tvis color correction or tuning colors to specific client requests can become impossible.

In example, a variable transmission film has a functional “Lock” on 450 nm to 525 nm causing the final product to be a very bold blue. With a narrow band absorber placed at 475 nm with a very narrow peak in the range of 30 nm at half-max, that peak could absorb blue light and shift the apparent or observed color to the orange side of the spectrum, all the while still fitting within the tight specifications of total Tvis.

The secondary effect of this is an apparent white point shift in the vision of the observer, giving rise to natural color and hue enhancements that can also be targeted or selectively tuned if so desired. For instance, the front glazing windshield of fleet vehicles could be enhanced to more readily detect orange and red safety signs or systems in certain lighting conditions such as dusk or dawn.

Another application for this type of absorber is solar performance, or additional solar performance. The volume of energy in the visible spectrum peaks near 500 nm. Using a narrow band absorption with a 500 nm peak will significantly reduce the total solar load (Tsol) and allow the secondary use of other technologies such as silver coatings or films without sacrificing total TVIS. Using a narrow band absorber that showed both a main peak at 600 nm

and a secondary peak at 350 nm would help create a virtual notch filter that could be filled in by a broad band absorber or by additional technologies that could be reflective or absorbing in nature.

Advantages

Improved aesthetics (color);
Ability to enhance colors;
Improved solar performance;
Increase visible light without increasing solar load;
Reduce glare.

Examples

A windshield including a narrow band absorbing dye, having a bandwidth in the 550 nm range, spray coated on surface **2** of the interlayer to improve solar performance and shift the color.

A windshield including a narrow band absorbing dye, having a bandwidth in the 380 - 420 nm range is dispersed in the interlayer as the interlayer is extruded to protect SPD/PDLC films from UV and near UV.

A windshield including a narrow band absorbing dye, having a bandwidth in the 780 - 820 nm range, spray coated onto surface **2** of the glass to improve solar performance.

A windshield including a narrow band absorbing dye having peaks at both 450 and 600 nm is spray coated onto surface **2** of the glass to shift the white point.

The forms of the invention shown and described in this specification represent illustrative preferred embodiments and it is understood that various changes may be made without departing from the spirit of the invention as defined in the following claimed subject matter.

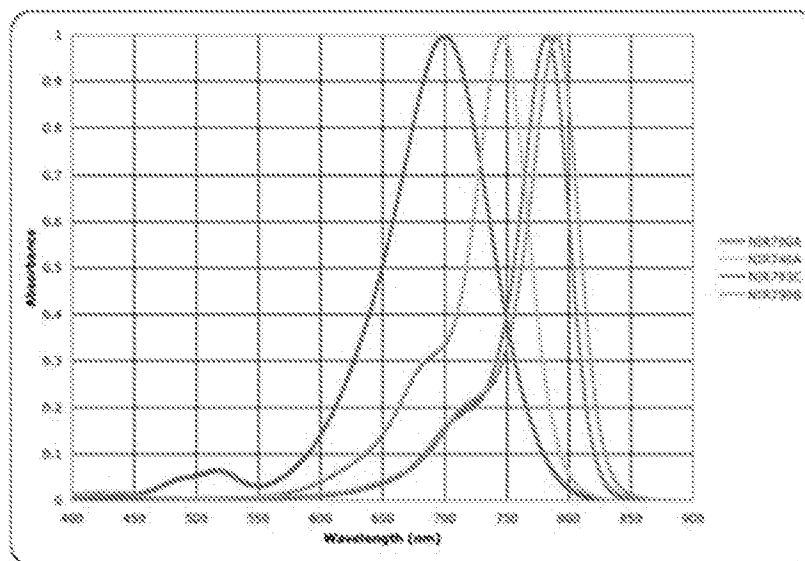
References

U.S. application Ser. No. 13/029,997, filed Feb. 17, 2011, titled EYEWEAR WITH CHROMA ENHANCEMENT which claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Patent Application No. 61/425,707, filed Dec. 21, 2010, titled EYEWEAR AND LENSES WITH CHROMA ENHANCING FILTER, and U.S. Provisional Patent Application No. 61/324,706, filed Apr. 15, 2010, titled EYEWEAR AND LENSES WITH CHROMA ENHANCING FILTER.

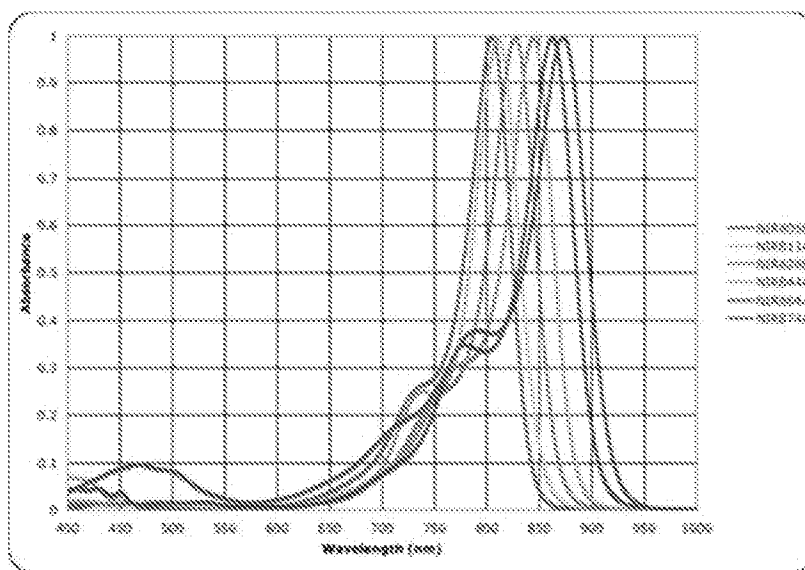
Claims:

1. A laminate comprising:
 - at least two glass layers,
 - at least one plastic layer,
 - at least one narrow band absorbing dye,said plastic layer positioned between major surfaces of said glass layers and serving to bond said glass layers to each other,
said narrow band absorbing dye applied to at least a portion of the vision area of the laminate.
2. The laminate of claim 1 further comprising said narrow band absorbing dye applied to at least one of the glass layer surfaces.
3. The laminate of claim 1 further comprising said narrow band absorbing dye applied to at least one of the glass layer surfaces adjacent to a said plastic layer surface.
4. The laminate of claim 1 further comprising said narrow band absorbing dye applied to at least one of the plastic layer surfaces.
5. The laminate of claim 1 further comprising said narrow band absorbing dye dispersed through at least a portion of at least one plastic layer.
6. The laminate of claim 1 further comprising at least one plastic layer comprising a film layer positioned between the major surfaces of two thermo-plastic layers.
7. The laminate of claim 6 further comprising a film layer which is a PET plastic.
8. The laminate of claim 6 further comprising a film layer which is a substantially plastic performance film.
9. The laminate of claim 1 further comprising a narrow band absorbing dye having a chemical composition of

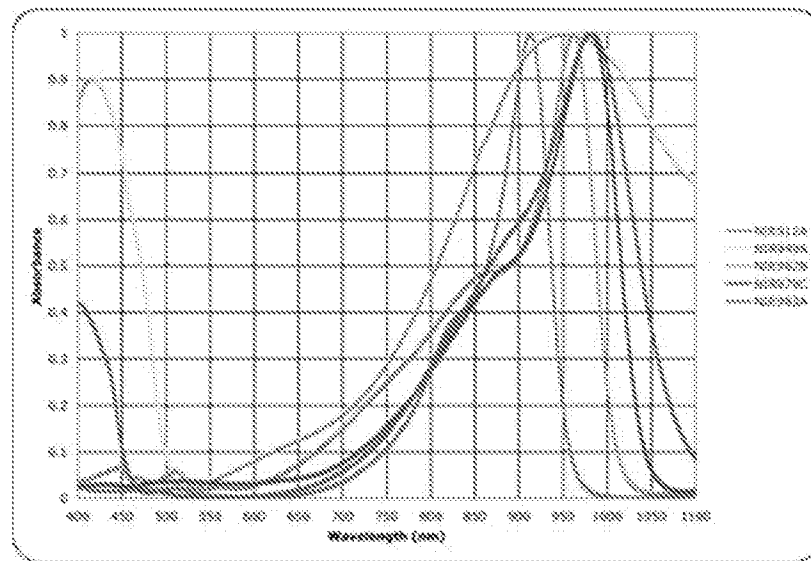
10. The laminate of claim 1 further comprising a narrow band absorbing dye having a band width of 150 nm.
11. The laminate of claim 1 further comprising a narrow band absorbing dye having a band width of 100 nm.
12. The laminate of claim 1 further comprising a narrow band dye absorbing having a band width of 50 nm.
13. The laminate of claim 1 further comprising at least one narrow band absorbing dye a bandwidth substantially in the IR range.
14. The laminate of claim 1 further comprising at least one narrow band absorbing dye having a bandwidth substantially in the visible range.
15. The laminate of claim 1 further comprising at least one narrow band absorbing dye having a bandwidth substantially in the UV range.
16. The laminate of claim 1 further comprising a narrow band absorbing dye positioned in the camera field of view.



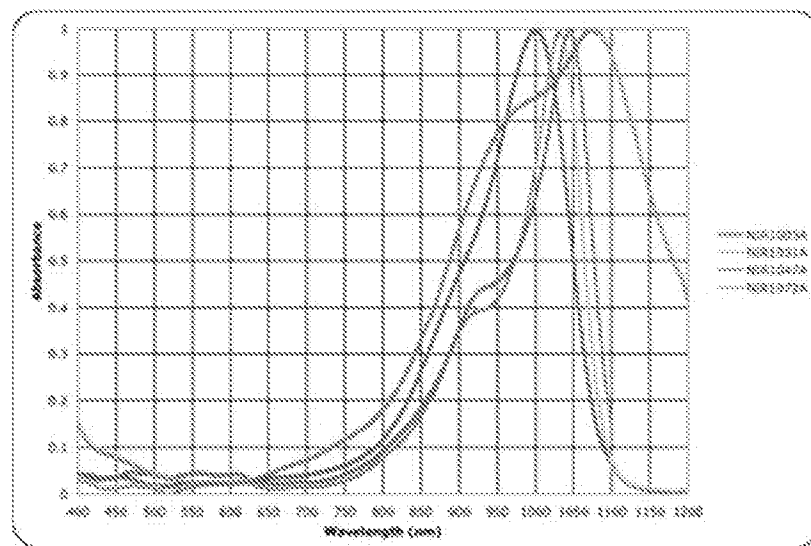
Graph 1



Graph 2



Graph 3



Graph 4

1/2

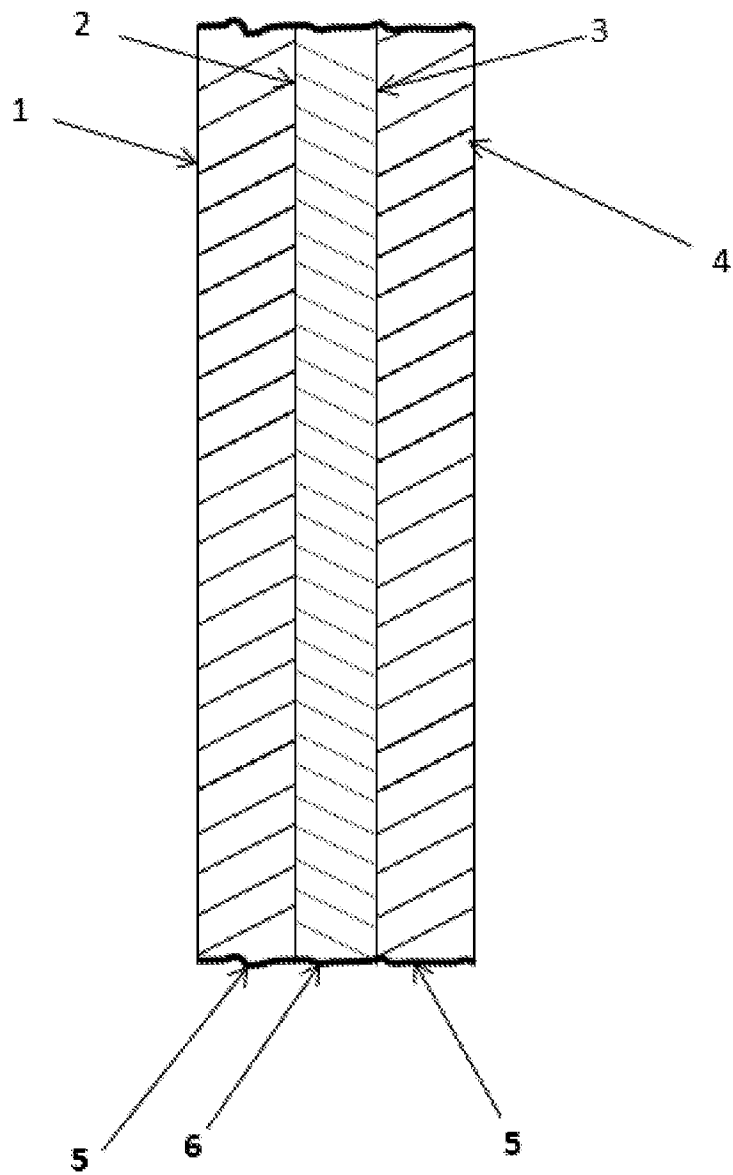


Fig. 1

2/2

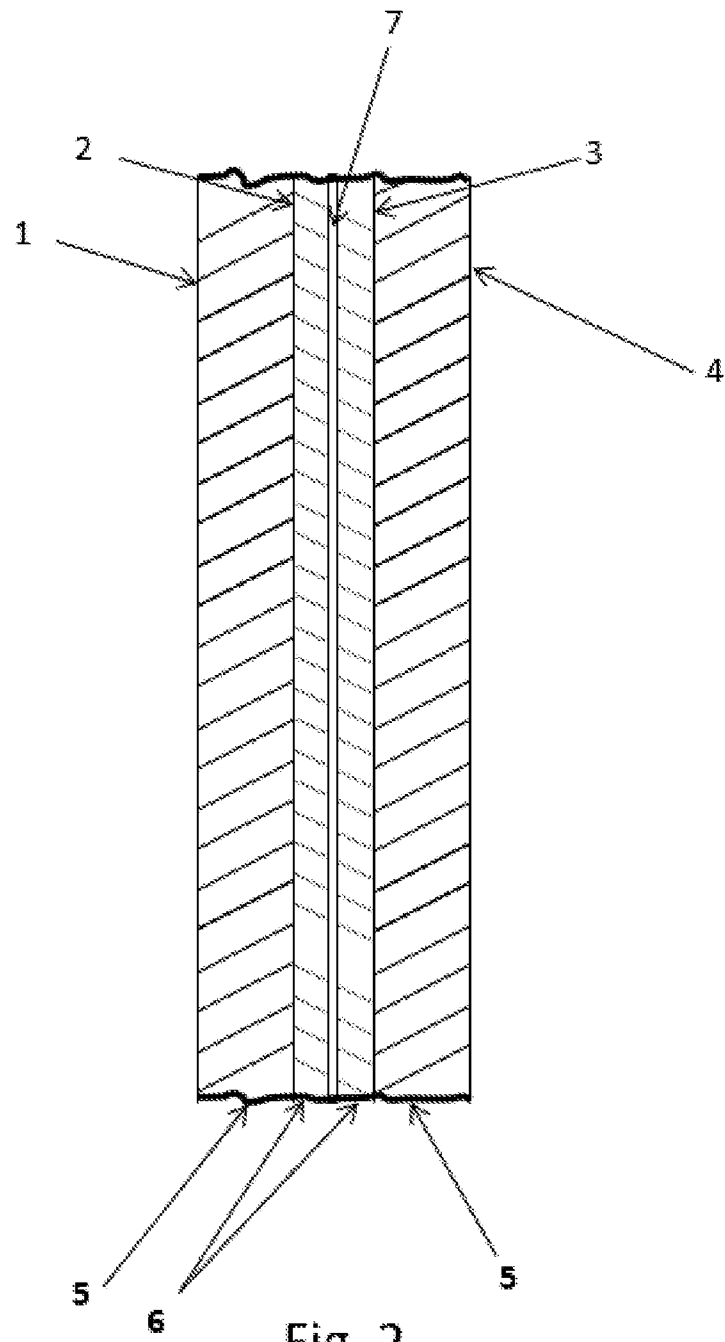


Fig. 2

Inventors

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- **Philip Denton Gordon**, United States, Guillermo Dansey 1846, Cercado de Lima, Lima, Perú.

Provisional Application for Patent Cover Sheet

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c)

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Philip

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All Inventors Must Be Listed – Additional Inventor Information blocks may be generated within this form by selecting the **Add** button.

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Title of Invention

AUTOMOTIVE LAMINATED GLAZING WITH ENHANCED SPECTRAL PROPERTIES USING BAND ABSORPTION DYES

Attorney Docket Number (if applicable)

1511.20300

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Customer Number

130961

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

☒ No.

Yes, the invention was made by an agency of the United States Government. The U.S. Government agency name is:

Yes, the invention was under a contract with an agency of the United States Government. The name of the U.S. Government agency and Government contract number are:

Entity Status

Applicant asserts small entity status under 37 CFR 1.27 or applicant certifies micro entity status under 37 CFR 1.29

- ☐ Applicant asserts small entity status under 37 CFR 1.27
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Signature

Please see 37 CFR 1.4(d) for the form of the signature.

Signature	Joseph L. Morales/		Date (YYYY-MM-DD)	2016-12-30
First Name	Joseph	Last Name	Morales	Registration Number (If appropriate)
				58835

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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	1511.20300
		Application Number	
Title of Invention	AUTOMOTIVE LAMINATED GLAZING WITH ENHANCED SPECTRAL PROPERTIES USING BAND ABSORPTION DYES		
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.			

Secrecy Order 37 CFR 5.2

☐	Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)
--------------------------	---

Inventor Information:

Inventor	1				Remove
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Inventor	2				Remove
Legal Name					
Prefix	Given Name	Middle Name	Family Name	Suffix	
	Philip	Denton	Gordon		
Residence Information (Select One) US Residency ● Non US Residency Active US Military Service					
City	Lima	Country of Residence ⁱ	PE		

Mailing Address of Inventor:

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Address 2	Cercado de Lima				
City	Lima	State/Province			
Postal Code		Country ⁱ	PE		

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	1511.20300
		Application Number	
Title of Invention	AUTOMOTIVE LAMINATED GLAZING WITH ENHANCED SPECTRAL PROPERTIES USING BAND ABSORPTION DYES		

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For further information see 37 CFR 1.33(a).

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Customer Number	130961		
Email Address	morales@moralesq.com	Add Email	Remove Email

Application Information:

Title of the Invention	AUTOMOTIVE LAMINATED GLAZING WITH ENHANCED SPECTRAL PROPERTIES USING BAND ABSORPTION DYES		
Attorney Docket Number	1511.20300	Small Entity Status Claimed	☐
Application Type	Provisional		
Subject Matter	Utility		
Total Number of Drawing Sheets (if any)		Suggested Figure for Publication (if any)	

Filing By Reference :

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country

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☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)

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Customer Number	130961		

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	1511.20300
		Application Number	
Title of Invention	AUTOMOTIVE LAMINATED GLAZING WITH ENHANCED SPECTRAL PROPERTIES USING BAND ABSORPTION DYES		

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This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the application number blank.

Prior Application Status		Remove	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
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Application Number	Country ⁱ	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)	Remove
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Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

☐ This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	1511.20300
		Application Number	
Title of Invention	AUTOMOTIVE LAMINATED GLAZING WITH ENHANCED SPECTRAL PROPERTIES USING BAND ABSORPTION DYES		

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Clear		
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	1511.20300
		Application Number	
Title of Invention	AUTOMOTIVE LAMINATED GLAZING WITH ENHANCED SPECTRAL PROPERTIES USING BAND ABSORPTION DYES		

Mailing Address Information For Applicant:			
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Address 2			
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	1511.20300
		Application Number	
Title of Invention	AUTOMOTIVE LAMINATED GLAZING WITH ENHANCED SPECTRAL PROPERTIES USING BAND ABSORPTION DYES		

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EFS ID:	27936290
Application Number:	62440448
International Application Number:	
Confirmation Number:	8816
Title of Invention:	AUTOMOTIVE LAMINATED GLAZING WITH ENHANCED SPECTRAL PROPERTIES USING BAND ABSORPTION DYES
First Named Inventor/Applicant Name:	Mario Arturo Mannheim Astete
Customer Number:	130961
Filer:	Joseph L. Morales
Filer Authorized By:	
Attorney Docket Number:	1511.20300
Receipt Date:	30-DEC-2016
Filing Date:	
Time Stamp:	10:10:50
Application Type:	Provisional

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1	Specification	11607.PDF	345925	no	13
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2	Provisional Cover Sheet (SB16)	11604.PDF	1477179	no	3
			c5a057fbc08d8ba0a23574300b90f6bfc9f42c3f		
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3	Application Data Sheet	11610.PDF	1819035	no	7
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4	Fee Worksheet (SB06)	fee-info.pdf	30070	no	2
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