

ASSIGNMENT OF APPLICATION (SOLE)

Atty Docket No. GLAD-423WO

Client Ref.: GL2016-804-2 WO

THIS ASSIGNMENT, by **Eric Verdin** (hereinafter referred to as the assignor), residing in Mill Valley, California, witnesseth:

WHEREAS, the said assignor has invented certain new and useful improvements in:

"Medium Chain Fatty Acid Esters of Beta-Hydroxybutyrate and Butanediol and Compositions and Methods for Using Same"

filed on June 2, 2017 as PCT International Application No. PCT/US2017/035826.

WHEREAS, **The J. David Gladstone Institutes** a testamentary trust established under the Will of J. David Gladstone, having its principal place of business at 1650 Owens Street, San Francisco, CA 94158 (hereinafter referred to as the assignee) is desirous of acquiring said assignor's right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, all of said assignor's right title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignor hereby covenants and agrees to and with said assignee, its successors, legal representatives and assigns, that said assignor will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND the said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, its successors, legal representatives and assigns.

Date

8/24/2017

Name of Inventor

Eric Verdin

Hereby accepted on behalf of the assignee

Signature

Joan V. McIntyre

Date

8/25/17

Joan V. McIntyre

Name (print)

Title and Company

VP, Intellectual Property and Legal Affairs; J David Gladstone Institutes

ASSIGNMENT

Atty Docket No.: GLAD-423WO
UC Case No.: SF2017-108-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. **John Newman**

hereby sells, assigns and transfers to ASSIGNEE, **The Regents of the University of California**, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"Medium Chain Fatty Acid Esters of Beta-Hydroxybutyrate and Butanediol and Compositions and Methods for Using Same"

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) _____ U.S. Application Serial No. _____, filed on _____
- (d) _____ U.S. Patent No.: _____, issued _____
- (e) X PCT Patent Application No. PCT/US2017/035826, filed on June 2, 2017

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

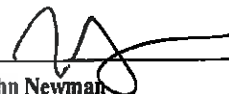
ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR(S) and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

1) 25 day of August, 2017



John Newman

Assignee hereby accepts this Assignment:

Tanya Feldman
Senior Patent Prosecution Analyst
Technology Management

Name Innovation, Technology & Alliances
UCSF



Date August 29, 2017

ASSIGNMENT

WHEREAS, I, Scott Ulrich, a citizen of the **United States**, whose mailing address is 649 Valley Road, Brooktondale, NY 14817, have invented certain new and useful improvements in: "**Medium Chain Fatty Acid Esters of Beta-Hydroxybutyrate and Butanediol and Compositions and Methods for Using Same**" filed on June 2, 2017 as International PCT Application No. PCT/US2017/035826;

AND WHEREAS, **Ithaca College**, with its principal place of business at **953 Danby Road, Ithaca, New York 14850**, desires to acquire the entire right, title and interest of the above Inventor in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, I, the said inventor, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Ithaca College**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Ithaca College**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I will communicate to the said **Ithaca College**, its successors, legal representatives and assigns, any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Ithaca College**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

Witness Signature Option

Scott Ulrich 7/6/17

Name and Date



First Witness Signature and Date

Wade Pickett 7/6/17

First Witness Printed Name

Wade Pickett 7/6/17

Second Witness Signature and Date

Tue Hao 7/6/2017

Second Witness Printed Name

Tue Hao

Notary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of _____, 20__

Signature

STATE OF _____

COUNTY OF _____ SS:

This ____ day of _____, 20__, before me personally came the above-named _____, to me personally know as the individual who executed the same of his/her own free will for the purposes therein set forth.

Notary Public

Commission expires on _____



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 10, 2017

PTAS

BOZICEVIC FIELD & FRANCIS LLP
201 REDWOOD SHORES PARKWAY, SUITE 200
REDWOOD CITY, CA 94065

504359096

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/09/2017

REEL/FRAME: 042311/0121
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: GLAD-423PRV

ASSIGNOR:
ULRICH, SCOTT

DOC DATE: 01/16/2017

ASSIGNEE:
ITHACA COLLEGE
953 DANBY ROAD
ITHACA, NEW YORK 14850

APPLICATION NUMBER: 62346975

FILING DATE: 06/07/2016

PATENT NUMBER:

ISSUE DATE:

TITLE: MEDIUM CHAIN FATTY ACID ESTERS OF BETA-HYDROXYBUTYRATE AND
BUTANEDIOL AND COMPOSITIONS AND METHODS FOR USING SAME

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4405788

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	SCOTT ULRICH	01/16/2017
RECEIVING PARTY DATA		
Name:	ITHACA COLLEGE	
Street Address:	953 DANBY ROAD	
City:	ITHACA	
State/Country:	NEW YORK	
Postal Code:	14850	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	62346975	
CORRESPONDENCE DATA		
Fax Number:	(650)327-3231	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	6503273400	
Email:	black@bozpat.com	
Correspondent Name:	BOZICEVIC FIELD & FRANCIS LLP	
Address Line 1:	201 REDWOOD SHORES PARKWAY, SUITE 200	
Address Line 4:	REDWOOD CITY, CALIFORNIA 94065	
ATTORNEY DOCKET NUMBER:	GLAD-423PRV	
NAME OF SUBMITTER:	MICHAEL B. RUBIN	
SIGNATURE:	/Michael B. Rubin, Reg. No. 61,231/	
DATE SIGNED:	05/09/2017	
Total Attachments: 2		
source=GLAD-423PRV_GL2016-804-1_exec_assignments_Inv_to_Ithaca#page1.tif		
source=GLAD-423PRV_GL2016-804-1_exec_assignments_Inv_to_Ithaca#page2.tif		

ASSIGNMENT

WHEREAS, I, **Scott Ulrich**, a citizen of the **United States**, whose mailing address is 649 Valley Road, Brooktondale, NY 14817, has invented certain new and useful improvements in: **"Medium Chain Fatty Acid Esters of Beta-Hydroxybutyrate and Butanediol and Compositions and Methods for Using Same"** filed on June 7, 2016 as U.S. Application Serial No. 62/346,975;

AND WHEREAS, **Ithaca College**, with its principal place of business at **953 Danby Road, Ithaca, New York 14850**, desires to acquire the entire right, title and interest of the above inventors in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, I, the said inventor, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Ithaca College**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Ithaca College**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I will communicate to the said **Ithaca College**, its successors, legal representatives and assigns, any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Ithaca College**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

Witness Signature Option



Name and Date

1-16-17



First Witness Signature and Date

Wade Pickett

First Witness Printed Name

Brenda Sciarra

1/16/17

Second Witness Signature and Date

Brenda Sciarra

Second Witness Printed Name

Notary Option

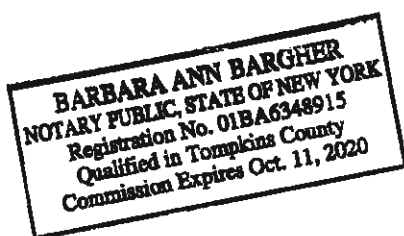
IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 16 day of
January, 2017.

Barbara Bargher

Signature

STATE OF New York
COUNTY OF Tompkins SS:

This 16 day of January, 2017, before me personally came the above-named
Scott Ulrich, to me personally known as the individual who executed the same of
his/her own free will for the purposes therein set forth.



Barbara Bargher

Notary Public

Commission expires on October 11, 2020



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 10, 2017

PTAS

BOZICEVIC FIELD & FRANCIS LLP
201 REDWOOD SHORES PARKWAY, SUITE 200
REDWOOD CITY, CA 94065

504359092

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 05/09/2017

REEL/FRAME: 042311/0090
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: GLAD-423PRV

ASSIGNOR:
VERDIN, ERIC

DOC DATE: 01/12/2017

ASSIGNEE:
THE J. DAVID GLADSTONE INSTITUTES
1650 OWENS STREET
SAN FRANCISCO, CALIFORNIA 94158

APPLICATION NUMBER: 62346975

FILING DATE: 06/07/2016

PATENT NUMBER:

ISSUE DATE:

TITLE: MEDIUM CHAIN FATTY ACID ESTERS OF BETA-HYDROXYBUTYRATE AND
BUTANEDIOL AND COMPOSITIONS AND METHODS FOR USING SAME

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4405784

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ERIC VERDIN	01/12/2017
RECEIVING PARTY DATA	
Name:	THE J. DAVID GLADSTONE INSTITUTES
Street Address:	1650 OWENS STREET
City:	SAN FRANCISCO
State/Country:	CALIFORNIA
Postal Code:	94158
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	62346975
CORRESPONDENCE DATA	
Fax Number:	(650)327-3231
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6503273400
Email:	black@bozpat.com
Correspondent Name:	BOZICEVIC FIELD & FRANCIS LLP
Address Line 1:	201 REDWOOD SHORES PARKWAY, SUITE 200
Address Line 4:	REDWOOD CITY, CALIFORNIA 94065
ATTORNEY DOCKET NUMBER:	GLAD-423PRV
NAME OF SUBMITTER:	MICHAEL B. RUBIN
SIGNATURE:	/Michael B. Rubin, Reg. No. 61,231/
DATE SIGNED:	05/09/2017
Total Attachments: 1	
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ASSIGNMENT OF APPLICATION (SOLE)

Atty Docket No. GLAD-423PRV

THIS ASSIGNMENT, by **Eric Verdin** (hereinafter referred to as the assignor), residing in Mill Valley, California, witnesseth:

WHEREAS, the said assignor has invented certain new and useful improvements in:

"Medium Chain Fatty Acid Esters of Beta-Hydroxybutyrate and Butanediol and Compositions and Methods for Using Same"

filed on June 7, 2016 as U.S. Application Serial No. 62/346,975.

WHEREAS, **The J. David Gladstone Institutes**, a testamentary trust established under the Will of J. David Gladstone, having its principal place of business at 1650 Owens Street, San Francisco, California 94158 (hereinafter referred to as the assignee) is desirous of acquiring said assignor's right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, for good and sufficient considerations, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, all of said assignor's right title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

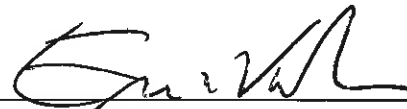
AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

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AND the said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the use and behalf of said assignee, its successors, legal representatives and assigns.

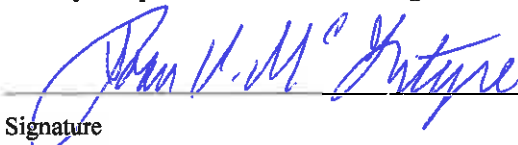
Date 1/12/2017

Name of Inventor



Eric Verdin

Hereby accepted on behalf of the assignee



Signature

Date 1/17/2017Name (print) Joan V. McIntyre

Date

Name (print)

VP, Intellectual Property and Legal Affairs J. David Gladstone Institutes

Title and Company



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 10, 2017

PTAS

BOZICEVIC FIELD & FRANCIS LLP
201 REDWOOD SHORES PARKWAY, SUITE 200
REDWOOD CITY, CA 94065

504359098

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 05/09/2017

REEL/FRAME: 042311/0172
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: GLAD-423PRV

ASSIGNOR:

NEWMAN, JOHN C.

DOC DATE: 05/01/2017

ASSIGNEE:

THE REGENTS OF THE UNIVERSITY OF
CALIFORNIA
1111 FRANKLIN STREET, TWELFTH FLOOR
OAKLAND, CALIFORNIA 94607-5200

APPLICATION NUMBER: 62346975

FILING DATE: 06/07/2016

PATENT NUMBER:

ISSUE DATE:

TITLE: MEDIUM CHAIN FATTY ACID ESTERS OF BETA-HYDROXYBUTYRATE AND
BUTANEDIOL AND COMPOSITIONS AND METHODS FOR USING SAME

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4405790

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOHN C. NEWMAN	05/01/2017
RECEIVING PARTY DATA	
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET, TWELFTH FLOOR
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	62346975
CORRESPONDENCE DATA	
Fax Number:	(650)327-3231
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6503273400
Email:	black@bozpat.com
Correspondent Name:	BOZICEVIC FIELD & FRANCIS LLP
Address Line 1:	201 REDWOOD SHORES PARKWAY, SUITE 200
Address Line 4:	REDWOOD CITY, CALIFORNIA 94065
ATTORNEY DOCKET NUMBER:	GLAD-423PRV
NAME OF SUBMITTER:	MICHAEL B. RUBIN
SIGNATURE:	/Michael B. Rubin, Reg. No. 61,231/
DATE SIGNED:	05/09/2017
Total Attachments: 1	
source=GLAD-423PRV_GL2016-804-1_exec_assignments_Inv_to_UCSF#page1.tif	

ASSIGNMENT

UCSF Case No.: SF2017-108-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. John C. Newman

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

MEDIUM CHAIN FATTY ACID ESTERS OF BETA-HYDROXYBUTYRATE AND BUTANEDIOL AND
COMPOSITIONS AND METHODS FOR USING SAME

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
(b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
(c) X U.S. provisional application serial no. 62/346,975 filed on June 7, 2016

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

1) 1 day of May, 20 17

Signature of Inventor(s)



Digitally signed by John Newman
Date: 2017.05.01 15:21:26 -07'00'

John C. Newman

Assignee hereby accepts this Assignment: _____

Date: _____

May 4, 2017


Tanya Feldman
Senior Patent Prosecution Analyst
Technology Management
Innovation, Technology & Alliances
UCSF