

ASSIGNMENT

This Assignment Agreement is entered into by and between

RITA RAMOS, c/o NOVARTIS PHARMA AG, POSTFACH, 4002 BASEL, CH, a citizen of PORTUGAL

(hereinafter "Inventor(s)"), and **NOVARTIS PHARMA AG, SWITZERLAND, ST. JOHANN, POSTFACH, FORUM 1, 4056 BASEL, CH, a company organized under the laws of SWITZERLAND.**

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, NOVARTIS PHARMA AG, SWITZERLAND is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to NOVARTIS PHARMA AG, SWITZERLAND and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

(1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

A FREE BASE OXAZINE DERIVATIVE IN CRYSTALLINE FORM

and filed in the European Patent Office on _____ N/A _____ and accorded Application Number / _____ N/A _____ and/or filed as a PCT International Application on _____ N/A _____ and accorded International Patent Application Number PCT/ _____ N/A _____;

(2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);

(3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other

applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);

(4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);

(5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;

(6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;

(7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;

(8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

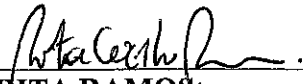
I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates,

and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

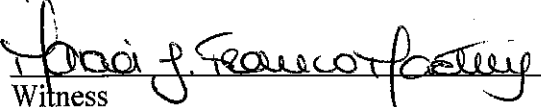
Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment is effective as from the earliest priority date as stated above.

Executed this 10 day of January, 2018.

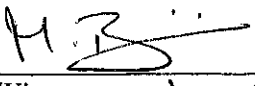


RITA RAMOS



Witness

Maria J. Franco Martins
Lichtstrasse 35
4056 Basel
Switzerland

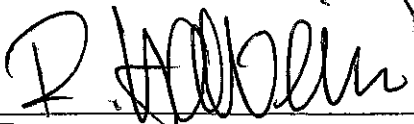


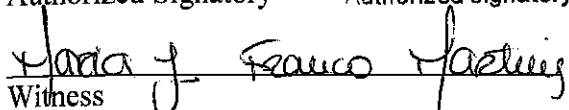
Witness Martina Bärger

Lichtstrasse 35
4056 Basel
Switzerland

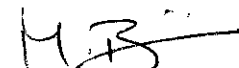
NOVARTIS PHARMA AG, SWITZERLAND

Executed this 11th day of January, 2018.

BY 
NAME: **Reto Halbeisen**
Authorized Signatory Authorized signatory

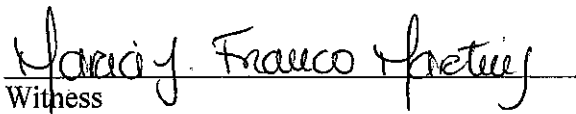

Witness

Maria J. Franco Martins
Lichtstrasse 35
4056 Basel
Switzerland


Witness Martina Bärger

Lichtstrasse 35
4056 Basel
Switzerland

BY 
NAME: **GIULIA CECCARELLI**
Authorized Signatory AUTHORIZED SIGNATORY


Witness

Maria J. Franco Martins
Lichtstrasse 35
4056 Basel
Switzerland


Witness Martina Bärger

Lichtstrasse 35
4056 Basel
Switzerland

ASSIGNMENT

This Assignment Agreement is entered into by and between **NOVARTIS PHARMA AG, SWITZERLAND**, ST. JOHANN, POSTFACH, FORUM 1, 4056 BASEL, CH, a company organized under the laws of SWITZERLAND, and **NOVARTIS AG**, a company incorporated in Switzerland whose address is Lichtstrasse 35, 4056 Basel, Switzerland.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, NOVARTIS PHARMA AG, Switzerland does hereby sell, assign and transfer to and NOVARTIS AG and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of its right, title and interest for all countries of the world in and to

(1) all inventions and discoveries described in the provisional or non-provisional patent application(s) entitled

A FREE BASE OXAZINE DERIVATIVE IN CRYSTALLINE FORM

and filed in the European Patent Office on N / A and accorded Application Number N / A and/or filed as a PCT International Application on N / A and accorded International Patent Application Number PCT/N / A ;

(2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);

(3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees, or on behalf or in the name(s) of the inventor(s) of said inventions and discoveries, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);

(4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);

(5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in

paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;

(6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;

(7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;

(8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by NOVARTIS PHARMA AG, Switzerland if this sale, assignment and transfer had not been made.

NOVARTIS PHARMA AG, Switzerland hereby authorizes ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

NOVARTIS PHARMA AG, Switzerland hereby covenants and agrees that it will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect.

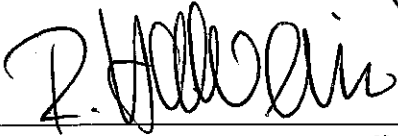
The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

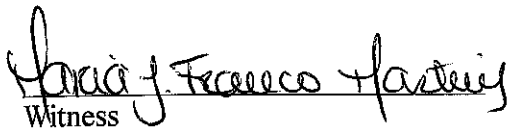
This Assignment shall be governed by the laws of Switzerland.

This Assignment is effective as from the earliest priority date as stated above.

NOVARTIS PHARMA AG, SWITZERLAND

Executed this 11th day of January, 2018.

BY 
NAME: **Reto Halbeisen**
Authorized Signatory Authorized signatory

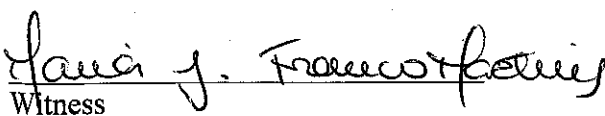

Witness

Maria J. Franco Martins
Lichtstrasse 35
4056 Basel
Switzerland

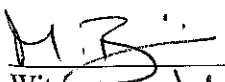

Witness Martina Bärtschi

Lichtstrasse 35
4056 Basel
Switzerland

BY 
NAME: **GIULIA CECCARELLI**
Authorized Signatory AUTHORIZED SIGNATORY


Witness

Maria J. Franco Martins
Lichtstrasse 35
4056 Basel
Switzerland


Witness Martina Bärtschi

Lichtstrasse 35
4056 Basel
Switzerland

NOVARTIS AG

Executed this 11th day of January, 20 18

BY

NAME:

Authorized Signatory

Maria J. Franco Martins
Witness

Maria J. Franco Martins

Lichtstrasse 35
4056 Basel
Switzerland

Witness

M.B.
Martina Bärger

Lichtstrasse 35
4056 Basel
Switzerland

BY

NAME:

Authorized Signatory

Elena S. Brugnera
Witness

Elena S. Brugnera

Authorized signatory

Maria J. Franco Martins

Lichtstrasse 35
4056 Basel
Switzerland

Witness

M.B.
Martina Bärger

Lichtstrasse 35
4056 Basel
Switzerland

For true copy:

Basel, 6th (sixth) June 2019 (two thousand and nineteen)

[Handwritten Signature]
Andrea Schmutz
Notarin

APOSTILLE

(Convention de la Haye du 5 octobre 1961)

1. Country Land Swiss Confederation, Canton of Basel-City
Schweizerische Eidgenossenschaft, Kanton Basel-Stadt

This public document
Diese öffentliche Urkunde

2. has been signed by ist unterschrieben von lic. iur. Andrea Schmutz

3. acting in the capacity of in seiner Eigenschaft als Notary Public

4. bears the stamp/seal of Sie ist versehen mit dem Stempel/Siegel des/der Schmutz Andrea

Certified / Bestätigt

5. at / in Basel 6. the / am 06.06.2019

7. by the durch das Legalisation Office of the Canton of Basel-City
Beglaubigungsbüro des Kantons Basel-Stadt

8. No. / Nr. 155274 tax / Taxe CHF 20.00

9. stamp/seal Stempel/Siegel

10. Signature Unterschrift Vanessa Böni Gonzalez

[Handwritten Signature]

Leg. Reg. 2019/ Nr. 1207

