

P-15118

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

DIRECCIÓN DE NUEVAS CREACIONES

E. S. D.

Re: Expediente No. **NC2018/0002487**

Solicitud de patente a nombre de **CPG TECHNOLOGIES LLC.**

Yo, CAROLINA DAZA MONTALVO identificada como aparece al pie de mi firma, domiciliada en la Carrera 11 No. 86-53, obrando como apoderada de la sociedad **CPG TECHNOLOGIES LLC.**, domiciliada en Texas, Estados Unidos de América, solicitante de la patente de la referencia, en respuesta al oficio No. **4227** notificado por medio electrónico el 10 de junio de 2019, relacionado con concepto técnico de fondo, atentamente me permito manifestar:

1. Capítulo Reivindicatorio

Como primera medida, el solicitante se permite manifestar a ese despacho que se reafirma en el capítulo reivindicatorio presentado el 24 de mayo de 2019, en respuesta al Oficio No. 342, notificado el 1 de marzo de 2019.

2. Nivel Inventivo

El señor examinador indica que las antiguas reivindicaciones 1, 2 y 11 carecen de nivel inventivo a la luz de la combinación de las enseñanzas descritas en el documento US 2014/252886 (D1) y el documento US 2010/305402 (D2).

En este sentido, volvemos sobre el particular que el artículo 18 de la Decisión 486 establece que *"Se considerará que una invención tiene nivel inventivo, si para una **persona del oficio normalmente versada en la materia técnica** correspondiente, esa invención no hubiese resultado obvia ni se hubiese derivado de manera evidente del estado de la técnica"*. (El subrayado es nuestro).

Lo anterior significa que el análisis de nivel inventivo **NO** es una comparación de los objetos de las anterioridades y la solicitud en estudio. El análisis de nivel inventivo **debe demostrar** la obviedad de la invención y establecer cómo ésta no contiene un salto cualitativo, que demuestre su altura inventiva, teniendo en cuenta que se hace **necesario demostrar** que la invención analizada carece de nivel inventivo y hubiese sido obvia para una persona versada en la materia.

De acuerdo con lo anterior, el solicitante desea manifestar respetuosamente que teniendo en cuenta las modificaciones introducidas en el capítulo reivindicatorio presentado el 24 de mayo de 2019, en respuesta al Oficio No. 342, se superan las objeciones realizadas, ya que **dichas características no se encuentran divulgadas en los documentos citados por el señor examinador.**

Como primera medida, se hace notar que la actual reivindicación 1 se encuentra dirigida en parte a: *"Un sistema de bobina magnética que tiene un núcleo con alta permeabilidad magnética, que comprende: una punta de guía de ondas superficiales guiadas (200a, 200b) con **una sola terminal de carga (T₁)** ..."*. Tal como puede establecer una persona del oficio normalmente versada en la materia, ninguna de estas características técnicas está presente en los documentos D1 y D2, citados por el examinador.

El examinador en su respuesta a los argumentos del solicitante hace referencia a que D1 incluye en una realización donde *"la sonda guía de onda polifásica solo posee un terminal de carga T1 y una conexión a tierra G"*. El solicitante difiere respetuosamente de la opinión del examinador por las siguientes razones:

En el párrafo [0166] de D1, se describe una pantalla a tierra como: *"20 cables radiales igualmente espaciados y de 200 pies de longitud cada uno"*. En el párrafo [0111] de D1 se indica que *"la sonda de guía de onda polifásica 200f incluye un terminal de carga T1 y **una pantalla a tierra G** que actúa como **un segundo terminal de carga**"*. Por lo tanto, esta parte de D1 proporciona *"un segundo terminal de carga"*, donde la forma del segundo terminal de carga es *"una pantalla a tierra"* o un conjunto de cables radiales. La figura 7F de D1 se reproduce a continuación como referencia:

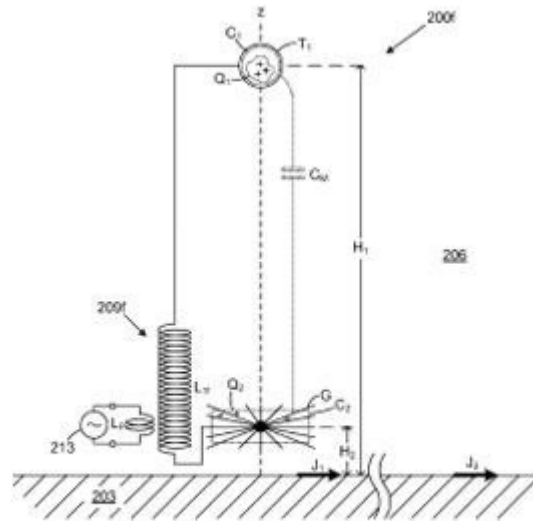


FIG. 7F

Como se puede observar, la pantalla a tierra no es la tierra, sino que es un terminal de carga montado a una altura H_2 y que tiene una estructura diferente. Como resultado, la figura 7F de D1 describe una realización que incluye **dos terminales de carga, donde uno de los terminales de carga es una estructura de pantalla**, por ejemplo, una pantalla de cables radiales igualmente espaciados.

Por lo tanto, el solicitante sostiene que la reivindicación 1 recita "una punta de guía de ondas superficiales guiadas (200a, 200b) con **una sola terminal de carga (T_1)**", que no se conoce ni se hace evidente en vista del arte previo citado.

El solicitante afirma además que las reivindicaciones proporcionan novedad y nivel inventivo teniendo en cuenta que los elementos de la reivindicación 1 recitan: "una red de alimentación (209) acoplada eléctricamente entre la única terminal de carga (T_1) y la fuente de excitación (212), la red de alimentación (209) proporciona un retardo de fase (Φ) que coincide con un ángulo de inclinación de onda (Ψ) asociado con el ángulo de incidencia de Brewster complejo ($\theta_{i,B}$)" que no se conoce, ni se hace obvio, en vista del arte previo citado.

El examinador afirma que "Es pertinente indicar que el documento D1 menciona que la fuente de excitación (213) se acopla a la bobina y por tanto, al terminal de carga T_1 que tiene conectado a través de una red de enlaces, una red de acoplamientos o una red de fasores, y teniendo en cuenta que para un técnico medianamente versado en la

materia es conocida que una red de fasores genera un retardo de fase y que la fuente de excitación sintetiza un frente de onda incidente en un ángulo de Brewster complejo, estos retardos de fase asociados con este ángulo de Brewster complejo, siendo anticipada esta característica por el documento D1". El solicitante difiere respetuosamente de la opinión del examinador por las siguientes razones:

El documento D1 **no describe** proporcionar un retraso de fase en absoluto. Además, D1 **no revela** una red de alimentación con conexiones definidas para proporcionar un retardo de ***fase específico (Φ) que coincida con un ángulo de inclinación de onda (Ψ)***, asociado con el ángulo de incidencia de Brewster complejo ($\theta_{i,B}$).

Incluso si se conociera que una red puede proporcionar un retraso de fase en general, no se sabe, ni es obvio, proporcionar "un retardo de fase (Φ) que coincida con un ángulo de inclinación de onda (Ψ) asociado con el ángulo de incidencia de Brewster complejo ($\theta_{i,B}$)", o para proporcionar esto utilizando la estructura reclamada de "una red de alimentación (209) acoplada eléctricamente entre la única terminal de carga (T_1) y la fuente de excitación (212)".

Por lo tanto, las características de la reivindicación 1, la cual recita "una red de alimentación (209) acoplada eléctricamente entre la única terminal de carga (T_1) y la fuente de excitación (212), la red de alimentación (209) proporciona un retardo de fase (Φ) que coincide con un ángulo de inclinación de onda (Ψ) asociado con el ángulo de incidencia de Brewster complejo ($\theta_{i,B}$)", no se conocen, ni se hacen obvias, en vista del arte previo citado como D1 y D2.

La actual reivindicación 11 es novedosa e inventiva por razones similares a las expuestas anteriormente con respecto a la actual reivindicación 1.

Con base en el análisis anterior, ni D1 ni D2, ya sea solos o en combinación, enseñan o sugieren: ***una punta de guía de ondas superficiales guiadas (200a, 200b) con una sola terminal de carga (T_1) configurada para generar un campo electromagnético cuando se excita por una fuente de excitación (212), en donde el campo electromagnético sintetiza un frente de onda incidente en un ángulo de incidencia de Brewster complejo ($\theta_{i,B}$) de un medio terrestre (203) para generar una onda superficial guiada que se desplaza a través del medio terrestre (203) (...) una red de alimentación (209) acoplada eléctricamente***

entre la única terminal de carga (T_1) y la fuente de excitación (212), la red de alimentación (209) proporciona un retardo de fase (Φ) que coincide con un ángulo de inclinación de onda (Ψ) asociado con el ángulo de incidencia de Brewster complejo ($\theta_{i,B}$)", como se encuentra reclamado en las actuales reivindicaciones 1 y 11.

Adicional a lo anterior, es deseo del solicitante indicar que:

Si bien entendemos y aceptamos que cada país es autónomo en la concesión o negación de los privilegios de patente, queremos resaltar el hecho que el análisis de los requisitos para el otorgamiento de esos derechos es prácticamente universal. La presente invención fue concedida por la Oficina de Patentes de los Estados Unidos bajo el número US 10,193,229 y la Oficina Internacional a través de su informe preliminar internacional emitido por la EPO, indica que las reivindicaciones con el mismo alcance son novedosas e inventivas sobre la técnica anterior. Se presenta como anexo al presente memorial copia de la Patente No. US 10,193,229 y copia del informe preliminar internacional emitido por la EPO de la solicitud PCT/US2016/047455.

De acuerdo con lo anterior, ni D1 ni D2 enseñan o sugieren la materia reclamada, como se describe en la presente invención. En vista de lo anterior, **las antiguas reivindicaciones 1 a 15 no son obvias y por lo tanto son inventivas sobre el estado del arte**. Por lo tanto, se le solicita respetuosamente al examinador que retire la objeción de nivel inventivo.

De esta manera, queda demostrado que los documentos D1 y D2 citados por su Despacho no pueden ser considerados como anterioridades válidas capaces de afectar el nivel inventivo de la solicitud, toda vez que estos documentos fallan en enseñar todas las características esenciales de la invención, y la manera como se solucionó el problema técnico en cuestión.

En este sentido, y siguiendo el Manual Andino de Patentes, la presente invención tal y como se encuentra definida en las reivindicaciones 1 a 15, tiene diferencias con el estado del arte e implicó un nivel inventivo durante su desarrollo, por lo cual debe ser considerada como novedosa e inventiva según las disposiciones de los artículos 16 y 18 de la Decisión 486.

En consecuencia, solicitamos a ese despacho pronunciarse en relación con todos los argumentos presentados en este memorial dando estricta aplicación al principio del debido proceso consagrado en el artículo 29 de la Constitución Nacional.

3. Anexos

- Copia de la Patente No. US 10,193,229.
- Copia del informe preliminar internacional emitido por la EPO de la solicitud PCT/US2016/047455.

Señor Director,



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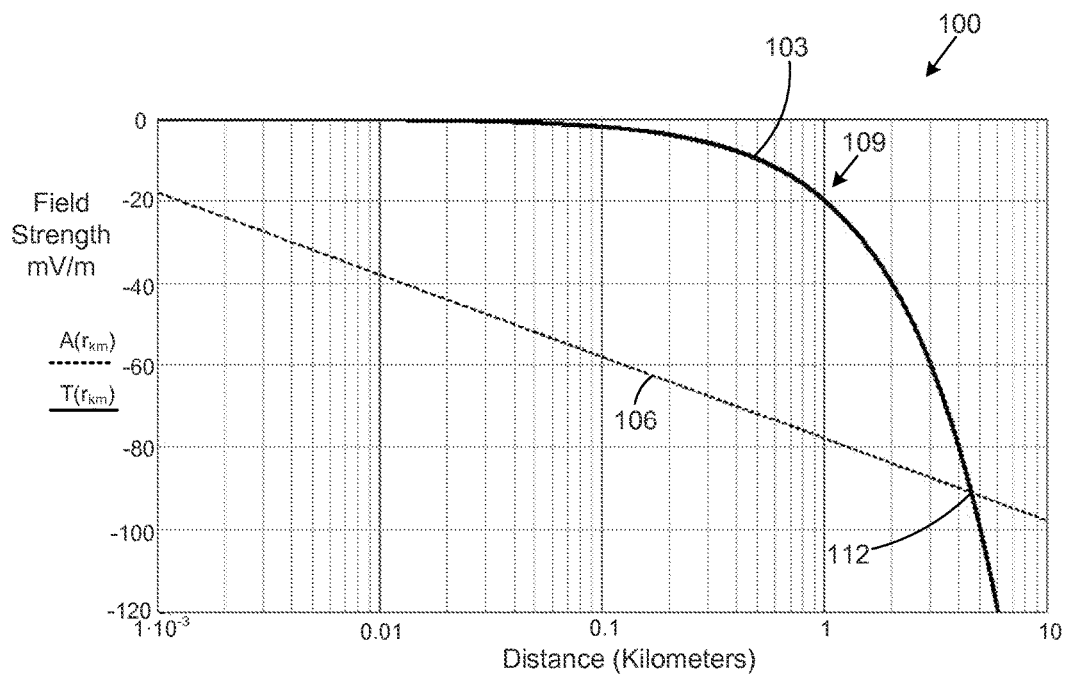


FIG. 1

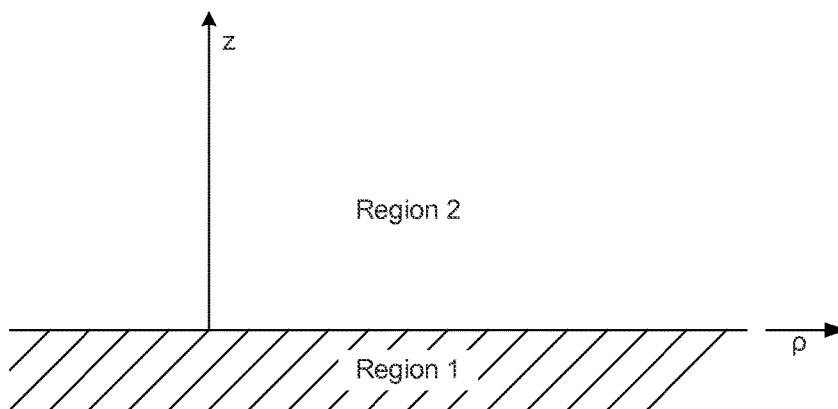


FIG. 2

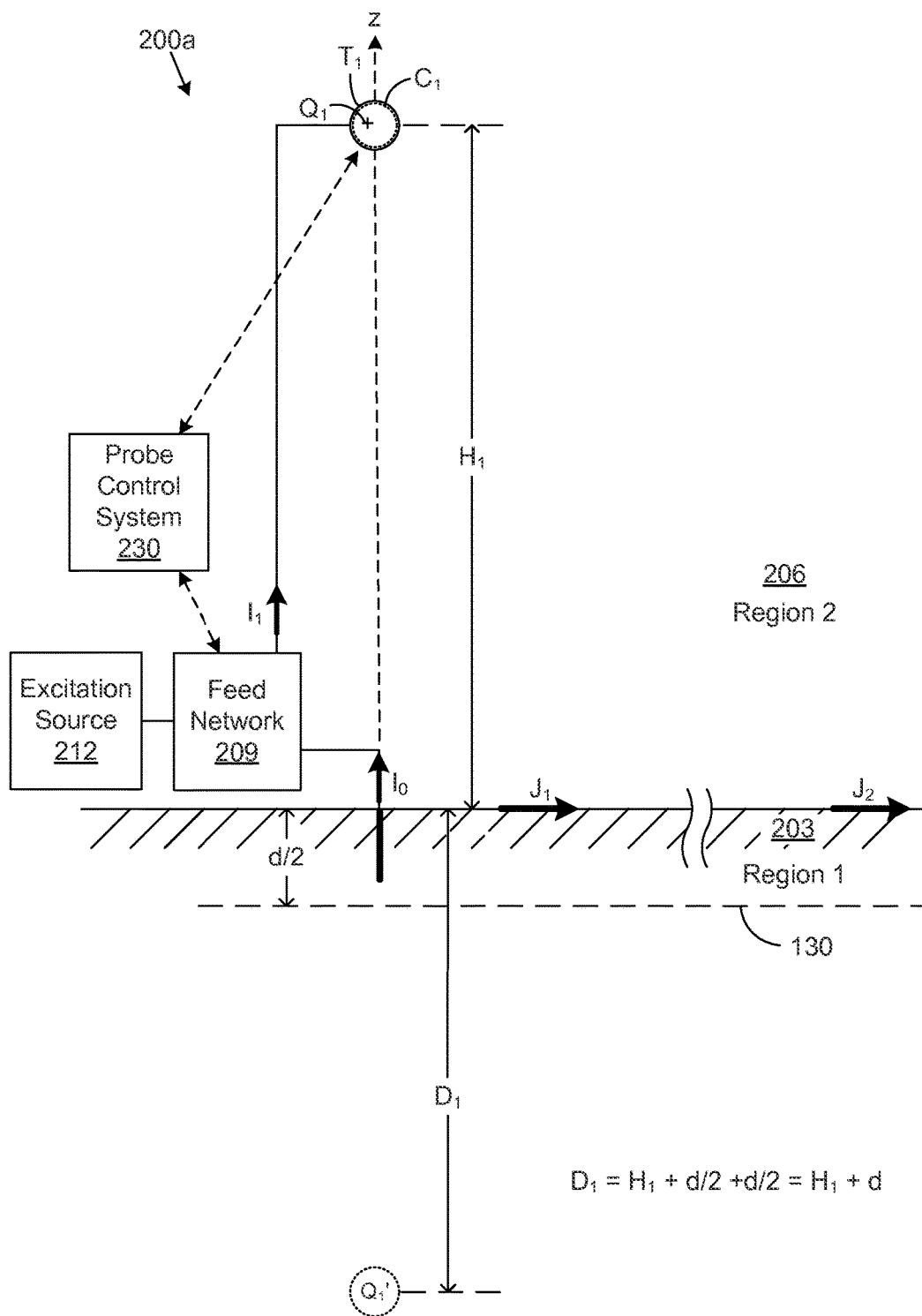


FIG. 3

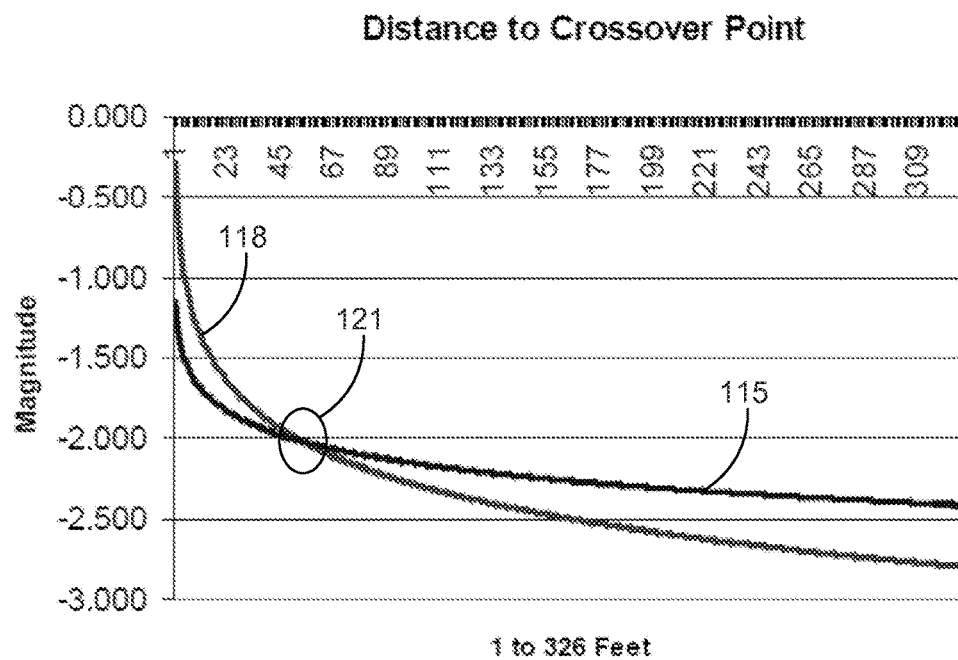


FIG. 4

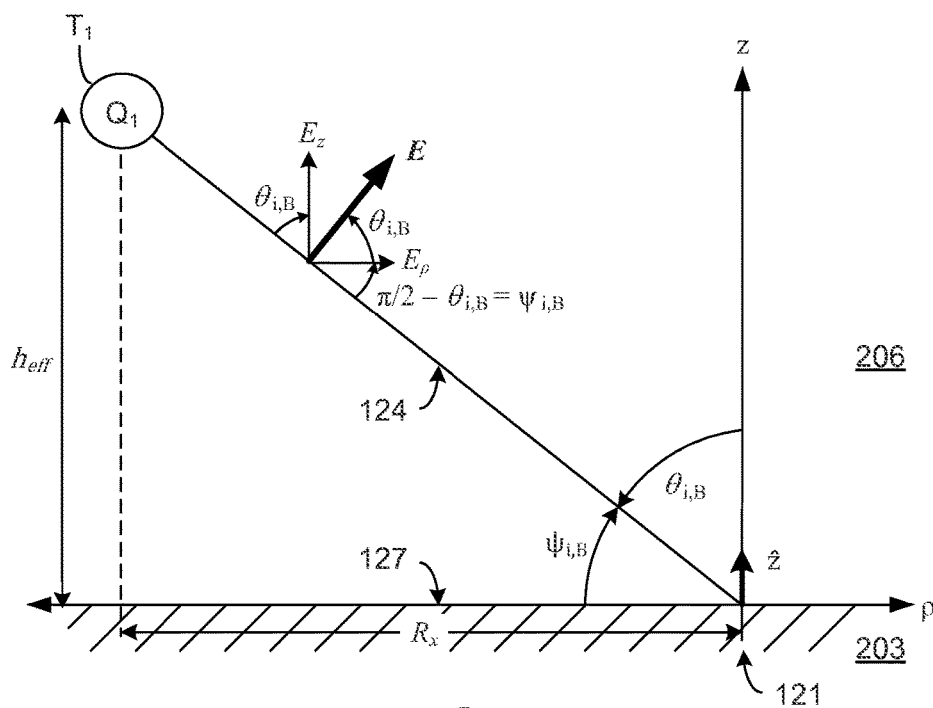
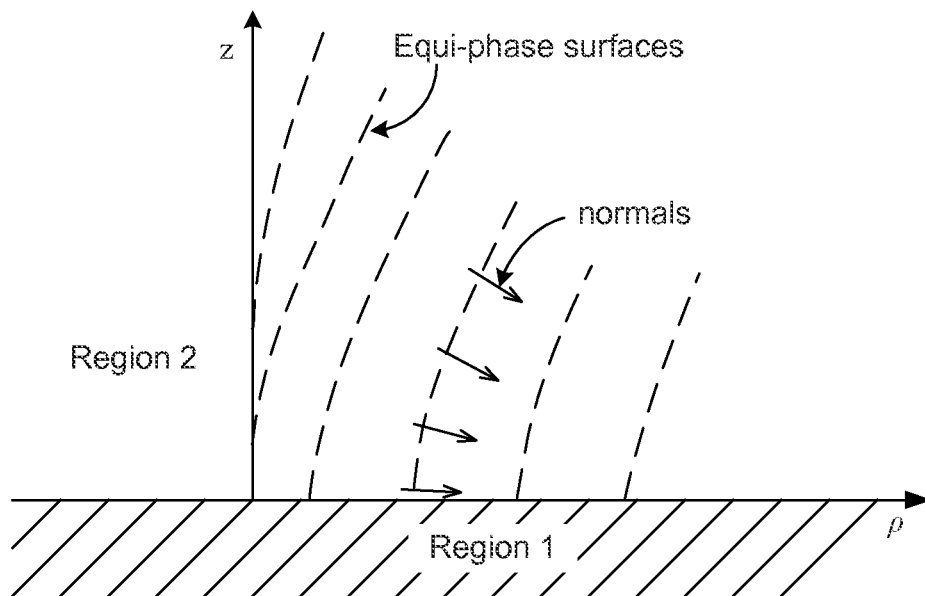
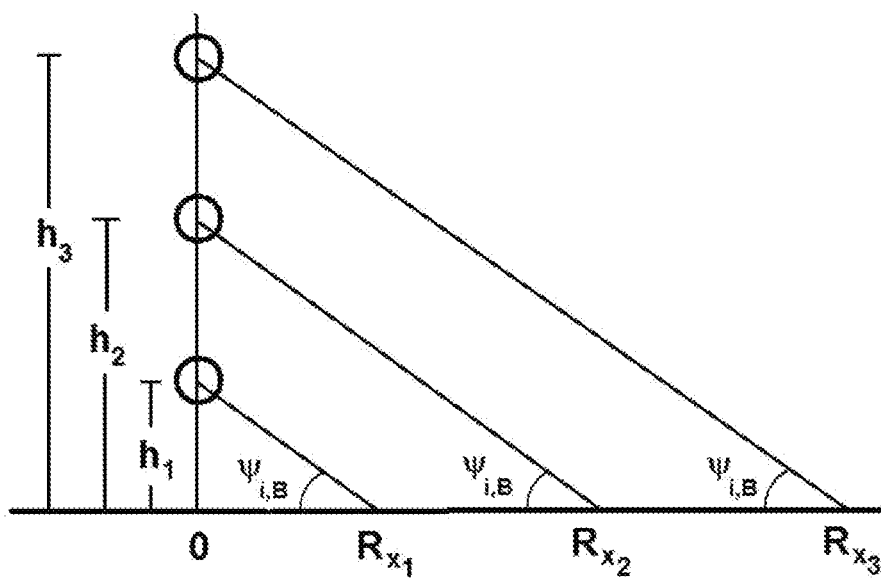


FIG. 5A

**FIG. 5B****FIG. 6**

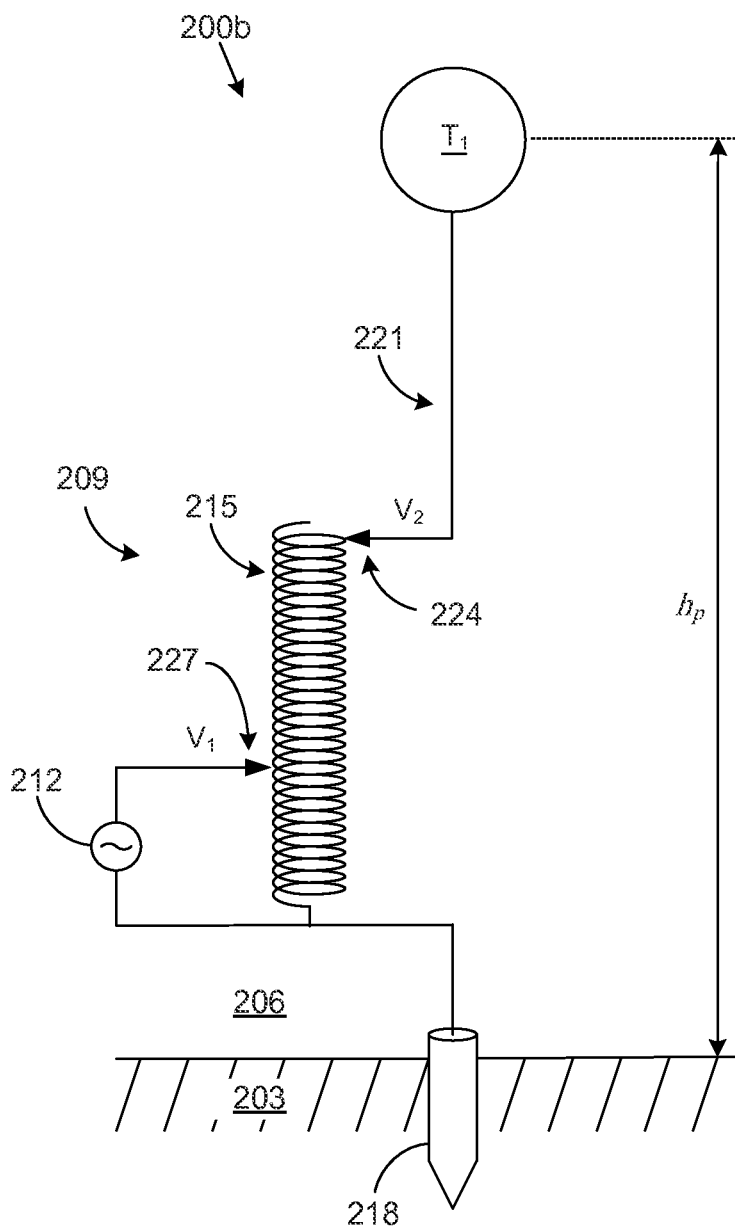


FIG. 7

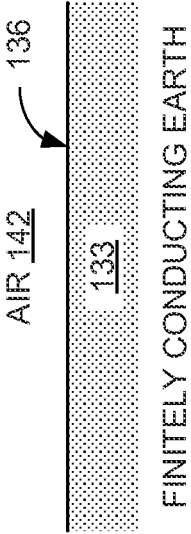


FIG. 8A

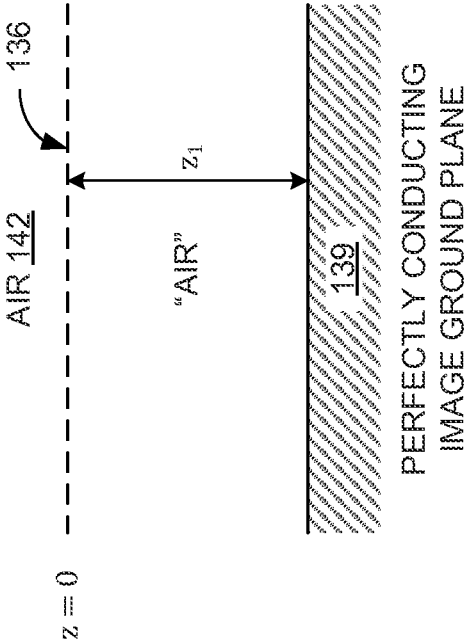


FIG. 8B

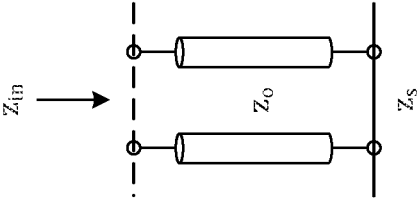


FIG. 8C

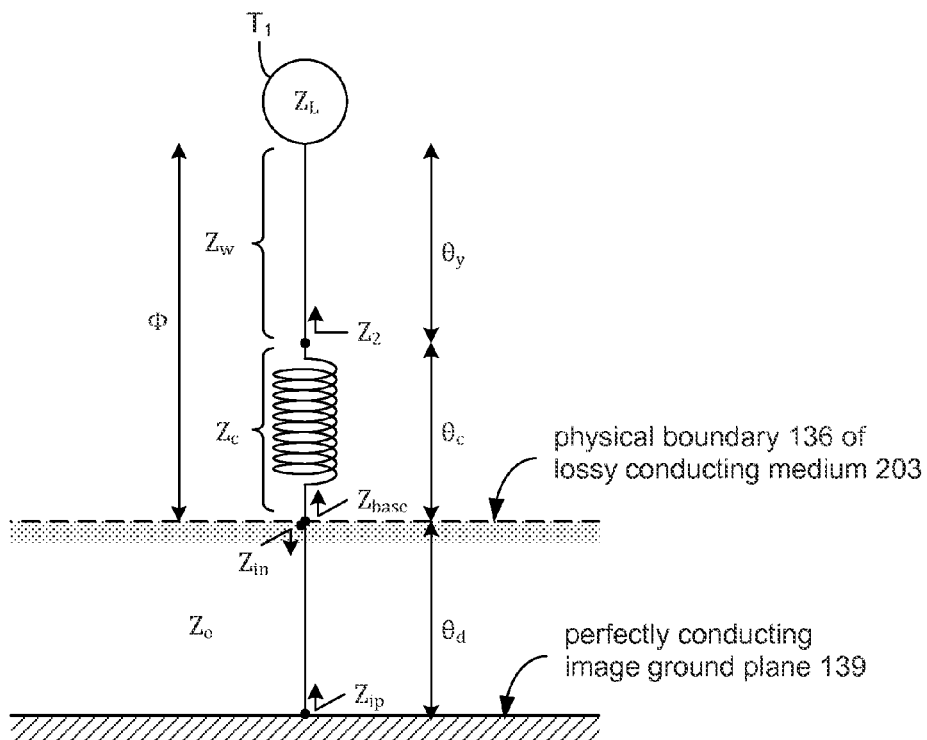


FIG. 9A

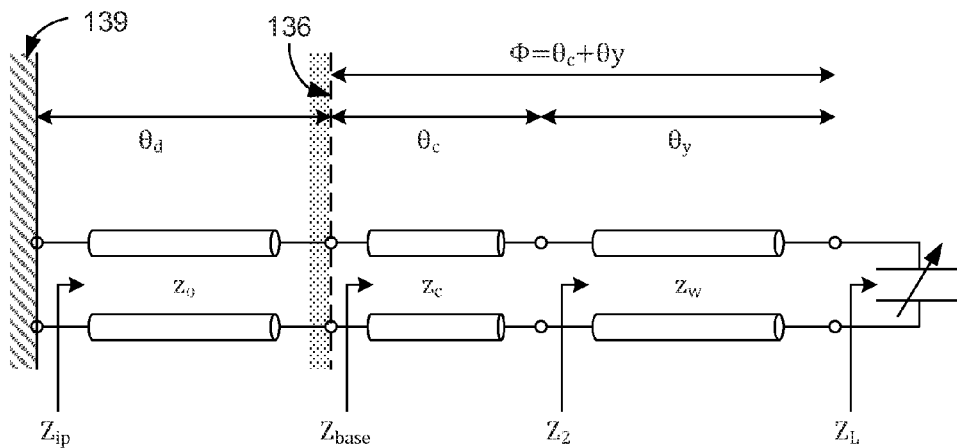
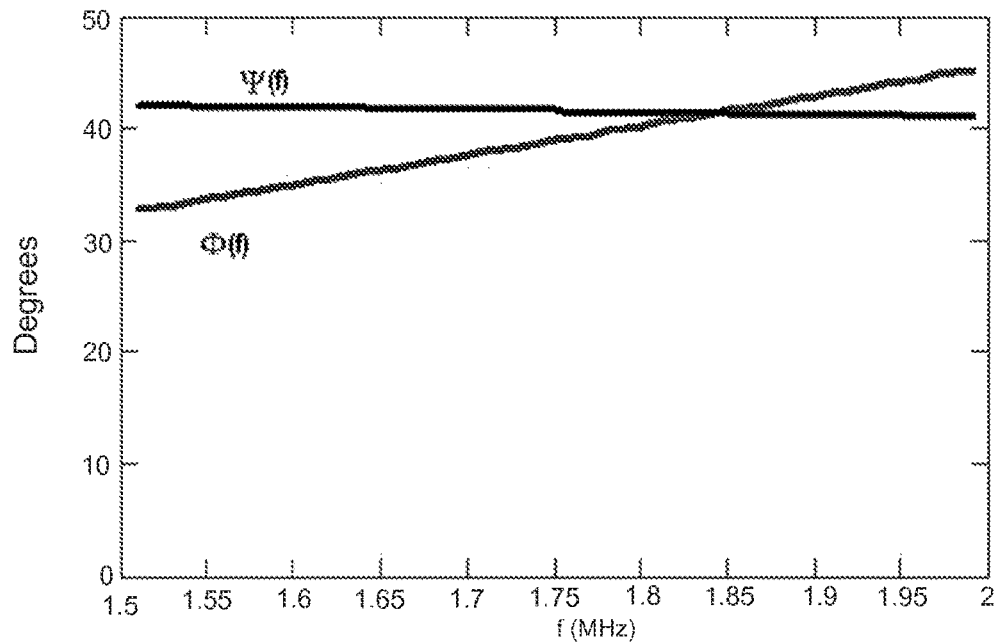
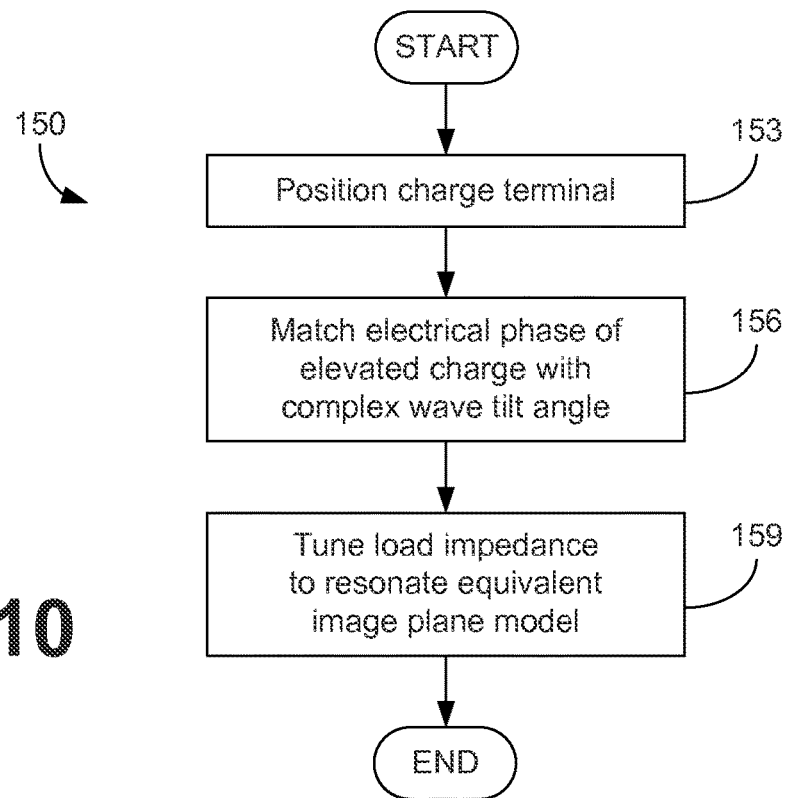


FIG. 9B

FIG. 10**FIG. 11**

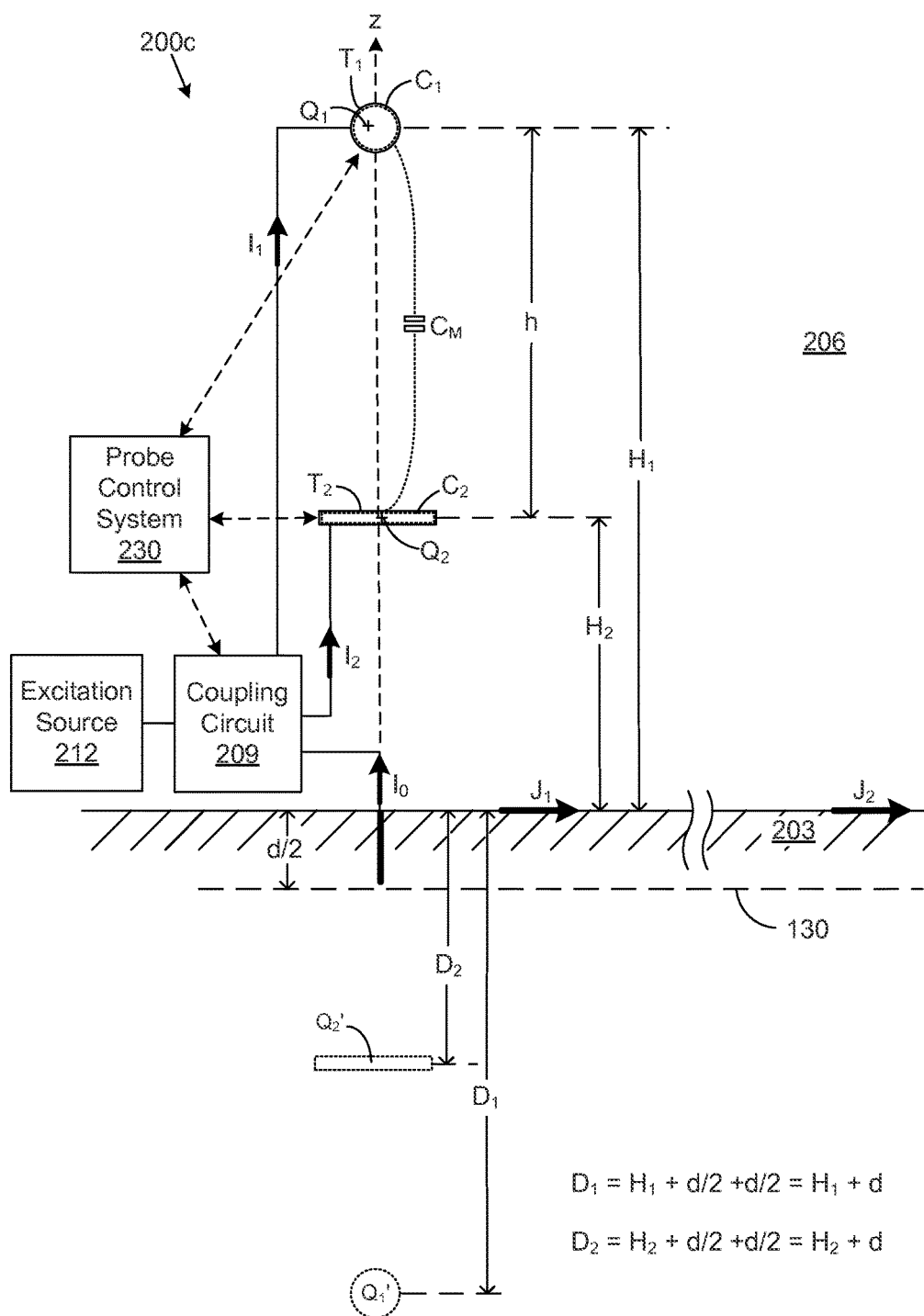


FIG. 12

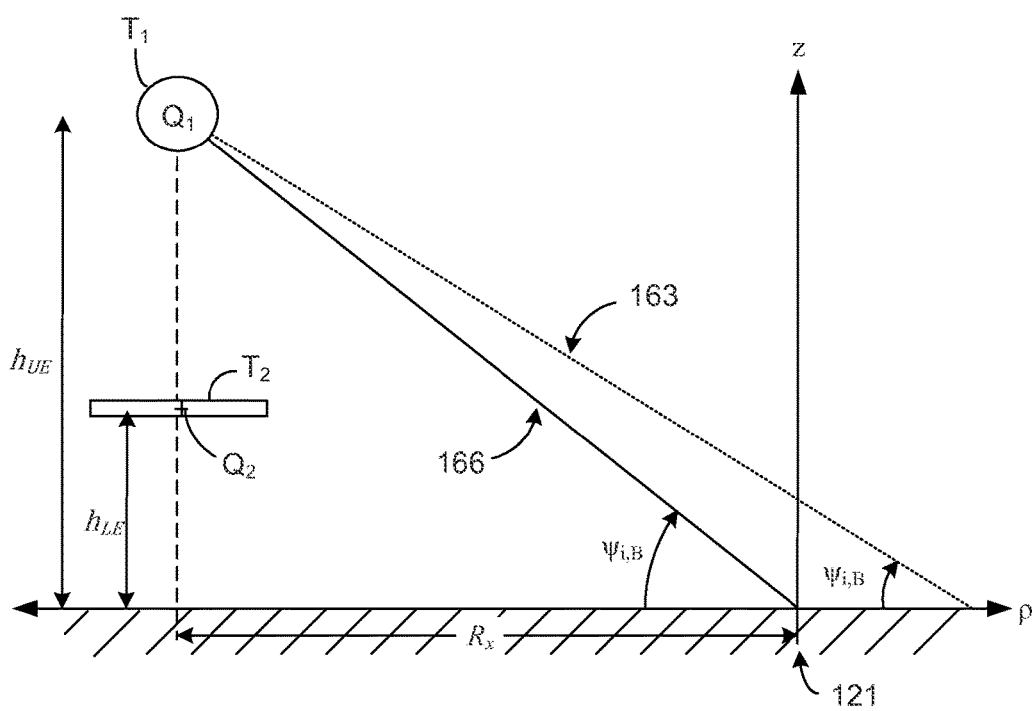


FIG. 13

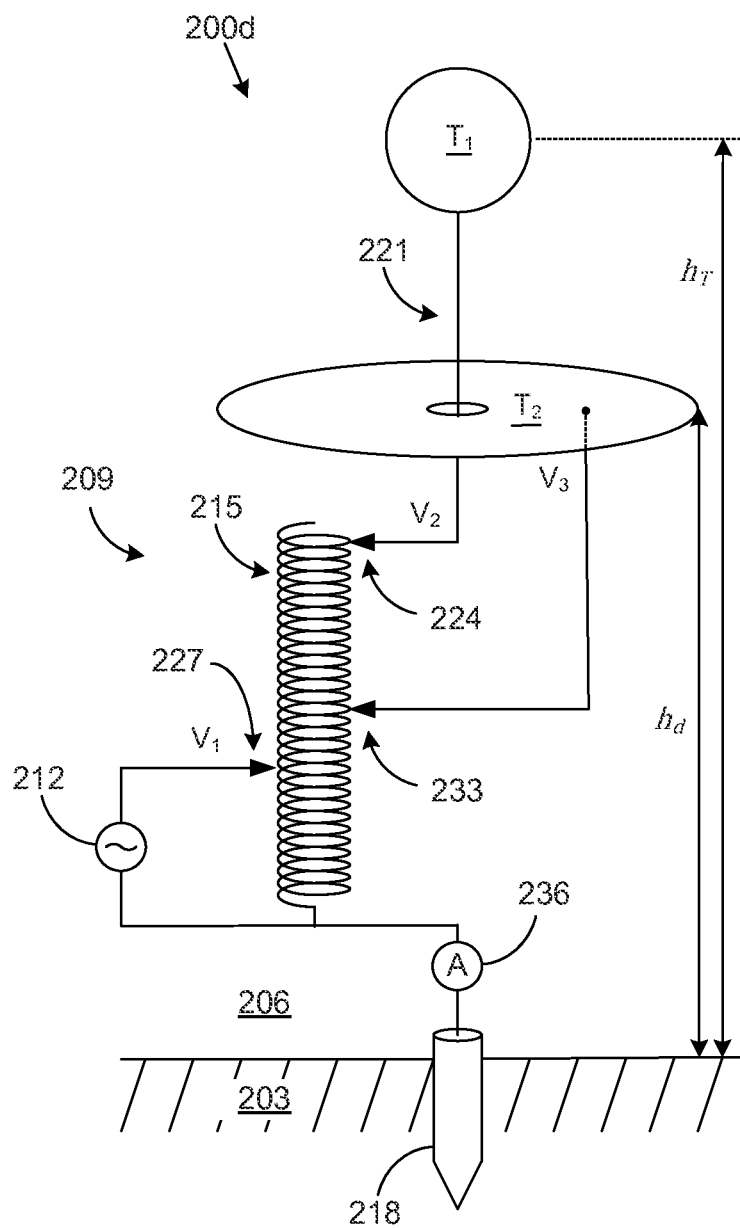


FIG. 14

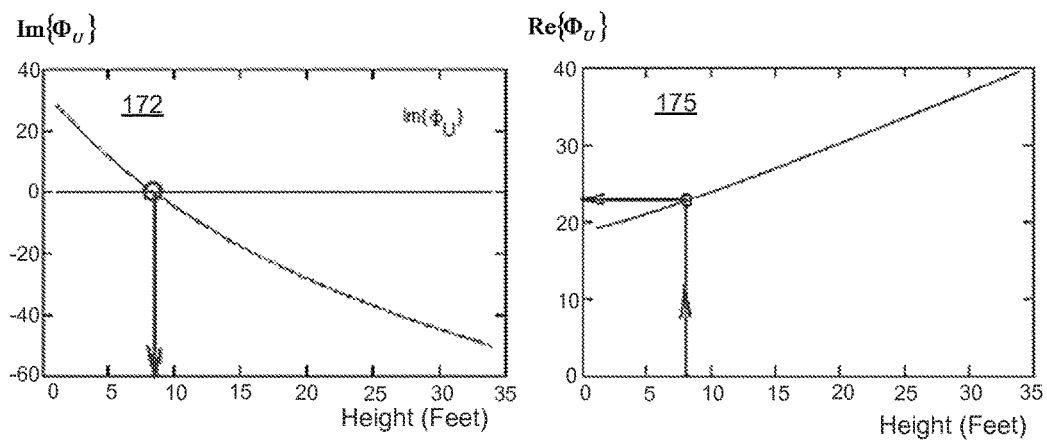


FIG. 15A

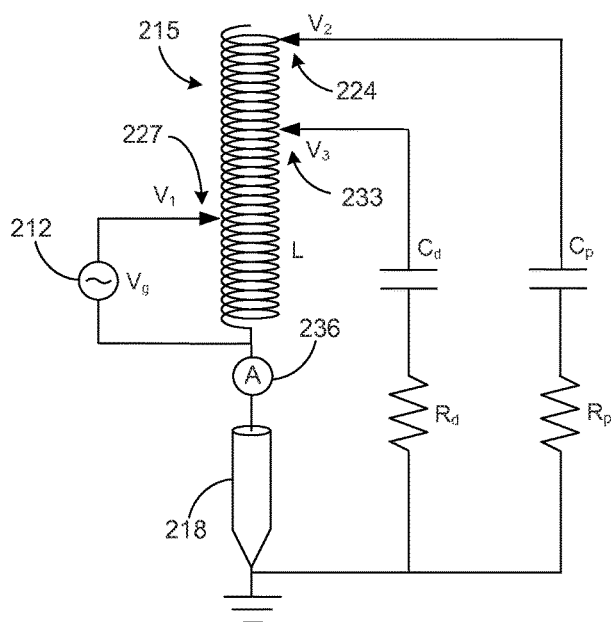
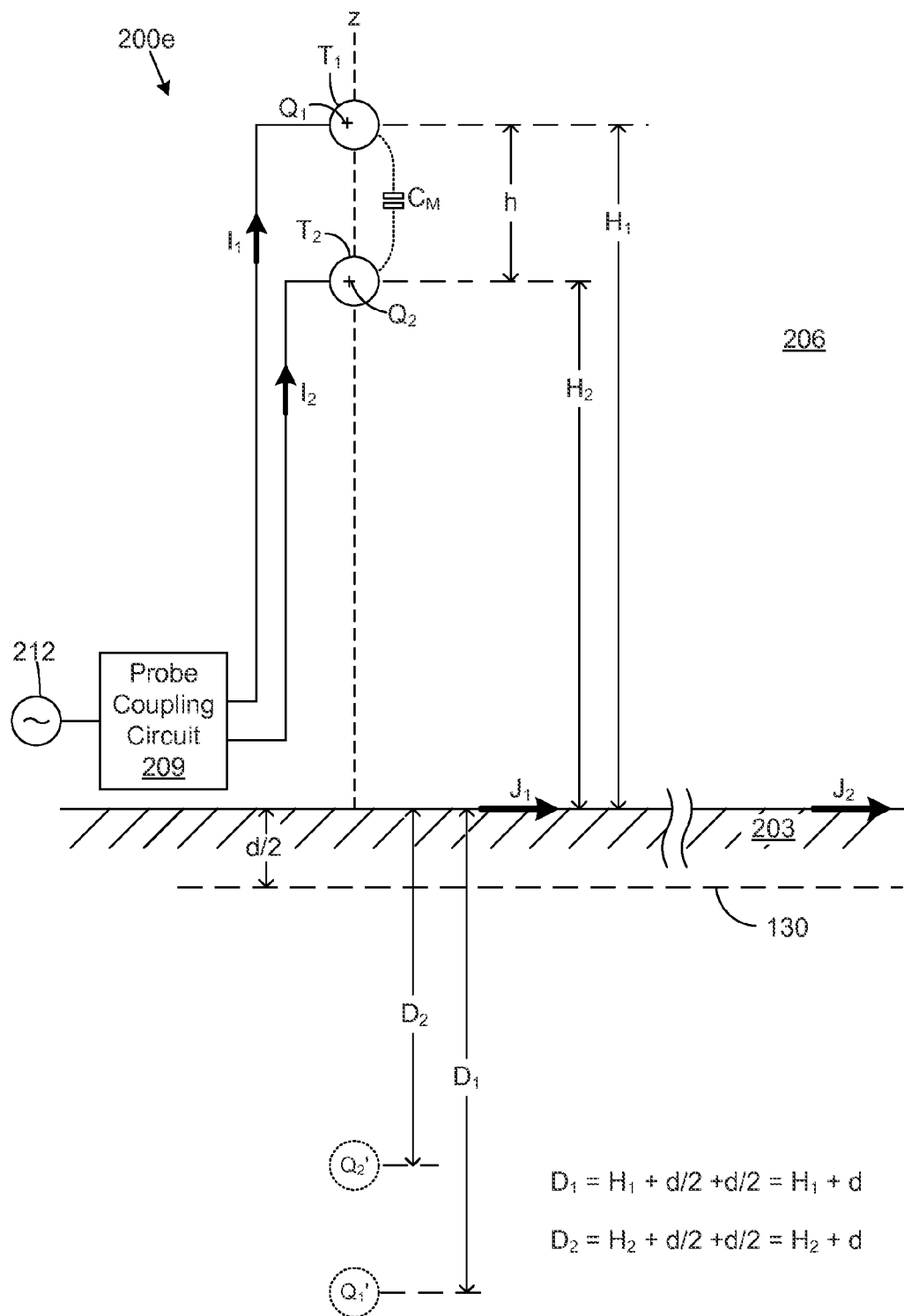


FIG. 15B

**FIG. 16**

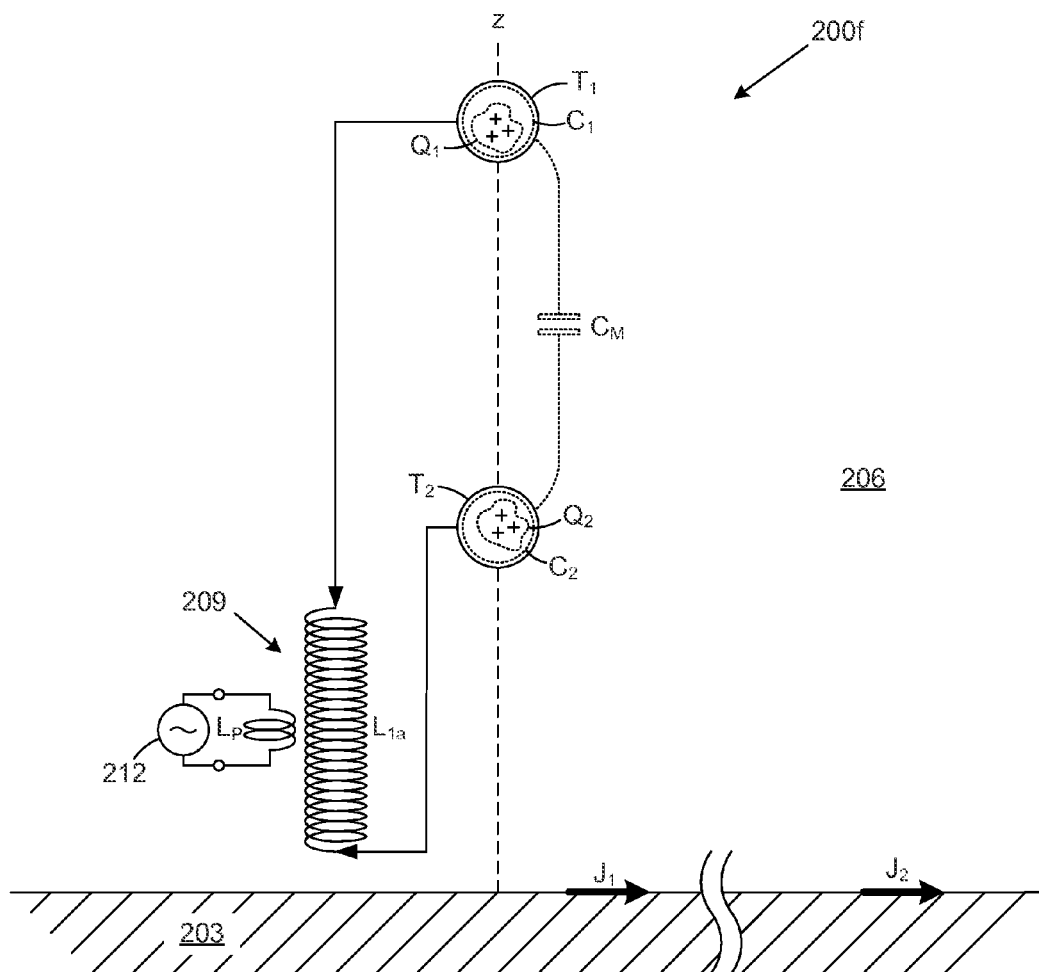
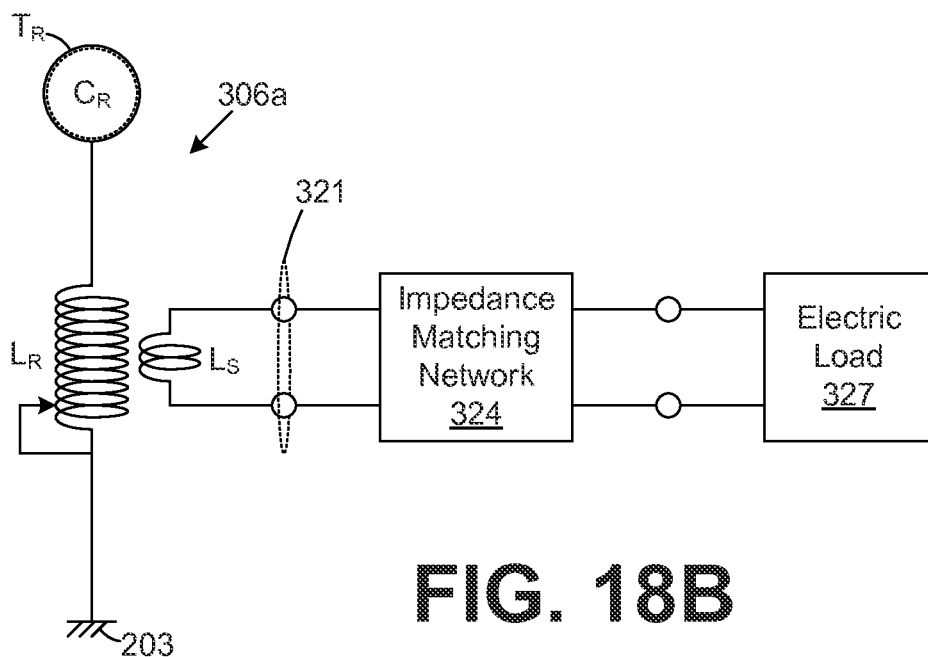
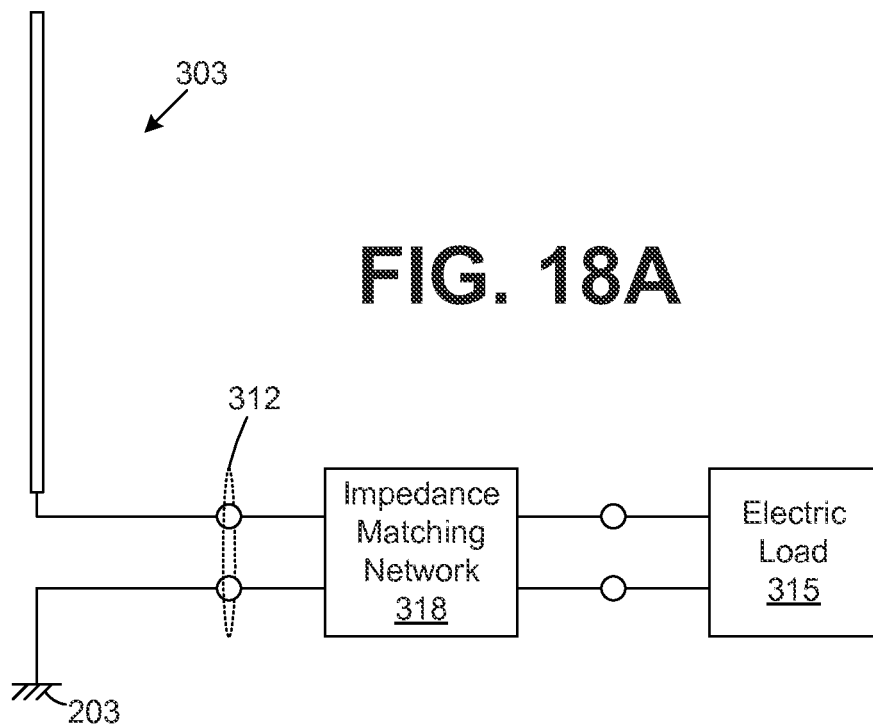


FIG. 17



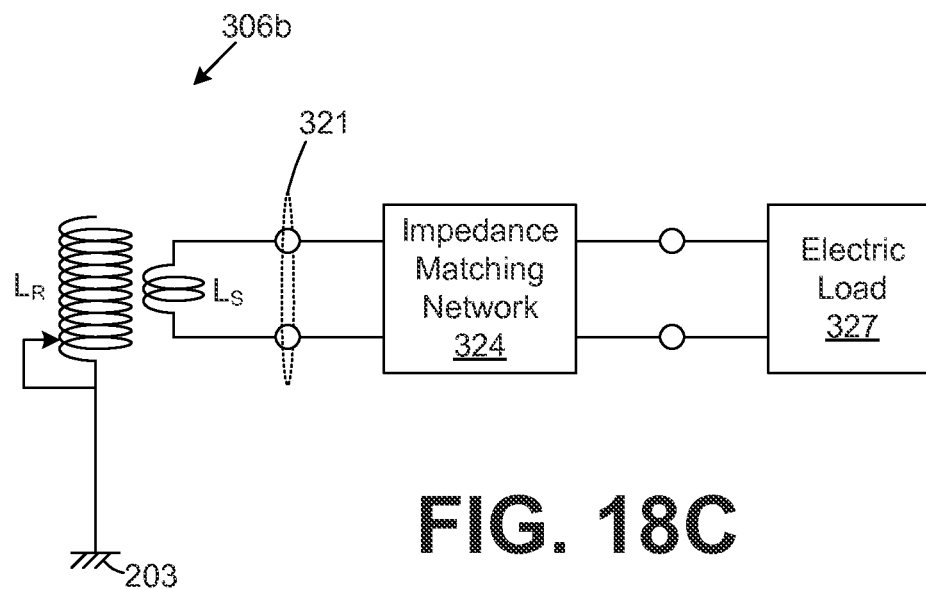


FIG. 18C

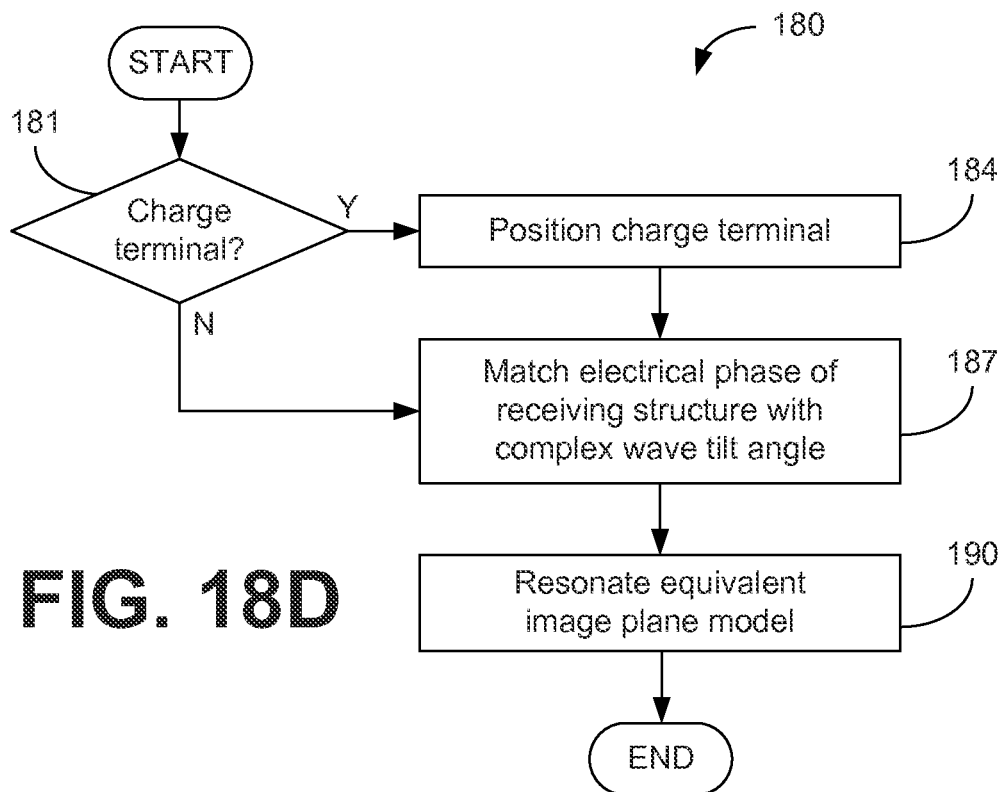


FIG. 18D

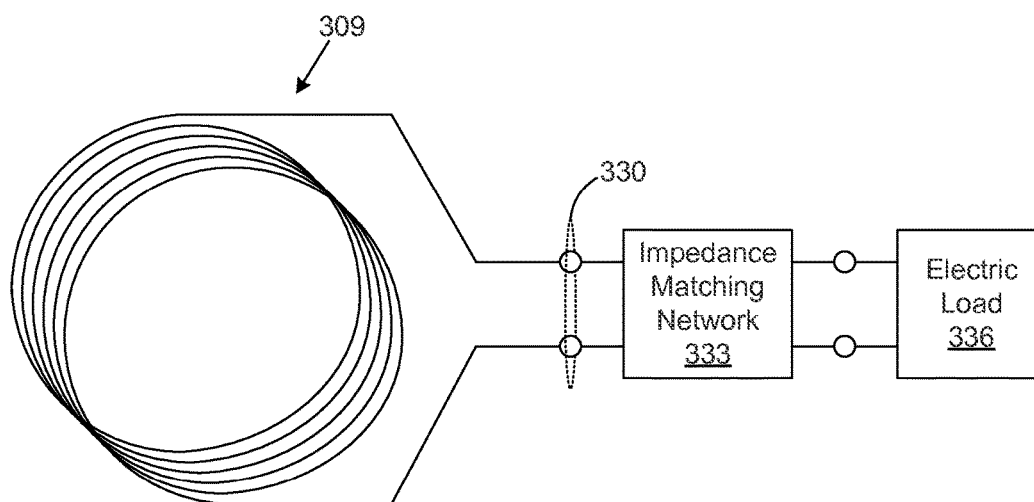


FIG. 19

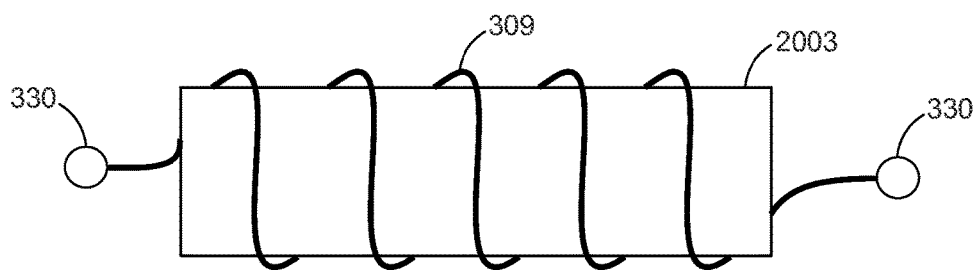


FIG. 20

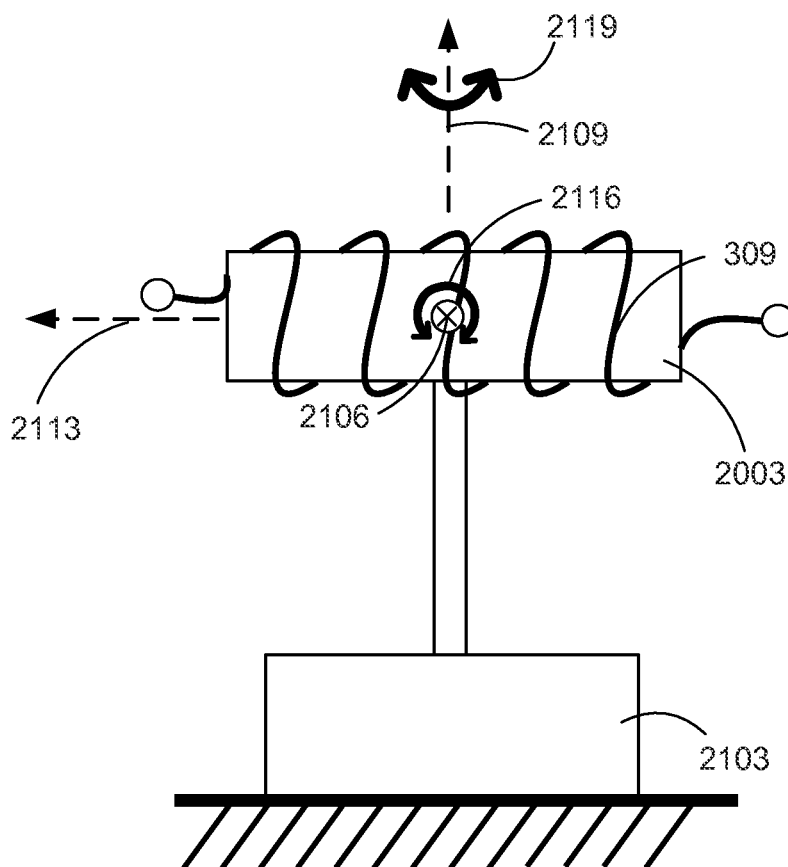


FIG. 21

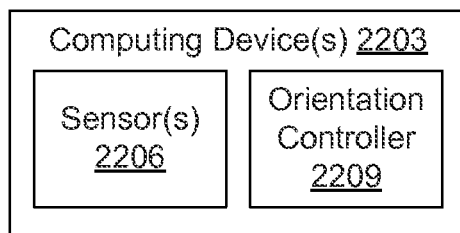
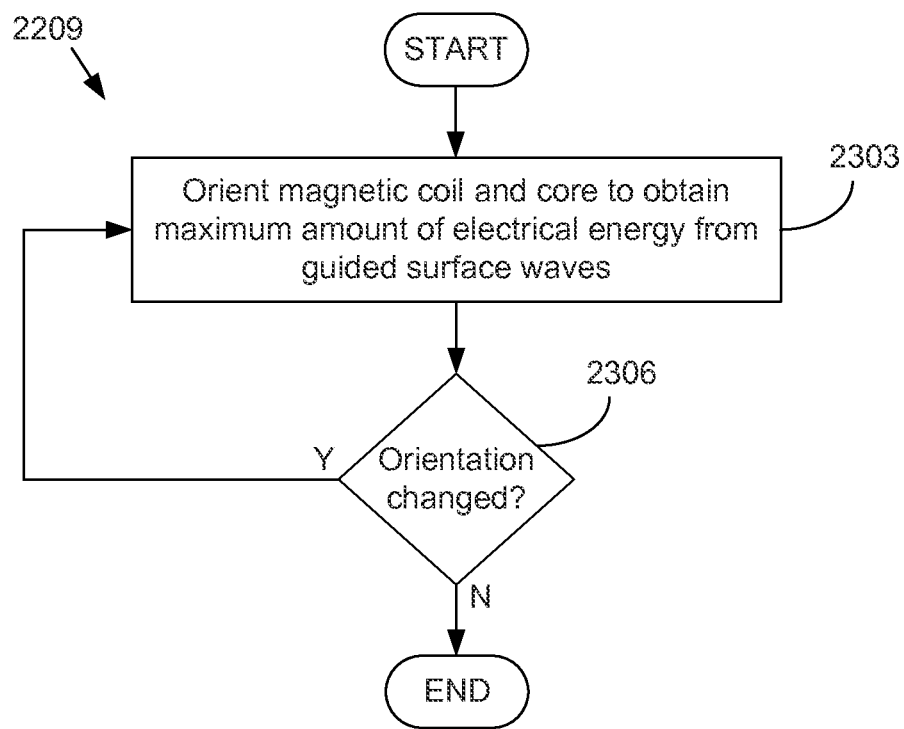


FIG. 22

**FIG. 23**

MAGNETIC COILS HAVING CORES WITH HIGH MAGNETIC PERMEABILITY

CROSS REFERENCE TO RELATED APPLICATIONS

This application is related to co-pending U.S. Non-provisional Patent Application entitled "Excitation and Use of Guided Surface Wave Modes on Lossy Media," which was filed on Mar. 7, 2013 and assigned application Ser. No. 13/789,538, and was published on Sep. 11, 2014 as Publication Number US2014/0252886 A1, and which is incorporated herein by reference in its entirety. This application is also related to co-pending U.S. Non-provisional Patent Application entitled "Excitation and Use of Guided Surface Wave Modes on Lossy Media," which was filed on Mar. 7, 2013 and assigned application Ser. No. 13/789,525, and was published on Sep. 11, 2014 as Publication Number US2014/0252865 A1, and which is incorporated herein by reference in its entirety. This application is further related to co-pending U.S. Non-provisional Patent Application entitled "Excitation and Use of Guided Surface Wave Modes on Lossy Media," which was filed on Sep. 10, 2014 and assigned application Ser. No. 14/483,089, and which is incorporated herein by reference in its entirety. This application is further related to co-pending U.S. Non-provisional Patent Application entitled "Excitation and Use of Guided Surface Waves," which was filed on Jun. 2, 2015 and assigned application Ser. No. 14/728,507, and which is incorporated herein by reference in its entirety. This application is further related to co-pending U.S. Non-provisional Patent Application entitled "Excitation and Use of Guided Surface Waves," which was filed on Jun. 2, 2015 and assigned application Ser. No. 14/728,492, and which is incorporated herein by reference in its entirety.

BACKGROUND

For over a century, signals transmitted by radio waves involved radiation fields launched using conventional antenna structures. In contrast to radio science, electrical power distribution systems in the last century involved the transmission of energy guided along electrical conductors. This understanding of the distinction between radio frequency (RF) and power transmission has existed since the early 1900's.

BRIEF DESCRIPTION OF THE DRAWINGS

Many aspects of the present disclosure can be better understood with reference to the following drawings. The components in the drawings are not necessarily to scale, emphasis instead being placed upon clearly illustrating the principles of the disclosure. Moreover, in the drawings, like reference numerals designate corresponding parts throughout the several views.

FIG. 1 is a chart that depicts field strength as a function of distance for a guided electromagnetic field and a radiated electromagnetic field.

FIG. 2 is a drawing that illustrates a propagation interface with two regions employed for transmission of a guided surface wave according to various embodiments of the present disclosure.

FIG. 3 is a drawing that illustrates a guided surface waveguide probe disposed with respect to a propagation interface of FIG. 2 according to various embodiments of the present disclosure.

FIG. 4 is a plot of an example of the magnitudes of close-in and far-out asymptotes of first order Hankel functions according to various embodiments of the present disclosure.

FIGS. 5A and 5B are drawings that illustrate a complex angle of incidence of an electric field synthesized by a guided surface waveguide probe according to various embodiments of the present disclosure.

FIG. 6 is a graphical representation illustrating the effect of elevation of a charge terminal on the location where the electric field of FIG. 5A intersects with the lossy conducting medium at a Brewster angle according to various embodiments of the present disclosure.

FIG. 7 is a graphical representation of an example of a guided surface waveguide probe according to various embodiments of the present disclosure.

FIGS. 8A through 8C are graphical representations illustrating examples of equivalent image plane models of the guided surface waveguide probe of FIGS. 3 and 7 according to various embodiments of the present disclosure.

FIGS. 9A and 9B are graphical representations illustrating examples of single-wire transmission line and classic transmission line models of the equivalent image plane models of FIGS. 8B and 8C according to various embodiments of the present disclosure.

FIG. 10 is a flow chart illustrating an example of adjusting a guided surface waveguide probe of FIGS. 3 and 7 to launch a guided surface wave along the surface of a lossy conducting medium according to various embodiments of the present disclosure.

FIG. 11 is a plot illustrating an example of the relationship between a wave tilt angle and the phase delay of a guided surface waveguide probe of FIGS. 3 and 7 according to various embodiments of the present disclosure.

FIG. 12 is a drawing that illustrates an example of a guided surface waveguide probe according to various embodiments of the present disclosure.

FIG. 13 is a graphical representation illustrating the incidence of a synthesized electric field at a complex Brewster angle to match the guided surface waveguide mode at the Hankel crossover distance according to various embodiments of the present disclosure.

FIG. 14 is a graphical representation of an example of a guided surface waveguide probe of FIG. 12 according to various embodiments of the present disclosure.

FIG. 15A includes plots of an example of the imaginary and real parts of a phase delay (Φ_U) of a charge terminal T_1 of a guided surface waveguide probe according to various embodiments of the present disclosure.

FIG. 15B is a schematic diagram of the guided surface waveguide probe of FIG. 14 according to various embodiments of the present disclosure.

FIG. 16 is a drawing that illustrates an example of a guided surface waveguide probe according to various embodiments of the present disclosure.

FIG. 17 is a graphical representation of an example of a guided surface waveguide probe of FIG. 16 according to various embodiments of the present disclosure.

FIGS. 18A through 18C depict examples of receiving structures that can be employed to receive energy transmitted in the form of a guided surface wave launched by a guided surface waveguide probe according to the various embodiments of the present disclosure.

FIG. 18D is a flow chart illustrating an example of adjusting a receiving structure according to various embodiments of the present disclosure.

FIGS. 19-20 depict an example of an additional receiving structure that can be employed to receive energy transmitted in the form of a guided surface wave launched by a guided surface waveguide probe according to the various embodiments of the present disclosure.

FIG. 21 depicts an example of the receiving structure of FIGS. 19-20 attached to a mount according to various embodiments of the present disclosure.

FIG. 22 depicts an example of a computing device that controls the orientation of the receiving structure of FIGS. 19-20 according to various embodiments of the present disclosure.

FIG. 23 is a flow chart illustrating an example of functionality implemented by the computing device of FIG. 22 according to various embodiments of the present disclosure.

DETAILED DESCRIPTION

To begin, some terminology shall be established to provide clarity in the discussion of concepts to follow. First, as contemplated herein, a formal distinction is drawn between radiated electromagnetic fields and guided electromagnetic fields.

As contemplated herein, a radiated electromagnetic field comprises electromagnetic energy that is emitted from a source structure in the form of waves that are not bound to a waveguide. For example, a radiated electromagnetic field is generally a field that leaves an electric structure such as an antenna and propagates through the atmosphere or other medium and is not bound to any waveguide structure. Once radiated electromagnetic waves leave an electric structure such as an antenna, they continue to propagate in the medium of propagation (such as air) independent of their source until they dissipate regardless of whether the source continues to operate. Once electromagnetic waves are radiated, they are not recoverable unless intercepted, and, if not intercepted, the energy inherent in the radiated electromagnetic waves is lost forever. Electrical structures such as antennas are designed to radiate electromagnetic fields by maximizing the ratio of the radiation resistance to the structure loss resistance. Radiated energy spreads out in space and is lost regardless of whether a receiver is present. The energy density of the radiated fields is a function of distance due to geometric spreading. Accordingly, the term “radiate” in all its forms as used herein refers to this form of electromagnetic propagation.

A guided electromagnetic field is a propagating electromagnetic wave whose energy is concentrated within or near boundaries between media having different electromagnetic properties. In this sense, a guided electromagnetic field is one that is bound to a waveguide and may be characterized as being conveyed by the current flowing in the waveguide. If there is no load to receive and/or dissipate the energy conveyed in a guided electromagnetic wave, then no energy is lost except for that dissipated in the conductivity of the guiding medium. Stated another way, if there is no load for a guided electromagnetic wave, then no energy is consumed. Thus, a generator or other source generating a guided electromagnetic field does not deliver real power unless a resistive load is present. To this end, such a generator or other source essentially runs idle until a load is presented. This is akin to running a generator to generate a 60 Hertz electromagnetic wave that is transmitted over power lines where there is no electrical load. It should be noted that a guided electromagnetic field or wave is the equivalent to what is termed a “transmission line mode.” This contrasts with radiated electromagnetic waves in which real power is

supplied at all times in order to generate radiated waves. Unlike radiated electromagnetic waves, guided electromagnetic energy does not continue to propagate along a finite length waveguide after the energy source is turned off. Accordingly, the term “guide” in all its forms as used herein refers to this transmission mode of electromagnetic propagation.

Referring now to FIG. 1, shown is a graph 100 of field strength in decibels (dB) above an arbitrary reference in volts per meter as a function of distance in kilometers on a log-dB plot to further illustrate the distinction between radiated and guided electromagnetic fields. The graph 100 of FIG. 1 depicts a guided field strength curve 103 that shows the field strength of a guided electromagnetic field as a function of distance. This guided field strength curve 103 is essentially the same as a transmission line mode. Also, the graph 100 of FIG. 1 depicts a radiated field strength curve 106 that shows the field strength of a radiated electromagnetic field as a function of distance.

Of interest are the shapes of the curves 103 and 106 for guided wave and for radiation propagation, respectively. The radiated field strength curve 106 falls off geometrically ($1/d$, where d is distance), which is depicted as a straight line on the log-log scale. The guided field strength curve 103, on the other hand, has a characteristic exponential decay of $e^{-\alpha d}/\sqrt{d}$ and exhibits a distinctive knee 109 on the log-log scale. The guided field strength curve 103 and the radiated field strength curve 106 intersect at point 112, which occurs at a crossing distance. At distances less than the crossing distance at intersection point 112, the field strength of a guided electromagnetic field is significantly greater at most locations than the field strength of a radiated electromagnetic field. At distances greater than the crossing distance, the opposite is true. Thus, the guided and radiated field strength curves 103 and 106 further illustrate the fundamental propagation difference between guided and radiated electromagnetic fields. For an informal discussion of the difference between guided and radiated electromagnetic fields, reference is made to Milligan, T., *Modern Antenna Design*, McGraw-Hill, 1st Edition, 1985, pp. 8-9, which is incorporated herein by reference in its entirety.

The distinction between radiated and guided electromagnetic waves, made above, is readily expressed formally and placed on a rigorous basis. That two such diverse solutions could emerge from one and the same linear partial differential equation, the wave equation, analytically follows from the boundary conditions imposed on the problem. The Green function for the wave equation, itself, contains the distinction between the nature of radiation and guided waves.

In empty space, the wave equation is a differential operator whose eigenfunctions possess a continuous spectrum of eigenvalues on the complex wave-number plane. This transverse electro-magnetic (TEM) field is called the radiation field, and those propagating fields are called “Hertzian waves.” However, in the presence of a conducting boundary, the wave equation plus boundary conditions mathematically lead to a spectral representation of wave-numbers composed of a continuous spectrum plus a sum of discrete spectra. To this end, reference is made to Sommerfeld, A., “Über die Ausbreitung der Wellen in der Drahtlosen Telegraphie,” *Annalen der Physik*, Vol. 28, 1909, pp. 665-736. Also see Sommerfeld, A., “Problems of Radio,” published as Chapter 6 in *Partial Differential Equations in Physics—Lectures on Theoretical Physics: Volume VI*, Academic Press, 1949, pp. 236-289, 295-296; Collin, R. E., “Hertzian Dipole Radiating Over a Lossy Earth or Sea: Some Early and Late 20th Century Controversies,” *IEEE Antennas and Propagation*

Magazine, Vol. 46, No. 2, April 2004, pp. 64-79; and Reich, H. J., Ordnung, P. F., Krauss, H. L., and Skalnik, J. G., *Microwave Theory and Techniques*, Van Nostrand, 1953, pp. 291-293, each of these references being incorporated herein by reference in its entirety.

The terms “ground wave” and “surface wave” identify two distinctly different physical propagation phenomena. A surface wave arises analytically from a distinct pole yielding a discrete component in the plane wave spectrum. See, e.g., “The Excitation of Plane Surface Waves” by Cullen, A. L., (*Proceedings of the IEE* (British), Vol. 101, Part IV, August 1954, pp. 225-235). In this context, a surface wave is considered to be a guided surface wave. The surface wave (in the Zenneck-Sommerfeld guided wave sense) is, physically and mathematically, not the same as the ground wave (in the Weyl-Norton-FCC sense) that is now so familiar from radio broadcasting. These two propagation mechanisms arise from the excitation of different types of eigenvalue spectra (continuum or discrete) on the complex plane. The field strength of the guided surface wave decays exponentially with distance as illustrated by curve 103 of FIG. 1 (much like propagation in a lossy waveguide) and resembles propagation in a radial transmission line, as opposed to the classical Hertzian radiation of the ground wave, which propagates spherically, possesses a continuum of eigenvalues, falls off geometrically as illustrated by curve 106 of FIG. 1, and results from branch-cut integrals. As experimentally demonstrated by C. R. Burrows in “The Surface Wave in Radio Propagation over Plane Earth” (*Proceedings of the IRE*, Vol. 25, No. 2, February, 1937, pp. 219-229) and “The Surface Wave in Radio Transmission” (*Bell Laboratories Record*, Vol. 15, June 1937, pp. 321-324), vertical antennas radiate ground waves but do not launch guided surface waves.

To summarize the above, first, the continuous part of the wave-number eigenvalue spectrum, corresponding to branch-cut integrals, produces the radiation field, and second, the discrete spectra, and corresponding residue sum arising from the poles enclosed by the contour of integration, result in non-TEM traveling surface waves that are exponentially damped in the direction transverse to the propagation. Such surface waves are guided transmission line modes. For further explanation, reference is made to Friedman, B., *Principles and Techniques of Applied Mathematics*, Wiley, 1956, pp. pp. 214, 283-286, 290, 298-300.

In free space, antennas excite the continuum eigenvalues of the wave equation, which is a radiation field, where the outwardly propagating RF energy with E_z and H_ϕ in-phase is lost forever. On the other hand, waveguide probes excite discrete eigenvalues, which results in transmission line propagation. See Collin, R. E., *Field Theory of Guided Waves*, McGraw-Hill, 1960, pp. 453, 474-477. While such theoretical analyses have held out the hypothetical possibility of launching open surface guided waves over planar or spherical surfaces of lossy, homogeneous media, for more than a century no known structures in the engineering arts have existed for accomplishing this with any practical efficiency. Unfortunately, since it emerged in the early 1900's, the theoretical analysis set forth above has essentially remained a theory and there have been no known structures for practically accomplishing the launching of open surface guided waves over planar or spherical surfaces of lossy, homogeneous media.

According to the various embodiments of the present disclosure, various guided surface waveguide probes are described that are configured to excite electric fields that couple into a guided surface waveguide mode along the

surface of a lossy conducting medium. Such guided electromagnetic fields are substantially mode-matched in magnitude and phase to a guided surface wave mode on the surface of the lossy conducting medium. Such a guided surface wave mode can also be termed a Zenneck waveguide mode. By virtue of the fact that the resultant fields excited by the guided surface waveguide probes described herein are substantially mode-matched to a guided surface waveguide mode on the surface of the lossy conducting medium, a guided electromagnetic field in the form of a guided surface wave is launched along the surface of the lossy conducting medium. According to one embodiment, the lossy conducting medium comprises a terrestrial medium such as the Earth.

Referring to FIG. 2, shown is a propagation interface that provides for an examination of the boundary value solutions to Maxwell's equations derived in 1907 by Jonathan Zenneck as set forth in his paper Zenneck, J., “On the Propagation of Plane Electromagnetic Waves Along a Flat Conducting Surface and their Relation to Wireless Telegraphy,” *Annalen der Physik*, Serial 4, Vol. 23, Sep. 20, 1907, pp. 846-866. FIG. 2 depicts cylindrical coordinates for radially propagating waves along the interface between a lossy conducting medium specified as Region 1 and an insulator specified as Region 2. Region 1 can comprise, for example, any lossy conducting medium. In one example, such a lossy conducting medium can comprise a terrestrial medium such as the Earth or other medium. Region 2 is a second medium that shares a boundary interface with Region 1 and has different constitutive parameters relative to Region 1. Region 2 can comprise, for example, any insulator such as the atmosphere or other medium. The reflection coefficient for such a boundary interface goes to zero only for incidence at a complex Brewster angle. See Stratton, J. A., *Electromagnetic Theory*, McGraw-Hill, 1941, p. 516.

According to various embodiments, the present disclosure sets forth various guided surface waveguide probes that generate electromagnetic fields that are substantially mode-matched to a guided surface waveguide mode on the surface of the lossy conducting medium comprising Region 1. According to various embodiments, such electromagnetic fields substantially synthesize a wave front incident at a complex Brewster angle of the lossy conducting medium that can result in zero reflection.

To explain further, in Region 2, where an $e^{j\omega t}$ field variation is assumed and where $\rho \neq 0$ and $z \geq 0$ (with z being the vertical coordinate normal to the surface of Region 1, and ρ being the radial dimension in cylindrical coordinates), Zenneck's closed-form exact solution of Maxwell's equations satisfying the boundary conditions along the interface are expressed by the following electric field and magnetic field components:

$$H_{2\phi} = A e^{-u_2 z} H_1^{(2)}(-j\gamma\rho), \quad (1)$$

$$E_{2\rho} = A \left(\frac{u_2}{j\omega\epsilon_0} \right) e^{-u_2 z} H_1^{(2)}(-j\gamma\rho), \text{ and} \quad (2)$$

$$E_{2z} = A \left(\frac{-\gamma}{\omega\epsilon_0} \right) e^{-u_2 z} H_0^{(2)}(-j\gamma\rho). \quad (3)$$

In Region 1, where the $e^{j\omega t}$ field variation is assumed and where $\rho \neq 0$ and $z \leq 0$, Zenneck's closed-form exact solution of Maxwell's equations satisfying the boundary conditions along the interface is expressed by the following electric field and magnetic field components:

$$H_{1\phi} = Ae^{u_1 z} H_1^{(2)}(-j\gamma\rho), \quad (4)$$

$$E_{1\rho} = A \left(\frac{-u_1}{\sigma_1 + j\omega\epsilon_1} \right) e^{u_1 z} H_1^{(2)}(-j\gamma\rho), \text{ and} \quad (5)$$

$$E_{1z} = A \left(\frac{-j\gamma}{\sigma_1 + j\omega\epsilon_1} \right) e^{u_1 z} H_0^{(2)}(-j\gamma\rho). \quad (6)$$

In these expressions, z is the vertical coordinate normal to the surface of Region 1 and ρ is the radial coordinate, $H_n^{(2)}(-j\gamma\rho)$ is a complex argument Hankel function of the second kind and order n , u_1 is the propagation constant in the positive vertical (z) direction in Region 1, u_2 is the propagation constant in the vertical (z) direction in Region 2, σ_1 is the conductivity of Region 1, ω is equal to $2\pi f$, where f is a frequency of excitation, ϵ_0 is the permittivity of free space, ϵ_1 is the permittivity of Region 1, A is a source constant imposed by the source, and γ is a surface wave radial propagation constant.

The propagation constants in the $\pm z$ directions are determined by separating the wave equation above and below the interface between Regions 1 and 2, and imposing the boundary conditions. This exercise gives, in Region 2,

$$u_2 = \frac{-jk_o}{\sqrt{1 + (\epsilon_r - j\chi)}} \quad (7)$$

and gives, in Region 1,

$$u_1 = -u_2(\epsilon_r - j\chi). \quad (8)$$

The radial propagation constant γ is given by

$$\gamma = j\sqrt{k_o^2 + u_2^2} = j\frac{k_o n}{\sqrt{1 + n^2}}, \quad (9)$$

which is a complex expression where n is the complex index of refraction given by

$$n = \sqrt{\epsilon_r - j\chi}. \quad (10)$$

In all of the above Equations,

$$x = \frac{\sigma_1}{\omega\epsilon_0}, \text{ and} \quad (11)$$

$$k_o = \omega\sqrt{\mu_0\epsilon_0} = \frac{\lambda_0}{2\pi}, \quad (12)$$

where ϵ_r comprises the relative permittivity of Region 1, σ_1 is the conductivity of Region 1, ϵ_0 is the permittivity of free space, and μ_0 comprises the permeability of free space. Thus, the generated surface wave propagates parallel to the interface and exponentially decays vertical to it. This is known as evanescence.

Thus, Equations (1)-(3) can be considered to be a cylindrically-symmetric, radially-propagating waveguide mode. See Barlow, H. M., and Brown, J., *Radio Surface Waves*, Oxford University Press, 1962, pp. 10-12, 29-33. The present disclosure details structures that excite this "open boundary" waveguide mode. Specifically, according to various embodiments, a guided surface waveguide probe is provided with a charge terminal of appropriate size that is fed with voltage and/or current and is positioned relative to the

boundary interface between Region 2 and Region 1. This may be better understood with reference to FIG. 3, which shows an example of a guided surface waveguide probe **200a** that includes a charge terminal T_1 elevated above a lossy conducting medium **203** (e.g., the Earth) along a vertical axis z that is normal to a plane presented by the lossy conducting medium **203**. The lossy conducting medium **203** makes up Region 1, and a second medium **206** makes up Region 2 and shares a boundary interface with the lossy conducting medium **203**.

According to one embodiment, the lossy conducting medium **203** can comprise a terrestrial medium such as the planet Earth. To this end, such a terrestrial medium comprises all structures or formations included thereon whether natural or man-made. For example, such a terrestrial medium can comprise natural elements such as rock, soil, sand, fresh water, sea water, trees, vegetation, and all other natural elements that make up our planet. In addition, such a terrestrial medium can comprise man-made elements such as concrete, asphalt, building materials, and other man-made materials. In other embodiments, the lossy conducting medium **203** can comprise some medium other than the Earth, whether naturally occurring or man-made. In other embodiments, the lossy conducting medium **203** can comprise other media such as man-made surfaces and structures such as automobiles, aircraft, man-made materials (such as plywood, plastic sheeting, or other materials) or other media.

In the case where the lossy conducting medium **203** comprises a terrestrial medium or Earth, the second medium **206** can comprise the atmosphere above the ground. As such, the atmosphere can be termed an "atmospheric medium" that comprises air and other elements that make up the atmosphere of the Earth. In addition, it is possible that the second medium **206** can comprise other media relative to the lossy conducting medium **203**.

The guided surface waveguide probe **200a** includes a feed network **209** that couples an excitation source **212** to the charge terminal T_1 via, e.g., a vertical feed line conductor. According to various embodiments, a charge Q_1 is imposed on the charge terminal T_1 to synthesize an electric field based upon the voltage applied to terminal T_1 at any given instant. Depending on the angle of incidence (θ_i) of the electric field (E), it is possible to substantially mode-match the electric field to a guided surface waveguide mode on the surface of the lossy conducting medium **203** comprising Region 1.

By considering the Zenneck closed-form solutions of Equations (1)-(6), the Leontovich impedance boundary condition between Region 1 and Region 2 can be stated as

$$\hat{z} \times \vec{H}_2(\rho, \phi, 0) = \vec{H}_2, \quad (13)$$

where $\hat{z}$ is a unit normal in the positive vertical ($+z$) direction and $\vec{H}_2$ is the magnetic field strength in Region 2 expressed by Equation (1) above. Equation (13) implies that the electric and magnetic fields specified in Equations (1)-(3) may result in a radial surface current density along the boundary interface, where the radial surface current density can be specified by

$$J_\rho(\rho') = -A H_1^{(2)}(-j\gamma\rho') \quad (14)$$

where A is a constant. Further, it should be noted that close-in to the guided surface waveguide probe **200** (for $\rho \ll \lambda$), Equation (14) above has the behavior

$$J_{\text{close}}(\rho') = \frac{-A(j2)}{\pi(-j\gamma\rho')} = -H_\phi = -\frac{I_o}{2\pi\rho'}. \quad (15)$$

The negative sign means that when source current (I_o) flows vertically upward as illustrated in FIG. 3, the “close-in” ground current flows radially inward. By field matching on H_ϕ “close-in,” it can be determined that

$$A = -\frac{I_o\gamma}{4} = -\frac{\omega q_1\gamma}{4} \quad (16)$$

where $q_1 = C_1 V_1$, in Equations (1)-(6) and (14). Therefore, the radial surface current density of Equation (14) can be restated as

$$J_\rho(\rho') = \frac{I_o\gamma}{4} H_1^{(2)}(-j\gamma\rho'). \quad (17)$$

The fields expressed by Equations (1)-(6) and (17) have the nature of a transmission line mode bound to a lossy interface, not radiation fields that are associated with ground-wave propagation. See Barlow, H. M. and Brown, J., *Radio Surface Waves*, Oxford University Press, 1962, pp. 1-5.

At this point, a review of the nature of the Hankel functions used in Equations (1)-(6) and (17) is provided for these solutions of the wave equation. One might observe that the Hankel functions of the first and second kind and order n are defined as complex combinations of the standard Bessel functions of the first and second kinds

$$H_n^{(1)}(x) = J_n(x) + jN_n(x), \text{ and} \quad (18)$$

$$H_n^{(2)}(x) = J_n(x) - jN_n(x), \quad (19)$$

These functions represent cylindrical waves propagating radially inward ($H_n^{(1)}$) and outward ($H_n^{(2)}$), respectively. The definition is analogous to the relationship $e^{\pm jx} = \cos x \pm j \sin x$. See, for example, Harrington, R. F., *Time-Harmonic Fields*, McGraw-Hill, 1961, pp. 460-463.

That $H_n^{(2)}(k_\rho \rho)$ is an outgoing wave can be recognized from its large argument asymptotic behavior that is obtained directly from the series definitions of $J_n(x)$ and $N_n(x)$. Far-out from the guided surface waveguide probe:

$$H_n^{(2)}(x) \xrightarrow{x \rightarrow \infty} \sqrt{\frac{2j}{\pi x}} j^n e^{-jx} = \sqrt{\frac{2}{\pi x}} j^n e^{-j(x - \frac{\pi}{4})}, \quad (20a)$$

which, when multiplied by $e^{j\omega t}$, is an outward propagating cylindrical wave of the form $e^{j(\omega t - k\rho)}$ with a $1/\sqrt{\rho}$ spatial variation. The first order ($n=1$) solution can be determined from Equation (20a) to be

$$H_1^{(2)}(x) \xrightarrow{x \rightarrow \infty} \sqrt{\frac{2j}{\pi x}} e^{-jx} = \sqrt{\frac{2}{\pi x}} e^{-j(x - \frac{\pi}{2} - \frac{\pi}{4})}. \quad (20b)$$

Close-in to the guided surface waveguide probe (for $\rho \ll \lambda$), the Hankel function of first order and the second kind behaves as

$$H_1^{(2)}(x) \xrightarrow{x \rightarrow 0} \frac{2j}{\pi x}. \quad (21)$$

Note that these asymptotic expressions are complex quantities. When x is a real quantity, Equations (20b) and (21) differ in phase by $\sqrt{j}$, which corresponds to an extra phase advance or “phase boost” of 45° or, equivalently, $\lambda/8$. The close-in and far-out asymptotes of the first order Hankel function of the second kind have a Hankel “crossover” or transition point where they are of equal magnitude at a distance of $\rho = R_x$.

Thus, beyond the Hankel crossover point the “far out” representation predominates over the “close-in” representation of the Hankel function. The distance to the Hankel crossover point (or Hankel crossover distance) can be found by equating Equations (20b) and (21) for $-j\gamma\rho$, and solving for R_x . With $x = \sigma/\omega\epsilon_o$, it can be seen that the far-out and close-in Hankel function asymptotes are frequency dependent, with the Hankel crossover point moving out as the frequency is lowered. It should also be noted that the Hankel function asymptotes may also vary as the conductivity (σ) of the lossy conducting medium changes. For example, the conductivity of the soil can vary with changes in weather conditions.

Referring to FIG. 4, shown is an example of a plot of the magnitudes of the first order Hankel functions of Equations (20b) and (21) for a Region 1 conductivity of $\sigma = 0.010$ mhos/m and relative permittivity $\epsilon_r = 15$, at an operating frequency of 1850 kHz. Curve 115 is the magnitude of the far-out asymptote of Equation (20b) and curve 118 is the magnitude of the close-in asymptote of Equation (21), with the Hankel crossover point 121 occurring at a distance of $R_x = 54$ feet. While the magnitudes are equal, a phase offset exists between the two asymptotes at the Hankel crossover point 121. It can also be seen that the Hankel crossover distance is much less than a wavelength of the operation frequency.

Considering the electric field components given by Equations (2) and (3) of the Zenneck closed-form solution in Region 2, it can be seen that the ratio of E_z and E_ρ asymptotically passes to

$$\frac{E_z}{E_\rho} = \left(\frac{-j\gamma}{u_2} \right) \frac{H_0^{(2)}(-j\gamma\rho)}{H_1^{(2)}(-j\gamma\rho)} \xrightarrow{\rho \rightarrow \infty} \sqrt{\frac{\sigma}{\epsilon_r - j\omega\epsilon_o}} = n = \tan \theta_i, \quad (22)$$

where n is the complex index of refraction of Equation (10) and θ_i is the angle of incidence of the electric field. In addition, the vertical component of the mode-matched electric field of Equation (3) asymptotically passes to

$$E_{2z} \xrightarrow{\rho \rightarrow \infty} \left(\frac{q_{free}}{\epsilon_o} \right) \sqrt{\frac{\gamma^3}{8\pi}} e^{-u_2 z} \frac{e^{-j(\gamma\rho - \pi/4)}}{\sqrt{\rho}}, \quad (23)$$

which is linearly proportional to free charge on the isolated component of the elevated charge terminal's capacitance at the terminal voltage, a $q_{free} = C_{free} \times V_T$.

For example, the height H_1 of the elevated charge terminal T_1 in FIG. 3 affects the amount of free charge on the charge terminal T_1 . When the charge terminal T_1 is near the ground plane of Region 1, most of the charge Q_1 on the terminal is “bound.” As the charge terminal T_1 is elevated, the bound

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charge is lessened until the charge terminal T_1 reaches a height at which substantially all of the isolated charge is free.

The advantage of an increased capacitive elevation for the charge terminal T_1 is that the charge on the elevated charge terminal T_1 is further removed from the ground plane, resulting in an increased amount of free charge q_{free} to couple energy into the guided surface waveguide mode. As the charge terminal T_1 is moved away from the ground plane, the charge distribution becomes more uniformly distributed about the surface of the terminal. The amount of free charge is related to the self-capacitance of the charge terminal T_1 .

For example, the capacitance of a spherical terminal can be expressed as a function of physical height above the ground plane. The capacitance of a sphere at a physical height of h above a perfect ground is given by

$$C_{elevated\ sphere} = 4\pi\epsilon_0 a (1 + M + M^2 + M^3 + 2M^4 + 3M^5 + \dots), \quad (24)$$

where the diameter of the sphere is $2a$, and where $M = a/2h$ with h being the height of the spherical terminal. As can be seen, an increase in the terminal height h reduces the capacitance C of the charge terminal. It can be shown that for elevations of the charge terminal T_1 that are at a height of about four times the diameter ($4D = 8a$) or greater, the charge distribution is approximately uniform about the spherical terminal, which can improve the coupling into the guided surface waveguide mode.

In the case of a sufficiently isolated terminal, the self-capacitance of a conductive sphere can be approximated by $C = 4\pi\epsilon_0 a$, where a is the radius of the sphere in meters, and the self-capacitance of a disk can be approximated by $C = 8\epsilon_0 a$, where a is the radius of the disk in meters. The charge terminal T_1 can include any shape such as a sphere, a disk, a cylinder, a cone, a torus, a hood, one or more rings, or any other randomized shape or combination of shapes. An equivalent spherical diameter can be determined and used for positioning of the charge terminal T_1 .

This may be further understood with reference to the example of FIG. 3, where the charge terminal T_1 is elevated at a physical height of $h_p = H_1$ above the lossy conducting medium 203. To reduce the effects of the “bound” charge, the charge terminal T_1 can be positioned at a physical height that is at least four times the spherical diameter (or equivalent spherical diameter) of the charge terminal T_1 to reduce the bounded charge effects.

Referring next to FIG. 5A, shown is a ray optics interpretation of the electric field produced by the elevated charge Q_1 on charge terminal T_1 of FIG. 3. As in optics, minimizing the reflection of the incident electric field can improve and/or maximize the energy coupled into the guided surface waveguide mode of the lossy conducting medium 203. For an electric field (E_i) that is polarized parallel to the plane of incidence (not the boundary interface), the amount of reflection of the incident electric field may be determined using the Fresnel reflection coefficient, which can be expressed as

$$\Gamma_{\parallel}(\theta_i) = \frac{E_{\parallel,R}}{E_{\parallel,i}} = \frac{\sqrt{(\epsilon_r - jx) - \sin^2 \theta_i} - (\epsilon_r - jx) \cos \theta_i}{\sqrt{(\epsilon_r - jx) - \sin^2 \theta_i} + (\epsilon_r - jx) \cos \theta_i}, \quad (25)$$

where θ_i is the conventional angle of incidence measured with respect to the surface normal.

In the example of FIG. 5A, the ray optic interpretation shows the incident field polarized parallel to the plane of

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incidence having an angle of incidence of θ_i , which is measured with respect to the surface normal ($\hat{z}$). There will be no reflection of the incident electric field when $\Gamma_{\parallel}(\theta_i) = 0$ and thus the incident electric field will be completely coupled into a guided surface waveguide mode along the surface of the lossy conducting medium 203. It can be seen that the numerator of Equation (25) goes to zero when the angle of incidence is

$$\theta_i = \arctan(\sqrt{\epsilon_r - jx}) = \theta_{i,B}, \quad (26)$$

where $x = \sigma/\omega\epsilon_0$. This complex angle of incidence ($\theta_{i,B}$) is referred to as the Brewster angle. Referring back to Equation (22), it can be seen that the same complex Brewster angle ($\theta_{i,B}$) relationship is present in both Equations (22) and (26).

As illustrated in FIG. 5A, the electric field vector E can be depicted as an incoming non-uniform plane wave, polarized parallel to the plane of incidence. The electric field vector E can be created from independent horizontal and vertical components as

$$\vec{E}(\theta_i) = E_{\rho} \hat{\rho} + E_z \hat{z}. \quad (27)$$

Geometrically, the illustration in FIG. 5A suggests that the electric field vector E can be given by

$$E_{\rho}(\rho, z) = E(\rho, z) \cos \theta_i, \text{ and} \quad (28a)$$

$$E_z(\rho, z) = E(\rho, z) \cos\left(\frac{\pi}{2} - \theta_i\right) = E(\rho, z) \sin \theta_i, \quad (28b)$$

which means that the field ratio is

$$\frac{E_{\rho}}{E_z} = \frac{1}{\tan \theta_i} = \tan \psi_i. \quad (29)$$

A generalized parameter W , called “wave tilt,” is noted herein as the ratio of the horizontal electric field component to the vertical electric field component given by

$$W = \frac{E_{\rho}}{E_z} = |W|e^{j\psi}, \text{ or} \quad (30a)$$

$$\frac{1}{W} = \frac{E_z}{E_{\rho}} = \tan \theta_i = \frac{1}{|W|}e^{-j\psi}, \quad (30b)$$

which is complex and has both magnitude and phase. For an electromagnetic wave in Region 2, the wave tilt angle (Ψ) is equal to the angle between the normal of the wave-front at the boundary interface with Region 1 and the tangent to the boundary interface. This may be easier to see in FIG. 5B, which illustrates equi-phase surfaces of an electromagnetic wave and their normals for a radial cylindrical guided surface wave. At the boundary interface ($z=0$) with a perfect conductor, the wave-front normal is parallel to the tangent of the boundary interface, resulting in $W=0$. However, in the case of a lossy dielectric, a wave tilt W exists because the wave-front normal is not parallel with the tangent of the boundary interface at $z=0$.

Applying Equation (30b) to a guided surface wave gives

$$\tan \theta_{i,B} = \frac{E_z}{E_{\rho}} = \frac{u_2}{\gamma} = \sqrt{\epsilon_r - jx} = n = \frac{1}{W} = \frac{1}{|W|}e^{-j\psi}. \quad (31)$$

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With the angle of incidence equal to the complex Brewster angle ($\theta_{i,B}$), the Fresnel reflection coefficient of Equation (25) vanishes, as shown by

$$\Gamma_{\parallel}(\theta_{i,B}) = \frac{\sqrt{(\epsilon_r - jx) - \sin^2 \theta_i} - (\epsilon_r - jx) \cos \theta_i}{\sqrt{(\epsilon_r - jx) - \sin^2 \theta_i} + (\epsilon_r - jx) \cos \theta_i} \Big|_{\theta_i = \theta_{i,B}} = 0. \quad (32)$$

By adjusting the complex field ratio of Equation (22), an incident field can be synthesized to be incident at a complex angle at which the reflection is reduced or eliminated. Establishing this ratio as $n = \sqrt{\epsilon_r - jx}$ results in the synthesized electric field being incident at the complex Brewster angle, making the reflections vanish.

The concept of an electrical effective height can provide further insight into synthesizing an electric field with a complex angle of incidence with a guided surface waveguide probe **200**. The electrical effective height (h_{eff}) has been defined as

$$h_{eff} = \frac{1}{I_0} \int_0^{h_p} I(z) dz \quad (33)$$

for a monopole with a physical height (or length) of h_p . Since the expression depends upon the magnitude and phase of the source distribution along the structure, the effective height (or length) is complex in general. The integration of the distributed current $I(z)$ of the structure is performed over the physical height of the structure (h_p), and normalized to the ground current (I_0) flowing upward through the base (or input) of the structure. The distributed current along the structure can be expressed by

$$I(z) = I_C \cos(\beta_0 z), \quad (34)$$

where β_0 is the propagation factor for current propagating on the structure. In the example of FIG. 3, I_C is the current that is distributed along the vertical structure of the guided surface waveguide probe **200a**.

For example, consider a feed network **209** that includes a low loss coil (e.g., a helical coil) at the bottom of the structure and a vertical feed line conductor connected between the coil and the charge terminal T_1 . The phase delay due to the coil (or helical delay line) is $\theta_c = \beta_p l_C$, with a physical length of l_C and a propagation factor of

$$\beta_p = \frac{2\pi}{\lambda_p} = \frac{2\pi}{V_f \lambda_0}, \quad (35)$$

where V_f is the velocity factor on the structure, λ_0 is the wavelength at the supplied frequency, and λ_p is the propagation wavelength resulting from the velocity factor V_f . The phase delay is measured relative to the ground (stake) current I_0 .

In addition, the spatial phase delay along the length l_w of the vertical feed line conductor can be given by $\theta_v = \beta_w l_w$, where β_w is the propagation phase constant for the vertical feed line conductor. In some implementations, the spatial phase delay may be approximated by $\theta_v = \beta_w h_p$, since the difference between the physical height h_p of the guided surface waveguide probe **200a** and the vertical feed line conductor length l_w is much less than a wavelength at the supplied frequency (λ_0). As a result, the total phase delay

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through the coil and vertical feed line conductor is $\Phi = \theta_c + \theta_v$, and the current fed to the top of the coil from the bottom of the physical structure is

$$I_C(\theta_c + \theta_v) = I_0 e^{j\Phi}, \quad (36)$$

with the total phase delay Φ measured relative to the ground (stake) current I_0 . Consequently, the electrical effective height of a guided surface waveguide probe **200** can be approximated by

$$h_{eff} = \frac{1}{I_0} \int_0^{h_p} I_0 e^{j\Phi} \cos(\beta_0 z) dz \cong h_p e^{j\Phi}, \quad (37)$$

for the case where the physical height $h_p \ll \lambda_0$. The complex effective height of a monopole, $h_{eff} = h_p$ at an angle (or phase shift) of Φ , may be adjusted to cause the source fields to match a guided surface waveguide mode and cause a guided surface wave to be launched on the lossy conducting medium **203**.

In the example of FIG. 5A, ray optics are used to illustrate the complex angle trigonometry of the incident electric field (E) having a complex Brewster angle of incidence ($\theta_{i,B}$) at the Hankel crossover distance (R_x) **121**. Recall from Equation (26) that, for a lossy conducting medium, the Brewster angle is complex and specified by

$$\tan \theta_{i,B} = \sqrt{\epsilon_r - j \frac{\sigma}{\omega \epsilon_0}} = n. \quad (38)$$

Electrically, the geometric parameters are related by the electrical effective height (h_{eff}) of the charge terminal T_1 by

$$R_x \tan \psi_{i,B} = R_x \times W = h_{eff} = h_p e^{j\Phi}, \quad (39)$$

where $\psi_{i,B} = (\pi/2) - \theta_{i,B}$ is the Brewster angle measured from the surface of the lossy conducting medium. To couple into the guided surface waveguide mode, the wave tilt of the electric field at the Hankel crossover distance can be expressed as the ratio of the electrical effective height and the Hankel crossover distance

$$\frac{h_{eff}}{R_x} = \tan \psi_{i,B} = W_{R_x}. \quad (40)$$

Since both the physical height (h_p) and the Hankel crossover distance (R_x) are real quantities, the angle (Ψ) of the desired guided surface wave tilt at the Hankel crossover distance (R_x) is equal to the phase (Φ) of the complex effective height (h_{eff}). This implies that by varying the phase at the supply point of the coil, and thus the phase shift in Equation (37), the phase, Φ , of the complex effective height can be manipulated to match the angle of the wave tilt, Ψ , of the guided surface waveguide mode at the Hankel crossover point **121**: $\Phi = \Psi$.

In FIG. 5A, a right triangle is depicted having an adjacent side of length R_x along the lossy conducting medium surface and a complex Brewster angle $\psi_{i,B}$ measured between a ray **124** extending between the Hankel crossover point **121** at R_x and the center of the charge terminal T_1 , and the lossy conducting medium surface **127** between the Hankel crossover point **121** and the charge terminal T_1 . With the charge terminal T_1 positioned at physical height h_p and excited with a charge having the appropriate phase delay Φ , the resulting

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electric field is incident with the lossy conducting medium boundary interface at the Hankel crossover distance R_x and at the Brewster angle. Under these conditions, the guided surface waveguide mode can be excited without reflection or substantially negligible reflection.

If the physical height of the charge terminal T_1 is decreased without changing the phase shift Φ of the effective height (h_{eff}), the resulting electric field intersects the lossy conducting medium **203** at the Brewster angle at a reduced distance from the guided surface waveguide probe **200**. FIG. 6 graphically illustrates the effect of decreasing the physical height of the charge terminal T_1 on the distance where the electric field is incident at the Brewster angle. As the height is decreased from h_3 through h_2 to h_1 , the point where the electric field intersects with the lossy conducting medium (e.g., the Earth) at the Brewster angle moves closer to the charge terminal position. However, as Equation (39) indicates, the height H_1 (FIG. 3) of the charge terminal T_1 should be at or higher than the physical height (h_p) in order to excite the far-out component of the Hankel function. With the charge terminal T_1 positioned at or above the effective height (h_{eff}), the lossy conducting medium **203** can be illuminated at the Brewster angle of incidence ($\psi_{i,B}=(\pi/2)-\theta_{i,B}$) at or beyond the Hankel crossover distance (R_x) **121** as illustrated in FIG. 5A. To reduce or minimize the bound charge on the charge terminal T_1 , the height should be at least four times the spherical diameter (or equivalent spherical diameter) of the charge terminal T_1 as mentioned above.

A guided surface waveguide probe **200** can be configured to establish an electric field having a wave tilt that corresponds to a wave illuminating the surface of the lossy conducting medium **203** at a complex Brewster angle, thereby exciting radial surface currents by substantially mode-matching to a guided surface wave mode at (or beyond) the Hankel crossover point **121** at R_x .

Referring to FIG. 7, shown is a graphical representation of an example of a guided surface waveguide probe **200b** that includes a charge terminal T_1 . An AC source **212** acts as the excitation source for the charge terminal T_1 , which is coupled to the guided surface waveguide probe **200b** through a feed network **209** (FIG. 3) comprising a coil **215** such as, e.g., a helical coil. In other implementations, the AC source **212** can be inductively coupled to the coil **215** through a primary coil. In some embodiments, an impedance matching network may be included to improve and/or maximize coupling of the AC source **212** to the coil **215**.

As shown in FIG. 7, the guided surface waveguide probe **200b** can include the upper charge terminal T_1 (e.g., a sphere at height h_p) that is positioned along a vertical axis z that is substantially normal to the plane presented by the lossy conducting medium **203**. A second medium **206** is located above the lossy conducting medium **203**. The charge terminal T_1 has a self-capacitance C_T . During operation, charge Q_1 is imposed on the terminal T_1 depending on the voltage applied to the terminal T_1 at any given instant.

In the example of FIG. 7, the coil **215** is coupled to a ground stake **218** at a first end and to the charge terminal T_1 via a vertical feed line conductor **221**. In some implementations, the coil connection to the charge terminal T_1 can be adjusted using a tap **224** of the coil **215** as shown in FIG. 7. The coil **215** can be energized at an operating frequency by the AC source **212** through a tap **227** at a lower portion of the coil **215**. In other implementations, the AC source **212** can be inductively coupled to the coil **215** through a primary coil.

The construction and adjustment of the guided surface waveguide probe **200** is based upon various operating con-

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ditions, such as the transmission frequency, conditions of the lossy conducting medium (e.g., soil conductivity σ and relative permittivity ϵ_r), and size of the charge terminal T_1 . The index of refraction can be calculated from Equations (10) and (11) as

$$n=\sqrt{\epsilon_r-j\chi}, \quad (41)$$

where $\chi=\sigma/\omega\epsilon_0$ with $\omega=2\pi f$. The conductivity σ and relative permittivity ϵ_r can be determined through test measurements of the lossy conducting medium **203**. The complex Brewster angle ($\theta_{i,B}$) measured from the surface normal can also be determined from Equation (26) as

$$\theta_{i,B}=\arctan(\sqrt{\epsilon_r-j\chi}), \quad (42)$$

or measured from the surface as shown in FIG. 5A as

$$\psi_{i,B}=\frac{\pi}{2}-\theta_{i,B}. \quad (43)$$

The wave tilt at the Hankel crossover distance (W_{R_x}) can also be found using Equation (40).

The Hankel crossover distance can also be found by equating the magnitudes of Equations (20b) and (21) for $-j\gamma\rho$, and solving for R_x as illustrated by FIG. 4. The electrical effective height can then be determined from Equation (39) using the Hankel crossover distance and the complex Brewster angle as

$$h_{eff}=h_p e^{j\Phi}=R_x \tan \psi_{i,B}. \quad (44)$$

As can be seen from Equation (44), the complex effective height (h_{eff}) includes a magnitude that is associated with the physical height (h_p) of the charge terminal T_1 and a phase delay (Φ) that is to be associated with the angle (Ψ) of the wave tilt at the Hankel crossover distance (R_x). With these variables and the selected charge terminal T_1 configuration, it is possible to determine the configuration of a guided surface waveguide probe **200**.

With the charge terminal T_1 positioned at or above the physical height (h_p), the feed network **209** (FIG. 3) and/or the vertical feed line connecting the feed network to the charge terminal T_1 can be adjusted to match the phase (Φ) of the charge Q_1 on the charge terminal T_1 to the angle (Ψ) of the wave tilt (W). The size of the charge terminal T_1 can be chosen to provide a sufficiently large surface for the charge Q_1 imposed on the terminals. In general, it is desirable to make the charge terminal T_1 as large as practical. The size of the charge terminal T_1 should be large enough to avoid ionization of the surrounding air, which can result in electrical discharge or sparking around the charge terminal.

The phase delay θ_c of a helically-wound coil can be determined from Maxwell's equations as has been discussed by Corum, K. L. and J. F. Corum, "RF Coils, Helical Resonators and Voltage Magnification by Coherent Spatial Modes," *Microwave Review*, Vol. 7, No. 2, September 2001, pp. 36-45., which is incorporated herein by reference in its entirety. For a helical coil with $H/D>1$, the ratio of the velocity of propagation (v) of a wave along the coil's longitudinal axis to the speed of light (c), or the "velocity factor," is given by

$$V_f=\frac{v}{c}=\frac{1}{\sqrt{1+20\left(\frac{D}{s}\right)^{2.5}\left(\frac{D}{\lambda_0}\right)^{0.5}}}, \quad (45)$$

where H is the axial length of the solenoidal helix, D is the coil diameter, N is the number of turns of the coil, $s=H/N$ is the turn-to-turn spacing (or helix pitch) of the coil, and λ_0 is the free-space wavelength. Based upon this relationship, the electrical length, or phase delay, of the helical coil is given by

$$\theta_c = \beta_p H = \frac{2\pi}{\lambda_p} H = \frac{2\pi}{V_f \lambda_0} H. \quad (46)$$

The principle is the same if the helix is wound spirally or is short and fat, but V_f and θ_c are easier to obtain by experimental measurement. The expression for the characteristic (wave) impedance of a helical transmission line has also been derived as

$$Z_c = \frac{60}{V_f} \left[\ln \left(\frac{V_f \lambda_0}{D} \right) - 1.027 \right]. \quad (47)$$

The spatial phase delay θ_y of the structure can be determined using the traveling wave phase delay of the vertical feed line conductor **221** (FIG. 7). The capacitance of a cylindrical vertical conductor above a perfect ground plane can be expressed as

$$C_A = \frac{2\pi\epsilon_0 h_w}{\ln \left(\frac{h_w}{a} \right) - 1} \text{ Farads}, \quad (48)$$

where h_w is the vertical length (or height) of the conductor and a is the radius (in mks units). As with the helical coil, the traveling wave phase delay of the vertical feed line conductor can be given by

$$\theta_y = \beta_w h_w = \frac{2\pi}{\lambda_w} h_w = \frac{2\pi}{V_w \lambda_0} h_w, \quad (49)$$

where β_w is the propagation phase constant for the vertical feed line conductor, h_w is the vertical length (or height) of the vertical feed line conductor, V_w is the velocity factor on the wire, λ_0 is the wavelength at the supplied frequency, and λ_w is the propagation wavelength resulting from the velocity factor V_w . For a uniform cylindrical conductor, the velocity factor is a constant with $V_w \approx 0.94$, or in a range from about 0.93 to about 0.98. If the mast is considered to be a uniform transmission line, its average characteristic impedance can be approximated by

$$Z_w = \frac{60}{V_w} \left[\ln \left(\frac{h_w}{a} \right) - 1 \right], \quad (50)$$

where $V_w \approx 0.94$ for a uniform cylindrical conductor and a is the radius of the conductor. An alternative expression that has been employed in amateur radio literature for the characteristic impedance of a single-wire feed line can be given by

$$Z_w = 138 \log \left(\frac{1.123 V_w \lambda_0}{2\pi a} \right). \quad (51)$$

Equation (51) implies that Z_w for a single-wire feeder varies with frequency. The phase delay can be determined based upon the capacitance and characteristic impedance.

With a charge terminal T_1 positioned over the lossy conducting medium **203** as shown in FIG. 3, the feed network **209** can be adjusted to excite the charge terminal T_1 with the phase shift (Φ) of the complex effective height (h_{eff}) equal to the angle (Ψ) of the wave tilt at the Hankel crossover distance, or $\Phi=\Psi$. When this condition is met, the electric field produced by the charge oscillating Q_1 on the charge terminal T_1 is coupled into a guided surface waveguide mode traveling along the surface of a lossy conducting medium **203**. For example, if the Brewster angle (θ_{iB}), the phase delay (θ_y) associated with the vertical feed line conductor **221** (FIG. 7), and the configuration of the coil **215** (FIG. 7) are known, then the position of the tap **224** (FIG. 7) can be determined and adjusted to impose an oscillating charge Q_1 on the charge terminal T_1 with phase $\Phi=\Psi$. The position of the tap **224** may be adjusted to maximize coupling the traveling surface waves into the guided surface waveguide mode. Excess coil length beyond the position of the tap **224** can be removed to reduce the capacitive effects. The vertical wire height and/or the geometrical parameters of the helical coil may also be varied.

The coupling to the guided surface waveguide mode on the surface of the lossy conducting medium **203** can be improved and/or optimized by tuning the guided surface waveguide probe **200** for standing wave resonance with respect to a complex image plane associated with the charge Q_1 on the charge terminal T_1 . By doing this, the performance of the guided surface waveguide probe **200** can be adjusted for increased and/or maximum voltage (and thus charge Q_1) on the charge terminal T_1 . Referring back to FIG. 3, the effect of the lossy conducting medium **203** in Region 1 can be examined using image theory analysis.

Physically, an elevated charge Q_1 placed over a perfectly conducting plane attracts the free charge on the perfectly conducting plane, which then "piles up" in the region under the elevated charge Q_1 . The resulting distribution of "bound" electricity on the perfectly conducting plane is similar to a bell-shaped curve. The superposition of the potential of the elevated charge Q_1 , plus the potential of the induced "piled up" charge beneath it, forces a zero equipotential surface for the perfectly conducting plane. The boundary value problem solution that describes the fields in the region above the perfectly conducting plane may be obtained using the classical notion of image charges, where the field from the elevated charge is superimposed with the field from a corresponding "image" charge below the perfectly conducting plane.

This analysis may also be used with respect to a lossy conducting medium **203** by assuming the presence of an effective image charge Q_1' beneath the guided surface waveguide probe **200**. The effective image charge Q_1' coincides with the charge Q_1 on the charge terminal T_1 about a conducting image ground plane **130**, as illustrated in FIG. 3. However, the image charge Q_1' is not merely located at some real depth and 180° out of phase with the primary source charge Q_1 on the charge terminal T_1 , as they would be in the case of a perfect conductor. Rather, the lossy conducting medium **203** (e.g., a terrestrial medium) presents a phase shifted image. That is to say, the image charge Q_1' is at a

complex depth below the surface (or physical boundary) of the lossy conducting medium **203**. For a discussion of complex image depth, reference is made to Wait, J. R., "Complex Image Theory—Revisited," *IEEE Antennas and Propagation Magazine*, Vol. 33, No. 4, August 1991, pp. 27-29, which is incorporated herein by reference in its entirety.

Instead of the image charge Q_1' being at a depth that is equal to the physical height (H_1) of the charge Q_1 , the conducting image ground plane **130** (representing a perfect conductor) is located at a complex depth of $z=-d/2$ and the image charge Q_1' appears at a complex depth (i.e., the "depth" has both magnitude and phase), given by $-D_1=-(d/2+d/2+H_1)\neq H_1$. For vertically polarized sources over the Earth,

$$d = \frac{\sqrt{\gamma_e^2 + k_o^2}}{\gamma_e} \approx \frac{2}{\gamma_e} = d_r + jd_i = |d| \angle \zeta, \text{ where} \quad (52)$$

$$\gamma_e^2 = j\omega\mu_1\sigma_1 - \omega^2\mu_1\epsilon_1, \text{ and} \quad (53)$$

$$k_o = \omega\sqrt{\mu_o\epsilon_o}, \quad (54)$$

as indicated in Equation (12). The complex spacing of the image charge, in turn, implies that the external field will experience extra phase shifts not encountered when the interface is either a dielectric or a perfect conductor. In the lossy conducting medium, the wave front normal is parallel to the tangent of the conducting image ground plane **130** at $z=-d/2$, and not at the boundary interface between Regions **1** and **2**.

Consider the case illustrated in FIG. **8A** where the lossy conducting medium **203** is a finitely conducting Earth **133** with a physical boundary **136**. The finitely conducting Earth **133** may be replaced by a perfectly conducting image ground plane **139** as shown in FIG. **8B**, which is located at a complex depth z_1 below the physical boundary **136**. This equivalent representation exhibits the same impedance when looking down into the interface at the physical boundary **136**. The equivalent representation of FIG. **8B** can be modeled as an equivalent transmission line, as shown in FIG. **8C**. The cross-section of the equivalent structure is represented as a (z -directed) end-loaded transmission line, with the impedance of the perfectly conducting image plane being a short circuit ($z_s=0$). The depth z_1 can be determined by equating the TEM wave impedance looking down at the Earth to an image ground plane impedance Z_{in} seen looking into the transmission line of FIG. **8C**.

In the case of FIG. **8A**, the propagation constant and wave intrinsic impedance in the upper region (air) **142** are

$$\gamma_o = j\omega\sqrt{\mu_o\epsilon_o} = 0 + j\beta_o, \text{ and} \quad (55)$$

$$z_o = \frac{j\omega\mu_o}{\gamma_o} = \sqrt{\frac{\mu_o}{\epsilon_o}}. \quad (56)$$

In the lossy Earth **133**, the propagation constant and wave intrinsic impedance are

$$\gamma_e = \sqrt{j\omega\mu_1(\sigma_1 + j\omega\epsilon_1)}, \text{ and} \quad (57)$$

-continued

$$Z_e = \frac{j\omega\mu_1}{\gamma_e}. \quad (58)$$

For normal incidence, the equivalent representation of FIG. **8B** is equivalent to a TEM transmission line whose characteristic impedance is that of air (z_o), with propagation constant of γ_o , and whose length is z_1 . As such, the image ground plane impedance Z_{in} seen at the interface for the shorted transmission line of FIG. **8C** is given by

$$Z_{in} = Z_o \tan h(\gamma_o z_1). \quad (59)$$

Equating the image ground plane impedance Z_{in} associated with the equivalent model of FIG. **8C** to the normal incidence wave impedance of FIG. **8A** and solving for z_1 gives the distance to a short circuit (the perfectly conducting image ground plane **139**) as

$$z_1 = \frac{1}{\gamma_o} \tanh^{-1}\left(\frac{z_e}{z_o}\right) = \frac{1}{\gamma_o} \tanh^{-1}\left(\frac{\gamma_o}{\gamma_e}\right) \approx \frac{1}{\gamma_e}, \quad (60)$$

where only the first term of the series expansion for the inverse hyperbolic tangent is considered for this approximation. Note that in the air region **142**, the propagation constant is $\gamma_o=j\beta_o$, so $Z_{in}=jZ_o \tan \beta_o z_1$ (which is a purely imaginary quantity for a real z_1), but z_e is a complex value if $\sigma \neq 0$. Therefore, $Z_{in}=Z_e$ only when z_1 is a complex distance.

Since the equivalent representation of FIG. **8B** includes a perfectly conducting image ground plane **139**, the image depth for a charge or current lying at the surface of the Earth (physical boundary **136**) is equal to distance z_1 on the other side of the image ground plane **139**, or $d=2 \times z_1$ beneath the Earth's surface (which is located at $z=0$). Thus, the distance to the perfectly conducting image ground plane **139** can be approximated by

$$d = 2z_1 \approx \frac{2}{\gamma_e}. \quad (61)$$

Additionally, the "image charge" will be "equal and opposite" to the real charge, so the potential of the perfectly conducting image ground plane **139** at depth $z_1=-d/2$ will be zero.

If a charge Q_1 is elevated a distance H_1 above the surface of the Earth as illustrated in FIG. **3**, then the image charge Q_1' resides at a complex distance of $D_1=d+H_1$ below the surface, or a complex distance of $d/2+H_1$ below the image ground plane **130**. The guided surface waveguide probe **200b** of FIG. **7** can be modeled as an equivalent single-wire transmission line image plane model that can be based upon the perfectly conducting image ground plane **139** of FIG. **8B**. FIG. **9A** shows an example of the equivalent single-wire transmission line image plane model, and FIG. **9B** illustrates an example of the equivalent classic transmission line model, including the shorted transmission line of FIG. **8C**.

In the equivalent image plane models of FIGS. **9A** and **9B**, $\Phi=\theta_v+\theta_e$ is the traveling wave phase delay of the guided surface waveguide probe **200** referenced to Earth **133** (or the lossy conducting medium **203**), $\theta_e=\beta_p H$ is the electrical length of the coil **215** (FIG. **7**), of physical length H , expressed in degrees, $\theta_v=\beta_w h_w$ is the electrical length of the vertical feed line conductor **221** (FIG. **7**), of physical length

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h_w , expressed in degrees, and $\theta_d = \beta_0 d/2$ is the phase shift between the image ground plane **139** and the physical boundary **136** of the Earth **133** (or lossy conducting medium **203**). In the example of FIGS. 9A and 9B, Z_w is the characteristic impedance of the elevated vertical feed line conductor **221** in ohms, Z_c is the characteristic impedance of the coil **215** in ohms, and Z_0 is the characteristic impedance of free space.

At the base of the guided surface waveguide probe **200**, the impedance seen “looking up” into the structure is $Z_{\uparrow} = Z_{base}$. With a load impedance of:

$$Z_L = \frac{1}{j\omega C_T}, \quad (62)$$

where C_T is the self-capacitance of the charge terminal T_1 , the impedance seen “looking up” into the vertical feed line conductor **221** (FIG. 7) is given by:

$$Z_L = Z_w \frac{Z_L + Z_w \tanh(j\beta_w h_w)}{Z_w + Z_L \tanh(j\beta_w h_w)} = Z_w \frac{Z_L + Z_w \tanh(j\theta_y)}{Z_w + Z_L \tanh(j\theta_y)}, \quad (63)$$

and the impedance seen “looking up” into the coil **215** (FIG. 7) is given by:

$$Z_{base} = Z_c \frac{Z_2 + Z_c \tanh(j\beta_p H)}{Z_c + Z_2 \tanh(j\beta_p H)} = Z_c \frac{Z_2 + Z_c \tanh(j\theta_c)}{Z_c + Z_2 \tanh(j\theta_c)}. \quad (64)$$

At the base of the guided surface waveguide probe **200**, the impedance seen “looking down” into the lossy conducting medium **203** is $Z_{\downarrow} = Z_{in}$, which is given by:

$$Z_{in} = Z_0 \frac{Z_s + Z_0 \tanh[j\beta_0 (d/2)]}{Z_0 + Z_s \tanh[j\beta_0 (d/2)]} = Z_0 \tanh(j\theta_d), \quad (65)$$

where $Z_s = 0$.

Neglecting losses, the equivalent image plane model can be tuned to resonance when $Z_{\downarrow} + Z_{\uparrow} = 0$ at the physical boundary **136**. Or, in the low loss case, $X_{\downarrow} + X_{\uparrow} = 0$ at the physical boundary **136**, where X is the corresponding reactive component. Thus, the impedance at the physical boundary **136** “looking up” into the guided surface waveguide probe **200** is the conjugate of the impedance at the physical boundary **136** “looking down” into the lossy conducting medium **203**. By adjusting the load impedance Z_L of the charge terminal T_1 while maintaining the traveling wave phase delay Φ equal to the angle of the media’s wave tilt Ψ , so that $\Phi = \Psi$, which improves and/or maximizes coupling of the probe’s electric field to a guided surface waveguide mode along the surface of the lossy conducting medium **203** (e.g., Earth), the equivalent image plane models of FIGS. 9A and 9B can be tuned to resonance with respect to the image ground plane **139**. In this way, the impedance of the equivalent complex image plane model is purely resistive, which maintains a superposed standing wave on the probe structure that maximizes the voltage and elevated charge on terminal T_1 , and by equations (1)-(3) and (16) maximizes the propagating surface wave.

It follows from the Hankel solutions, that the guided surface wave excited by the guided surface waveguide probe

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200 is an outward propagating traveling wave. The source distribution along the feed network **209** between the charge terminal T_1 and the ground stake **218** of the guided surface waveguide probe **200** (FIGS. 3 and 7) is actually composed of a superposition of a traveling wave plus a standing wave on the structure. With the charge terminal T_1 positioned at or above the physical height h_p , the phase delay of the traveling wave moving through the feed network **209** is matched to the angle of the wave tilt associated with the lossy conducting medium **203**. This mode-matching allows the traveling wave to be launched along the lossy conducting medium **203**. Once the phase delay has been established for the traveling wave, the load impedance Z_L of the charge terminal T_1 is adjusted to bring the probe structure into standing wave resonance with respect to the image ground plane (**130** of FIG. 3 or **139** of FIG. 8), which is at a complex depth of $-d/2$. In that case, the impedance seen from the image ground plane has zero reactance and the charge on the charge terminal T_1 is maximized.

The distinction between the traveling wave phenomenon and standing wave phenomena is that (1) the phase delay of traveling waves ($\theta = \beta d$) on a section of transmission line of length d (sometimes called a “delay line”) is due to propagation time delays; whereas (2) the position-dependent phase of standing waves (which are composed of forward and backward propagating waves) depends on both the line length propagation time delay and impedance transitions at interfaces between line sections of different characteristic impedances. In addition to the phase delay that arises due to the physical length of a section of transmission line operating in sinusoidal steady-state, there is an extra reflection coefficient phase at impedance discontinuities that is due to the ratio of Z_{0a}/Z_{0b} , where Z_{0a} and Z_{0b} are the characteristic impedances of two sections of a transmission line such as, e.g., a helical coil section of characteristic impedance $Z_{0a} = Z_c$ (FIG. 9B) and a straight section of vertical feed line conductor of characteristic impedance $Z_{0b} = Z_w$ (FIG. 9B).

As a result of this phenomenon, two relatively short transmission line sections of widely differing characteristic impedance may be used to provide a very large phase shift. For example, a probe structure composed of two sections of transmission line, one of low impedance and one of high impedance, together totaling a physical length of, say, 0.05λ , may be fabricated to provide a phase shift of 90° which is equivalent to a 0.25λ resonance. This is due to the large jump in characteristic impedances. In this way, a physically short probe structure can be electrically longer than the two physical lengths combined. This is illustrated in FIGS. 9A and 9B, where the discontinuities in the impedance ratios provide large jumps in phase. The impedance discontinuity provides a substantial phase shift where the sections are joined together.

Referring to FIG. 10, shown is a flow chart **150** illustrating an example of adjusting a guided surface waveguide probe **200** (FIGS. 3 and 7) to substantially mode-match to a guided surface waveguide mode on the surface of the lossy conducting medium, which launches a guided surface traveling wave along the surface of a lossy conducting medium **203** (FIG. 3). Beginning with **153**, the charge terminal T_1 of the guided surface waveguide probe **200** is positioned at a defined height above a lossy conducting medium **203**. Utilizing the characteristics of the lossy conducting medium **203** and the operating frequency of the guided surface waveguide probe **200**, the Hankel crossover distance can also be found by equating the magnitudes of Equations (20b) and (21) for $-j\gamma\rho$, and solving for R_x as illustrated by FIG. 4. The complex index of refraction (n) can be determined

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using Equation (41), and the complex Brewster angle ($\theta_{i,B}$) can then be determined from Equation (42). The physical height (h_p) of the charge terminal T_1 can then be determined from Equation (44). The charge terminal T_1 should be at or higher than the physical height (h_p) in order to excite the far-out component of the Hankel function. This height relationship is initially considered when launching surface waves. To reduce or minimize the bound charge on the charge terminal T_1 , the height should be at least four times the spherical diameter (or equivalent spherical diameter) of the charge terminal T_1 .

At 156, the electrical phase delay Φ of the elevated charge Q_1 on the charge terminal T_1 is matched to the complex wave tilt angle Ψ . The phase delay (θ_c) of the helical coil and/or the phase delay (θ_v) of the vertical feed line conductor can be adjusted to make Φ equal to the angle (Ψ) of the wave tilt (W). Based on Equation (31), the angle (Ψ) of the wave tilt can be determined from:

$$W = \frac{E_\rho}{E_z} = \frac{1}{\tan \theta_{i,B}} = \frac{1}{n} = |W|e^{j\Psi}. \quad (66)$$

The electrical phase Φ can then be matched to the angle of the wave tilt. This angular (or phase) relationship is next considered when launching surface waves. For example, the electrical phase delay $\Phi = \theta_c + \theta_v$, can be adjusted by varying the geometrical parameters of the coil 215 (FIG. 7) and/or the length (or height) of the vertical feed line conductor 221 (FIG. 7). By matching $\Phi = \Psi$, an electric field can be established at or beyond the Hankel crossover distance (R_c) with a complex Brewster angle at the boundary interface to excite the surface waveguide mode and launch a traveling wave along the lossy conducting medium 203.

Next at 159, the load impedance of the charge terminal T_1 is tuned to resonate the equivalent image plane model of the guided surface waveguide probe 200. The depth ($d/2$) of the conducting image ground plane 139 of FIGS. 9A and 9B (or 130 of FIG. 3) can be determined using Equations (52), (53) and (54) and the values of the lossy conducting medium 203 (e.g., the Earth), which can be measured. Using that depth, the phase shift (θ_d) between the image ground plane 139 and the physical boundary 136 of the lossy conducting medium 203 can be determined using $\theta_d = \beta_0 d/2$. The impedance (Z_{in}) as seen "looking down" into the lossy conducting medium 203 can then be determined using Equation (65). This resonance relationship can be considered to maximize the launched surface waves.

Based upon the adjusted parameters of the coil 215 and the length of the vertical feed line conductor 221, the velocity factor, phase delay, and impedance of the coil 215 and vertical feed line conductor 221 can be determined using Equations (45) through (51). In addition, the self-capacitance (C_T) of the charge terminal T_1 can be determined using, e.g., Equation (24). The propagation factor (β_p) of the coil 215 can be determined using Equation (35) and the propagation phase constant (β_w) for the vertical feed line conductor 221 can be determined using Equation (49). Using the self-capacitance and the determined values of the coil 215 and vertical feed line conductor 221, the impedance (Z_{base}) of the guided surface waveguide probe 200 as seen "looking up" into the coil 215 can be determined using Equations (62), (63) and (64).

The equivalent image plane model of the guided surface waveguide probe 200 can be tuned to resonance by adjusting the load impedance Z_L such that the reactance component

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X_{base} of Z_{base} cancels out the reactance component X_{in} of Z_{in} , or $X_{base} X_{in} = 0$. Thus, the impedance at the physical boundary 136 "looking up" into the guided surface waveguide probe 200 is the conjugate of the impedance at the physical boundary 136 "looking down" into the lossy conducting medium 203. The load impedance Z_L can be adjusted by varying the capacitance (C_T) of the charge terminal T_1 without changing the electrical phase delay $\Phi = \theta_c + \theta_v$ of the charge terminal T_1 . An iterative approach may be taken to tune the load impedance Z_L for resonance of the equivalent image plane model with respect to the conducting image ground plane 139 (or 130). In this way, the coupling of the electric field to a guided surface waveguide mode along the surface of the lossy conducting medium 203 (e.g., Earth) can be improved and/or maximized.

This may be better understood by illustrating the situation with a numerical example. Consider a guided surface waveguide probe 200 comprising a top-loaded vertical stub of physical height h_p with a charge terminal T_1 at the top, where the charge terminal T_1 is excited through a helical coil and vertical feed line conductor at an operational frequency (f_0) of 1.85 MHz. With a height (H_1) of 16 feet and the lossy conducting medium 203 (e.g., Earth) having a relative permittivity of $\epsilon_r = 15$ and a conductivity of $\sigma_1 = 0.010$ mhos/m, several surface wave propagation parameters can be calculated for $f_0 = 1.850$ MHz. Under these conditions, the Hankel crossover distance can be found to be $R_c = 54.5$ feet with a physical height of $h_p = 5.5$ feet, which is well below the actual height of the charge terminal T_1 . While a charge terminal height of $H_1 = 5.5$ feet could have been used, the taller probe structure reduced the bound capacitance, permitting a greater percentage of free charge on the charge terminal T_1 providing greater field strength and excitation of the traveling wave.

The wave length can be determined as:

$$\lambda_o = \frac{c}{f_0} = 162.162 \text{ meters}, \quad (67)$$

where c is the speed of light. The complex index of refraction is:

$$n = \sqrt{\epsilon_r - j\sigma/\omega\epsilon_0} = 7.529 - j6.546, \quad (68)$$

from Equation (41), where $x = \sigma_1/\omega\epsilon_0$ with $\omega = 2\pi f_0$, and the complex Brewster angle is:

$$\theta_{i,B} = \arctan(\sqrt{\epsilon_r - j\sigma/\omega\epsilon_0}) = 85.6 - j3.744^\circ. \quad (69)$$

from Equation (42). Using Equation (66), the wave tilt values can be determined to be:

$$W = \frac{1}{\tan \theta_{i,B}} = \frac{1}{n} = |W|e^{j\Psi} = 0.101e^{j40.614^\circ}. \quad (70)$$

Thus, the helical coil can be adjusted to match $\Phi = \Psi = 40.614^\circ$

The velocity factor of the vertical feed line conductor (approximated as a uniform cylindrical conductor with a diameter of 0.27 inches) can be given as $V_w \approx 0.93$. Since $h_p \ll \lambda_{w0}$, the propagation phase constant for the vertical feed line conductor can be approximated as:

$$\beta_w = \frac{2\pi}{\lambda_w} = \frac{2\pi}{V_w \lambda_0} = 0.042 \text{ m}^{-1}. \quad (71)$$

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From Equation (49) the phase delay of the vertical feed line conductor is:

$$\theta_y = \beta_{y,h_m} \approx \beta_{y,h_p} = 11.640^\circ. \quad (72)$$

By adjusting the phase delay of the helical coil so that $\theta_c = 28.974^\circ = 40.614^\circ - 11.640^\circ$, Φ will equal Ψ to match the guided surface waveguide mode. To illustrate the relationship between Φ and Ψ , FIG. 11 shows a plot of both over a range of frequencies. As both Φ and Ψ are frequency dependent, it can be seen that their respective curves cross over each other at approximately 1.85 MHz.

For a helical coil having a conductor diameter of 0.0881 inches, a coil diameter (D) of 30 inches and a turn-to-turn spacing (s) of 4 inches, the velocity factor for the coil can be determined using Equation (45) as:

$$V_f = \frac{1}{\sqrt{1 + 20\left(\frac{D}{s}\right)^{2.5} \left(\frac{D}{\lambda_0}\right)^{0.5}}} = 0.069, \quad (73)$$

and the propagation factor from Equation (35) is:

$$\beta_p = \frac{2\pi}{V_f \lambda_0} = 0.564 \text{ m}^{-1}. \quad (74)$$

With $\theta_c = 28.974^\circ$, the axial length of the solenoidal helix (H) can be determined using Equation (46) such that:

$$H = \frac{\theta_c}{\beta_p} = 35.2732 \text{ inches}. \quad (75)$$

This height determines the location on the helical coil where the vertical feed line conductor is connected, resulting in a coil with 8.818 turns ($N=H/s$).

With the traveling wave phase delay of the coil and vertical feed line conductor adjusted to match the wave tilt angle ($\Phi = \theta_c + \theta_y = \Psi$), the load impedance (Z_L) of the charge terminal T_1 can be adjusted for standing wave resonance of the equivalent image plane model of the guided surface wave probe 200. From the measured permittivity, conductivity and permeability of the Earth, the radial propagation constant can be determined using Equation (57)

$$\gamma_e = \sqrt{j\omega\mu_1(\sigma_1 + j\omega\epsilon_1)} = 0.25 + j0.292 \text{ m}^{-1}, \quad (76)$$

And the complex depth of the conducting image ground plane can be approximated from Equation (52) as:

$$d \approx \frac{2}{\gamma_e} = 3.364 + j3.963 \text{ meters}, \quad (77)$$

with a corresponding phase shift between the conducting image ground plane and the physical boundary of the Earth given by:

$$\theta_d = \beta_0(d/2) = 4.015 - j4.73^\circ. \quad (78)$$

Using Equation (65), the impedance seen “looking down” into the lossy conducting medium 203 (i.e., Earth) can be determined as:

$$Z_m = Z_0 \tan h(j\theta_d) = R_m + jX_m = 31.191 + j26.27 \text{ ohms}. \quad (79)$$

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By matching the reactive component (X_m) seen “looking down” into the lossy conducting medium 203 with the reactive component (X_{base}) seen “looking up” into the guided surface wave probe 200, the coupling into the guided surface waveguide mode may be maximized. This can be accomplished by adjusting the capacitance of the charge terminal T_1 without changing the traveling wave phase delays of the coil and vertical feed line conductor. For example, by adjusting the charge terminal capacitance (C_T) to 61.8126 pF, the load impedance from Equation (62) is:

$$Z_L = \frac{1}{j\omega C_T} = -j1392 \text{ ohms}, \quad (80)$$

and the reactive components at the boundary are matched.

Using Equation (51), the impedance of the vertical feed line conductor (having a diameter (2a) of 0.27 inches) is given as

$$Z_w = 138 \log \left(\frac{1.123 V_w \lambda_0}{2\pi a} \right) = 537.435 \text{ ohms}, \quad (81)$$

and the impedance seen “looking up” into the vertical feed line conductor is given by Equation (63) as:

$$Z_2 = Z_w \frac{Z_L + Z_w \tanh(j\theta_y)}{Z_w + Z_L \tanh(j\theta_y)} = -j835.438 \text{ ohms}. \quad (82)$$

Using Equation (47), the characteristic impedance of the helical coil is given as

$$Z_c = \frac{60}{V_f} \left[\ln \left(\frac{V_f \lambda_0}{D} \right) - 1.027 \right] = 1446 \text{ ohms}, \quad (83)$$

and the impedance seen “looking up” into the coil at the base is given by Equation (64) as:

$$Z_{base} = Z_c \frac{Z_2 + Z_c \tanh(j\theta_c)}{Z_c + Z_2 \tanh(j\theta_c)} = -j26.271 \text{ ohms}. \quad (84)$$

When compared to the solution of Equation (79), it can be seen that the reactive components are opposite and approximately equal, and thus are conjugates of each other. Thus, the impedance (Z_{ip}) seen “looking up” into the equivalent image plane model of FIGS. 9A and 9B from the perfectly conducting image ground plane is only resistive or $Z_{ip} = R + j0$.

When the electric fields produced by a guided surface waveguide probe 200 (FIG. 3) are established by matching the traveling wave phase delay of the feed network to the wave tilt angle and the probe structure is resonated with respect to the perfectly conducting image ground plane at complex depth $z = -d/2$, the fields are substantially mode-matched to a guided surface waveguide mode on the surface of the lossy conducting medium, a guided surface traveling wave is launched along the surface of the lossy conducting medium. As illustrated in FIG. 1, the guided field strength curve 103 of the guided electromagnetic field has a charac-

teristic exponential decay of $e^{-\alpha d/\sqrt{d}}$ and exhibits a distinctive knee **109** on the log-log scale.

In summary, both analytically and experimentally, the traveling wave component on the structure of the guided surface waveguide probe **200** has a phase delay (Φ) at its upper terminal that matches the angle (Ψ) of the wave tilt of the surface traveling wave ($\Phi=\Psi$). Under this condition, the surface waveguide may be considered to be “mode-matched”. Furthermore, the resonant standing wave component on the structure of the guided surface waveguide probe **200** has a V_{MAX} at the charge terminal T_1 and a V_{MIN} down at the image plane **139** (FIG. 8B) where $Z_{ip}=R_{ip}+j0$ at a complex depth of $z=-d/2$, not at the connection at the physical boundary **136** of the lossy conducting medium **203** (FIG. 8B). Lastly, the charge terminal T_1 is of sufficient height H_1 of FIG. 3 ($h \geq R_x \tan \psi_{i,B}$) so that electromagnetic waves incident onto the lossy conducting medium **203** at the complex Brewster angle do so out at a distance ($\geq R_x$) where the $1/\sqrt{r}$ term is predominant. Receive circuits can be utilized with one or more guided surface waveguide probes to facilitate wireless transmission and/or power delivery systems.

Referring back to FIG. 3, operation of a guided surface waveguide probe **200** may be controlled to adjust for variations in operational conditions associated with the guided surface waveguide probe **200**. For example, an adaptive probe control system **230** can be used to control the feed network **209** and/or the charge terminal T_1 to control the operation of the guided surface waveguide probe **200**. Operational conditions can include, but are not limited to, variations in the characteristics of the lossy conducting medium **203** (e.g., conductivity σ and relative permittivity ϵ_r), variations in field strength and/or variations in loading of the guided surface waveguide probe **200**. As can be seen from Equations (31), (41) and (42), the index of refraction (n), the complex Brewster angle ($\theta_{i,B}$), and the wave tilt ($|W|e^{\Psi}$) can be affected by changes in soil conductivity and permittivity resulting from, e.g., weather conditions.

Equipment such as, e.g., conductivity measurement probes, permittivity sensors, ground parameter meters, field meters, current monitors and/or load receivers can be used to monitor for changes in the operational conditions and provide information about current operational conditions to the adaptive probe control system **230**. The probe control system **230** can then make one or more adjustments to the guided surface waveguide probe **200** to maintain specified operational conditions for the guided surface waveguide probe **200**. For instance, as the moisture and temperature vary, the conductivity of the soil will also vary. Conductivity measurement probes and/or permittivity sensors may be located at multiple locations around the guided surface waveguide probe **200**. Generally, it would be desirable to monitor the conductivity and/or permittivity at or about the Hankel crossover distance R_x for the operational frequency. Conductivity measurement probes and/or permittivity sensors may be located at multiple locations (e.g., in each quadrant) around the guided surface waveguide probe **200**.

The conductivity measurement probes and/or permittivity sensors can be configured to evaluate the conductivity and/or permittivity on a periodic basis and communicate the information to the probe control system **230**. The information may be communicated to the probe control system **230** through a network such as, but not limited to, a LAN, WLAN, cellular network, or other appropriate wired or wireless communication network. Based upon the monitored conductivity and/or permittivity, the probe control system **230** may evaluate the variation in the index of refraction (n),

the complex Brewster angle ($\theta_{i,B}$), and/or the wave tilt ($|W|e^{\Psi}$) and adjust the guided surface waveguide probe **200** to maintain the phase delay (Φ) of the feed network **209** equal to the wave tilt angle (Ψ) and/or maintain resonance of the equivalent image plane model of the guided surface waveguide probe **200**. This can be accomplished by adjusting, e.g., θ_y , θ_c and/or C_T . For instance, the probe control system **230** can adjust the self-capacitance of the charge terminal T_1 and/or the phase delay (θ_y , θ_c) applied to the charge terminal T_1 to maintain the electrical launching efficiency of the guided surface wave at or near its maximum. For example, the self-capacitance of the charge terminal T_1 can be varied by changing the size of the terminal. The charge distribution can also be improved by increasing the size of the charge terminal T_1 , which can reduce the chance of an electrical discharge from the charge terminal T_1 . In other embodiments, the charge terminal T_1 can include a variable inductance that can be adjusted to change the load impedance Z_L . The phase applied to the charge terminal T_1 can be adjusted by varying the tap position on the coil **215** (FIG. 7), and/or by including a plurality of predefined taps along the coil **215** and switching between the different predefined tap locations to maximize the launching efficiency.

Field or field strength (FS) meters may also be distributed about the guided surface waveguide probe **200** to measure field strength of fields associated with the guided surface wave. The field or FS meters can be configured to detect the field strength and/or changes in the field strength (e.g., electric field strength) and communicate that information to the probe control system **230**. The information may be communicated to the probe control system **230** through a network such as, but not limited to, a LAN, WLAN, cellular network, or other appropriate communication network. As the load and/or environmental conditions change or vary during operation, the guided surface waveguide probe **200** may be adjusted to maintain specified field strength(s) at the FS meter locations to ensure appropriate power transmission to the receivers and the loads they supply.

For example, the phase delay ($\Phi=\theta_y+\theta_c$) applied to the charge terminal T_1 can be adjusted to match the wave tilt angle (Ψ). By adjusting one or both phase delays, the guided surface waveguide probe **200** can be adjusted to ensure the wave tilt corresponds to the complex Brewster angle. This can be accomplished by adjusting a tap position on the coil **215** (FIG. 7) to change the phase delay supplied to the charge terminal T_1 . The voltage level supplied to the charge terminal T_1 can also be increased or decreased to adjust the electric field strength. This may be accomplished by adjusting the output voltage of the excitation source **212** or by adjusting or reconfiguring the feed network **209**. For instance, the position of the tap **227** (FIG. 7) for the AC source **212** can be adjusted to increase the voltage seen by the charge terminal T_1 . Maintaining field strength levels within predefined ranges can improve coupling by the receivers, reduce ground current losses, and avoid interference with transmissions from other guided surface waveguide probes **200**.

The probe control system **230** can be implemented with hardware, firmware, software executed by hardware, or a combination thereof. For example, the probe control system **230** can include processing circuitry including a processor and a memory, both of which can be coupled to a local interface such as, for example, a data bus with an accompanying control/address bus as can be appreciated by those with ordinary skill in the art. A probe control application may be executed by the processor to adjust the operation of

the guided surface waveguide probe **200** based upon monitored conditions. The probe control system **230** can also include one or more network interfaces for communicating with the various monitoring devices. Communications can be through a network such as, but not limited to, a LAN, WLAN, cellular network, or other appropriate communication network. The probe control system **230** may comprise, for example, a computer system such as a server, desktop computer, laptop, or other system with like capability.

Referring back to the example of FIG. 5A, the complex angle trigonometry is shown for the ray optic interpretation of the incident electric field (E) of the charge terminal T_1 with a complex Brewster angle ($\theta_{i,b}$) at the Hankel crossover distance (R_x). Recall that, for a lossy conducting medium, the Brewster angle is complex and specified by equation (38). Electrically, the geometric parameters are related by the electrical effective height (h_{eff}) of the charge terminal T_1 by equation (39). Since both the physical height (h_p) and the Hankel crossover distance (R_x) are real quantities, the angle of the desired guided surface wave tilt at the Hankel crossover distance (W_{R_x}) is equal to the phase (Φ) of the complex effective height (h_{eff}). With the charge terminal T_1 positioned at the physical height h_p and excited with a charge having the appropriate phase Φ , the resulting electric field is incident with the lossy conducting medium boundary interface at the Hankel crossover distance R_x , and at the Brewster angle. Under these conditions, the guided surface waveguide mode can be excited without reflection or substantially negligible reflection.

However, Equation (39) means that the physical height of the guided surface waveguide probe **200** can be relatively small. While this will excite the guided surface waveguide mode, this can result in an unduly large bound charge with little free charge. To compensate, the charge terminal T_1 can be raised to an appropriate elevation to increase the amount of free charge. As one example rule of thumb, the charge terminal T_1 can be positioned at an elevation of about 4-5 times (or more) the effective diameter of the charge terminal T_1 . FIG. 6 illustrates the effect of raising the charge terminal T_1 above the physical height (h_p) shown in FIG. 5A. The increased elevation causes the distance at which the wave tilt is incident with the lossy conductive medium to move beyond the Hankel crossover point **121** (FIG. 5A). To improve coupling in the guided surface waveguide mode, and thus provide for a greater launching efficiency of the guided surface wave, a lower compensation terminal T_2 can be used to adjust the total effective height (h_{TE}) of the charge terminal T_1 such that the wave tilt at the Hankel crossover distance is at the Brewster angle.

Referring to FIG. 12, shown is an example of a guided surface waveguide probe **200c** that includes an elevated charge terminal T_1 and a lower compensation terminal T_2 that are arranged along a vertical axis z that is normal to a plane presented by the lossy conducting medium **203**. In this respect, the charge terminal T_1 is placed directly above the compensation terminal T_2 although it is possible that some other arrangement of two or more charge and/or compensation terminals T_N can be used. The guided surface waveguide probe **200c** is disposed above a lossy conducting medium **203** according to an embodiment of the present disclosure. The lossy conducting medium **203** makes up Region **1** with a second medium **206** that makes up Region **2** sharing a boundary interface with the lossy conducting medium **203**.

The guided surface waveguide probe **200c** includes a feed network **209** that couples an excitation source **212** to the charge terminal T_1 and the compensation terminal T_2 .

According to various embodiments, charges Q_1 and Q_2 can be imposed on the respective charge and compensation terminals T_1 and T_2 , depending on the voltages applied to terminals T_1 and T_2 at any given instant. I_1 is the conduction current feeding the charge Q_1 on the charge terminal T_1 via the terminal lead, and I_2 is the conduction current feeding the charge Q_2 on the compensation terminal T_2 via the terminal lead.

According to the embodiment of FIG. 12, the charge terminal T_1 is positioned over the lossy conducting medium **203** at a physical height H_1 , and the compensation terminal T_2 is positioned directly below T_1 along the vertical axis z at a physical height H_2 , where H_2 is less than H_1 . The height h of the transmission structure may be calculated as $h=H_1-H_2$. The charge terminal T_1 has an isolated (or self) capacitance C_1 , and the compensation terminal T_2 has an isolated (or self) capacitance C_2 . A mutual capacitance C_M can also exist between the terminals T_1 and T_2 depending on the distance therebetween. During operation, charges Q_1 and Q_2 are imposed on the charge terminal T_1 and the compensation terminal T_2 , respectively, depending on the voltages applied to the charge terminal T_1 and the compensation terminal T_2 at any given instant.

Referring next to FIG. 13, shown is a ray optics interpretation of the effects produced by the elevated charge Q_1 on charge terminal T_1 and compensation terminal T_2 of FIG. 12. With the charge terminal T_1 elevated to a height where the ray intersects with the lossy conductive medium at the Brewster angle at a distance greater than the Hankel crossover point **121** as illustrated by line **163**, the compensation terminal T_2 can be used to adjust h_{TE} by compensating for the increased height. The effect of the compensation terminal T_2 is to reduce the electrical effective height of the guided surface waveguide probe (or effectively raise the lossy medium interface) such that the wave tilt at the Hankel crossover distance is at the Brewster angle as illustrated by line **166**.

The total effective height can be written as the superposition of an upper effective height (h_{UE}) associated with the charge terminal T_1 and a lower effective height (h_{LE}) associated with the compensation terminal T_2 such that

$$h_{TE}=h_{UE}+h_{LE}=h_p e^{j(\beta h_p + \Phi_U)} + h_d e^{j(\beta h_d + \Phi_L)} = R_x \times W, \quad (85)$$

where Φ_U is the phase delay applied to the upper charge terminal T_1 , Φ_L is the phase delay applied to the lower compensation terminal T_2 , $\beta=2\pi/\lambda_p$ is the propagation factor from Equation (35), h_p is the physical height of the charge terminal T_1 and h_d is the physical height of the compensation terminal T_2 . If extra lead lengths are taken into consideration, they can be accounted for by adding the charge terminal lead length z to the physical height h_p of the charge terminal T_1 and the compensation terminal lead length y to the physical height h_d of the compensation terminal T_2 as shown in

$$h_{TE}=(h_p+z)e^{j(\beta(h_p+z)+\Phi_U)} + (h_d+y)e^{j(\beta(h_d+y)+\Phi_L)} = R_x \times W, \quad (86)$$

The lower effective height can be used to adjust the total effective height (h_{TE}) to equal the complex effective height (h_{eff}) of FIG. 5A.

Equations (85) or (86) can be used to determine the physical height of the lower disk of the compensation terminal T_2 and the phase angles to feed the terminals in order to obtain the desired wave tilt at the Hankel crossover distance. For example, Equation (86) can be rewritten as the phase shift applied to the charge terminal T_1 as a function of the compensation terminal height (h_d) to give

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$$\Phi_U(h_d) = -\beta(h_p + z) - j \ln \left(\frac{R_x \times W - (h_d + y)e^{j(\beta h_d + \beta y + \Phi_L)}}{(h_p + z)} \right). \quad (87)$$

To determine the positioning of the compensation terminal T_2 , the relationships discussed above can be utilized. First, the total effective height (h_{TE}) is the superposition of the complex effective height (h_{UE}) of the upper charge terminal T_1 and the complex effective height (h_{LE}) of the lower compensation terminal T_2 as expressed in Equation (86). Next, the tangent of the angle of incidence can be expressed geometrically as

$$\tan \psi_E = \frac{h_{TE}}{R_x}, \quad (88)$$

which is equal to the definition of the wave tilt, W . Finally, given the desired Hankel crossover distance R_x , the h_{TE} can be adjusted to make the wave tilt of the incident ray match the complex Brewster angle at the Hankel crossover point **121**. This can be accomplished by adjusting h_p , Φ_U , and/or h_d .

These concepts may be better understood when discussed in the context of an example of a guided surface waveguide probe. Referring to FIG. **14**, shown is a graphical representation of an example of a guided surface waveguide probe **200d** including an upper charge terminal T_1 (e.g., a sphere at height h_T) and a lower compensation terminal T_2 (e.g., a disk at height h_d) that are positioned along a vertical axis z that is substantially normal to the plane presented by the lossy conducting medium **203**. During operation, charges Q_1 and Q_2 are imposed on the charge and compensation terminals T_1 and T_2 , respectively, depending on the voltages applied to the terminals T_1 and T_2 at any given instant.

An AC source **212** acts as the excitation source for the charge terminal T_1 , which is coupled to the guided surface waveguide probe **200d** through a feed network **209** comprising a coil **215** such as, e.g., a helical coil. The AC source **212** can be connected across a lower portion of the coil **215** through a tap **227**, as shown in FIG. **14**, or can be inductively coupled to the coil **215** by way of a primary coil. The coil **215** can be coupled to a ground stake **218** at a first end and the charge terminal T_1 at a second end. In some implementations, the connection to the charge terminal T_1 can be adjusted using a tap **224** at the second end of the coil **215**. The compensation terminal T_2 is positioned above and substantially parallel with the lossy conducting medium **203** (e.g., the ground or Earth), and energized through a tap **233** coupled to the coil **215**. An ammeter **236** located between the coil **215** and ground stake **218** can be used to provide an indication of the magnitude of the current flow (I_0) at the base of the guided surface waveguide probe. Alternatively, a current clamp may be used around the conductor coupled to the ground stake **218** to obtain an indication of the magnitude of the current flow (I_0).

In the example of FIG. **14**, the coil **215** is coupled to a ground stake **218** at a first end and the charge terminal T_1 at a second end via a vertical feed line conductor **221**. In some implementations, the connection to the charge terminal T_1 can be adjusted using a tap **224** at the second end of the coil **215** as shown in FIG. **14**. The coil **215** can be energized at an operating frequency by the AC source **212** through a tap **227** at a lower portion of the coil **215**. In other implementations, the AC source **212** can be inductively coupled to the

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coil **215** through a primary coil. The compensation terminal T_2 is energized through a tap **233** coupled to the coil **215**. An ammeter **236** located between the coil **215** and ground stake **218** can be used to provide an indication of the magnitude of the current flow at the base of the guided surface waveguide probe **200d**. Alternatively, a current clamp may be used around the conductor coupled to the ground stake **218** to obtain an indication of the magnitude of the current flow. The compensation terminal T_2 is positioned above and substantially parallel with the lossy conducting medium **203** (e.g., the ground).

In the example of FIG. **14**, the connection to the charge terminal T_1 located on the coil **215** above the connection point of tap **233** for the compensation terminal T_2 . Such an adjustment allows an increased voltage (and thus a higher charge Q_1) to be applied to the upper charge terminal T_1 . In other embodiments, the connection points for the charge terminal T_1 and the compensation terminal T_2 can be reversed. It is possible to adjust the total effective height (h_{TE}) of the guided surface waveguide probe **200d** to excite an electric field having a guided surface wave tilt at the Hankel crossover distance R_x . The Hankel crossover distance can also be found by equating the magnitudes of equations (20b) and (21) for $-j\gamma\rho$, and solving for R_x as illustrated by FIG. **4**. The index of refraction (n), the complex Brewster angle ($\theta_{i,B}$ and $\psi_{i,B}$), the wave tilt ($|W|e^{j\psi}$) and the complex effective height ($h_{eff} = h_p e^{j\Phi}$) can be determined as described with respect to Equations (41)-(44) above.

With the selected charge terminal T_1 configuration, a spherical diameter (or the effective spherical diameter) can be determined. For example, if the charge terminal T_1 is not configured as a sphere, then the terminal configuration may be modeled as a spherical capacitance having an effective spherical diameter. The size of the charge terminal T_1 can be chosen to provide a sufficiently large surface for the charge Q_1 imposed on the terminals. In general, it is desirable to make the charge terminal T_1 as large as practical. The size of the charge terminal T_1 should be large enough to avoid ionization of the surrounding air, which can result in electrical discharge or sparking around the charge terminal. To reduce the amount of bound charge on the charge terminal T_1 , the desired elevation to provide free charge on the charge terminal T_1 for launching a guided surface wave should be at least 4-5 times the effective spherical diameter above the lossy conductive medium (e.g., the Earth). The compensation terminal T_2 can be used to adjust the total effective height (h_{TE}) of the guided surface waveguide probe **200d** to excite an electric field having a guided surface wave tilt at R_x . The compensation terminal T_2 can be positioned below the charge terminal T_1 at $h_d = h_T - h_p$, where h_T is the total physical height of the charge terminal T_1 . With the position of the compensation terminal T_2 fixed and the phase delay Φ_U applied to the upper charge terminal T_1 , the phase delay Φ_L applied to the lower compensation terminal T_2 can be determined using the relationships of Equation (86), such that:

$$\Phi_U(h_d) = -\beta(h_d + y) - j \ln \left(\frac{R_x \times W - (h_p + z)e^{j(\beta h_p + \beta z + \Phi_L)}}{(h_d + y)} \right). \quad (89)$$

In alternative embodiments, the compensation terminal T_2 can be positioned at a height h_d where $\text{Im}\{\Phi_L\}=0$. This is graphically illustrated in FIG. **15A**, which shows plots **172** and **175** of the imaginary and real parts of Φ_U , respectively.

The compensation terminal T_2 is positioned at a height h_d where $\text{Im}\{\Phi_U\}=0$, as graphically illustrated in plot 172. At this fixed height, the coil phase Φ_U can be determined from $\text{Re}\{\Phi_U\}$, as graphically illustrated in plot 175.

With the AC source 212 coupled to the coil 215 (e.g., at the 50 Ω point to maximize coupling), the position of tap 233 may be adjusted for parallel resonance of the compensation terminal T_2 with at least a portion of the coil at the frequency of operation. FIG. 15B shows a schematic diagram of the general electrical hookup of FIG. 14 in which V_1 is the voltage applied to the lower portion of the coil 215 from the AC source 212 through tap 227, V_2 is the voltage at tap 224 that is supplied to the upper charge terminal T_1 , and V_3 is the voltage applied to the lower compensation terminal T_2 through tap 233. The resistances R_p and R_d represent the ground return resistances of the charge terminal T_1 and compensation terminal T_2 , respectively. The charge and compensation terminals T_1 and T_2 may be configured as spheres, cylinders, toroids, rings, hoods, or any other combination of capacitive structures. The size of the charge and compensation terminals T_1 and T_2 can be chosen to provide a sufficiently large surface for the charges Q_1 and Q_2 imposed on the terminals. In general, it is desirable to make the charge terminal T_1 as large as practical. The size of the charge terminal T_1 should be large enough to avoid ionization of the surrounding air, which can result in electrical discharge or sparking around the charge terminal. The self-capacitance C_p and C_d of the charge and compensation terminals T_1 and T_2 respectively, can be determined using, for example, equation (24).

As can be seen in FIG. 15B, a resonant circuit is formed by at least a portion of the inductance of the coil 215, the self-capacitance C_d of the compensation terminal T_2 , and the ground return resistance R_d associated with the compensation terminal T_2 . The parallel resonance can be established by adjusting the voltage V_3 applied to the compensation terminal T_2 (e.g., by adjusting a tap 233 position on the coil 215) or by adjusting the height and/or size of the compensation terminal T_2 to adjust C_d . The position of the coil tap 233 can be adjusted for parallel resonance, which will result in the ground current through the ground stake 218 and through the ammeter 236 reaching a maximum point. After parallel resonance of the compensation terminal T_2 has been established, the position of the tap 227 for the AC source 212 can be adjusted to the 50 Ω point on the coil 215.

Voltage V_2 from the coil 215 can be applied to the charge terminal T_1 , and the position of tap 224 can be adjusted such that the phase (Φ) of the total effective height (h_{TE}) approximately equals the angle of the guided surface wave tilt (W_{Rx}) at the Hankel crossover distance (R_x). The position of the coil tap 224 can be adjusted until this operating point is reached, which results in the ground current through the ammeter 236 increasing to a maximum. At this point, the resultant fields excited by the guided surface waveguide probe 200d are substantially mode-matched to a guided surface waveguide mode on the surface of the lossy conducting medium 203, resulting in the launching of a guided surface wave along the surface of the lossy conducting medium 203. This can be verified by measuring field strength along a radial extending from the guided surface waveguide probe 200.

Resonance of the circuit including the compensation terminal T_2 may change with the attachment of the charge terminal T_1 and/or with adjustment of the voltage applied to the charge terminal T_1 through tap 224. While adjusting the compensation terminal circuit for resonance aids the subsequent adjustment of the charge terminal connection, it is not

necessary to establish the guided surface wave tilt (W_{Rx}) at the Hankel crossover distance (R_x). The system may be further adjusted to improve coupling by iteratively adjusting the position of the tap 227 for the AC source 212 to be at the 50 Ω point on the coil 215 and adjusting the position of tap 233 to maximize the ground current through the ammeter 236. Resonance of the circuit including the compensation terminal T_2 may drift as the positions of taps 227 and 233 are adjusted, or when other components are attached to the coil 215.

In other implementations, the voltage V_2 from the coil 215 can be applied to the charge terminal T_1 , and the position of tap 233 can be adjusted such that the phase (Φ) of the total effective height (h_{TE}) approximately equals the angle (Ψ) of the guided surface wave tilt at R_x . The position of the coil tap 224 can be adjusted until the operating point is reached, resulting in the ground current through the ammeter 236 substantially reaching a maximum. The resultant fields are substantially mode-matched to a guided surface waveguide mode on the surface of the lossy conducting medium 203, and a guided surface wave is launched along the surface of the lossy conducting medium 203. This can be verified by measuring field strength along a radial extending from the guided surface waveguide probe 200. The system may be further adjusted to improve coupling by iteratively adjusting the position of the tap 227 for the AC source 212 to be at the 50 Ω point on the coil 215 and adjusting the position of tap 224 and/or 233 to maximize the ground current through the ammeter 236.

Referring back to FIG. 12, operation of a guided surface waveguide probe 200 may be controlled to adjust for variations in operational conditions associated with the guided surface waveguide probe 200. For example, a probe control system 230 can be used to control the feed network 209 and/or positioning of the charge terminal T_1 and/or compensation terminal T_2 to control the operation of the guided surface waveguide probe 200. Operational conditions can include, but are not limited to, variations in the characteristics of the lossy conducting medium 203 (e.g., conductivity σ and relative permittivity ϵ_r), variations in field strength and/or variations in loading of the guided surface waveguide probe 200. As can be seen from Equations (41)-(44), the index of refraction (n), the complex Brewster angle ($\theta_{i,b}$ and $\psi_{i,b}$), the wave tilt ($|W|e^{\Psi}$) and the complex effective height ($h_{eff}=h_p e^{i\Phi}$) can be affected by changes in soil conductivity and permittivity resulting from, e.g., weather conditions.

Equipment such as, e.g., conductivity measurement probes, permittivity sensors, ground parameter meters, field meters, current monitors and/or load receivers can be used to monitor for changes in the operational conditions and provide information about current operational conditions to the probe control system 230. The probe control system 230 can then make one or more adjustments to the guided surface waveguide probe 200 to maintain specified operational conditions for the guided surface waveguide probe 200. For instance, as the moisture and temperature vary, the conductivity of the soil will also vary. Conductivity measurement probes and/or permittivity sensors may be located at multiple locations around the guided surface waveguide probe 200. Generally, it would be desirable to monitor the conductivity and/or permittivity at or about the Hankel crossover distance R_x for the operational frequency. Conductivity measurement probes and/or permittivity sensors may be located at multiple locations (e.g., in each quadrant) around the guided surface waveguide probe 200.

With reference then to FIG. 16, shown is an example of a guided surface waveguide probe 200e that includes a

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charge terminal T_1 and a charge terminal T_2 that are arranged along a vertical axis z . The guided surface waveguide probe **200e** is disposed above a lossy conducting medium **203**, which makes up Region 1. In addition, a second medium **206** shares a boundary interface with the lossy conducting medium **203** and makes up Region 2. The charge terminals T_1 and T_2 are positioned over the lossy conducting medium **203**. The charge terminal T_1 is positioned at height H_1 , and the charge terminal T_2 is positioned directly below T_1 along the vertical axis z at height H_2 , where H_2 is less than H_1 . The height h of the transmission structure presented by the guided surface waveguide probe **200e** is $h=H_1-H_2$. The guided surface waveguide probe **200e** includes a probe feed network **209** that couples an excitation source **212** to the charge terminals T_1 and T_2 .

The charge terminals T_1 and/or T_2 include a conductive mass that can hold an electrical charge, which may be sized to hold as much charge as practically possible. The charge terminal T_1 has a self-capacitance C_1 , and the charge terminal T_2 has a self-capacitance C_2 , which can be determined using, for example, equation (24). By virtue of the placement of the charge terminal T_1 directly above the charge terminal T_2 , a mutual capacitance C_M is created between the charge terminals T_1 and T_2 . Note that the charge terminals T_1 and T_2 need not be identical, but each can have a separate size and shape, and can include different conducting materials. Ultimately, the field strength of a guided surface wave launched by a guided surface waveguide probe **200e** is directly proportional to the quantity of charge on the terminal T_1 . The charge Q_1 is, in turn, proportional to the self-capacitance C_1 associated with the charge terminal T_1 since $Q_1=C_1V$, where V is the voltage imposed on the charge terminal T_1 .

When properly adjusted to operate at a predefined operating frequency, the guided surface waveguide probe **200e** generates a guided surface wave along the surface of the lossy conducting medium **203**. The excitation source **212** can generate electrical energy at the predefined frequency that is applied to the guided surface waveguide probe **200e** to excite the structure. When the electromagnetic fields generated by the guided surface waveguide probe **200e** are substantially mode-matched with the lossy conducting medium **203**, the electromagnetic fields substantially synthesize a wave front incident at a complex Brewster angle that results in little or no reflection. Thus, the surface waveguide probe **200e** does not produce a radiated wave, but launches a guided surface traveling wave along the surface of a lossy conducting medium **203**. The energy from the excitation source **212** can be transmitted as Zenneck surface currents to one or more receivers that are located within an effective transmission range of the guided surface waveguide probe **200e**.

One can determine asymptotes of the radial Zenneck surface current $J_\rho(\rho)$ on the surface of the lossy conducting medium **203** to be $J_1(\rho)$ close-in and $J_2(\rho)$ far-out, where

$$\text{Close-in } (\rho < \lambda/8): J_\rho(\rho) \sim J_1 = \frac{I_1 + I_2}{2\pi\rho} + \frac{E_\rho^{QS}(Q_1) + E_\rho^{QS}(Q_2)}{Z_\rho}, \text{ and} \quad (90)$$

$$\text{Far-out } (\rho \gg \lambda/8): J_\rho(\rho) \sim J_2 = \frac{j\gamma\omega Q_1}{4} \times \sqrt{\frac{2\gamma}{\pi}} \times \frac{e^{-(\alpha+j\beta)\rho}}{\sqrt{\rho}}. \quad (91)$$

where I_1 is the conduction current feeding the charge Q_1 on the first charge terminal T_1 , and I_2 is the conduction current feeding the charge Q_2 on the second charge terminal T_2 . The

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charge Q_1 on the upper charge terminal T_1 is determined by $Q_1=C_1V_1$, where C_1 is the isolated capacitance of the charge terminal T_1 . Note that there is a third component to J_1 set forth above given by $(E_\rho^{Q1})/Z_\rho$, which follows from the Leontovich boundary condition and is the radial current contribution in the lossy conducting medium **203** pumped by the quasi-static field of the elevated oscillating charge on the first charge terminal Q_1 . The quantity $Z_\rho=j\omega\mu_0/\gamma_e$ is the radial impedance of the lossy conducting medium, where $\gamma_e=(j\omega\mu_1\sigma_1-\omega^2\mu_1\epsilon_1)^{1/2}$.

The asymptotes representing the radial current close-in and far-out as set forth by equations (90) and (91) are complex quantities. According to various embodiments, a physical surface current $J(\rho)$, is synthesized to match as close as possible the current asymptotes in magnitude and phase. That is to say close-in, $|J(\rho)|$ is to be tangent to $|J_1|$, and far-out $|J(\rho)|$ is to be tangent to $|J_2|$. Also, according to the various embodiments, the phase of $J(\rho)$ should transition from the phase of J_1 close-in to the phase of J_2 far-out.

In order to match the guided surface wave mode at the site of transmission to launch a guided surface wave, the phase of the surface current $|J_2|$ far-out should differ from the phase of the surface current $|J_1|$ close-in by the propagation phase corresponding to $e^{-j\beta(\rho_2-\rho_1)}$ plus a constant of approximately 45 degrees or 225 degrees. This is because there are two roots for $\sqrt{\gamma}$, one near $\pi/4$ and one near $5\pi/4$. The properly adjusted synthetic radial surface current is

$$J_\rho(\rho, \phi, 0) = \frac{I_0\gamma}{4} H_1^{(2)}(-j\gamma\rho). \quad (92)$$

Note that this is consistent with equation (17). By Maxwell's equations, such a $J(\rho)$ surface current automatically creates fields that conform to

$$H_\phi = \frac{-\gamma I_0}{4} e^{-u_2 z} H_1^{(2)}(-j\gamma\rho), \quad (93)$$

$$E_\rho = \frac{-\gamma I_0}{4} \left(\frac{u_2}{j\omega\epsilon_0} \right) e^{-u_2 z} H_1^{(2)}(-j\gamma\rho), \text{ and} \quad (94)$$

$$E_z = \frac{-\gamma I_0}{4} \left(\frac{-\gamma}{\omega\epsilon_0} \right) e^{-u_2 z} H_0^{(2)}(-j\gamma\rho). \quad (95)$$

Thus, the difference in phase between the surface current $|J_2|$ far-out and the surface current $|J_1|$ close-in for the guided surface wave mode that is to be matched is due to the characteristics of the Hankel functions in equations (93)-(95), which are consistent with equations (1)-(3). It is of significance to recognize that the fields expressed by equations (1)-(6) and (17) and equations (92)-(95) have the nature of a transmission line mode bound to a lossy interface, not radiation fields that are associated with ground-wave propagation.

In order to obtain the appropriate voltage magnitudes and phases for a given design of a guided surface waveguide probe **200e** at a given location, an iterative approach may be used. Specifically, analysis may be performed of a given excitation and configuration of a guided surface waveguide probe **200e** taking into account the feed currents to the terminals T_1 and T_2 , the charges on the charge terminals T_1 and T_2 , and their images in the lossy conducting medium **203** in order to determine the radial surface current density generated. This process may be performed iteratively until an optimal configuration and excitation for a given guided

surface waveguide probe **200e** is determined based on desired parameters. To aid in determining whether a given guided surface waveguide probe **200e** is operating at an optimal level, a guided field strength curve **103** (FIG. 1) may be generated using equations (1)-(12) based on values for the conductivity of Region 1 (σ_1) and the permittivity of Region 1 (ϵ_1) at the location of the guided surface waveguide probe **200e**. Such a guided field strength curve **103** can provide a benchmark for operation such that measured field strengths can be compared with the magnitudes indicated by the guided field strength curve **103** to determine if optimal transmission has been achieved.

In order to arrive at an optimized condition, various parameters associated with the guided surface waveguide probe **200e** may be adjusted. One parameter that may be varied to adjust the guided surface waveguide probe **200e** is the height of one or both of the charge terminals T_1 and/or T_2 relative to the surface of the lossy conducting medium **203**. In addition, the distance or spacing between the charge terminals T_1 and T_2 may also be adjusted. In doing so, one may minimize or otherwise alter the mutual capacitance C_M or any bound capacitances between the charge terminals T_1 and T_2 and the lossy conducting medium **203** as can be appreciated. The size of the respective charge terminals T_1 and/or T_2 can also be adjusted. By changing the size of the charge terminals T_1 and/or T_2 , one will alter the respective self-capacitances C_1 and/or C_2 , and the mutual capacitance C_M as can be appreciated.

Still further, another parameter that can be adjusted is the feed network **209** associated with the guided surface waveguide probe **200e**. This may be accomplished by adjusting the size of the inductive and/or capacitive reactances that make up the feed network **209**. For example, where such inductive reactances comprise coils, the number of turns on such coils may be adjusted. Ultimately, the adjustments to the feed network **209** can be made to alter the electrical length of the feed network **209**, thereby affecting the voltage magnitudes and phases on the charge terminals T_1 and T_2 .

Note that the iterations of transmission performed by making the various adjustments may be implemented by using computer models or by adjusting physical structures as can be appreciated. By making the above adjustments, one can create corresponding "close-in" surface current J_1 and "far-out" surface current J_2 that approximate the same currents $J(\rho)$ of the guided surface wave mode specified in Equations (90) and (91) set forth above. In doing so, the resulting electromagnetic fields would be substantially or approximately mode-matched to a guided surface wave mode on the surface of the lossy conducting medium **203**.

While not shown in the example of FIG. 16, operation of the guided surface waveguide probe **200e** may be controlled to adjust for variations in operational conditions associated with the guided surface waveguide probe **200**. For example, a probe control system **230** shown in FIG. 12 can be used to control the feed network **209** and/or positioning and/or size of the charge terminals T_1 and/or T_2 to control the operation of the guided surface waveguide probe **200e**. Operational conditions can include, but are not limited to, variations in the characteristics of the lossy conducting medium **203** (e.g., conductivity σ and relative permittivity ϵ_r), variations in field strength and/or variations in loading of the guided surface waveguide probe **200e**.

Referring now to FIG. 17, shown is an example of the guided surface waveguide probe **200e** of FIG. 16, denoted herein as guided surface waveguide probe **200f**. The guided surface waveguide probe **200f** includes the charge terminals T_1 and T_2 that are positioned along a vertical axis z that is

substantially normal to the plane presented by the lossy conducting medium **203** (e.g., the Earth). The second medium **206** is above the lossy conducting medium **203**. The charge terminal T_1 has a self-capacitance C_1 , and the charge terminal T_2 has a self-capacitance C_2 . During operation, charges Q_1 and Q_2 are imposed on the charge terminals T_1 and T_2 , respectively, depending on the voltages applied to the charge terminals T_1 and T_2 at any given instant. A mutual capacitance C_M may exist between the charge terminals T_1 and T_2 depending on the distance there between. In addition, bound capacitances may exist between the respective charge terminals T_1 and T_2 and the lossy conducting medium **203** depending on the heights of the respective charge terminals T_1 and T_2 with respect to the lossy conducting medium **203**.

The guided surface waveguide probe **200f** includes feed network **209** that comprises an inductive impedance comprising a coil L_{1a} having a pair of leads that are coupled to respective ones of the charge terminals T_1 and T_2 . In one embodiment, the coil L_{1a} is specified to have an electrical length that is one-half ($1/2$) of the wavelength at the operating frequency of the guided surface waveguide probe **200f**.

While the electrical length of the coil L_{1a} is specified as approximately one-half ($1/2$) the wavelength at the operating frequency, it is understood that the coil L_{1a} may be specified with an electrical length at other values. According to one embodiment, the fact that the coil L_{1a} has an electrical length of approximately one-half the wavelength at the operating frequency provides for an advantage in that a maximum voltage differential is created on the charge terminals T_1 and T_2 . Nonetheless, the length or diameter of the coil L_{1a} may be increased or decreased when adjusting the guided surface waveguide probe **200f** to obtain optimal excitation of a guided surface wave mode. Adjustment of the coil length may be provided by taps located at one or both ends of the coil. In other embodiments, it may be the case that the inductive impedance is specified to have an electrical length that is significantly less than or greater than $1/2$ the wavelength at the operating frequency of the guided surface waveguide probe **200f**.

The excitation source **212** can be coupled to the feed network **209** by way of magnetic coupling. Specifically, the excitation source **212** is coupled to a coil L_P that is inductively coupled to the coil L_{1a} . This may be done by link coupling, a tapped coil, a variable reactance, or other coupling approach as can be appreciated. To this end, the coil L_P acts as a primary, and the coil L_{1a} acts as a secondary as can be appreciated.

In order to adjust the guided surface waveguide probe **200f** for the transmission of a desired guided surface wave, the heights of the respective charge terminals T_1 and T_2 may be altered with respect to the lossy conducting medium **203** and with respect to each other. Also, the sizes of the charge terminals T_1 and T_2 may be altered. In addition, the size of the coil L_{1a} may be altered by adding or eliminating turns or by changing some other dimension of the coil L_{1a} . The coil L_{1a} can also include one or more taps for adjusting the electrical length as shown in FIG. 17. The position of a tap connected to either charge terminal T_1 or T_2 can also be adjusted.

Referring next to FIGS. 18A, 18B, 18C and 19, shown are examples of generalized receive circuits for using the surface-guided waves in wireless power delivery systems. FIGS. 18A and 18B-18C include a linear probe **303** and a tuned resonator **306**, respectively. FIG. 19 is a magnetic coil **309** according to various embodiments of the present disclosure. According to various embodiments, each one of the linear probe **303**, the tuned resonator **306**, and the magnetic

coil 309 may be employed to receive power transmitted in the form of a guided surface wave on the surface of a lossy conducting medium 203 according to various embodiments. As mentioned above, in one embodiment the lossy conducting medium 203 comprises a terrestrial medium (or Earth).

With specific reference to FIG. 18A, the open-circuit terminal voltage at the output terminals 312 of the linear probe 303 depends upon the effective height of the linear probe 303. To this end, the terminal point voltage may be calculated as

$$V_T = \int_0^{h_e} E_{inc} dl, \quad (96)$$

where E_{inc} is the strength of the incident electric field induced on the linear probe 303 in Volts per meter, dl is an element of integration along the direction of the linear probe 303, and h_e is the effective height of the linear probe 303. An electrical load 315 is coupled to the output terminals 312 through an impedance matching network 318.

When the linear probe 303 is subjected to a guided surface wave as described above, a voltage is developed across the output terminals 312 that may be applied to the electrical load 315 through a conjugate impedance matching network 318 as the case may be. In order to facilitate the flow of power to the electrical load 315, the electrical load 315 should be substantially impedance matched to the linear probe 303 as will be described below.

Referring to FIG. 18B, a ground current excited coil 306a possessing a phase shift equal to the wave tilt of the guided surface wave includes a charge terminal T_R that is elevated (or suspended) above the lossy conducting medium 203. The charge terminal T_R has a self-capacitance C_R . In addition, there may also be a bound capacitance (not shown) between the charge terminal T_R and the lossy conducting medium 203 depending on the height of the charge terminal T_R above the lossy conducting medium 203. The bound capacitance should preferably be minimized as much as is practicable, although this may not be entirely necessary in every instance.

The tuned resonator 306a also includes a receiver network comprising a coil L_R having a phase shift Φ . One end of the coil L_R is coupled to the charge terminal T_R , and the other end of the coil L_R is coupled to the lossy conducting medium 203. The receiver network can include a vertical supply line conductor that couples the coil L_R to the charge terminal T_R . To this end, the coil L_R (which may also be referred to as tuned resonator L_R - C_R) comprises a series-adjusted resonator as the charge terminal C_R and the coil L_R are situated in series. The phase delay of the coil L_R can be adjusted by changing the size and/or height of the charge terminal T_R , and/or adjusting the size of the coil L_R so that the phase Φ of the structure is made substantially equal to the angle of the wave tilt Ψ . The phase delay of the vertical supply line can also be adjusted by, e.g., changing length of the conductor.

For example, the reactance presented by the self-capacitance C_R is calculated as $1/j\omega C_R$. Note that the total capacitance of the structure 306a may also include capacitance between the charge terminal T_R and the lossy conducting medium 203, where the total capacitance of the structure 306a may be calculated from both the self-capacitance C_R and any bound capacitance as can be appreciated. According to one embodiment, the charge terminal T_R may be raised to a height so as to substantially reduce or eliminate any bound capacitance. The existence of a bound capacitance may be determined from capacitance measurements between the charge terminal T_R and the lossy conducting medium 203 as previously discussed.

The inductive reactance presented by a discrete-element coil L_R may be calculated as $j\omega L$, where L is the lumped-element inductance of the coil L_R . If the coil L_R is a distributed element, its equivalent terminal-point inductive reactance may be determined by conventional approaches. To tune the structure 306a, one would make adjustments so that the phase delay is equal to the wave tilt for the purpose of mode-matching to the surface waveguide at the frequency of operation. Under this condition, the receiving structure may be considered to be "mode-matched" with the surface waveguide. A transformer link around the structure and/or an impedance matching network 324 may be inserted between the probe and the electrical load 327 in order to couple power to the load. Inserting the impedance matching network 324 between the probe terminals 321 and the electrical load 327 can effect a conjugate-match condition for maximum power transfer to the electrical load 327.

When placed in the presence of surface currents at the operating frequencies power will be delivered from the surface guided wave to the electrical load 327. To this end, an electrical load 327 may be coupled to the structure 306a by way of magnetic coupling, capacitive coupling, or conductive (direct tap) coupling. The elements of the coupling network may be lumped components or distributed elements as can be appreciated.

In the embodiment shown in FIG. 18B, magnetic coupling is employed where a coil L_S is positioned as a secondary relative to the coil L_R that acts as a transformer primary. The coil L_S may be link-coupled to the coil L_R by geometrically winding it around the same core structure and adjusting the coupled magnetic flux as can be appreciated. In addition, while the receiving structure 306a comprises a series-tuned resonator, a parallel-tuned resonator or even a distributed-element resonator of the appropriate phase delay may also be used.

While a receiving structure immersed in an electromagnetic field may couple energy from the field, it can be appreciated that polarization-matched structures work best by maximizing the coupling, and conventional rules for probe-coupling to waveguide modes should be observed. For example, a TE_{20} (transverse electric mode) waveguide probe may be optimal for extracting energy from a conventional waveguide excited in the TE_{20} mode. Similarly, in these cases, a mode-matched and phase-matched receiving structure can be optimized for coupling power from a surface-guided wave. The guided surface wave excited by a guided surface waveguide probe 200 on the surface of the lossy conducting medium 203 can be considered a waveguide mode of an open waveguide. Excluding waveguide losses, the source energy can be completely recovered. Useful receiving structures may be E-field coupled, H-field coupled, or surface-current excited.

The receiving structure can be adjusted to increase or maximize coupling with the guided surface wave based upon the local characteristics of the lossy conducting medium 203 in the vicinity of the receiving structure. To accomplish this, the phase delay (Φ) of the receiving structure can be adjusted to match the angle (Ψ) of the wave tilt of the surface traveling wave at the receiving structure. If configured appropriately, the receiving structure may then be tuned for resonance with respect to the perfectly conducting image ground plane at complex depth $z = -d/2$.

For example, consider a receiving structure comprising the tuned resonator 306a of FIG. 18B, including a coil L_R and a vertical supply line connected between the coil L_R and a charge terminal T_R . With the charge terminal T_R positioned at a defined height above the lossy conducting medium 203,

the total phase shift Φ of the coil L_R and vertical supply line can be matched with the angle (Ψ) of the wave tilt at the location of the tuned resonator **306a**. From Equation (22), it can be seen that the wave tilt asymptotically passes to

$$W = |W|e^{j\Psi} = \frac{E_\rho}{E_z} \xrightarrow{\rho \rightarrow \infty} \frac{1}{\sqrt{\epsilon_r - j \frac{\sigma_1}{\omega \epsilon_0}}}, \quad (97)$$

where ϵ_r comprises the relative permittivity and σ_1 is the conductivity of the lossy conducting medium **203** at the location of the receiving structure, ϵ_0 is the permittivity of free space, and $\omega = 2\pi f$, where f is the frequency of excitation. Thus, the wave tilt angle (Ψ) can be determined from Equation (97).

The total phase shift ($\Phi = \theta_c + \theta_y$) of the tuned resonator **306a** includes both the phase delay (θ_c) through the coil L_R and the phase delay of the vertical supply line (θ_y). The spatial phase delay along the conductor length l_w of the vertical supply line can be given by $\theta_y = \beta_w l_w$, where β_w is the propagation phase constant for the vertical supply line conductor. The phase delay due to the coil (or helical delay line) is $\theta_c = \beta_p l_c$, with a physical length of l_c and a propagation factor of

$$\beta_p = \frac{2\pi}{\lambda_p} = \frac{2\pi}{V_f \lambda_0}, \quad (98)$$

where V_f is the velocity factor on the structure, λ_0 is the wavelength at the supplied frequency, and λ_p is the propagation wavelength resulting from the velocity factor V_f . One or both of the phase delays ($\theta_c + \theta_y$) can be adjusted to match the phase shift Φ to the angle (Ψ) of the wave tilt. For example, a tap position may be adjusted on the coil L_R of FIG. **18B** to adjust the coil phase delay (θ_c) to match the total phase shift to the wave tilt angle ($\Phi = \Psi$). For example, a portion of the coil can be bypassed by the tap connection as illustrated in FIG. **18B**. The vertical supply line conductor can also be connected to the coil L_R via a tap, whose position on the coil may be adjusted to match the total phase shift to the angle of the wave tilt.

Once the phase delay (Φ) of the tuned resonator **306a** has been adjusted, the impedance of the charge terminal T_R can then be adjusted to tune to resonance with respect to the perfectly conducting image ground plane at complex depth $z = -d/2$. This can be accomplished by adjusting the capacitance of the charge terminal T_1 without changing the traveling wave phase delays of the coil L_R and vertical supply line. The adjustments are similar to those described with respect to FIGS. **9A** and **9B**.

The impedance seen “looking down” into the lossy conducting medium **203** to the complex image plane is given by:

$$Z_{in} = R_{in} + jX_{in} = Z_0 \tan h(j\beta_0(d/2)), \quad (99)$$

where $\beta_0 = \omega \sqrt{\mu_0 \epsilon_0}$. For vertically polarized sources over the Earth, the depth of the complex image plane can be given by:

$$d/2 \approx 1/\sqrt{j\omega\mu_1\sigma_1 - \omega^2\mu_1\epsilon_1}, \quad (100)$$

where μ_1 is the permeability of the lossy conducting medium **203** and $\epsilon_1 = \epsilon_r \epsilon_0$.

At the base of the tuned resonator **306a**, the impedance seen “looking up” into the receiving structure is $Z_\uparrow = Z_{base}$ as illustrated in FIG. **9A**. With a terminal impedance of:

$$Z_R = \frac{1}{j\omega C_R}, \quad (101)$$

where C_R is the self-capacitance of the charge terminal T_R , the impedance seen “looking up” into the vertical supply line conductor of the tuned resonator **306a** is given by:

$$Z_2 = Z_W \frac{Z_R + Z_W \tanh(j\beta_w h_w)}{Z_W + Z_R \tanh(j\beta_w h_w)} = Z_W \frac{Z_R + Z_W \tanh(j\theta_y)}{Z_W + Z_R \tanh(j\theta_y)}, \quad (102)$$

and the impedance seen “looking up” into the coil L_R of the tuned resonator **306a** is given by:

$$Z_{base} = \quad (103)$$

$$R_{base} + jX_{base} = Z_R \frac{Z_2 + Z_R \tanh(j\beta_p H)}{Z_R + Z_2 \tanh(j\beta_p H)} = Z_c \frac{Z_2 + Z_R \tanh(j\theta_c)}{Z_R + Z_2 \tanh(j\theta_c)}.$$

By matching the reactive component (X_{in}) seen “looking down” into the lossy conducting medium **203** with the reactive component (X_{base}) seen “looking up” into the tuned resonator **306a**, the coupling into the guided surface waveguide mode may be maximized.

Referring next to FIG. **18C**, shown is an example of a tuned resonator **306b** that does not include a charge terminal T_R at the top of the receiving structure. In this embodiment, the tuned resonator **306b** does not include a vertical supply line coupled between the coil L_R and the charge terminal T_R . Thus, the total phase shift (Φ) of the tuned resonator **306b** includes only the phase delay (θ_c) through the coil L_R . As with the tuned resonator **306a** of FIG. **18B**, the coil phase delay θ_c can be adjusted to match the angle (Ψ) of the wave tilt determined from Equation (97), which results in $\Phi = \Psi$. While power extraction is possible with the receiving structure coupled into the surface waveguide mode, it is difficult to adjust the receiving structure to maximize coupling with the guided surface wave without the variable reactive load provided by the charge terminal T_R .

Referring to FIG. **18D**, shown is a flow chart **180** illustrating an example of adjusting a receiving structure to substantially mode-match to a guided surface waveguide mode on the surface of the lossy conducting medium **203**. Beginning with **181**, if the receiving structure includes a charge terminal T_R (e.g., of the tuned resonator **306a** of FIG. **18B**), then the charge terminal T_R is positioned at a defined height above a lossy conducting medium **203** at **184**. As the surface guided wave has been established by a guided surface waveguide probe **200**, the physical height (h_p) of the charge terminal T_R may be below that of the effective height. The physical height may be selected to reduce or minimize the bound charge on the charge terminal T_R (e.g., four times the spherical diameter of the charge terminal). If the receiving structure does not include a charge terminal T_R (e.g., of the tuned resonator **306b** of FIG. **18C**), then the flow proceeds to **187**.

At **187**, the electrical phase delay Φ of the receiving structure is matched to the complex wave tilt angle Ψ defined by the local characteristics of the lossy conducting medium **203**. The phase delay (θ_c) of the helical coil and/or the phase delay (θ_y) of the vertical supply line can be adjusted to make Φ equal to the angle (Ψ) of the wave tilt (W). The angle (Ψ) of the wave tilt can be determined from

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Equation (86). The electrical phase Φ can then be matched to the angle of the wave tilt. For example, the electrical phase delay $\Phi = \theta_c + \theta_v$, can be adjusted by varying the geometrical parameters of the coil L_R and/or the length (or height) of the vertical supply line conductor.

Next at 190, the load impedance of the charge terminal T_R can be tuned to resonate the equivalent image plane model of the tuned resonator 306a. The depth ($d/2$) of the conducting image ground plane 139 (FIG. 9A) below the receiving structure can be determined using Equation (100) and the values of the lossy conducting medium 203 (e.g., the Earth) at the receiving structure, which can be locally measured. Using that complex depth, the phase shift (θ_d) between the image ground plane 139 and the physical boundary 136 (FIG. 9A) of the lossy conducting medium 203 can be determined using $\theta_d = \beta_0 d/2$. The impedance (Z_{in}) as seen “looking down” into the lossy conducting medium 203 can then be determined using Equation (99). This resonance relationship can be considered to maximize coupling with the guided surface waves.

Based upon the adjusted parameters of the coil L_R and the length of the vertical supply line conductor, the velocity factor, phase delay, and impedance of the coil L_R and vertical supply line can be determined. In addition, the self-capacitance (C_R) of the charge terminal T_R can be determined using, e.g., Equation (24). The propagation factor (β_p) of the coil L_R can be determined using Equation (98), and the propagation phase constant (β_w) for the vertical supply line can be determined using Equation (49). Using the self-capacitance and the determined values of the coil L_R and vertical supply line, the impedance (Z_{base}) of the tuned resonator 306a as seen “looking up” into the coil L_R can be determined using Equations (101), (102), and (103).

The equivalent image plane model of FIG. 9A also applies to the tuned resonator 306a of FIG. 18B. The tuned resonator 306a can be tuned to resonance with respect to the complex image plane by adjusting the load impedance Z_R of the charge terminal T_R such that the reactance component X_{base} of Z_{base} cancels out the reactance component of X_{in} of Z_{in} , or $X_{base} + X_{in} = 0$. Thus, the impedance at the physical boundary 136 (FIG. 9A) “looking up” into the coil of the tuned resonator 306a is the conjugate of the impedance at the physical boundary 136 “looking down” into the lossy conducting medium 203. The load impedance Z_R can be adjusted by varying the capacitance (C_R) of the charge terminal T_R without changing the electrical phase delay $\Phi = \theta_c + \theta_v$, seen by the charge terminal T_R . An iterative approach may be taken to tune the load impedance Z_R for resonance of the equivalent image plane model with respect to the conducting image ground plane 139. In this way, the coupling of the electric field to a guided surface waveguide mode along the surface of the lossy conducting medium 203 (e.g., Earth) can be improved and/or maximized.

Referring to FIG. 19, the magnetic coil 309 comprises a receive circuit that is coupled through an impedance matching network 333 to an electrical load 336. In order to facilitate reception and/or extraction of electrical power from a guided surface wave, the magnetic coil 309 may be positioned so that the magnetic flux of the guided surface wave, H_Φ , passes through the magnetic coil 309, thereby inducing a current in the magnetic coil 309 and producing a terminal point voltage at its output terminals 330. The magnetic flux of the guided surface wave coupled to a single turn coil is expressed by

$$\mathcal{F} = \iint_{A_{CS}} \mu_r \mu_0 \vec{H}_2 \cdot \vec{n} dA \quad (104)$$

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where $\mathcal{F}$ is the coupled magnetic flux, μ_r is the effective relative permeability of the core of the magnetic coil 309, μ_0

is the permeability of free space, $\vec{H}_2$ is the incident magnetic field strength vector, $\vec{n}$ is a unit vector normal to the cross-sectional area of the turns, and A_{CS} is the area enclosed by each loop. For an N-turn magnetic coil 309 oriented for maximum coupling to an incident magnetic field that is uniform over the cross-sectional area of the magnetic coil 309, the open-circuit induced voltage appearing at the output terminals 330 of the magnetic coil 309 is

$$V = -N \frac{d\mathcal{F}}{dt} \approx -j\omega \mu_r \mu_0 N H A_{CS}, \quad (105)$$

where the variables are defined above. The magnetic coil 309 may be tuned to the guided surface wave frequency either as a distributed resonator or with an external capacitor across its output terminals 330, as the case may be, and then impedance-matched to an external electrical load 336 through a conjugate impedance matching network 333.

Assuming that the resulting circuit presented by the magnetic coil 309 and the electrical load 336 are properly adjusted and conjugate impedance matched, via impedance matching network 333, then the current induced in the magnetic coil 309 may be employed to optimally power the electrical load 336. The receive circuit presented by the magnetic coil 309 provides an advantage in that it does not have to be physically connected to the ground.

With reference to FIGS. 18A, 18B, 18C and 19, the receive circuits presented by the linear probe 303, the mode-matched structure 306, and the magnetic coil 309 each facilitate receiving electrical power transmitted from any one of the embodiments of guided surface waveguide probes 200 described above. To this end, the energy received may be used to supply power to an electrical load 315/327/336 via a conjugate matching network as can be appreciated. This contrasts with the signals that may be received in a receiver that were transmitted in the form of a radiated electromagnetic field. Such signals have very low available power, and receivers of such signals do not load the transmitters.

It is also characteristic of the present guided surface waves generated using the guided surface waveguide probes 200 described above that the receive circuits presented by the linear probe 303, the mode-matched structure 306, and the magnetic coil 309 will load the excitation source 212 (e.g., FIGS. 3, 12 and 16) that is applied to the guided surface waveguide probe 200, thereby generating the guided surface wave to which such receive circuits are subjected. This reflects the fact that the guided surface wave generated by a given guided surface waveguide probe 200 described above comprises a transmission line mode. By way of contrast, a power source that drives a radiating antenna that generates a radiated electromagnetic wave is not loaded by the receivers, regardless of the number of receivers employed.

Thus, together one or more guided surface waveguide probes 200 and one or more receive circuits in the form of the linear probe 303, the tuned mode-matched structure 306, and/or the magnetic coil 309 can make up a wireless distribution system. Given that the distance of transmission of a guided surface wave using a guided surface waveguide probe 200 as set forth above depends upon the frequency, it is possible that wireless power distribution can be achieved across wide areas and even globally.

The conventional wireless-power transmission/distribution systems extensively investigated today include “energy harvesting” from radiation fields and also sensor coupling to inductive or reactive near-fields. In contrast, the present wireless-power system does not waste power in the form of radiation which, if not intercepted, is lost forever. Nor is the presently disclosed wireless-power system limited to extremely short ranges as with conventional mutual-reactance coupled near-field systems. The wireless-power system disclosed herein probe-couples to the novel surface-guided transmission line mode, which is equivalent to delivering power to a load by a wave-guide or a load directly wired to the distant power generator. Not counting the power required to maintain transmission field strength plus that dissipated in the surface waveguide, which at extremely low frequencies is insignificant relative to the transmission losses in conventional high-tension power lines at 60 Hz, all of the generator power goes only to the desired electrical load. When the electrical load demand is terminated, the source power generation is relatively idle.

With reference to FIGS. 20-23, examples of magnetic coils 309 are described. In various embodiments, the magnetic coil can be wrapped around a core having a high relative magnetic permeability. Due to the high relative magnetic permeability of the core, the density of the magnetic flux of the guided surface wave that passes through the magnetic coil 309 is greater than the magnetic flux that would otherwise pass through the magnetic coil 309 without the core. Accordingly, the amount of electrical power extracted from the guided surface waves can be increased. In addition, the magnetic coil 309 can be attached to a system that adjusts the orientation of the magnetic coil 309 relative to a guided surface waveguide probe 200a-200f to further increase the amount of electrical power obtained from guide surface waves.

In the following discussion, a general description of examples of magnetic coils having cores with relatively high relative magnetic permeability is provided, followed by a discussion of examples of the operation of the same.

With reference to FIG. 20, shown is an example of a magnetic coil 309 according to various embodiments. The magnetic coil 309 shown in FIG. 20 is wrapped around a core 2003. Although FIG. 20 illustrates a single magnetic coil 309 wrapped around the core 2003, alternative embodiments can include multiple magnetic coils 309 wrapped around the core 2003.

The core 2003 in various embodiments can have various shapes. For example, the core 2003 illustrated in FIG. 20 has a cylindrical shape. In alternative examples, the core 2003 can be rectangular or have other suitable shapes. In addition, the core 2003 can have ends that are enlarged relative to other portions of the core 2003.

The core 2003 has a relatively high relative magnetic permeability μ_r . The relative magnetic permeability μ_r of the core 2003 can be regarded as being a measure of the ability of the core 2003 to attract and conduct magnetic flux. The relative magnetic permeability μ_r of the core 2003 can also be regarded as being a measure of the ability of the core 2003 to support the formation of a magnetic field within itself. The relative magnetic permeability μ_r can be expressed as

$$\mu_r = \frac{\mu}{\mu_0}, \quad (106)$$

where μ_r is the relative magnetic permeability of the core 2003, μ is the magnetic permeability of the core 2003, and μ_0 is the permeability of free space, having the value $\mu_0 = 4\pi \times 10^{-7} \text{ H}\cdot\text{m}^{-1}$.

The high relative magnetic permeability μ_r of the core 2003 attracts magnetic flux thereby increasing the density of the magnetic flux that passes through the magnetic coil 309. This results in the magnetic coil 309 extracting more electrical power from guided surface waves than the magnetic coil 309 would otherwise extract without the core 2003.

According to various embodiments, the core 2003 can be constructed of materials having various values of relative magnetic permeability μ_r . In some examples, the relative magnetic permeability μ_r of the core 2003 can be between about 10 and about 1,000,000. In other examples, the relative magnetic permeability μ_r of the core 2003 can be between about 1,000 and about 1,000,000. In other examples, the relative magnetic permeability μ_r of the core 2003 can be between about 10,000 and about 1,000,000. In other examples, the relative magnetic permeability μ_r of the core 2003 can be between about 100,000 and about 1,000,000. In other examples, the relative magnetic permeability μ_r of the core 2003 can be between about 1,000 and about 10,000. In other examples, the relative magnetic permeability μ_r of the core 2003 can be between about 10,000 and about 100,000.

To this end, the core 2003 of various embodiments can be constructed of various materials having various values of relative magnetic permeabilities μ_r . For example, the core 2003 can include a metallic glass alloy (also known as “metglas”), which can have a relative magnetic permeability μ_r of about 1,000,000. As another example, the core 2003 can include 99.95% iron annealed in hydrogen, which can have a relative magnetic permeability μ_r of about 200,000. As another example, the core 2003 can include a nanocrystalline alloy, such as nanoperm, which can have a relative magnetic permeability μ_r of about 80,000. As another example, the core 2003 can include a nickel-iron magnetic alloy, such as a mumetal, which can have a relative magnetic permeability μ_r of about 20,000 to about 50,000. As another example, the core 2003 can include a cobalt-iron material, which can have a relative magnetic permeability μ_r of about 18,000. As another example, the core 2003 can include a nickel-iron alloy or a nickel-iron molybdenum alloy, such as a permalloy, which can have a relative magnetic permeability μ_r of about 8,000. As another example, the core 2003 can include 99.8% pure iron, which can have a relative magnetic permeability μ_r of about 5,000. As another example, the core 2003 can include electrical steel, which can have a relative magnetic permeability μ_r of about 4,000. As another example, the core 2003 can include annealed ferritic stainless steel, which can have a relative magnetic permeability μ_r of about 1,000 to about 1,800. As another example, the core 2003 can include annealed Martensitic stainless steel, which can have a relative magnetic permeability μ_r of about 750-950. As another example, the core 2003 can include ferrite, which can have a relative magnetic permeability μ_r of about 16-640. As another example, the core 2003 can include Austenitic stainless steel, which can have a relative magnetic permeability μ_r of about 1-100.

With reference to FIG. 21, shown is an example of the magnetic coil 309 and core 2003 attached to a support structure 2103. The support structure 2103 can position the magnetic coil 309 and core 2003 in various orientations relative to other objects. For example, the support structure 2106 can rotate the magnetic coil 309 and core 2003 so that the magnetic coil 309 and core 2003 are oriented so that they

are in alignment with the magnetic field so that the maximum amount of magnetic flux of the guided surface waves passes through the magnetic coil 309. By maximizing the magnetic flux passing through the magnetic coil 309, the magnetic coil 309 can maximize the amount of power obtained from guided surface waves.

The support structure 2103 can be embodied in various forms. For example, the support structure 2103 illustrated in FIG. 21 is embodied in the form of a stand that can rotate the magnetic coil 309 and core 2003 about multiple axes. In other examples, the support structure 2103 can be embodied in the form of a gimbal, turntable, gyroscope, or other suitable system.

The support structure 2103 shown in FIG. 21 can rotate the magnetic coil 309 and core 2003 about the axis 2106 and the axis 2009, both of which are orthogonal to the longitudinal axis 2113 of the core 2003. Accordingly, the support structure 2103 can rotate the magnetic coil 309 and core 2003 in the directions indicated by the arrows 2116 and 2119.

When the magnetic coil 309 and core 2003 are rotated about the axis 2106, the ends of the core 2003 pivot up or down relative to the ground. In other words, the angle between the ground and the longitudinal axis 2113 of the core 2003 changes. The support structure 2103 can rotate the magnetic coil 309 and core 2003 about the axis 2106 in response to the altitude of the magnetic coil and core 2003 changing relative to the altitude of the guided surface waveguide probe 200a-200f from which the magnetic coil 309 obtains electrical power. This may occur, for example, if the magnetic coil 309 and core 2003 are mounted in a mobile system, such as a vehicle, that is traveling up or down a hill.

When the magnetic coil 309 and core 2003 are rotated about the axis 2109, the horizontal directions in which the ends of the core 2003 face are changed. When this occurs, the angle between the ground and the longitudinal axis 2113 of the core 2003 does not change. The support structure 2103 may rotate the magnetic coil 309 and the core 2003 about the axis 2109 in response to the lateral location of the magnetic coil 309 and core 2003 changing relative to the guided surface waveguide probe 200a-200f from which the magnetic coil 309 obtains electrical power. This may occur, for example, if the magnetic coil 309 and core 2003 are mounted in a mobile system, such as a vehicle, that is traveling laterally relative to a guided surface waveguide probe 200a-200f.

With reference to FIG. 22, shown is an example of a computing device 2203 according to various embodiments of the present disclosure. The computing device 2203 can monitor and control the support structure 2103 for the magnetic coil 309 and core 2003. To this end, the computing device 2203 can include one or more sensors 2206, an orientation controller 2203, and/or other components.

In some embodiments, the sensors 2206 can include a satellite navigation system sensor, such as a global positioning system (GPS) sensor, which can be used to determine the location and/or orientation of the magnetic coil 309 and core 2003 based on signals broadcast from satellites. In other embodiments, the sensors 2206 can include an inertial navigation sensor, such as an accelerometer or a gyroscope, that can be used to determine the location and/or orientation of the magnetic coil 309 and core 2003. The sensors 2206 can also include components, such as a magnetic compass that can be used to determine the location and/or orientation of the magnetic coil 309 and core 2003. The sensors 2206 can also include altimeters that determine the altitude of the magnetic

coil 309 and core 2003 relative to the ground, mean sea level, and/or other objects. The sensors 2206 can also include field meters, such as a magnetic field meter. The magnetic field meter can directly or indirectly measure the strength of the magnetic flux that is passing through the magnetic coil 309.

The orientation controller 2209 can obtain data from the sensors 2206 and adjust the orientation of the magnetic coil 309 and core 2003 relative to other objects based on the data from the sensors 2206. To adjust the orientation of the magnetic coil 309 and core 2003, the orientation controller 2209 can command motors, actuators, hydraulic systems, and/or other components to actuate to thereby rotate the magnetic coil 309 and core 2003 to a determined orientation.

The orientation controller 2209 can determine how the magnetic coil 309 and core 2003 should be oriented using various techniques. In one technique, the orientation controller 2209 can obtain data from the sensors 2206 indicating the location and orientation of the magnetic coil 309 and core 2003 relative to a guided surface waveguide probe 200a-200f that is launched guided surface waves. The orientation controller 2209 can then determine the orientation of the magnetic coil 309 and core 2003 that would result in the maximum amount of magnetic flux passing through the magnetic coil 309. After determining this orientation, the orientation controller 2209 can command the support structure 2103 to rotate the magnetic coil 309 and core 2003 about the axis 2106 and/or axis 2109 to the determined orientation.

In another embodiment, the orientation controller 2209 can use the sensors 2206 to directly or indirectly measure the strength of the magnetic flux flowing through the magnetic coil 309 and adjust the orientation of the magnetic coil 309 and core 2003 responsive to the magnetic flux. For example, if the measured magnetic flux flowing through the magnetic coil 309 has decreased from a previous measurement, the orientation controller 2209 can adjust the orientation of the magnetic coil 309 and the core 2003 to attempt to increase the amount of magnetic flux flowing through the magnetic coil 309. Once the measured magnetic flux has increased to a particular value, the orientation controller 2209 can stop adjusting the orientation of the magnetic coil 309 and core 2003. This process can be repeated when the orientation of the magnetic coil 309 and core 2003 relative to the guided surface waveguide probe 200a-200f changes again.

With reference to FIG. 23, shown is a flowchart that depicts an example of the operation of a portion of the orientation controller 2209 according to various embodiments. The flowchart of FIG. 23 provides an example of the many types of functional arrangements that can be employed to implement the operation of the orientation controller 2209 as described herein. As an alternative, the flowchart of FIG. 23 may be viewed as depicting an example of elements of a method implemented by the computing device 2203.

Beginning at box 2303, the orientation controller 2209 orients the magnetic coil 309 and core 2003 to obtain the maximum amount of electrical energy from the guided surface waves. For example, the orientation controller 2209 can command the support structure 2103 to rotate the magnetic coil 309 and core 2003 about the axis 2106 and/or axis 2109 to a particular orientation that results in the maximum amount of magnetic flux passing through the magnetic coil 309.

At box 2306, the orientation controller 2209 determines whether the orientation of the magnetic coil 309 and core 2003 have changed relative to the guided surface waveguide probe 200a-200f. If the orientation has changed, the orien-

tation controller **2209** returns to box **2303**, as shown, and orients the magnetic coil **309** and core **2003** to obtain the maximum amount of electrical energy from the guided surface waves. Otherwise, if the orientation has not changed, the process ends. It is noted that, in some embodiments, boxes **2303** and **2306** can be repeated continuously.

The flowchart of FIG. **23** shows an example of the functionality of orientation controller **2209**. Although the flowchart of FIG. **23** shows a particular order of execution, the order of execution can differ from that which is depicted in alternative embodiments. For example, the order of two or more boxes can be switched relative to the order shown. Also, two or more blocks shown in succession in FIG. **23** can be skipped or omitted.

As used herein, the term of “about,” “approximately,” and the like, when used in connection with a numerical variable, generally refers to the value of the variable and to all values of the variable that are within the experimental error (e.g., within the 95% confidence interval for the mean) or within $\pm 10\%$ of the indicated value, whichever is greater. In addition, where a range of values is provided, it is understood that each intervening value, to the tenth of the unit of the lower limit unless the context clearly dictates otherwise, between the upper and lower limit of that range and any other stated or intervening value in that stated range, is encompassed within the disclosure. The upper and lower limits of these smaller ranges may independently be included in the smaller ranges and are also encompassed within the disclosure, subject to any specifically excluded limit in the stated range. Where the stated range includes one or both of the limits, ranges excluding either or both of those included limits are also included in the disclosure.

The above-described embodiments of the present disclosure are merely possible examples of implementations set forth for a clear understanding of the principles of the disclosure. Many variations and modifications may be made to the above-described embodiment(s) without departing substantially from the spirit and principles of the disclosure. All such modifications and variations are intended to be included herein within the scope of this disclosure and protected by the following claims. In addition, all optional and preferred features and modifications of the described embodiments and dependent claims are usable in all aspects of the disclosure taught herein. Furthermore, the individual features of the dependent claims, as well as all optional and preferred features and modifications of the described embodiments are combinable and interchangeable with one another.

Therefore, the following is claimed:

1. A system, comprising:

- a guided surface waveguide probe configured to generate an electromagnetic field when excited by an excitation source, wherein the electromagnetic field synthesizes a wave front incident at a complex Brewster angle of incidence ($\theta_{i,B}$) of a terrestrial medium to generate a guided surface wave that travels across the terrestrial medium;
- a guided surface wave receive structure configured to obtain electrical energy from the guided surface wave, wherein the guided surface wave receive structure comprises a magnetic coil and a core disposed in the magnetic coil, wherein the core has a relative magnetic permeability greater than about 10 and less than about 1,000,000; and

an electrical load coupled to the guided surface wave receive structure, the electrical load being experienced as a load at the excitation source coupled to the guided surface waveguide probe.

2. The system of claim **1**, wherein the relative magnetic permeability is greater than about 1,000 and less than about 10,000.

3. The system of claim **1**, wherein the relative magnetic permeability is greater than about 100,000.

4. The system of claim **1**, wherein the relative magnetic permeability is greater than about 10,000.

5. The system of claim **1**, wherein the core comprises a nickel-iron magnetic alloy.

6. The system of claim **1**, wherein the core comprises an alloy comprising nickel, iron, and molybdenum.

7. The system of claim **1**, wherein the magnetic coil is attached to a support structure that is configured to adjust a position of the magnetic coil.

8. The system of claim **1**, wherein the magnetic coil is attached to a support structure that is configured to adjust a position of the magnetic coil relative to the guided surface waveguide probe.

9. The system of claim **1**, further comprising a feed network electrically coupled between a single charge terminal and the excitation source, the feed network providing a phase delay (Φ) that matches a wave tilt angle (Ψ) associated with the complex Brewster angle of incidence ($\theta_{i,B}$).

10. A method, comprising:

generating, by exciting a guided surface waveguide probe via an excitation source, an electromagnetic field that synthesizes a wave front incident at a complex Brewster angle of incidence ($\theta_{i,B}$) of a terrestrial medium to generate a guided surface wave that travels across the terrestrial medium;

receiving electrical energy in the form of the guided surface wave using a guided surface wave receive structure, wherein the guided surface wave receive structure comprises a magnetic coil and a core disposed in the magnetic coil, wherein the core has a relative magnetic permeability greater than about 10 and less than about 1,000,000; and

supplying the electrical energy to an electrical load coupled to the guided surface wave receive structure.

11. The method of claim **10**, further comprising positioning the magnetic coil so that a magnetic flux of the guided surface wave passes through the magnetic coil.

12. The method of claim **10**, further comprising adjusting a position of the magnetic coil in response to a change in an orientation of the magnetic coil relative to the guided surface waveguide probe.

13. The method of claim **12**, further comprising detecting the change in the orientation of the magnetic coil relative to the guided surface waveguide probe.

14. The method of claim **12**, wherein adjusting the position of the magnetic coil causes an angle between a horizon and a longitudinal axis of the core to change.

15. A system, comprising:

a guided surface wave receive structure configured to obtain electrical energy from a guided surface wave traveling across a terrestrial medium, wherein the guided surface wave receive structure comprises a magnetic coil and a core disposed in the magnetic coil, wherein the core has a relative magnetic permeability greater than about 10 and less than about 1,000,000; and

an electrical load coupled to the guided surface wave receive structure, the electrical load being experienced

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as a load at an excitation source coupled to a guided surface waveguide probe generating an electromagnetic field that synthesizes a wave front incident at a complex Brewster angle of incidence ($\theta_{i,B}$) of the terrestrial medium to generate the guided surface wave. 5

16. The system of claim 15, wherein the relative magnetic permeability of the core is greater than about 100.

17. The system of claim 15, wherein the relative magnetic permeability of the core is greater than about 1,000.

18. The system of claim 15, wherein the relative magnetic permeability of the core is greater than about 10,000. 10

19. The system of claim 15, wherein the relative magnetic permeability of the core is greater than about 5,000 and less than about 60,000.

20. The system of claim 15, wherein the core comprises 15 a nickel-iron magnetic alloy.

21. The system of claim 15, wherein the core comprises an alloy comprising nickel, iron, and molybdenum.

* * * * *

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PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

To:

D'AURELIO, Michael, J.
THOMAS | HORSTEMEYER LLP
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NOTIFICATION OF TRANSMITTAL OF
THE INTERNATIONAL PRELIMINARY
REPORT ON PATENTABILITY
(PCT Rule 71.1)

Date of mailing
(day/month/year)

15.12.2017

Applicant's or agent's file reference
170300-2280

IMPORTANT NOTIFICATION

International application No.
PCT/US2016/047455

International filing date (day/month/year)
18.08.2016

Priority date (day/month/year)
10.09.2015

Applicant
CPG Technologies, LLC

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary report on patentability and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the international
preliminary examining authority:



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PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 170300-2280	FOR FURTHER ACTION		See Form PCT/PEA/416
International application No. PCT/US2016/047455	International filing date (<i>day/month/year</i>) 18.08.2016	Priority date (<i>day/month/year</i>) 10.09.2015	
International Patent Classification (IPC) or national classification and IPC INV. H01Q7/06			
Applicant CPG Technologies, LLC			
1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36. 2. This REPORT consists of a total of <u>8</u> sheets, including this cover sheet. 3. This report is also accompanied by ANNEXES, comprising: a. ☒ (<i>sent to the applicant and to the International Bureau</i>) a total of <u>20</u> sheets, as follows: ☒ sheets of the description, claims and/or drawings which have been amended and/or sheets containing rectifications authorized by this Authority, unless those sheets were superseded or cancelled, and any accompanying letters (see Rules 46.5, 66.8, 70.16, 91.2, and Section 607 of the Administrative Instructions). ☐ sheets containing rectifications, where the decision was made by this Authority not to take them into account because they were not authorized by or notified to this Authority at the time when this Authority began to draw up this report, and any accompanying letters (Rules 66.4bis, 70.2(e), 70.16 and 91.2). ☐ superseded sheets and any accompanying letters, where this Authority either considers that the superseding sheets contain an amendment that goes beyond the disclosure in the international application as filed, or the superseding sheets were not accompanied by a letter indicating the basis for the amendments in the application as filed, as indicated in item 4 of Box No. I and the Supplemental Box (see Rule 70.16(b)). b. ☐ (<i>sent to the International Bureau only</i>) a total of (indicate type and number of electronic carrier(s)) , containing a sequence listing, in the form of an Annex C/ST.25 text file, as indicated in the Supplemental Box Relating to Sequence Listing (see paragraph 3ter of Annex C of the Administrative Instructions).			
4. This report contains indications relating to the following items: ☒ Box No. I Basis of the report ☐ Box No. II Priority ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability ☐ Box No. IV Lack of unity of invention ☒ Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement ☐ Box No. VI Certain documents cited ☒ Box No. VII Certain defects in the international application ☒ Box No. VIII Certain observations on the international application			
Date of submission of the demand 07.07.2017		Date of completion of this report 15.12.2017	
Name and mailing address of the international preliminary examining authority:  European Patent Office P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Fax: +31 70 340 - 3016		Authorized officer Sipal, Vít Telephone No. +31 70 340-4607	



**INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY**

International application No.
PCT/US2016/047455

Box No. I Basis of the report

1. With regard to the **language**, this report is based on
- ☒ the international application in the language in which it was filed
 - ☐ a translation of the international application into , which is the language of a translation furnished for the purposes of:
 - ☐ international search (under Rules 12.3(a) and 23.1(b))
 - ☐ publication of the international application (under Rule 12.4(a))
 - ☐ international preliminary examination (under Rules 55.2(a) and/or 55.3(a) and (b))
2. With regard to the **elements*** of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report)*:

Description, Pages

2-6, 9-69 as originally filed

1, 7, 8, 70 filed in electronic form on

07-07-2017

Claims, Numbers

1-15 filed in electronic form on

04-12-2017

Drawings, Sheets

1/19-19/19 as originally filed

- ☐ a sequence listing - see Supplemental Box Relating to Sequence Listing.

3. ☐ The amendments have resulted in the cancellation of:
- ☐ the description, pages
 - ☐ the claims, Nos.
 - ☐ the drawings, sheets/figs
 - ☐ the sequence listing (*specify*):
4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since either they are considered to go beyond the disclosure as filed, or they were not accompanied by a letter indicating the basis for the amendments in the application as filed, as indicated in the Supplemental Box (Rules 70.2(c) and (c-bis)):
- ☐ the description, pages
 - ☐ the claims, Nos.
 - ☐ the drawings, sheets/figs
 - ☐ the sequence listing (*specify*):
5. ☐ This report has been established:
- ☐ taking into account the **rectification of an obvious mistake** authorized by or notified to this Authority under Rule 91 (Rules 66.1(d-bis) and 70.2(e)).
 - ☐ without taking into account the **rectification of an obvious mistake** authorized by or notified to this Authority under Rule 91(Rules 66.4bis and 70.2(e)).

**INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY**

International application No.
PCT/US2016/047455

6. ☒ With regard to top-up searches (Rules 66.1 *ter* and 70.2(f)):
- ☒ A top-up search was carried out by this Authority on 20.07.2017 (all discovered documents are listed in the Supplemental Box Relating to Top-up Search).
 - ☐ Additional relevant documents have been discovered during the top-up search.
 - ☐ No top-up search was carried out by this Authority because it would serve no useful purpose.
7. ☐ Supplementary international search report(s) from Authority(ies) has/have been received and taken into account in establishing this report (Rule 45bis.8(b) and (c)).

* If item 4 applies, some or all of those sheets may be marked "superseded".

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes: Claims	<u>1-15</u>
	No: Claims	
Inventive step (IS)	Yes: Claims	<u>1-15</u>
	No: Claims	
Industrial applicability (IA)	Yes: Claims	<u>1-15</u>
	No: Claims	

2. Citations and explanations (Rule 70.7):

see separate sheet

Box No. VII Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

see separate sheet

Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

1 **Re Item V**

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1.1 Reference is made to the following documents cited during the procedure:

D1 US 2014/252886 A1 (CORUM JAMES F [US] ET AL) 11 September 2014

D2 US 3 372 395 A (KLINE HALSEY W) 5 March 1968

D3 A Anonymous: "Permeability (electromagnetism)", 5 September 2015, pages 1-6, XP055314759, Retrieved from the Internet: URL:en.wikipedia.org/wiki/Permeability_(electromagnetism) [retrieved on 2016-10-28]

D4 Gary Peterson: "The application of electromagnetic surface waves to wireless energy transfer", 2015 IEEE Wireless Power Transfer Conference (WPTC), 1 May 2015, pages 1-4, XP055392851, DOI: 10.1109/WPT.2015.7139133 ISBN: 978-1-4673-7447-7

D5 CORUM K L ET AL: "RF coils, helical resonators and voltage magnification by coherent spatial modes", TELECOMMUNICATIONS IN MODERN SATELLITE, CABLE AND BROADCASTING SERVICE, 2001. TELSIX 2001. 5TH INTERNATIONAL CONFERENCE ON 19-21 SEPTEMBER 2001, PISCATAWAY, NJ, USA, IEEE, vol. 1, 19 September 2001, pages 339-348, XP010560949, ISBN: 978-0-7803-7228-3

1.2 D1 is regarded as being the prior art closest to the subject-matter of claim 1, and discloses

I. 1. A system, comprising: [D1: e.g. Fig. 3]

II. a guided surface waveguide probe with ~~a single~~ **a plurality of** charge terminals configured to generate an electromagnetic field when excited by an excitation source, [D1: T1 and T2 in Fig. 3]

III. wherein the electromagnetic field synthesizes a wave front incident at a complex Brewster angle of incidence of a terrestrial medium to generate a guided surface wave that travels across the terrestrial medium; [D1: pars. 52-54, Figs. 3-6]

- IV. a feed network electrically coupled between the **single plurality of** charge terminals and the excitation source, the feed network providing a phase delay that matches a wave tilt angle associated with the complex Brewster angle of incidence [*D1: 209 in Fig. 3, pars. 52-54*]
- V. a guided surface wave receive structure configured to obtain electrical energy from the guided surface wave, wherein the guided surface wave receive structure comprises a magnetic coil and a core disposed in the magnetic coil (309), ~~wherein the core has a relative magnetic permeability greater than about 10 and less than about 1,000,000; and~~ [*D1: 709 in Fig. 13, par. 180 explicitly discloses a core*]
- VI. an electrical load coupled to the guided surface wave receive structure. [*D1: 736 in Fig. 13*]

1.3 The subject-matter of claim 1 therefore differs from this known system in that:

- I. the waveguide probe has only **a single** charge terminal
- II. the core has a specifically defined permeability in the range 10-1,000,000

and is therefore new (Article 33(2) PCT).

1.4 The problem to be solved by the present invention may be regarded as how to improve the efficiency of the power transfer.

1.5 The solution to this problem proposed in claim 1 of the present application is considered as involving an inventive step (Article 33(3) PCT) for the following reasons:

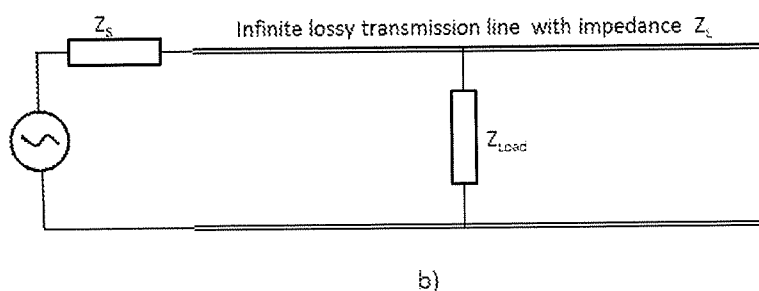
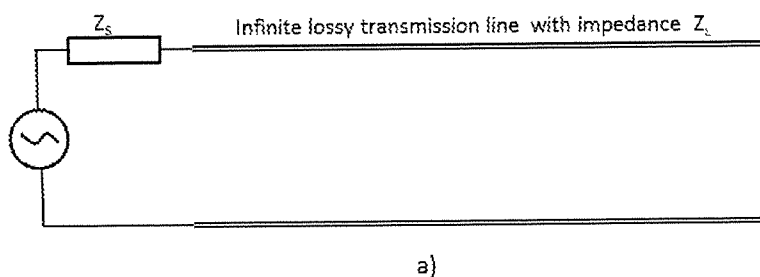
1.5.1 A waveguide probe with a single charge terminal as defined by point I. of section 1.3 is known e.g. from Fig. 1 of D4. Thus the skilled person would consider it obvious to replace the waveguide probe of D1 with its alternative disclosed by D4.

1.5.2 The choice of permeability of point II. of section 1.3 would also be obvious for the skilled person who would regard ferrites as an obvious material for a core (see e.g. D3).

1.5.3 Therefore, taken independently, the difference features of section 1.3 would be obvious to the skilled person.
However, since there are two difference features, it must be decided if there is a synergistic effect between them or not.

- 1.5.4 In a normal, wireless transmission using free space radiation, there would be no synergistic effect as the differences would merely sum up due to the linearity of Maxwell equations.
- 1.5.5 The system of claim 1 uses surface waves bound to the transition between the lossy ground and air. The conditions for the excitation of such a wave are defined by system features III. and IV. in section 1.2.
- 1.5.6 In such a scenario, there is a link between the excitation source and the load (see par. 36). This can be explained by the following two diagrams. Variant a) shows the situation when the system comprises a waveguide probe but no load. In such a case, the source "sees" only the infinitely long transmission line with impedance Z_L and only the power dissipated in the line is consumed.

When a load Z_{Load} is connected the situation is as in case b) below.



- 1.5.7 It is essential to understand that one observes essentially a transmission line system, where basic power transfer rule applies. In other words, there is an optimum Z_{Load} for the best power transfer, like in a basic circuit the power transfer is optimum when the load correspond to the conjugate complex of the source impedance.

- 1.5.8 When replacing one probe with another as in point I. of section 1.3, we change the range for Z_S and a different range for Z_{Load} is necessary to achieve the optimum power transfer. The permeability of point II. of section 1.3 has an impact on Z_{Load} . Therefore, due to the presence of the guided surface wave defined by system features III. and IV. in section 1.2, it is not possible to claim that there is no synergy between the difference features of points I. and II. of section 1.3. Moreover, the new range of impedance for Z_S in fact enables a different range of impedance for Z_{Load} .
- 1.6 Consequently, the system of claim 1 complies with the requirements of Article 33(3) PCT because the skilled person would have to combine three pieces of prior art wherein the effects of the prior art would not be merely juxtaposed. Such a combination is an indicator of the presence of inventive step.
- 1.7 Considering the straightforward relationship between device claim 1 and method claim 11, the same reasoning as above applies also for method claim 11, *mutatis mutandis*.
- 1.8 Claims 2-10, and 12-15 are dependent on claims 1 and 11 respectively and as such also meet the requirements of the PCT with respect to novelty and inventive step.

2 Re Item VII

Certain defects in the international application

- 2.1 Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in D1-D5 is not mentioned in the description, nor are these documents identified therein.
- 2.2 The description is not in conformity with the claims as required by Rule 5.1(a)(iii) PCT. In particular, the description defines many different devices as "embodiments" even though the claims relate only to a specific phased array with specific antenna type. The word embodiment in the description should relate only to the claimed subject-matter. This objection applies also to pars. 208-228 and clauses 1-20 defined therein.

3 **Re Item VIII**

Certain observations on the international application

- 3.1 The application does not meet the requirements of Article 6 PCT, because claim 1 is not clear because it cannot be assessed if the excitation source 212 forms part of the claimed system or if the represents an external entity. Consequently, the scope of claim 1 is unclear (Article 6 PCT).

July 7, 2017

European Patent Office
Bob-van-Bentham-Platz 1
80469 Munich
Germany

Via EPOLINE

Re: Guidance to Article 34 Amendment
Written Opinion Response Letter
International Patent Application No. PCT/US2016/047455
In the name of CPG Technologies, LLC
TH Ref: 170300-2280

Dear Examiner Sipal:

In response to the Written Opinion accompanying the search report issued on 7 November 2016, we enclose new pages 1, 7, 8, and 70-74 to replace the pages 1, 7, 8, and 70-73 on file. To further assist the Examiner, we also enclose a courtesy copy of the original pages showing all amendments in marked-up form.

The claims have been amended as follows. Claims 1-6 amended; claim 7 unchanged; claim 8 amended; claim 9 unchanged; claims 10 and 11 amended; claim 12 unchanged; claims 13 and 14 amended; and claim 15 unchanged.

- a) Basis for amendments to Claim 1: The claim is amended to clarify that the “guided surface waveguide probe” is a “single phase guided surface waveguide probe,” that the single phase guided surface waveguide probe comprises a single charge terminal, to recite the excitation source experiences the electrical load as a load, and to add reference numbers.
- b) Basis for amendments to Claim 2: The claim is amended to recite an upper limitation of the relative magnetic permeability.
- c) Basis for amendments to Claim 3: The claim is amended to depend from claim 2.
- d) Basis for amendments to Claim 4: The claim is amended to recite an upper limitation of the relative magnetic permeability and to depend from claim 5.

- e) Basis for amendments to Claim 5: The claim is amended to recite an upper limitation of the relative magnetic permeability and to depend from claim 2.
- f) Basis for amendments to Claim 6: The claim is amended to depend from claim 2.
- g) Basis for amendments to Claim 8: The claim is amended to depend from claim 7.
- h) Basis for amendments to Claim 8: The claim is amended to depend from claim 7.
- i) Basis for amendments to Claim 10: The claim is amended to correct for antecedent basis and to depend from claim 9.
- j) Basis for amendments to Claim 11: The claim is amended to add a “single phase guided surface waveguide probe” that comprises a single charge terminal, to recite the excitation source experiences the electrical load as a load, and to add reference numbers.
- k) Basis for amendments to Claims 13 and 14: The claims are amended to correct for antecedent basis.

Any necessary amendments to the background and summary sections of the patent application will be made in due course, subject to their appropriateness in the relevant national jurisdictions.

Responses to Written Opinion Reasoned Statements in Item V

The following responses correspond to each reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability. The Applicant respectfully submits that these explanations clarify and identify support for the claims as required by Article 6 PCT.

Paragraph 2.1 - The WO alleges that claim 11 lacks novelty and inventive step. While Applicant disagrees, in an effort to move prosecution forward, claim 11 has been amended to recite that the “guided surface wave is generated by a single phase guided surface waveguide probe coupled to an excitation source,” that the “single phase guided surface waveguide probe comprises a single charge terminal,” and that the “excitation source experiences the electric load as a load,” which is neither known nor rendered

obvious in view of the cited prior art. For at least the foregoing reasons, claim 11 and its dependents are patentable over references D1-D3.

Paragraph 3.1 - The WO alleges that claim 1 lacks inventive step. While Applicant disagrees, in an effort to move prosecution forward, claim 1 has been amended to recite “the charge terminal is a single charge terminal,” which is neither known nor rendered obvious in view of the cited prior art. In contrast, D1 refers to poly-phase guided surface waveguide probes with two charge terminals. Specifically, D1 discusses “transmitting energy conveyed in the form of a guided surface-waveguide mode along the surface of a terrestrial medium by exciting a polyphase waveguide probe” (D1, Abstract), but is silent as to a single charge terminal. For at least the foregoing reasons, claim 1 and its dependents are patentable over references D1-D3.

Responses to Written Opinion Observations in Item VII

The following responses refer to the observations relating to the international application. The Applicant submits that the following responses satisfy observations in view of the requirements of Article 6 PCT.

Paragraph 5.1 - The WO alleges that the relevant prior art (D2 and D3) is not acknowledged in the description. Any amendments to the written description that are necessary to cite background art that is useful for the understanding of the claimed subject matter, will be made in due course at the conclusion of examination in a national office subject to national office requirements.

Paragraph 5.2 - Claims 1 and 11 are amended herein to include reference signs.

Paragraph 5.3 - The WO alleges that the independent claims are not cast in two part form. The Applicant respectfully submits that using the two-part claim format for the subject matter of this international application would be detrimental to the

clarity and conciseness of the claims. Amending the claims into two-part form will be held in abeyance unless and until national office examination dictates otherwise.

Paragraph 5.4 – The WO alleges that the expressions “incorporated by reference” in paragraphs [0001], [0002], [0038], and [0040] should be deleted. In addition, the WO alleges that paragraphs [0209] – [0230] should be deleted because they contains only unnecessary and irrelevant statements. Although Applicant respectfully disagrees, in order to expedite prosecution, the Specification is amended herein to delete the “incorporation by reference” expressions and delete paragraphs [0229] – [0230]. However, with respect to paragraphs [0209] – [0228], Applicant respectfully submits that the contents of paragraphs [0209] – [0228] are not unnecessary or irrelevant. As such, amending the Specification to remove paragraphs [0209] – [0228] will be held in abeyance unless and until national office examination dictates otherwise.

Paragraph 5.5 - Claims 3, 5, and 6 are amended herein to depend from claim 2 and claim 4 is amended to depend from claim 5, as recommended by the WO.

Paragraph 5.6 – Claims 2, 4, and 5 are amended herein to include an upper bound, as recommended by the WO.

Paragraph 5.7 – Claim 8 is amended herein to depend from claim 7, as recommended by the WO.

Paragraph 5.8 – Claim 10 is amended herein to depend from claim 9, as recommended by the WO.

Responses to Written Opinion Observations in Item VII

The following responses refer to the observations relating to the international application. The Applicant submits that the following responses satisfy observations in view of the requirements of Article 6 PCT.

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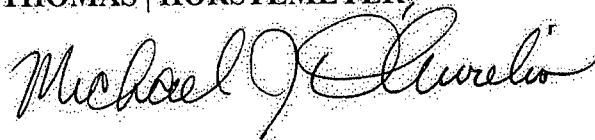
Paragraph 6.1 – Claim 1 is amended to clarify that the guided surface waveguide probe is “the single phase guided surface waveguide probe” and that the system comprises the excitation source, as recommended by the WO.

Conclusion

Applicant submits that this response and the accompanying amended pages address all objections raised in the Written Opinion and that a positive International Preliminary Report on Patentability (IPRP) can be issued. However, should the Examiner consider maintaining any of the objections or rejections in Item V paragraphs 2-4, Item VII paragraph 5, or Item VIII paragraph 6, notwithstanding the amendments made to the claims and the remarks above, we request the opportunity of a telephone interview with the Examiner to facilitate discussion of outstanding issues. In this regard, the Examiner is encouraged to contact the undersigned by telephone (US, Eastern Time) or by email to arrange for a mutually convenient conference time.

Sincerely,

THOMAS | HORSTEMEYER



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Attachments:

1. Replacement pages 1, 7, 8, and 70-74
2. Complementary mark-up of original pages 1, 7, 8, and 70-73

MAGNETIC COILS HAVING CORES WITH HIGH MAGNETIC PERMEABILITY**CROSS-REFERENCE TO RELATED APPLICATION**

[0001] This application claims priority to and the benefit of, U.S. Application No. 14/849,643, filed on 10 September 2015.

[0002] This application is related to co-pending U.S. Non-provisional Patent Application entitled "Excitation and Use of Guided Surface Wave Modes on Lossy Media," which was filed on March 7, 2013 and assigned Application Number 13/789,538, and was published on September 11, 2014 as Publication Number US2014/0252886 A1. This application is also related to co-pending U.S. Non-provisional Patent Application entitled "Excitation and Use of Guided Surface Wave Modes on Lossy Media," which was filed on March 7, 2013 and assigned Application Number 13/789,525, and was published on September 11, 2014 as Publication Number US2014/0252865 A1. This application is further related to co-pending U.S. Non-provisional Patent Application entitled "Excitation and Use of Guided Surface Wave Modes on Lossy Media," which was filed on September 10, 2014 and assigned Application Number 14/483,089. This application is further related to co-pending U.S. Non-provisional Patent Application entitled "Excitation and Use of Guided Surface Waves," which was filed on June 2, 2015 and assigned Application Number 14/728,507. This application is further related to co-pending U.S. Non-provisional Patent Application entitled "Excitation and Use of Guided Surface Waves," which was filed on June 2, 2015 and assigned Application Number 14/728,492.

SUMMARY

[0003] According to one embodiment, a system includes a guided surface waveguide probe that is configured to generate a guided surface wave that travels across a terrestrial medium. The system includes a guided surface wave receive structure configured that obtains electrical energy from the guided surface wave.

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strength curve 106 that shows the field strength of a radiated electromagnetic field as a function of distance.

[0038] Of interest are the shapes of the curves 103 and 106 for *guided wave* and for *radiation* propagation, respectively. The radiated field strength curve 106 falls off geometrically ($1/d$, where d is distance), which is depicted as a straight line on the log-log scale. The guided field strength curve 103, on the other hand, has a characteristic exponential decay of $e^{-\alpha d}/\sqrt{d}$ and exhibits a distinctive knee 109 on the log-log scale. The guided field strength curve 103 and the radiated field strength curve 106 intersect at point 112, which occurs at a crossing distance. At distances less than the crossing distance at intersection point 112, the field strength of a guided electromagnetic field is significantly greater at most locations than the field strength of a radiated electromagnetic field. At distances greater than the crossing distance, the opposite is true. Thus, the guided and radiated field strength curves 103 and 106 further illustrate the fundamental propagation difference between guided and radiated electromagnetic fields. For an informal discussion of the difference between guided and radiated electromagnetic fields, reference is made to Milligan, T., Modern Antenna Design, McGraw-Hill, 1st Edition, 1985, pp.8-9.

[0039] The distinction between radiated and guided electromagnetic waves, made above, is readily expressed formally and placed on a rigorous basis. That two such diverse solutions could emerge from one and the same linear partial differential equation, the wave equation, analytically follows from the boundary conditions imposed on the problem. The Green function for the wave equation, itself, contains the distinction between the nature of radiation and guided waves.

[0040] In empty space, the wave equation is a differential operator whose eigenfunctions possess a *continuous* spectrum of eigenvalues on the complex wave-number plane. This transverse electro-magnetic (TEM) field is called the radiation field, and those propagating fields are called "Hertzian waves." However, in the presence of a conducting boundary, the wave equation plus boundary conditions mathematically lead to a spectral representation of wave-numbers composed of a continuous spectrum *plus* a sum of *discrete* spectra. To this end, reference is made to Sommerfeld, A., "Über die Ausbreitung der Wellen in der Drahtlosen Telegraphie," *Annalen der Physik*, Vol. 28, 1909, pp. 665-736. Also see Sommerfeld, A., "Problems of Radio," published as Chapter 6 in Partial Differential Equations in

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Physics – Lectures on Theoretical Physics: Volume VI, Academic Press, 1949, pp. 236-289, 295-296; Collin, R. E., "Hertzian Dipole Radiating Over a Lossy Earth or Sea: Some Early and Late 20th Century Controversies," IEEE Antennas and Propagation Magazine, Vol. 46, No. 2, April 2004, pp. 64-79; and Reich, H. J., Ordnung, P.F, Krauss, H.L., and Skalnik, J.G., Microwave Theory and Techniques, Van Nostrand, 1953, pp. 291-293.

[0041] The terms "*ground wave*" and "*surface wave*" identify two distinctly different physical propagation phenomena. A surface wave arises analytically from a distinct *pole* yielding a *discrete* component in the plane wave spectrum. See, e.g., "The Excitation of Plane Surface Waves" by Cullen, A.L., (Proceedings of the IEE (British), Vol. 101, Part IV, August 1954, pp. 225-235). In this context, a *surface wave* is considered to be a guided *surface wave*. The *surface wave* (in the Zenneck-Sommerfeld guided wave sense) is, physically and mathematically, not the same as the *ground wave* (in the Weyl-Norton-FCC sense) that is now so familiar from radio broadcasting. These two propagation mechanisms arise from the excitation of different types of eigenvalue spectra (continuum or discrete) on the complex plane. The field strength of the guided *surface wave* decays exponentially with distance as illustrated by curve 103 of FIG. 1 (much like propagation in a lossy waveguide) and resembles propagation in a radial transmission line, as opposed to the classical Hertzian radiation of the *ground wave*, which propagates spherically, possesses a *continuum* of eigenvalues, falls off geometrically as illustrated by curve 106 of FIG. 1, and results from branch-cut integrals. As experimentally demonstrated by C.R. Burrows in "The Surface Wave in Radio Propagation over Plane Earth" (Proceedings of the IRE, Vol. 25, No. 2, February, 1937, pp. 219-229) and "The Surface Wave in Radio Transmission" (Bell Laboratories Record, Vol. 15, June 1937, pp. 321-324), vertical antennas radiate *ground waves* but do not launch guided *surface waves*.

[0042] To summarize the above, first, the *continuous* part of the wave-number eigenvalue spectrum, corresponding to *branch-cut integrals*, produces the radiation field, and second, the *discrete* spectra, and corresponding *residue sum* arising from the poles enclosed by the contour of integration, result in non-TEM traveling surface waves that are exponentially damped in the direction transverse to the propagation. Such surface waves are guided transmission line modes. For further explanation,

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[0227] Clause 19. The system of any of clauses 14-18, wherein the core comprises a nickel-iron magnetic alloy.

[0228] Clause 20. The system of any of clauses 14-18, wherein the core comprises an alloy comprising nickel, iron, and molybdenum.

September 29, 2017

European Patent Office
Bob-van-Bentham-Platz 1
80469 Munich
Germany

Via EPOLINE

**Re: Guidance to Article 34 Amendment
Written Opinion Response Letter
International Patent Application No. PCT/US2016/047455
In the name of CPG Technologies, LLC
TH Ref: 170300-2280**

Dear Examiner Sipal:

In response to the Written Opinion accompanying the search report issued on 2 August 2017, we enclose new pages 71-73 to replace the pages 71-73 on file. To further assist the Examiner, we also enclose a courtesy copy of the original pages showing all amendments in marked-up form.

The claims have been amended as follows. Claim 1 amended; claims 2 and 3 unchanged; claims 4 and 5 amended; claims 6-9 unchanged; claim 10 and 11 amended; claim 12 unchanged; claims 13 and 14 amended; and claim 15 unchanged.

- a) Basis for amendments to Claim 1: The claim is amended to remove the term "single phase" from the claim and add further detail to the generation of the guided surface wave.
- b) Basis for amendments to Claims 4 and 5: The claims are amended to change the order of the claims.
- c) Basis for amendments to Claim 10: The claim is amended to remove the term "single phase" from the claim.
- d) Basis for amendments to Claim 11: The claim is amended to remove the term "single phase" from the claim and add an element related to generating the guided surface wave.

- e) Basis for amendments to Claims 13 and 14: The claims are amended to remove the term “single phase” from the claim.

Any necessary amendments to the background and summary sections of the patent application will be made in due course, subject to their appropriateness in the relevant national jurisdictions.

Responses to Written Opinion Reasoned Statements in Item V

The following responses correspond to each reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability. The Applicant respectfully submits that these explanations clarify and identify support for the claims as required by Article 6 PCT.

Paragraph 1 - The WO alleges that claims 1 and 11 lack inventive step alleging that “it would seem that the single phase implies not only to the fact that: ‘the guided surface waveguide probe comprises a single charge terminal;’ but that the ‘single phase property’ has an implication on the type of the surface wave excited.” Applicant respectfully disagrees. The amended claims sets forth that a single charge terminal generates an electromagnetic field that “synthesizes a wave front incident at a complex Brewster angle of incidence ($\theta_{i,B}$) of a terrestrial medium (203) to generate a guided surface wave that travels across the terrestrial medium (203).” D1 relates to generating an electromagnetic field using two separate charge terminals. However, D1 lacks the technical solutions with respect to how an electromagnetic field can be generated to intersect the lossy conducting medium at a complex Brewster angle using only a single charge terminal. The present disclosure includes elements used for generating an electromagnetic field using a single charge terminal that synthesizes a wave front incident at a complex Brewster angle using only a single charge terminal.

For at least the foregoing reasons, claims 1 and 11, and their dependents, are patentable over references D1-D3.

Responses to Written Opinion Observations in Item VII

The following responses refer to the observations relating to the international application. The Applicant submits that the following responses satisfy observations in view of the requirements of Article 6 PCT.

Paragraph 7.1 - The WO states that “[c]laim 4 is drafted as dependent on claim 5, and consequently, claims 4 and 5 should be reordered.” Claims 4 and 5 have been reordered as requested by the WO.

Paragraph 7.2 - The WO alleges that the relevant prior art (D2 and D3) is not acknowledged in the description. Any amendments to the written description that are necessary to cite background art that is useful for the understanding of the claimed subject matter, will be made in due course at the conclusion of examination in a national office subject to national office requirements.

Responses to Written Opinion Observations in Item VIII

The following responses refer to the observations relating to the international application. The Applicant submits that the following responses satisfy observations in view of the requirements of Article 6 PCT.

Paragraph 8.1 – Claim 1 is amended to remove “wherein the excitation source experienced the electrical load.” Accordingly, Applicant respectfully submits that claim 1 is clear.

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Conclusion

Applicant submits that this response and the accompanying amended pages address all objections raised in the Written Opinion and that a positive International Preliminary Report on Patentability (IPRP) can be issued. However, should the Examiner consider maintaining any of the objections or rejections in Item V paragraphs 2-6, Item VII paragraph 7, or Item VIII paragraph 7, notwithstanding the amendments made to the claims and the remarks above, we request the opportunity of a telephone interview with the Examiner to facilitate discussion of outstanding issues. In this regard, the Examiner is encouraged to contact the undersigned by telephone (US, Eastern Time) or by email to arrange for a mutually convenient conference time.

Sincerely,

THOMAS | HORSTEMEYER



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Attachments:

1. Replacement pages 71-73
2. Complementary mark-up of original pages 71-73

December 4, 2017

European Patent Office
Bob-van-Bentham-Platz 1
80469 Munich
Germany

Via EPOLINE

**Re: Guidance to Article 34 Amendment
Amendments in Response to Communications
International Patent Application No. PCT/US2016/047455
In the name of CPG Technologies, LLC
TH Ref: 170300-2280**

Dear Examiner Sipal:

In response to our communications on November 27, 2017 and the Note on an informal communication by telephone with the Applicant issued on November 27, 2017 (the "Minutes"), we enclose new pages 71-73 to replace the pages 71-73 on file. To further assist the Examiner, we also enclose a courtesy copy of the original pages showing all amendments in marked-up form.

The claims have been amended as follows. Claim 1 amended; claims 2-10 unchanged; claim 11 amended; and claims 12-15 unchanged.

- a) Basis for amendments to Claim 1: The claim is amended to remove 200d from the list of numerals for the guided surface waveguide probe and to change "comprising a single charge terminal" to "with a single charge terminal," as requested by the Examiner.
- b) Basis for amendments to Claim 11: The claim is amended to remove 200d from the list of numerals for the guided surface waveguide probe.

Any necessary amendments to the background and summary sections of the patent application will be made in due course, subject to their appropriateness in the relevant national jurisdictions.

Responses to Minutes in Item II

The Minutes (p. 3) state that “it was pointed out that due to the nature of surface waves, the system is to be regarded as a waveguide system where the transmit probe connects as a source to a lossy waveguide with the receiver also being connected to said waveguide.” Applicant agrees that the guided surface waveguide probe couples energy into the lossy conductive medium in the form of a guided surface wave and that the guided surface wave receive structure obtains electrical energy the guided surface wave traveling in the lossy conductive medium. To this end, the guided surface wave is a transmission line mode. The Minutes further allege that “Consequently, using a different probe with a different impedance essentially opens up a different range of impedances for the receiver.” Applicant wishes to point out that given that the guided surface wave transmission is a transmission line mode, the electric load at the receiver acts as a load on the excitation source coupled to the guided surface waveguide probe so a change in the core of the magnetic coil (309) alters the load felt at the excitation source (212) as a greater amount of magnetic flux will pass through a coil of the receiver. Further, the feed network provides a phase delay (Φ) that matches a wave tilt angle (Ψ) associated with the complex Brewster angle of incidence. It should be noted that the receive structure is not necessarily impedance matched to the probe. Rather, the guided surface waveguide probe is matched to the terrestrial medium and the impedance of the load is matched to the receiver structure.

Comments Regarding D4

Applicant would further point out that D4 does not provide any detail as to how to enable one skilled in the art to cause a probe with a single charge terminal to operate. Rather, D4 makes several general assertions that somehow a Zenneck Surface Wave could be launched, but simply does not provide the needed technical information in order to operate a guided surface waveguide probe. Although D4 provides schematics that show probe circuits, there is no discussion that provides specific direction as to how such structures are to be operated. For example, there is no discussion of how the fields around the probes create the complex angle of incidence needed to couple energy into the

waveguide medium which is the ground as is described in the present application. Further, there is no discussion of the wave tilt angle associated with the terrestrial waveguide or how the phase delay of the probe matches such wave tilt angle in order to launch a guided surface wave. Further, it is not clear whether the experimental structure pictured in D4 is merely producing radiation. For example, if the phase delay of the probe does not substantially match the wave tilt angle associated with the terrestrial medium, then no guided surface wave will be launched with a single phase probe.

By way of contrast, the present application provides significant discussion and depth as to how a guided surface waveguide probe operates using a single charge terminal including a detailed discussion of the concepts of the wave tilt angle, the phase delay, the phase shift, and other parameters. For example, see the discussion with respect to FIGS. 7-9B which correlates to paragraphs [0078] through [0100].

Accordingly, Applicant asserts that one skilled in the art would require inventive skill to implement the single charge probe of D4 while being aware of D1. Therefore, for this additional reason, Applicant asserts that the claims of the present application are both novel and set forth an inventive step.

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Conclusion

As agreed during communications with the Examiner, Applicant submits that this response and the accompanying amended pages address all objections raised in the Written Opinion and that a positive International Preliminary Report on Patentability (IPRP) can be issued. However, should the Examiner consider maintaining any of the objections or rejections, notwithstanding the amendments made to the claims and the remarks above, we request the opportunity of a telephone interview with the Examiner to facilitate discussion of outstanding issues. In this regard, the Examiner is encouraged to contact the undersigned by telephone (US, Eastern Time) or by email to arrange for a mutually convenient conference time.

Sincerely,

THOMAS | HORSTEMEYER



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Attachments:

1. Replacement pages 71-73
2. Complementary mark-up of original pages 71-73

CLAIMS

Therefore, the following is claimed:

1. A system, comprising:
 - a guided surface waveguide probe (200a, 200b) with a single charge terminal (T_1) configured to generate an electromagnetic field when excited by an excitation source (212), wherein the electromagnetic field synthesizes a wave front incident at a complex Brewster angle of incidence ($\theta_{i,B}$) of a terrestrial medium (203) to generate a guided surface wave that travels across the terrestrial medium (203);
 - a feed network (209) electrically coupled between the single charge terminal (T_1) and the excitation source (212), the feed network (209) providing a phase delay (Φ) that matches a wave tilt angle (Ψ) associated with the complex Brewster angle of incidence ($\theta_{i,B}$);
 - a guided surface wave receive structure configured to obtain electrical energy from the guided surface wave, wherein the guided surface wave receive structure comprises a magnetic coil (309) and a core disposed in the magnetic coil (309), wherein the core has a relative magnetic permeability greater than about 10 and less than about 1,000,000; and
 - an electrical load (327) coupled to the guided surface wave receive structure.
2. The system of claim 1, wherein the relative magnetic permeability is greater than about 100 and less than about 1,000,000.
3. The system of claim 2, wherein the relative magnetic permeability is greater than about 1,000 and less than about 10,000.
4. The system of claim 2, wherein the relative magnetic permeability is greater than about 10,000 and less than about 1,000,000.
5. The system of claim 4, wherein the relative magnetic permeability is greater than about 100,000 and less than about 1,000,000.

6. The system of claim 2, wherein the relative magnetic permeability of the core is greater than about 5,000 and less than about 60,000.

7. The system of any of claims 1-6, wherein the core comprises a nickel-iron magnetic alloy.

8. The system of claim 7, wherein the core comprises an alloy comprising nickel, iron, and molybdenum.

9. The system of any of claims 1-8, wherein the magnetic coil is attached to a support structure that is configured to adjust a position of the magnetic coil.

10. The system of claim 9, wherein the magnetic coil is attached to the support structure that is configured to adjust the position of the magnetic coil relative to the guided surface waveguide probe.

11. A method, comprising:

generating, by exciting a single charge terminal (T_1) of a guided surface waveguide probe (200a, 200b) via an excitation source (212), an electromagnetic field that synthesizes a wave front incident at a complex Brewster angle of incidence ($\theta_{i,B}$) of a terrestrial medium (203) to generate a guided surface wave that travels across the terrestrial medium (203), wherein a feed network (209) is electrically coupled between the single charge terminal (T_1) and the excitation source (212), the feed network (209) providing a phase delay (Φ) that matches a wave tilt angle (Ψ) associated with the complex Brewster angle of incidence ($\theta_{i,B}$);

receiving electrical energy in the form of the guided surface wave using a guided surface wave receive structure, wherein the guided surface wave receive structure comprises a magnetic coil (309) and a core disposed in the magnetic coil (309), wherein the core has a relative magnetic permeability greater than about 10 and less than about 1,000,000; and

supplying the electrical energy to an electrical load (327) coupled to the guided surface wave receive structure.

12. The method of claim 11, further comprising positioning the magnetic coil so that a magnetic flux of the guided surface wave passes through the magnetic coil.

13. The method of claim 11 or 12, further comprising adjusting a position of the magnetic coil in response to a change in an orientation of the magnetic coil relative to the guided surface waveguide probe.

14. The method of claim 13, further comprising detecting a change in the orientation of the magnetic coil relative to the guided surface waveguide probe.

15. The method of claim 13 or 14, wherein adjusting the position of the magnetic coil causes an angle between a horizon and a longitudinal axis of the core to change.

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