



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 2, 2017

PTAS

JULIE WILMAN
7851 METRO PARKWAY
SUITE 325
BLOOMINGTON, MN 55425

504250847

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 03/01/2017

REEL/FRAME: 041423/0325
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 08155.054W01

ASSIGNOR:
CUCONATI, ANDREA

DOC DATE: 02/13/2017

ASSIGNOR:
RIJNBRAND, CORNELIS A.

DOC DATE: 02/13/2017

ASSIGNOR:
SOFIA, MICHAEL J.

DOC DATE: 02/13/2017

ASSIGNEE:
ARBUTUS BIOPHARMA, INC.
3805 OLD EASTON ROAD
DOYLESTOWN, PENNSYLVANIA 18902

APPLICATION NUMBER:
PATENT NUMBER:
PCT NUMBER: US2017012614

FILING DATE: 01/06/2017
ISSUE DATE:

TITLE: THERAPEUTIC COMPOSITIONS AND METHODS FOR TREATING HEPATITIS B

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4297525

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ANDREA CUCONATI	02/13/2017
CORNELIS A. RIJNBRAND	02/13/2017
MICHAEL J. SOFIA	02/13/2017
RECEIVING PARTY DATA	
Name:	ARBUTUS BIOPHARMA, INC.
Street Address:	3805 OLD EASTON ROAD
City:	DOYLESTOWN
State/Country:	PENNSYLVANIA
Postal Code:	18902
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US2017012614
CORRESPONDENCE DATA	
Fax Number:	(952)876-4098
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	9528764063
Email:	jwilman@vhpglobalip.com
Correspondent Name:	JULIE WILMAN
Address Line 1:	7851 METRO PARKWAY
Address Line 2:	SUITE 325
Address Line 4:	BLOOMINGTON, MINNESOTA 55425
ATTORNEY DOCKET NUMBER:	08155.054WO1
NAME OF SUBMITTER:	YANG LI
SIGNATURE:	/Yang Li/
DATE SIGNED:	03/01/2017
Total Attachments: 3	
source=08155.054WO1signed_assn_arbutus#page1.tif	
source=08155.054WO1signed_assn_arbutus#page2.tif	
source=08155.054WO1signed_assn_arbutus#page3.tif	

Matthew Rubbelke

From: Julie Wilman
Sent: Thursday, March 02, 2017 3:27 PM
To: Docketing
Subject: FW: Assignment Notice of Recordation (WUID: 504250847)
Attachments: Notice.pdf; CoverSheet.tif

Follow Up Flag: Follow up
Flag Status: Flagged

Julie Wilman (formerly Lindseth)
Senior Paralegal
Viksnins Harris & Padys PLLP
P: 952.876.4063
jwilman@vhpglobalip.com

-----Original Message-----

From: PTAS [<mailto:ptas@uspto.gov>]
Sent: Thursday, March 02, 2017 2:20 PM
To: Julie Wilman
Subject: Assignment Notice of Recordation (WUID: 504250847)

Please find attached the Notice of Recordation and the corresponding TIFF image of the first page of the coversheet submitted to the Assignment Recordation Branch.

WUID: 504250847
DOCKET NUMBER: 08155.054WO1
FIRST ASSIGNEE: ARBUTUS BIOPHARMA, INC.
SOURCE: PTAS

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The United States Patent and Trademark Office Mail Stop: Assignment Recordation Branch PO Box 1450 Alexandria, VA 22313

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ASSIGNMENT

WHEREAS, I/we, (each referred to as "Assignor")

ANDREA CUCONATI
CORNELIS A. RIJNBRAND
MICHAEL J. SOFIA

made certain new and useful inventions and improvements for which I/we filed the following patent application(s)

COUNTRY	SERIAL NUMBER	FILING DATE
PCT	PCT/US2017/012614	JANUARY 6, 2017

AND WHEREAS, ARBUTUS BIOPHARMA, INC. having an office and place of business at 3805 Old Easton Road, Doylestown, PA 18902 (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to any Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I/we hereby sell, assign, transfer and set over unto said Assignee, including its successors and assigns, my/our entire right, title and interest to all inventions disclosed in the aforesaid application(s), including all rights to claim priority therefrom, and to any and all present or future patent applications to such inventions filed in the United States or any other country or region, inclusive of, but not limited to, all divisions, continuations, continuations-in-part, renewals thereof, substitutions, reexaminations, reissues, provisional patent applications, applications filed under the Patent Cooperation Treaty, certificates of addition, utility models, and petty patents, as well as all other intellectual property related to the applications, inclusive of, but not limited to, supplementary protection certificates, and to any and all Letters Patents and any related patent term extensions that may be granted for said Letters Patents with respect to the applications; all of the above to be held by Assignee as fully and entirely as the same would have been held by me had this Assignment not been made.

AND, for the consideration aforesaid, I/we do hereby agree that I/we and my/our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to me relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, I/we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by me/us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 13 day of February, 2017.


ANDREA CUCONATI

Witnessed by K. Aylmer on this 13 day of Feb, 2017.

Kristine Aylmer / Office Manager
Witness Name/Title

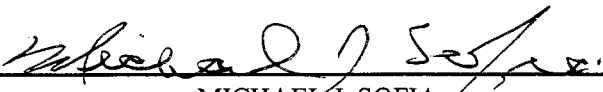
IN TESTIMONY WHEREOF, I have hereunto set my hand this ____ day of Feb, 2017.


CORNELIS A. RIJNBRAND

Witnessed by K. Aylmer on this 13th day of Feb, 2017.

Kristine Aylmer / Office Manager
Witness Name/Title

IN TESTIMONY WHEREOF, I have hereunto set my hand this 13 day of Feb, 2017.


MICHAEL J. SOFIA

Witnessed by K. Aylmer on this 13th day of Feb, 2017.

Kristine Aylmer / Office Manager
Witness Name/Title



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 2, 2017

PTAS

JULIE WILMAN
7851 METRO PARKWAY
SUITE 325
BLOOMINGTON, MN 55425

504250819

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RECORDATION DATE: 03/01/2017

REEL/FRAME: 041423/0229
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 08155.054W01

ASSIGNOR:

LEE, AMY C. H.

DOC DATE: 02/10/2017

ASSIGNEE:

PROTIVA BIOTHERAPEUTICS, INC.
100-8900 GLENLYON PARKWAY
BURNABY, CANADA V5J 5J8

APPLICATION NUMBER:

FILING DATE: 01/06/2017

PATENT NUMBER:

ISSUE DATE:

PCT NUMBER: US2017012614

TITLE: THERAPEUTIC COMPOSITIONS AND METHODS FOR TREATING HEPATITIS B

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4297497

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	AMY C. H. LEE	02/10/2017
RECEIVING PARTY DATA		
Name:	PROTIVA BIOTHERAPEUTICS, INC.	
Street Address:	100-8900 GLENLYON PARKWAY	
City:	BURNABY	
State/Country:	CANADA	
Postal Code:	V5J 5J8	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
PCT Number:	US2017012614	
CORRESPONDENCE DATA		
Fax Number:	(952)876-4098	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	9528764063	
Email:	jwilman@vhpglobalip.com	
Correspondent Name:	JULIE WILMAN	
Address Line 1:	7851 METRO PARKWAY	
Address Line 2:	SUITE 325	
Address Line 4:	BLOOMINGTON, MINNESOTA 55425	
ATTORNEY DOCKET NUMBER:	08155.054WO1	
NAME OF SUBMITTER:	YANG LI	
SIGNATURE:	/Yang Li/	
DATE SIGNED:	03/01/2017	
Total Attachments: 3		
source=08155.054WO1signed_assignment_protiva#page1.tif		
source=08155.054WO1signed_assignment_protiva#page2.tif		
source=08155.054WO1signed_assignment_protiva#page3.tif		

Matthew Rubbelke

From: Julie Wilman
Sent: Thursday, March 02, 2017 3:27 PM
To: Docketing
Subject: FW: Assignment Notice of Recordation (WUID: 504250819)
Attachments: Notice.pdf; CoverSheet.tif

Follow Up Flag: Follow up
Flag Status: Flagged

Julie Wilman (formerly Lindseth)
Senior Paralegal
Viksnins Harris & Padys PLLP
P: 952.876.4063
jwilman@vhpglobalip.com

-----Original Message-----

From: PTAS [<mailto:ptas@uspto.gov>]
Sent: Thursday, March 02, 2017 2:12 PM
To: Julie Wilman
Subject: Assignment Notice of Recordation (WUID: 504250819)

Please find attached the Notice of Recordation and the corresponding TIFF image of the first page of the coversheet submitted to the Assignment Recordation Branch.

WUID: 504250819
DOCKET NUMBER: 08155.054WO1
FIRST ASSIGNEE: PROTIVA BIOTHERAPEUTICS, INC.
SOURCE: PTAS

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ASSIGNMENT

WHEREAS, I/we, (each referred to as "Assignor")

AMY C. H. LEE

made certain new and useful inventions and improvements for which I/we filed the following patent application(s)

COUNTRY	SERIAL NUMBER	FILING DATE
PCT	PCT/US2017/012614	JANUARY 6, 2017

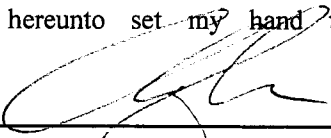
AND WHEREAS, PROTIVA BIOTHERAPEUTICS, INC. having an office and place of business at 100-8900 Glenlyon Parkway, Burnaby, British Columbia V5J 5J8 CANADA (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to any Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I/we hereby sell, assign, transfer and set over unto said Assignee, including its successors and assigns, my/our entire right, title and interest to all inventions disclosed in the aforesaid application(s), including all rights to claim priority therefrom, and to any and all present or future patent applications to such inventions filed in the United States or any other country or region, inclusive of, but not limited to, all divisions, continuations, continuations-in-part, renewals thereof, substitutions, reexaminations, reissues, provisional patent applications, applications filed under the Patent Cooperation Treaty, certificates of addition, utility models, and petty patents, as well as all other intellectual property related to the applications, inclusive of, but not limited to, supplementary protection certificates, and to any and all Letters Patents and any related patent term extensions that may be granted for said Letters Patents with respect to the applications; all of the above to be held by Assignee as fully and entirely as the same would have been held by me had this Assignment not been made.

AND, for the consideration aforesaid, I/we do hereby agree that I/we and my/our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to me relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, I/we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by me/us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 10 day of February, 2017.



AMY C. H. LEE

Witnessed by DEVICKA on this 10 day of FEB, 2017.

DEVICKA JHANJI / OFFICE ADMINISTRATION.

Witness Name/Title
