

Sheet No. 1

Box No. VIII (iv) DECLARATION: INVENTORSHIP (only for the purposes of the designation of the United States of America)
The declaration must conform to the following standardized wording provided for in Section 214; see Notes to Boxes Nos. VII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (iv). If this Box is not used, this sheet should not be included in the request.

**Declaration of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv))
 for the purposes of the designation of the United States of America:**

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

This declaration is directed to the international application of which it forms a part (if filing declaration with application).

This declaration is directed to international application No. PCT/IB2019/055885 (if furnishing declaration pursuant to Rule 26ter).

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Name: Francisco Adrian

Residence: Belmont, MA
 (city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi, 55 Corporate Drive – Mail Code: 55A-525, Bridgewater, NJ 08807 US

Inventor's Signature: Francisco J. Adé Date: Sept 11 2019
 (The signature must be that of the inventor, not that of the agent)

Name: Richard C. Gregory

Residence: Framingham, MA
 (city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi, 55 Corporate Drive – Mail Code: 55A-525, Bridgewater, NJ 08807 US

Inventor's Signature: _____ Date: _____
 (The signature must be that of the inventor, not that of the agent)

Name: Gary Shapiro

Residence: Arlington, MA
 (city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi, 55 Corporate Drive – Mail Code: 55A-525, Bridgewater, NJ 08807 US

Inventor's Signature: _____ Date: _____
 (The signature must be that of the inventor, not that of the agent)

☐ This declaration is continued on the following sheet, "Continuation of Box No. VIII (iv)".

1 Sheet No.

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..... (city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi, 55 Corporate Drive Mail Code: 55A-525, Bridgewater, NJ 08807 US

Inventor's Signature: Date:

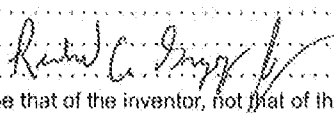
(The signature must be that of the inventor, not that of the agent)

Name: Richard C. Gregory

Residence: Framingham, MA

..... (city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi, 55 Corporate Drive Mail Code: 55A-525, Bridgewater, NJ 08807 US

Inventor's Signature:  Date: 08/26/2019

(The signature must be that of the inventor, not that of the agent)

Name: Gary Shapiro

Residence: Arlington, MA

..... (city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi, 55 Corporate Drive Mail Code: 55A-525, Bridgewater, NJ 08807 US

Inventor's Signature: Date:

(The signature must be that of the inventor, not that of the agent)

This declaration is continued on the following sheet, "Continuation of Box No. VIII (iv)".

Form PCT/RO/101 (declaration sheet (iv)) (July 2018)

See Notes to the request form

2 Sheet No.

Box No. VIII (iv) DECLARATION: INVENTORSHIP (only for the purposes of the designation of the United States of America)

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Residence: Belmont, MA

(city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi, 55 Corporate Drive -- Mail Code: 55A-525, Bridgewater, NJ 08807 US

Inventor's Signature: _____ Date: _____
 (The signature must be that of the inventor, not that of the agent)

Name: Richard C. Gregory

Residence: Framingham, MA

(city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi, 55 Corporate Drive -- Mail Code: 55A-525, Bridgewater, NJ 08807 US

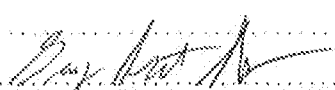
Inventor's Signature: _____ Date: _____
 (The signature must be that of the inventor, not that of the agent)

Name: Gary Shapiro

Residence: Arlington, MA

(city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi, 55 Corporate Drive -- Mail Code: 55A-525, Bridgewater, NJ 08807 US

Inventor's Signature:  Date: 8/29/19
 (The signature must be that of the inventor, not that of the agent)

☐ This declaration is continued on the following sheet, "Continuation of Box No. VIII (iv)".

Sheet No. 2

Box No. VIII (iv) DECLARATION: INVENTORSHIP (only for the purposes of the designation of the United States of America)
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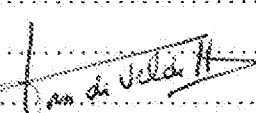
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Name: Helgi van de Velde

Residence: Retie, Belgium

(city and either US state, if applicable, or country)

Mailing Address: c/o Sanofi, 55 Corporate Drive – Mail Code: 55A-525, Bridgewater, NJ 08807 US

Inventor's Signature: 
 (The signature must be that of the inventor, not that of the agent)

Date: AUG 27 2019

Name:

Residence:

(city and either US state, if applicable, or country)

Mailing Address:

Inventor's Signature:
 (The signature must be that of the inventor, not that of the agent)

Date:

Name:

Residence:

(city and either US state, if applicable, or country)

Mailing Address:

Inventor's Signature:
 (The signature must be that of the inventor, not that of the agent)

Date:

☐ This declaration is continued on the following sheet, "Continuation of Box No. VIII (iv)".

A 2048539



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

**UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office**

September 05, 2019

**THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
AUGUST 21, 2019.**

**By Authority of the
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office**




R GLOVER
Certifying Officer

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5679139

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	FRANCISCO ADRIAN	06/18/2019
	RICHARD C GREGORY	08/15/2019
	GARY SHAPIRO	06/20/2019
RECEIVING PARTY DATA		
Name:	SANOFI	
Street Address:	54 RUE LA BOÉTIE	
City:	PARIS	
State/Country:	FRANCE	
Postal Code:	75008	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	62696198	
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Email:	Sanofi-Patents@docket.cpaglobal.com	
Correspondent Name:	JANA ETTIENNE	
Address Line 1:	55 CORPORATE DRIVE - MAIL CODE: 55A-525	
Address Line 4:	BRIDGEWATER, NEW JERSEY 08807	
ATTORNEY DOCKET NUMBER:	US2018/011	
NAME OF SUBMITTER:	JANA ETTIENNE	
SIGNATURE:	/Jana Ettienne/	
DATE SIGNED:	08/21/2019	
Total Attachments: 20		
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ASSIGNMENT

For valuable consideration, the receipt of which we acknowledge, and intending to be bound legally, we, the undersigned joint Inventors, each individually assign to **Sanofi**, a corporation formed under the laws of France and having a principal place of business at 54 rue La Boétie, 75008 Paris, France, and its successors, transferees, and assignees (collectively the "Assignee"), all of our individual and joint rights, titles, and interests throughout the world in the subject matter (the "Subject Matter") disclosed or claimed in a patent application that names us as inventors, is titled "COMBINATION THERAPIES TARGETING CD38 AND TGF-BETA", and was filed in the following Patent Office(s)

U.S. Patent and Trademark Office on July 10, 2018, as US Provisional Application No. 62/696,198

European Patent Office on April 11, 2019, as EP Application No. 19305470.7
(the "Application(s)").

This Assignment, effective as of 10 July 2018 assigns (a) the Application and all other applications that may be made for, and all patents, utility models, design registrations, and other rights of exclusion and inventors' certificates for, any of the Subject Matter (collectively the "Applications and Granted Rights") in every country or region, (b) the right to claim priority based on and the benefit of the filing date of any of the Applications and Granted Rights under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other applicable treaties or conventions, and (c) the right to pursue, collect, and retain in the Assignee's name or otherwise, damages and any other remedies arising from any past, present, or future infringement of the Subject Matter, the Applications and Granted Rights, and any other rights assigned by this Assignment.

We authorize the Assignee to apply for and pursue protection for any or all of the Subject Matter, the Applications and Granted Rights, and any other rights assigned by this Assignment in all countries, regions, and territories of the world, in our names or in the Assignee's name.

We represent and warrant that we have the right and power to make this Assignment and that we have not made and will not make any other assignment that conflicts with this Assignment.

We will communicate to the Assignee (or at the request of the Assignee to other parties) all known facts in any form relating to the Subject Matter, will execute and provide all oaths and declarations, statements, testimony, assignments, powers of attorney, applications, and documents, and will perform all other lawful acts necessary or desirable to secure fully to the Assignee the rights, titles, and interests assigned by this Assignment.

Signed at CAMBRIDGE, MA, U.S.A. on this 18 day of JUNE, 2019.
(City, State and Nation)

Signature:

Inventor's Name:

Mailing Address:

ADRIAN, Francisco

19 Old Middlesex Road

Belmont, MA 02478, USA

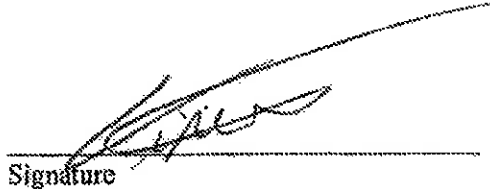
Witnessed by:



Signature

Pauline Mary

Printed Name



Signature

FABRICE VINCENT

Printed Name

Signed at _____ on this _____ day of _____, 2019.
(City, State and Nation)

Signature: _____
Inventor's Name: GREGORY, Richard C.
Mailing Address: 369 Old Connecticut Path
Framingham, MA 01701, USA

Witnessed by:

Signature

Signature

Printed Name

Printed Name

Signed at _____ on this _____ day of _____, 2019.
(City, State and Nation)

Signature: _____
Inventor's Name: SHAPIRO, Gary
15 Intervale Road
Arlington, MA 02474, US

Witnessed by:

Signature

Signature

Printed Name

Printed Name

ASSIGNMENT OF RIGHTS ACCEPTED BY Sanofi

Signed at _____ on this _____ day of _____, 2019.
(City, State and Nation)

By: _____

Name of Signatory: _____

Title of Signatory: _____

ASSIGNMENT

For valuable consideration, the receipt of which we acknowledge, and intending to be bound legally, we, the undersigned joint Inventors, each individually assign to **Sanofi**, a corporation formed under the laws of France and having a principal place of business at 54 rue La Boétie, 75008 Paris, France, and its successors, transferees, and assignees (collectively the "Assignee"), all of our individual and joint rights, titles, and interests throughout the world in the subject matter (the "Subject Matter") disclosed or claimed in a patent application that names us as inventors, is titled "COMBINATION THERAPIES TARGETING CD38 AND TGF-BETA", and was filed in the following Patent Office(s)

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Signed at _____ on this _____ day of _____,
8/15/2019.
(City, State and Nation)

Signature: _____
Inventor's Name: ADRIAN, Francisco
Mailing Address: 19 Old Middlesex Road
Belmont, MA 02478, USA

Witnessed by:

Signature

Signature

Printed Name

Printed Name

Signed at Cambridge, MA USA on this 15th day of August, 2019
8/15/2019.

(City, State and Nation)

Signature:

Inventor's Name:

Mailing Address:


GREGORY, Richard C.

369 Old Connecticut Path
Framingham, MA 01701, USA

Witnessed by:



Signature

AJETA B. DASH
Printed Name



Signature

William L. Tropicchio
Printed Name

Signed at _____ on this _____ day of _____,
8/15/2019.
(City, State and Nation)

Signature: _____
Inventor's Name: SHAPIRO, Gary
15 Intervale Road
Arlington, MA 02474, US

Witnessed by:

Signature

Signature

Printed Name

Printed Name

ASSIGNMENT OF RIGHTS ACCEPTED BY Sanofi

Signed at _____ on this _____ day of _____,
8/15/2019.

(City, State and Nation)

By: _____

Name of Signatory: _____

Title of Signatory: _____

ASSIGNMENT

For valuable consideration, the receipt of which we acknowledge, and intending to be bound legally, we, the undersigned joint Inventors, each individually assign to **Sanofi**, a corporation formed under the laws of France and having a principal place of business at 54 rue La Boétie, 75008 Paris, France, and its successors, transferees, and assignees (collectively the "Assignee"), all of our individual and joint rights, titles, and interests throughout the world in the subject matter (the "Subject Matter") disclosed or claimed in a patent application that names us as inventors, is titled "COMBINATION THERAPIES TARGETING CD38 AND TGF-BETA", and was filed in the following Patent Office(s)

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Signed at _____ on this _____ day of _____, 2019.
(City, State and Nation)

Signature: _____

Inventor's Name: ADRIAN, Francisco

Mailing Address: 19 Old Middlesex Road
Belmont, MA 02478, USA

Witnessed by:

Signature

Signature

Printed Name

Printed Name

Signed at _____ on this _____ day of _____, 2019.
(City, State and Nation)

Signature: _____
Inventor's Name: GREGORY, Richard C.
Mailing Address: 369 Old Connecticut Path
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Signature

Printed Name

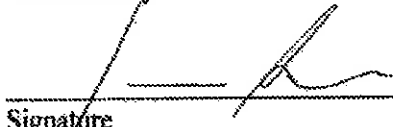
Printed Name

Signed at Cambridge, MA USA on this 20th day of June, 2019.
(City, State and Nation)

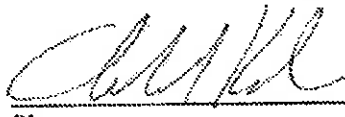
Signature: 

Inventor's Name: SHAPIRO, Gary
15 Intervale Road
Arlington, MA 02474, US

Witnessed by:


Signature

JAMES KIM
Printed Name


Signature

Chantal KUHN
Printed Name

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Signed at _____ on this _____ day of _____, 2019.
(City, State and Nation)

By: _____

Name of Signatory: _____

Title of Signatory: _____

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Signed at _____ on this _____ day of _____, 2019.
(City, State and Nation)

Signature: _____

Inventor's Name: ADRIAN, Francisco
Mailing Address: 19 Old Middlesex Road
Belmont, MA 02478, USA

Witnessed by:

Signature

Signature

Printed Name

Printed Name

Signed at _____ on this _____ day of _____, 2019.
(City, State and Nation)

Signature: _____
Inventor's Name: GREGORY, Richard C.
Mailing Address: 369 Old Connecticut Path
Framingham, MA 01701, USA

Witnessed by:

Signature

Signature

Printed Name

Printed Name

Signed at _____ on this _____ day of _____, 2019.
(City, State and Nation)

Signature: _____

Inventor's Name:

SHAPIRO, Gary
15 Intervale Road
Arlington, MA 02474, US

Witnessed by:

Signature

Signature

Printed Name

Printed Name

ASSIGNMENT OF RIGHTS ACCEPTED BY Sanofi

Signed at Bridgewater, NJ USA on this 20 day of August, 2019.
(City, State and Nation)

By: Andrea Q. Ryan

Name of Signatory: Andrea Q. Ryan

Title of Signatory: Authorized Signatory



HOJA PORTADA DE CESIÓN DE PATENTE

Versión Electrónica v1.1
Versión Hoja de Estilo V1.2

EPAS ID: PAT5679139

TIPO DE DOCUMENTO	NUEVA CESIÓN	
NATURALEZA DE LA TRANSMISIÓN	CESIÓN	
DATOS DE LA PARTE TRANSMISORA		
Nombre		Fecha de formalización
FRANCISCO ADRIAN		18/06/2019
RICHARD C GREGORY		15/08/2019
GARY SHAPIRO		20/06/2019
DATOS DE LA PARTE RECEPTORA		
Nombre:	SANOFI	
Domicilio:	54 RUE LA BOÉTIE	
Ciudad:	PARIS	
Estado/País:	FRANCIA	
Código postal:	75008	
NÚMERO TOTAL DE PROPIEDADES: 1		
Tipo de propiedad	Número	
Solicitud de patente nº:	62696198	
DATOS DE CORRESPONDENCIA		
Número de Fax: <i>La correspondencia se enviará primero a la dirección de correo electrónico; si esta no funciona, se enviará a través de un número de fax, si lo hubiere; si esto no funciona, se enviará por correo postal.</i>		
Correo electrónico:	Sanofi-Patents@docket.cpaglobal.com	
Persona de contacto:	JANA ETTIENNE	
Domicilio - Línea 1:	55 CORPORATE DRIVE – MAIL CODE: 55A-525	
Domicilio - Línea 4:	BRIDGEWATER, NEW JERSEY 08807	
NÚMERO DE EXPEDIENTE DEL AGENTE	US2018/011	
NOMBRE DEL AGENTE EMISOR	JANA ETTIENNE	
FIRMA	/Jana Ettienne/	
FECHA DE LA FIRMA	21/08/2019	
Número de archivos adjuntos: 7 source=Assignment_Adrian_Gregory_Shapiro#page1.tif source=Assignment_Adrian_Gregory_Shapiro#page2.tif source=Assignment_Adrian_Gregory_Shapiro#page3.tif source=Assignment_Adrian_Gregory_Shapiro#page4.tif source=Assignment_Adrian_Gregory_Shapiro#page5.tif		

PATENTE
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CESIÓN

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Oficina de Patentes y Marcas de EE.UU. el 10 de JULIO de 2018, como Solicitud Provisional US nº 62/696,198

Oficina Europea de Patentes el 11 de abril de 2019, como Solicitud EP nº 19305470.7 (la(s) "Solicitud(es)").

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Declaramos y garantizamos que tenemos derecho y poder para realizar esta Cesión y que no hemos realizado y no realizaremos ninguna otra Cesión que entre en conflicto con esta Cesión.

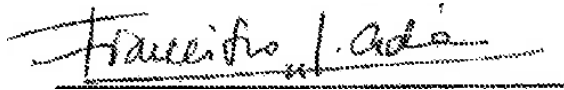
Comunicaremos al Cesionario (o, a petición del Cesionario, a otras partes) todos los hechos conocidos de cualquier forma en relación con la Materia Objeto, ejecutaremos y proporcionaremos todos los juramentos y declaraciones, informes, testimonios, cesiones, poderes, solicitudes y documentos, y realizaremos todos los demás actos legales necesarios o convenientes para garantizar al Cesionario los derechos, títulos e intereses cedidos por esta cesión.

Firmado en Cambridge, MA, EE.UU. a día 18 de junio de 2019
(Ciudad, Estado y País)

Firma:

Nombre del inventor:

Dirección de correspondencia:



ADRIAN, Francisco

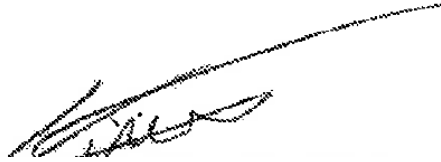
19 Old Middlesex Road

Belmont, MA 02478, EE.UU.

Atestiguado por:



Firma



Firma

Pascale Maru
Nombre impreso

FABRICE VINCENT
Nombre impreso

Firmado en _____ a día ____ de _____ de 2019
(Ciudad, Estado y País)

Firma:	_____
Nombre del inventor:	GREGORY, Richard C.
Dirección de correspondencia:	369 Old Connecticut Path Framingham, MA 01701, EE.UU.

Atestiguado por: _____ y _____

Firma

Firma

Nombre impreso

Nombre impreso

Firmado en _____ a día ____ de _____ de 2019
(Ciudad, Estado y País)

Firma: _____
Nombre del inventor: SHAPIRO, Gary
Dirección de correspondencia: 15 Intervale Road
Arlington, MA 02474, EE.UU.

Atestiguado por: _____ y _____

Firma

Firma

Nombre impreso

Nombre impreso

CESIÓN DE DERECHOS ACEPTADOS POR Sanofi

Firmado en _____ a día _____ de _____ de 2019
(Ciudad, Estado y País)

Por:

Nombre del Firmante: _____

Título del Firmante: _____

CESIÓN

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Firmado en _____ a día ____ de _____ de 2019
(Ciudad, Estado y País)

Firma: _____
Nombre del inventor: ADRIAN, Francisco
Dirección de correspondencia: 19 Old Middlesex Road
Belmont, MA 02478, EE.UU.

Atestiguado por: _____ y _____

Firma

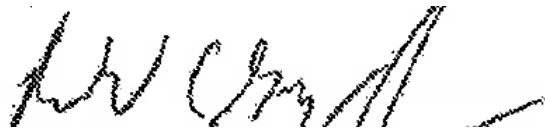
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Nombre impreso

Firmado en Cambridge, MA, EE.UU. a día 15 de agosto de 2019
(Ciudad, Estado y País)

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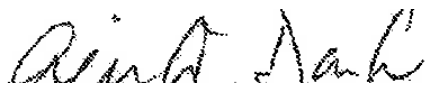
Nombre del inventor:

GREGORY, Richard C.

Dirección de correspondencia:

369 Old Connecticut Path
Framingham, MA 01701, EE.UU.

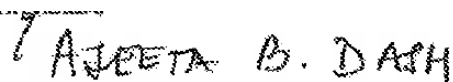
Atestiguado por:



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Nombre impreso



Nombre impreso

Firmado en _____ a día __15__ de __agosto__ de 2019
(Ciudad, Estado y País)

Firma: _____
Nombre del inventor: SHAPIRO, Gary
Dirección de correspondencia: 15 Intervale Road
Arlington, MA 02474, EE.UU.

Atestiguado por: _____ y _____

Firma

Firma

Nombre impreso

Nombre impreso

ASIGNACIÓN DE DERECHOS ACEPTADOS POR Sanofi

Firmado en _____ a día __15__ de ____agosto____ de 2019
(Ciudad, Estado y País)

Por:

Nombre del Firmante: _____

Título del Firmante: _____

CESIÓN

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Firmado en _____ a día ____ de _____ de 2019
(Ciudad, Estado y País)

Firma:	_____
Nombre del inventor:	ADRIAN, Francisco
Dirección de correspondencia:	19 Old Middlesex Road Belmont, MA 02478, EE.UU.

Atestiguado por: _____ y _____

Firma

Firma

Nombre impreso

Nombre impreso

Firmado en _____ a día ____ de _____ de 2019
(Ciudad, Estado y País)

Firma: _____
Nombre del inventor: GREGORY, Richard C.
Dirección de correspondencia: 369 Old Connecticut Path
Framingham, MA 01701, EE.UU.

Atestiguado por: _____ y _____

Firma

Firma

Nombre impreso

Nombre impreso

Firmado en *Cambridge, MA, EE.UU.* a día *30 de junio de 2019*
(Ciudad, Estado y País)

Firma:



Nombre del inventor:

SHAPIRO, Gary

Dirección de correspondencia:

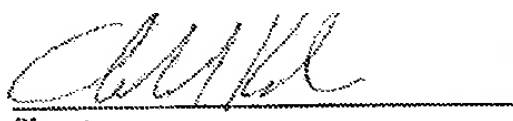
15 Intervale Road

Arlington, MA 02474, EE.UU.

Atestiguado por: _____ y _____



Firma



Firma

JAMES KIM

Nombre impreso

Chantal KUHN

Nombre impreso

ASIGNACIÓN DE DERECHOS ACEPTADOS POR Sanofi

Firmado en _____ a día _____ de _____ de 2019
(Ciudad, Estado y País)

Por:

Nombre del Firmante: _____

Título del Firmante: _____

CESIÓN

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Firmado en _____ a día ____ de _____ de 2019
(Ciudad, Estado y País)

Firma:	_____
Nombre del inventor:	ADRIAN, Francisco
Dirección de correspondencia:	19 Old Middlesex Road Belmont, MA 02478, EE.UU.

Atestiguado por: _____ y _____

Firma

Firma

Nombre impreso

Nombre impreso

Firmado en _____ a día ____ de _____ de 2019
(Ciudad, Estado y País)

Firma: _____
Nombre del inventor: GREGORY, Richard C.
Dirección de correspondencia: 369 Old Connecticut Path
Framingham, MA 01701, EE.UU.

Atestiguado por: _____ y _____

Firma

Firma

Nombre impreso

Nombre impreso

Firmado en _____ a día ____ de _____ de 2019
(Ciudad, Estado y País)

Firma: _____
Nombre del inventor: SHAPIRO, Gary
Dirección de correspondencia: 15 Intervale Road
Arlington, MA 02474, EE.UU.

Atestiguado por: _____ y _____

Firma

Firma

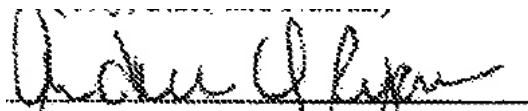
Nombre impreso

Nombre impreso

ASIGNACIÓN DE DERECHOS ACEPTADOS POR Sanofi

Firmado en Bridgewater, NJ, EE.UU. a día 20 de agosto de 2019
(Ciudad, Estado y País)

Por:



Nombre del firmante

Andrew Q. Ryan

Título del firmante

Authorized Signatory