

DOCUMENT MADE AVAILABLE UNDER THE PATENT COOPERATION TREATY (PCT)

International application number:	PCT/US2019/042767
International filing date:	22 July 2019 (22.07.2019)
Document type:	Certified copy of priority document
Document details:	Country/Office: US
	Number: 16/416,052
	Filing date: 17 May 2019 (17.05.2019)
Date of receipt at the International Bureau:	02 August 2019 (02.08.2019)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a),(b) or (b-bis)

1012741

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

August 01, 2019

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: *16/416,052*

FILING DATE: *May 17, 2019*

RELATED PCT APPLICATION NUMBER: *PCT/US19/42767*

THE COUNTRY CODE AND NUMBER OF YOUR PRIORITY APPLICATION, TO BE USED FOR FILING ABROAD UNDER THE PARIS CONVENTION, IS *US16/416,052*



Certified by

Anders Iversen

Under Secretary of Commerce
for Intellectual Property
and Director of the United States
Patent and Trademark Office

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	NPA05
	First Named Inventor	Charles ALMY
	Title	Threaded truss foundations
	Priority Mail Express® Label No.	

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
1. ☐ Fee Transmittal Form (PTO/SB/17 or equivalent) 2. ☒ Applicant asserts small entity status. See 37 CFR 1.27 3. ☐ Applicant certifies micro entity status. See 37 CFR 1.29. Applicant must attach form PTO/SB/15A or B or equivalent. 4. ☒ Specification [Total Pages <u>26</u>] Both the claims and abstract must start on a new page. (See MPEP § 608.01(a) for information on the preferred arrangement) 5. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets <u>17</u>] 6. Inventor's Oath or Declaration [Total Pages <u>4</u>] (including substitute statements under 37 CFR 1.64 and assignments serving as an oath or declaration under 37 CFR 1.63(e)) a. ☒ Newly executed (original or copy) b. ☐ A copy from a prior application (37 CFR 1.63(d)) 7. ☒ Application Data Sheet * See note below. See 37 CFR 1.76 (PTO/AIA/14 or equivalent) 8. CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix) ☐ Landscape Table on CD 9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, items a. – c. are required) a. ☐ Computer Readable Form (CRF) b. ☐ Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ Paper c. ☐ Statements verifying identity of above copies	ACCOMPANYING APPLICATION PAPERS 10. ☐ Assignment Papers (cover sheet & document(s)) Name of Assignee _____ 11. ☐ 37 CFR 3.73(c) Statement ☐ Power of Attorney (when there is an assignee) 12. ☐ English Translation Document (if applicable) 13. ☐ Information Disclosure Statement (PTO/SB/08 or PTO-1449) ☐ Copies of citations attached 14. ☐ Preliminary Amendment 15. ☐ Return Receipt Postcard (MPEP § 503) (Should be specifically itemized) 16. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed) 17. ☐ Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent. 18. ☐ Other: _____ _____ _____ _____ _____

***Note:** (1) Benefit claims under 37 CFR 1.78 and foreign priority claims under 1.55 **must** be included in an Application Data Sheet (ADS).
(2) For applications filed under 35 U.S.C. 111, the application must contain an ADS specifying the applicant if the applicant is an assignee, person to whom the inventor is under an obligation to assign, or person who otherwise shows sufficient proprietary interest in the matter. See 37 CFR 1.46(b).

19. CORRESPONDENCE ADDRESS					
☒ The address associated with Customer Number: <u>157339</u> OR ☐ Correspondence address below					
Name					
Address					
City	State	Zip Code			
Country	Telephone	Email			
Signature	/Phillip D. Mancini			Date	05/17/2019
Name (Print/Type)	Phillip D. Mancini			Registration No. (Attorney/Agent)	46743

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of
Invention** Threaded truss foundations and related systems, methods and machines

As the below named inventor, I hereby declare that:

This declaration is directed to: ☒ The attached application, or
☐ United States application or PCT international application number _____
filed on _____

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

LEGAL NAME OF INVENTOR

Inventor: David Warner

Date (Optional) 05/17/2019

Signature: _____

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

**Title of
Invention**

Threaded truss foundations and related systems, methods and machines

As the below named inventor, I hereby declare that:

This declaration
is directed to:



The attached application, or



United States application or PCT international application number _____

filed on _____

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

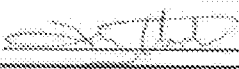
WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

LEGAL NAME OF INVENTOR

Inventor: Tyrus Hudson

Date (Optional) 05/17/2019

Signature: 

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76)****Title of
Invention**

Threaded truss foundations and related systems, methods and machines

As the below named inventor, I hereby declare that:

This declaration
is directed to:

The attached application, or



United States application or PCT international application number _____

filed on _____

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001
by fine or imprisonment of not more than five (5) years, or both.**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

LEGAL NAME OF INVENTORInventor: **Charles Almy**Date (Optional): **05/17/2019**

Signature: _____

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76)****Title of
Invention**

Threaded truss foundations and related systems, methods and machines

As the below named inventor, I hereby declare that:

This declaration
is directed to:

The attached application, or



United States application or PCT international application number _____

filed on _____

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001
by fine or imprisonment of not more than five (5) years, or both.**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

LEGAL NAME OF INVENTORInventor: Johann KarkheckDate (Optional): 05/17/2019Signature: 

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

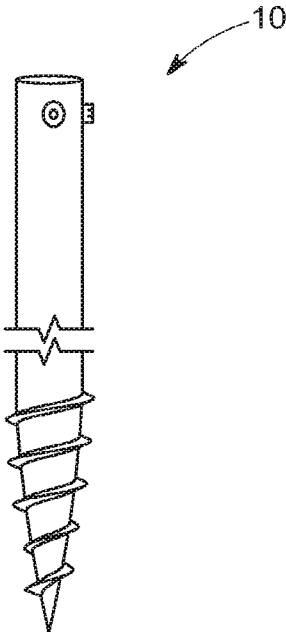


FIG. 1A
PRIOR ART

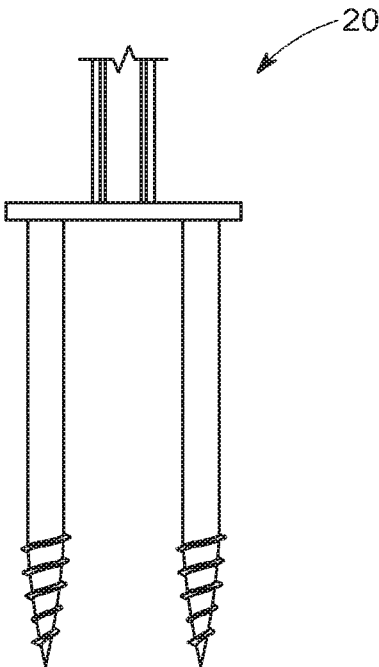


FIG. 1B
PRIOR ART

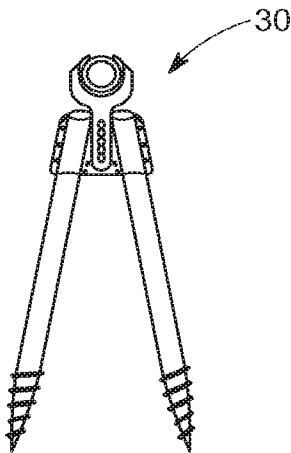


FIG. 1C
PRIOR ART

2/17

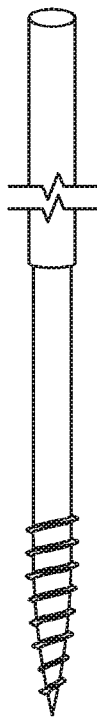


FIG. 2A

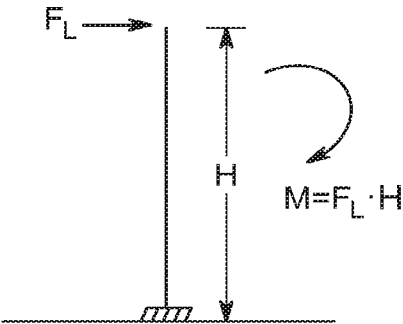


FIG. 2B

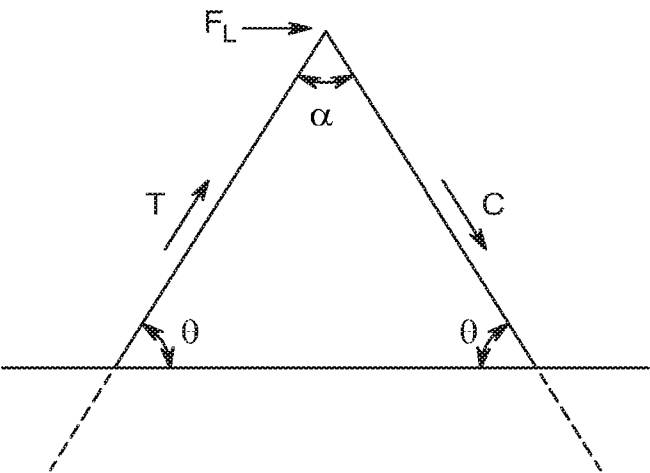


FIG. 2C

3/17

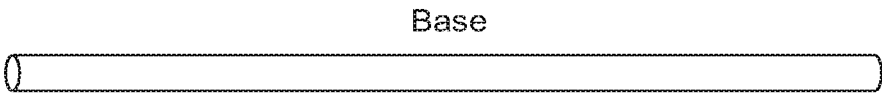


FIG. 3A

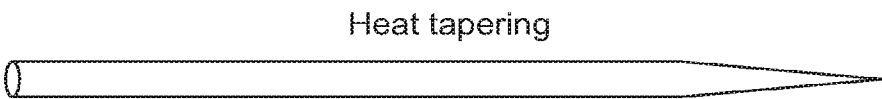


FIG. 3B

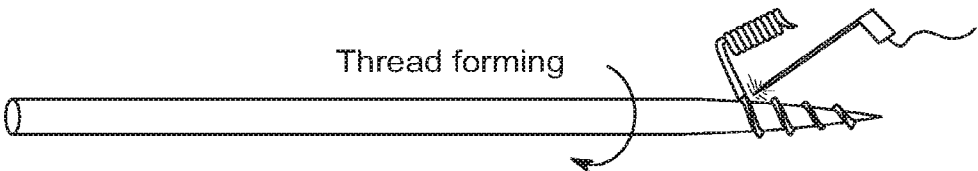


FIG. 3C

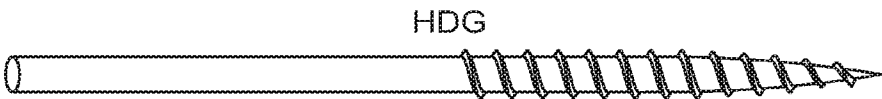


FIG. 3D

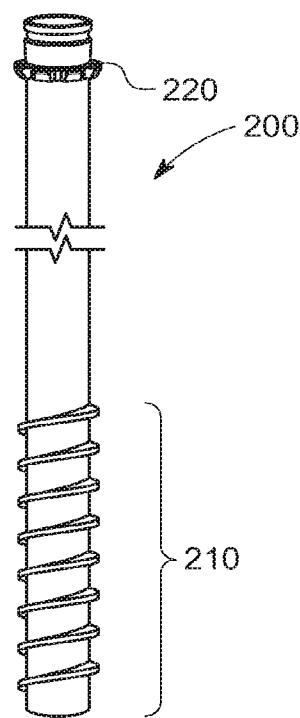


FIG. 4A

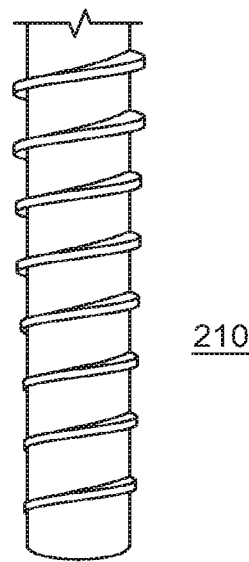


FIG. 4B

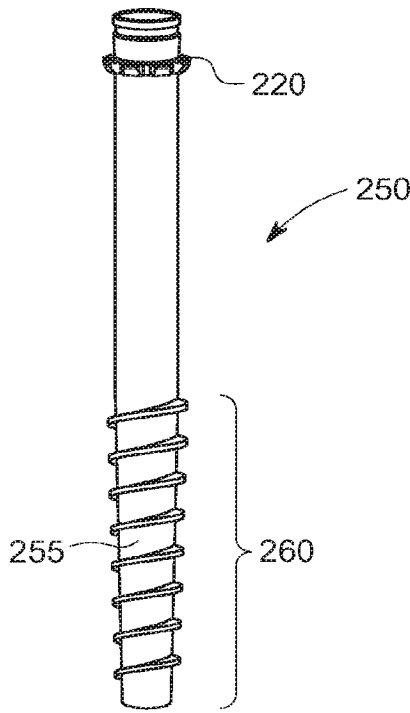


FIG. 4C

5/17

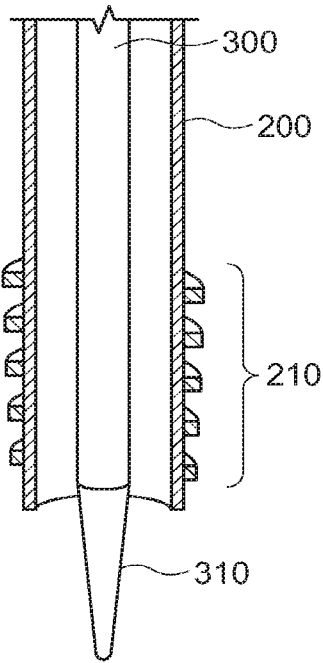


FIG. 5A

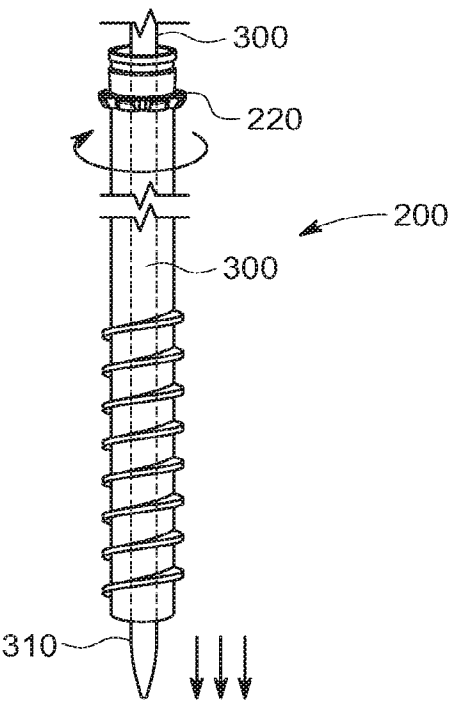


FIG. 5B

6/17

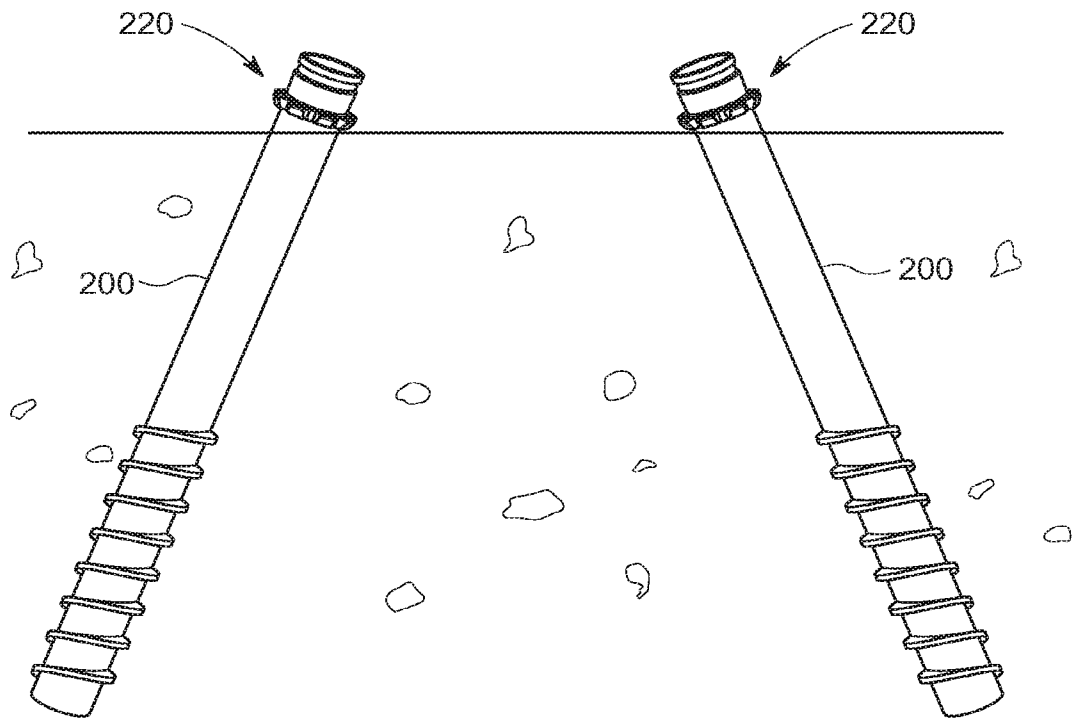


FIG. 6A

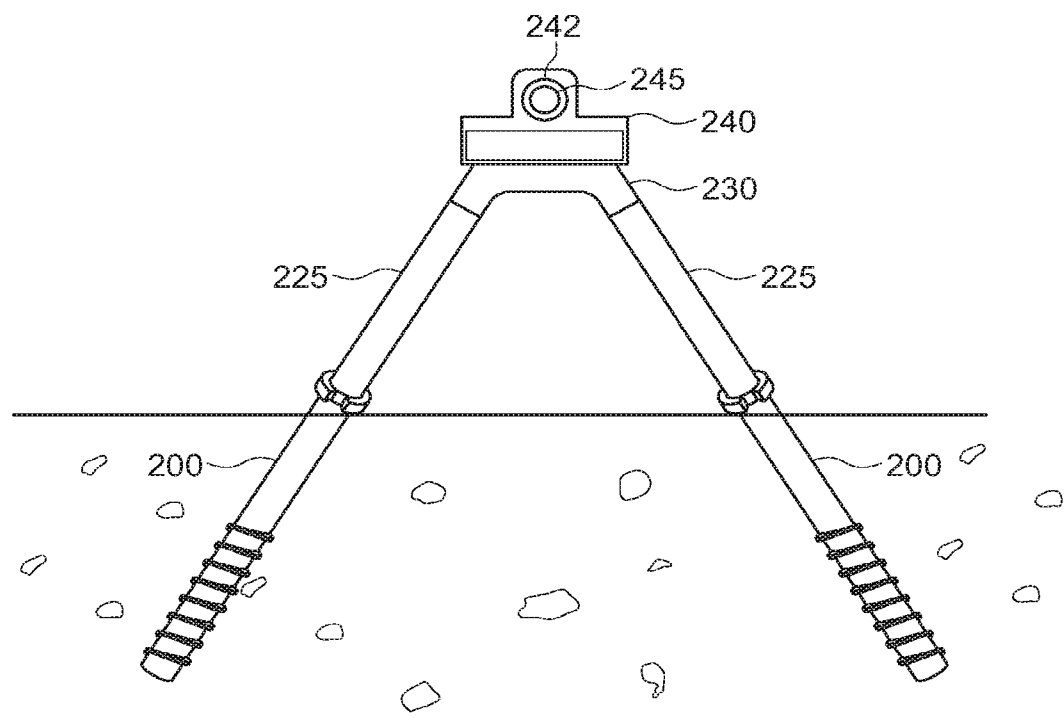


FIG. 6B

7/17

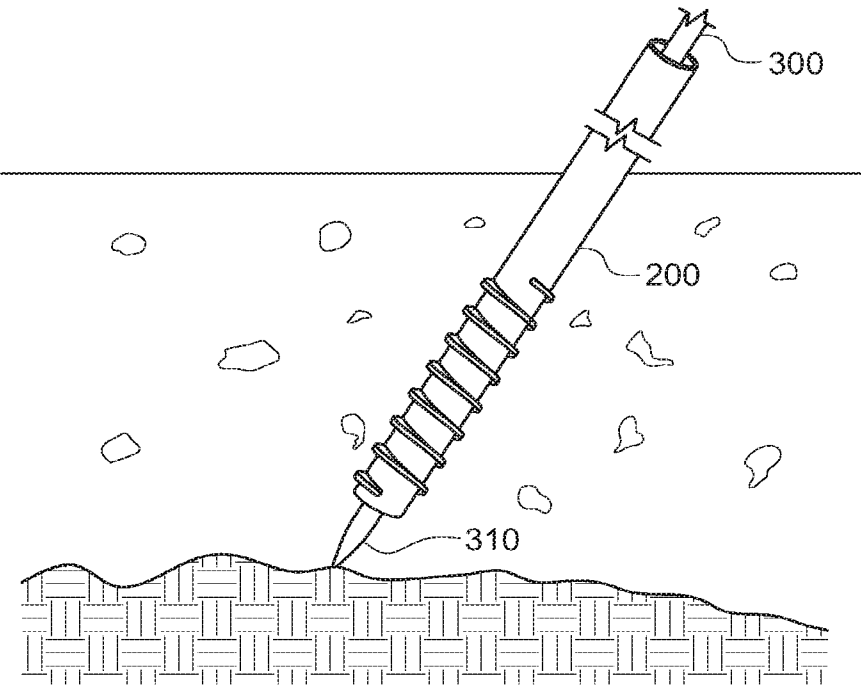


FIG. 7A

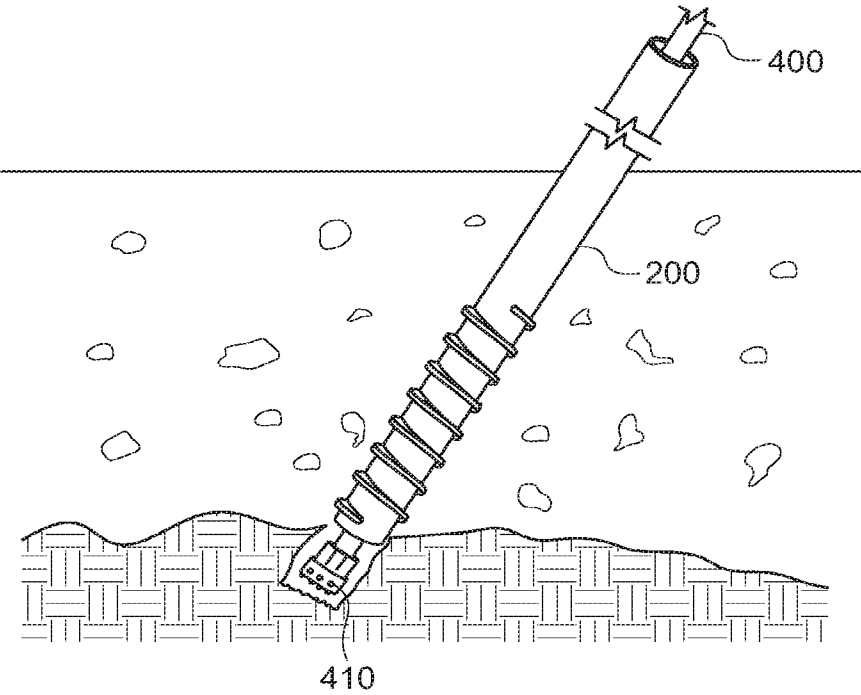


FIG. 7B

8/17

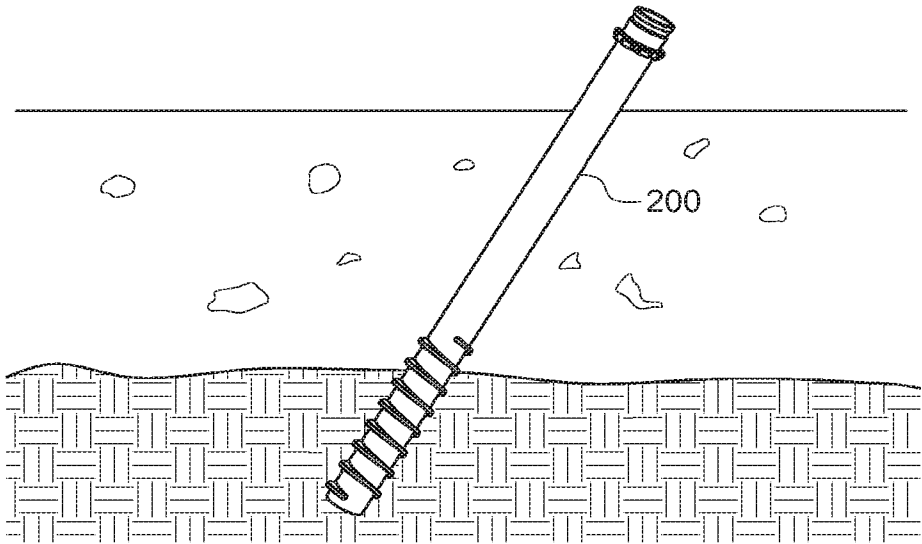


FIG. 7C

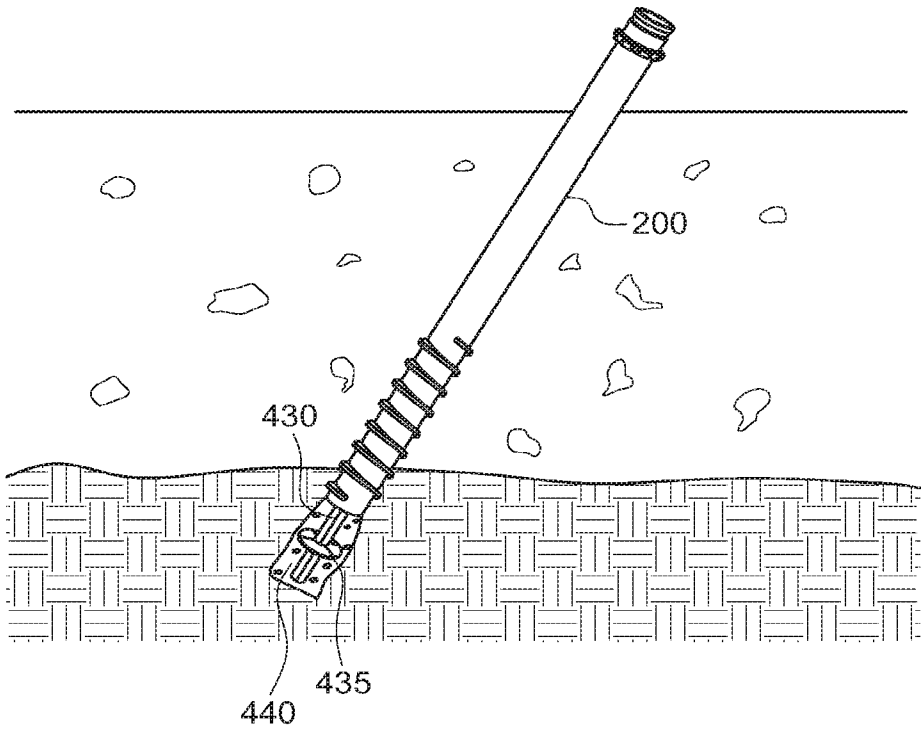


FIG. 7D

9/17

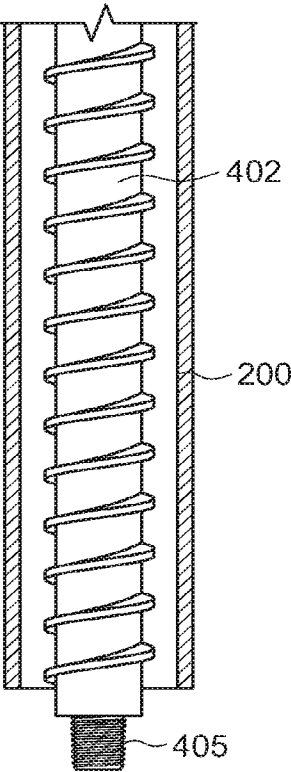


FIG. 8

10/17

410A

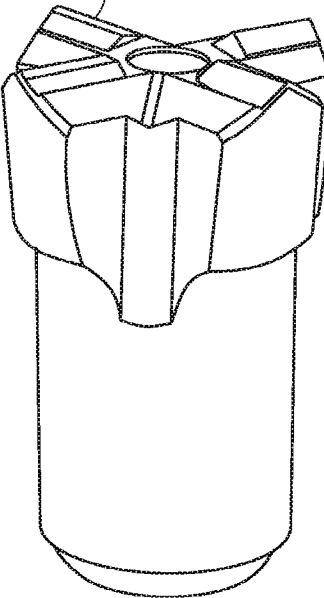


FIG. 9A

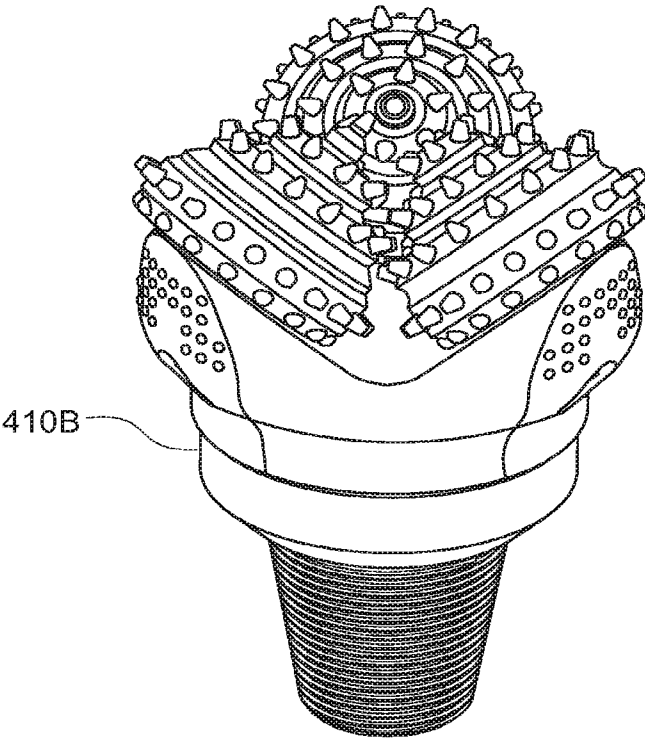


FIG. 9B

11/17

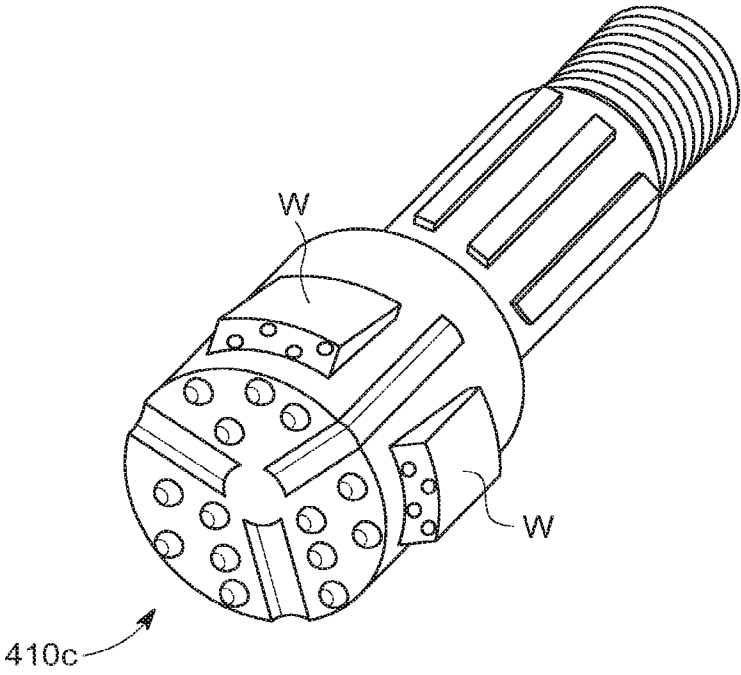


FIG. 9C

12/17

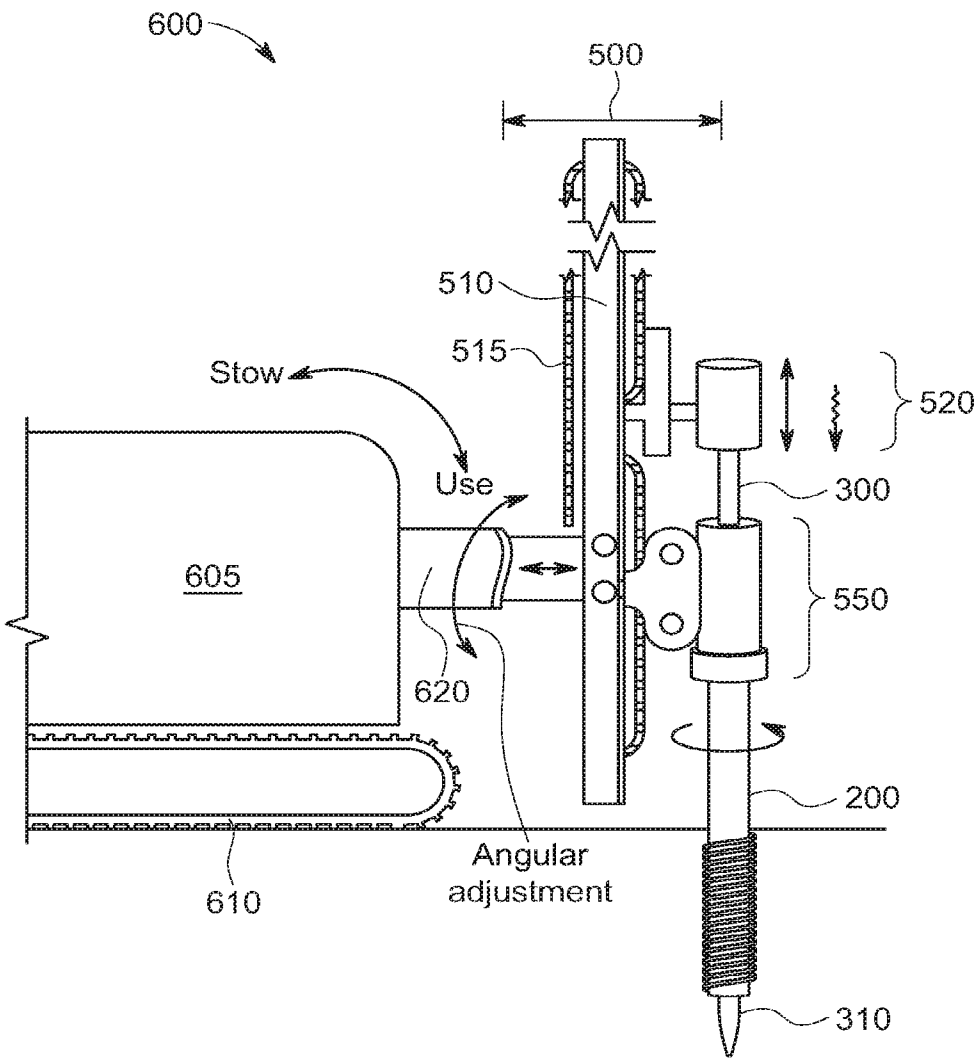


FIG. 10A

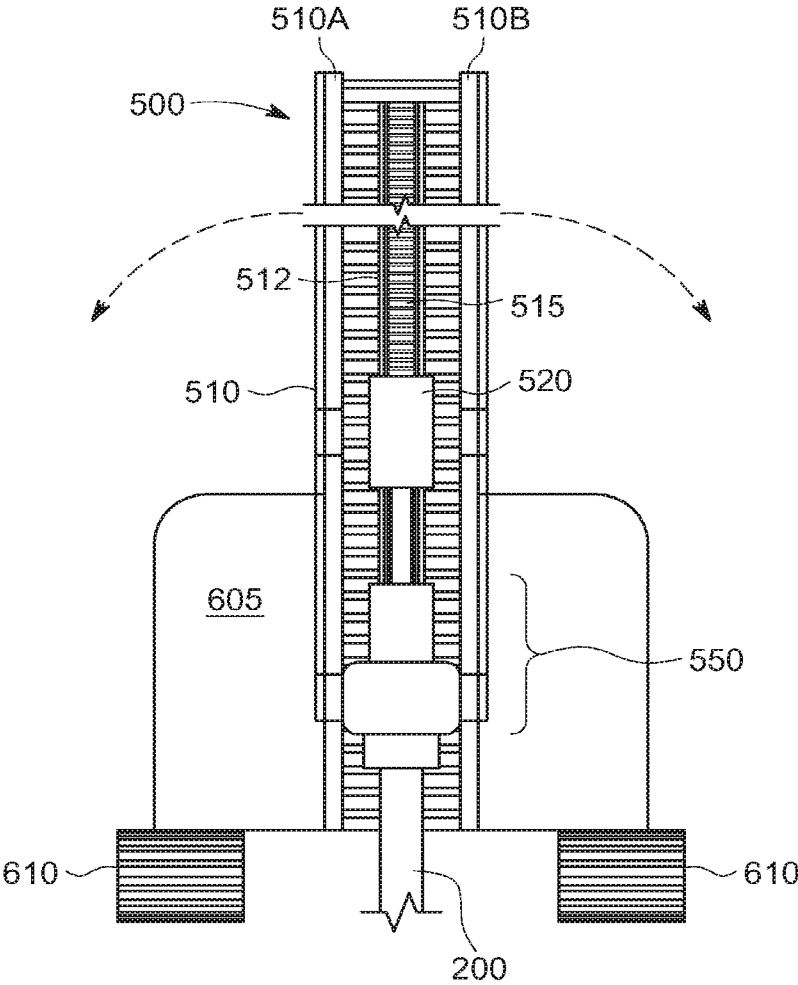


FIG. 10B

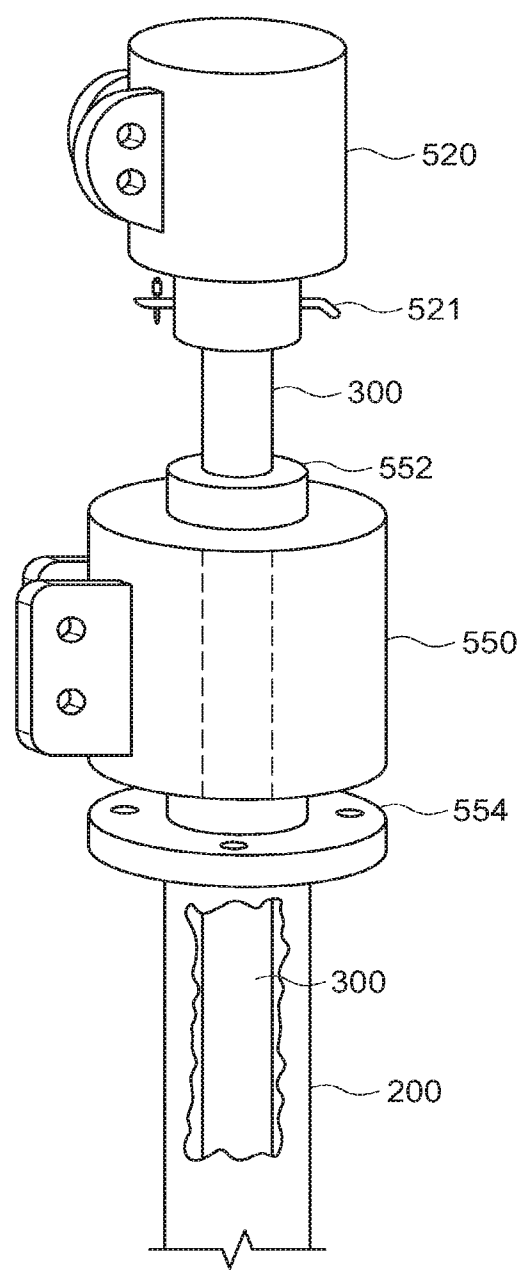


FIG. 11

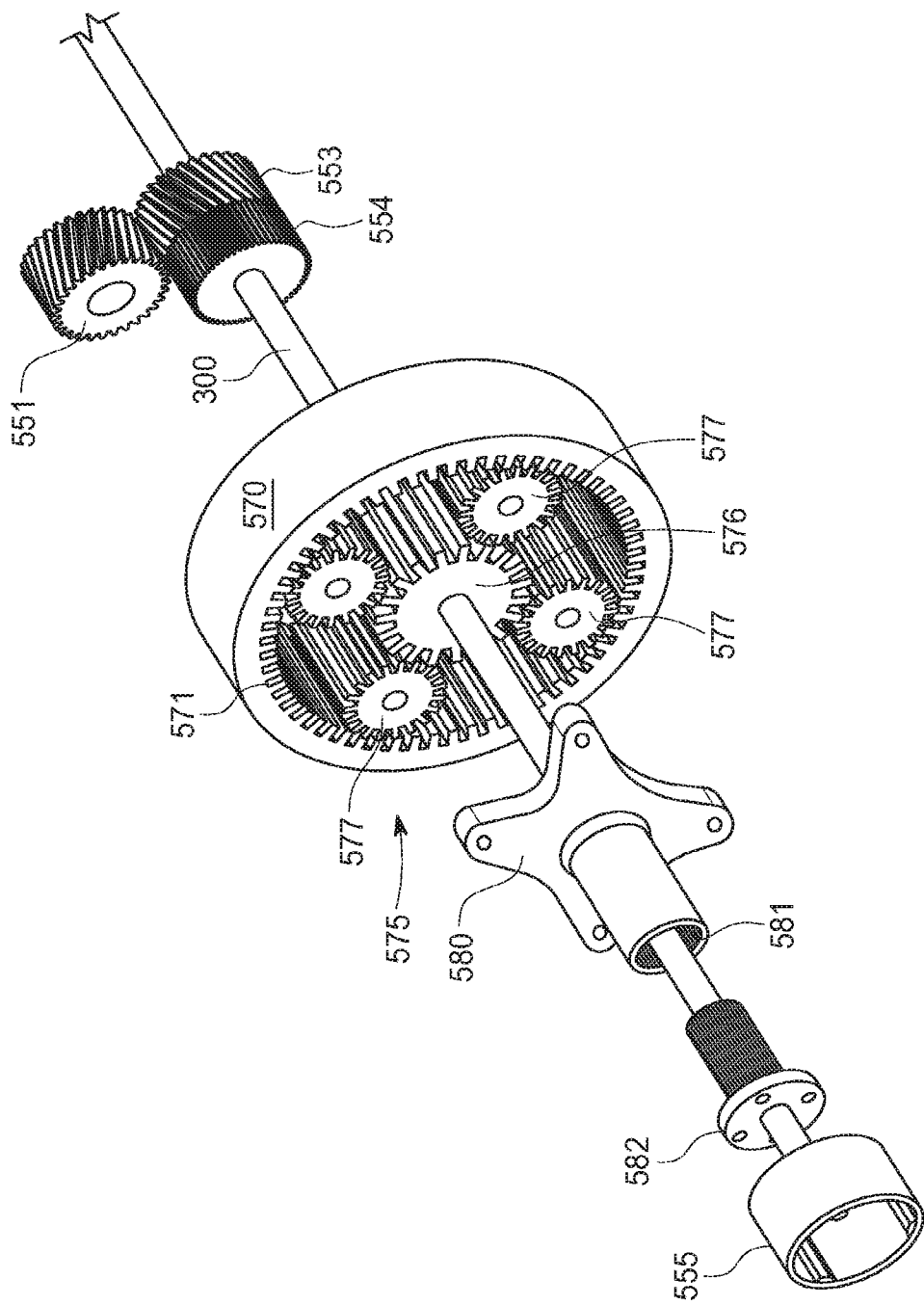


FIG. 12

16/17

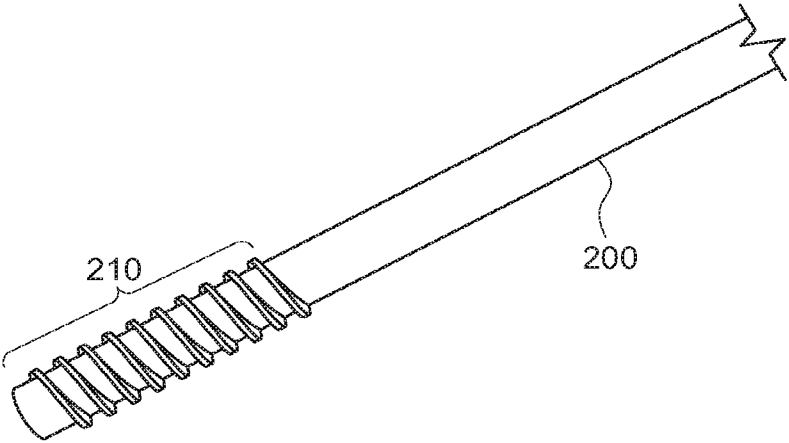


FIG. 13

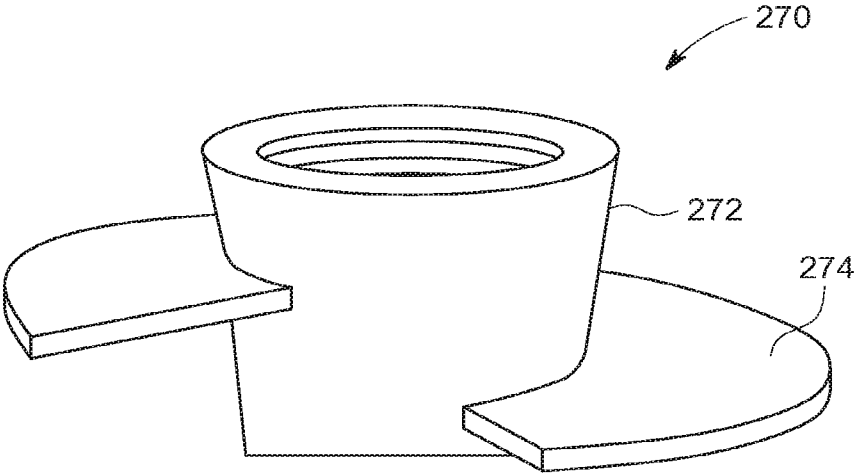


FIG. 14A

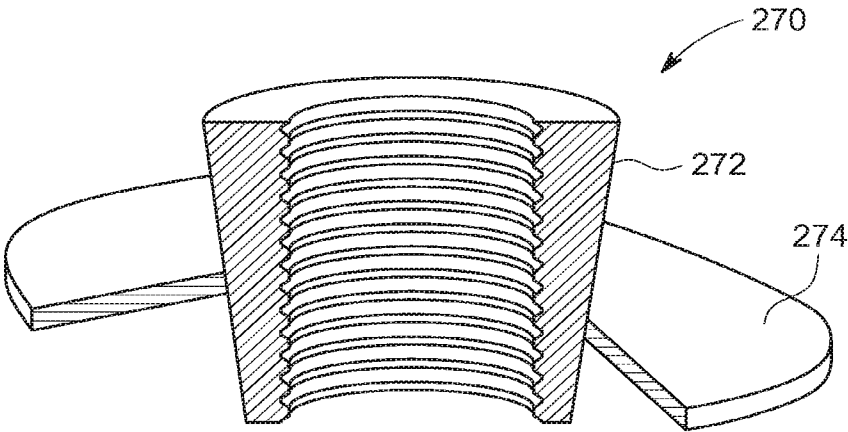


FIG. 14B

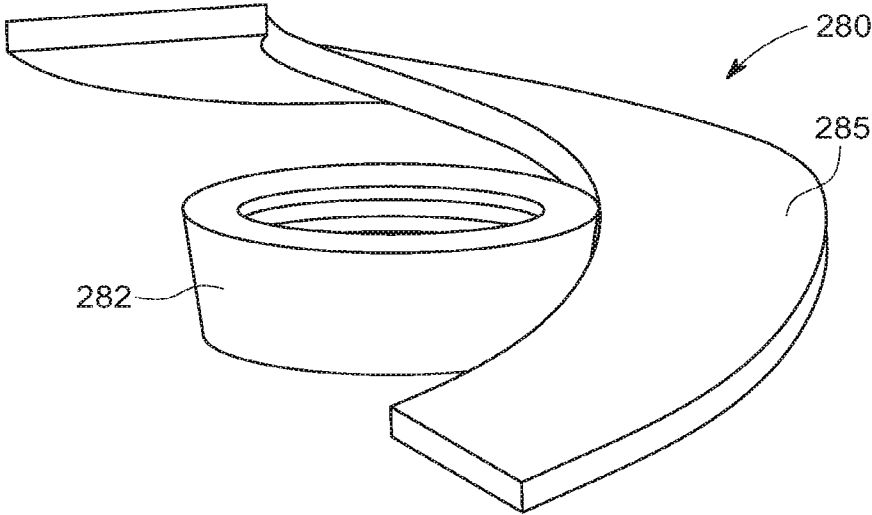


FIG. 14C

Electronic Acknowledgement Receipt

EFS ID:	36050127
Application Number:	16416052
International Application Number:	
Confirmation Number:	7653
Title of Invention:	Threaded truss foundations and related systems, methods, and machines
First Named Inventor/Applicant Name:	Charles Almy
Customer Number:	157339
Filer:	Phillip Dominick Mancini
Filer Authorized By:	
Attorney Docket Number:	NPA05
Receipt Date:	17-MAY-2019
Filing Date:	
Time Stamp:	19:25:27
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$2855
RAM confirmation Number	052019INTEFSW19255200
Deposit Account	
Authorized User	
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:	

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Application Data Sheet	WebADS.pdf	145877	no	8
			74e1e2bb9e2298c51eab83f13be0eacd935092e		
Warnings:					
Information:					
2	TrackOne Request	T1.pdf	150000	no	1
			4b55f588549f77d0a1e381c66747ea92ca0fd270		
Warnings:					
Information:					
3	Transmittal of New Application	Transmittal.pdf	187613	no	1
			18a594844062e19f3f6c31098ed8ed0ba36bfd0a		
Warnings:					
Information:					
4	Oath or Declaration filed	DECS.pdf	316641	no	4
			e050d4e4945af66844632363c02d4a86d96ccf95		
Warnings:					
Information:					
5	Specification	NPA05.pdf	165576	no	26
			668a63fd1755d3b4921f2bea65b75cd080c39940		
Warnings:					
Information:					
6	Drawings-only black and white line drawings	NPA05DWGS.pdf	1394502	no	17
			e55de689152ba50253d75da228fdae6092c9d28		
Warnings:					
Information:					

7	Fee Worksheet (SB06)	fee-info.pdf	40092 79cc8690fc05496046b3fd8b195c01f396586e62	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			2400301		
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.					

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NPA05
		Application Number	
Title of Invention	Threaded truss foundations and related systems, methods, and machines		
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.			

Secrecy Order 37 CFR 5.2:

☐	Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)
--------------------------	---

Inventor Information:

Inventor 1					
Legal Name					
Prefix	Given Name	Middle Name	Family Name	Suffix	
	Charles		Almy		
Residence Information (Select One) ☒ US Residency ☐ Non US Residency ☐ Active US Military Service					
City	Berkeley	State/Province	CA	Country of Residence ⁱ	US
Mailing Address of Inventor:					
Address 1		1668 Lincoln St.			
Address 2					
City	Berkeley	State/Province	CA		
Postal Code	94703	Country ⁱ	US		
Inventor 2					
Legal Name					
Prefix	Given Name	Middle Name	Family Name	Suffix	
	Johann		Karkheck		
Residence Information (Select One) ☒ US Residency ☐ Non US Residency ☐ Active US Military Service					
City	Petaluma	State/Province	CA	Country of Residence ⁱ	US
Mailing Address of Inventor:					
Address 1		809 Lakeville St.			
Address 2					
City	Petaluma	State/Province	CA		
Postal Code	94952	Country ⁱ	US		
Inventor 3					
Legal Name					
Prefix	Given Name	Middle Name	Family Name	Suffix	
	Tyrus		Hudson		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NPA05
		Application Number	
Title of Invention	Threaded truss foundations and related systems, methods, and machines		

Residence Information (Select One)		☒ US Residency	☐ Non US Residency	☐ Active US Military Service
City	Petaluma	State/Province	CA	Country of Residence ⁱ
US				

Mailing Address of Inventor:

Address 1	523 Purrington Road			
Address 2				
City	Petaluma	State/Province	CA	
Postal Code	94952	Country ⁱ	US	

Inventor 4

Legal Name				
Prefix	Given Name	Middle Name	Family Name	Suffix
	David		Warner	

Residence Information (Select One)		☒ US Residency	☐ Non US Residency	☐ Active US Military Service
City	Fairfax	State/Province	CA	Country of Residence ⁱ
US				

Mailing Address of Inventor:

Address 1	100 Iron Spring Road			
Address 2				
City	Fairfax	State/Province	CA	
Postal Code	94930	Country ⁱ	US	

All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the **Add** button.

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).			
☐ An Address is being provided for the correspondence information of this application.			
Customer Number	157339		
Email Address	pmancini@ojjo.com	Add Email	Remove Email

Application Information:

Title of the Invention	Threaded truss foundations and related systems, methods, and machines		
Attorney Docket Number	NPA05	Small Entity Status Claimed	☒
Application Type	Nonprovisional		
Subject Matter	Utility		
Total Number of Drawing Sheets (if any)	17	Suggested Figure for Publication (if any)	10

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NPA05
		Application Number	
Title of Invention	Threaded truss foundations and related systems, methods, and machines		

Filing By Reference:

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country

Publication Information:

☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)

☐ **Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:						
☒ Customer Number		☐ US Patent Practitioner		☐ Limited Recognition (37 CFR 11.9)		
Customer Number	157339					
Prefix	Given Name	Middle Name	Family Name	Suffix	Remove	
Registration Number						
Prefix	Given Name	Middle Name	Family Name	Suffix	Remove	
Registration Number						
Additional Representative Information blocks may be generated within this form by selecting the Add button.						

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	NPA05
	Application Number	
Title of Invention	Threaded truss foundations and related systems, methods, and machines	

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the "Application Number" field blank.

Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

Application Number	Country ⁱ	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

☐ This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013. NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	NPA05
	Application Number	
Title of Invention	Threaded truss foundations and related systems, methods, and machines	

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant **must opt-out** of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. Priority Document Exchange (PDX) - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby **grants the USPTO authority** to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h)(1).

B. Search Results from U.S. Application to EPO - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby **grants the USPTO authority** to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

☐ A. Applicant **DOES NOT** authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.

☐ B. Applicant **DOES NOT** authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NPA05
		Application Number	
Title of Invention	Threaded truss foundations and related systems, methods, and machines		

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Applicant 1

If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.

☒ Assignee	☐ Legal Representative under 35 U.S.C. 117	☐ Joint Inventor
☐ Person to whom the inventor is obligated to assign.	☐ Person who shows sufficient proprietary interest	

If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:

Name of the Deceased or Legally Incapacitated Inventor:

If the Applicant is an Organization check here. ☒

Organization Name	Ojjo, Inc.
-------------------	------------

Mailing Address Information For Applicant:

Address 1	47 Mark Drive		
Address 2			
City	San Rafael	State/Province	CA
Country ¹	US	Postal Code	94903
Phone Number	4157864685	Fax Number	
Email Address	pmancini@ojjo.com		

Additional Applicant Data may be generated within this form by selecting the Add button.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NPA05
		Application Number	
Title of Invention	Threaded truss foundations and related systems, methods, and machines		

Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Assignee 1							
Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.							
If the Assignee or Non-Applicant Assignee is an Organization check here. ☐							
Prefix	Given Name	Middle Name	Family Name	Suffix			
Mailing Address Information For Assignee including Non-Applicant Assignee:							
Address 1							
Address 2							
City		State/Province					
Country i			Postal Code				
Phone Number		Fax Number					
Email Address							
Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.							

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NPA05
		Application Number	
Title of Invention	Threaded truss foundations and related systems, methods, and machines		

Signature:

NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). **However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).**

This Application Data Sheet **must** be signed by a patent practitioner if one or more of the applicants is a **juristic entity** (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, **all** joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of **all** joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

Signature	/Phillip D. Mancini/			Date (YYYY-MM-DD)	
First Name	Phillip	Last Name	Mancini	Registration Number	46743
Additional Signature may be generated within this form by selecting the Add button.					

THREADED TRUSS FOUNDATIONS AND RELATED SYSTEMS, METHODS, AND MACHINES**CROSS-REFERENCE TO RELATED APPLICATIONS**

[0001] This claims priority to U.S. provisional patent application no. 62/702,879, filed July 24, 2018, titled “FOUNDATION PIERS FOR AXIAL SOLAR ARRAYS AND RELATED SYSTEMS AND METHODS,” no. 62/718,780, filed August 14, 2018, titled “FOUNDATION PIERS FOR AXIAL SOLAR ARRAYS AND RELATED SYSTEMS AND METHODS,” no. 62/726,909, filed September 4, 2018, titled “FOUNDATION PIERS FOR AXIAL SOLAR ARRAYS AND RELATED SYSTEMS AND METHODS,” no. 62/733,273, filed September 19, 2018, titled “FOUNDATION PIERS FOR AXIAL SOLAR ARRAYS AND RELATED SYSTEMS AND METHODS,” no. 62/748,083, filed October 19, 2018, titled “FOUNDATIONS FOR AXIAL SOLAR ARRAY AND RELATED SYSTEMS AND METHODS,” no. 62/752,197, filed October 29, 2018, titled SYSTEMS, METHODS AND MACHINES FOR MANUFACTURING A FOUNDATION PILE,” and no. 62/756,028, filed November 5, 2018, titled “CLOSED LOOP FEEDBACK CONTROL FOR IMPROVED SOLAR PILE DRIVING AND RELATED SYSTEMS, MACHINES AND CIRCUITS,” the disclosures of which are hereby incorporated by reference in its entirety.

BACKGROUND

[0002] Utility-scale solar power plants are predominantly configured as fixed-tilt ground mounted arrays or single-axis trackers. Fixed-tilt arrays are arranged in East-West oriented rows of panels tilted South at an angle dictated by the latitude of the array site – the further away from the equator, the steeper the tilt angle. By contrast, single-axis trackers are installed in North-South rows with the solar panels attached to a rotating axis called a torque tube that move the panels from an East-facing orientation to a West-facing orientation throughout the course of each day, following the sun’s progression through the sky. For purposes of this disclosure, both fixed-tilt and single-axis trackers are referred to collectively as axial solar arrays.

[0003] Excluding land acquisitions costs, overall project costs for utility-scale arrays include site preparation (surveying, road building, leveling, grid and water connections etc.), foundations, tracker or fixed-tilt hardware, solar panels, inverters and electrical connections (conduit, wiring, trenching, grid interface, etc.). Many of these costs have come down over the past few years due to ongoing innovation and economies of scale, however, one area that has been largely ignored is foundations. Foundations provide a uniform structural interface that couples the system to the ground. ‘

[0004] When installing a conventional single-axis tracker, after the site has been prepped, plumb monopiles are driven into the ground at regular intervals dictated by the tracker manufacturer and/or the site plan; the tracker system components are subsequently attached to the head of those piles. Most often, the piles have an H-shaped profile, but they may also be C-shaped or even box-shaped. In conventional, large-scale single-axis tracker arrays, the procurement and construction of the foundations may represent up to 5-10 percent of the total system cost. Despite this relatively small share, any savings in steel and labor associated with foundations will amount to a significant amount of money over a large portfolio of solar projects. Also, tracker development deals are often locked-in a year or more before the installation costs are actually incurred, so any post-deal foundation savings that can be realized will be on top of the profits already factored into calculations that supported the construction of the project.

[0005] One reason monopiles have dominated the market for single-axis tracker foundations is their simplicity. It is relatively easy to drive monopiles into the ground along a straight line with existing technology. Even though their design is inherently wasteful, their relatively low cost and predictable performance makes them an obvious choice over more expensive alternatives. The physics of a monopile mandates that it be oversized because single structural members are not good at resisting bending forces. When used to support a single-axis tracker, the largest forces on the foundation are not from the weight of the components, but rather the combined lateral force of wind striking the solar panels attached to the array. This lateral force gets translated into the

monopile foundation as a bending moment. The magnitude of the moment is much greater than the static loading attributable to the weight of the panels and tracker components. Therefore, when used to support single-axis trackers, monopile foundations must be oversized and driven deeply into the ground to stand up to lateral loads.

[0006] There are alternatives to monopiles available in the marketplace but thus far they have not been cost competitive. For example, in very difficult soils where costly refusals dominate, some solar installers will use ground screws instead of H-piles. As the name implies, a ground screw is essentially a scaled-up version of a wood screw or self-taping metal screw, with an elongated, hollow shaft and a tapered end terminating in a blade or point. The screw also has a large, external thread form extending from the tip, up the taper and even partially up the shaft to enable it to engage with soil when screwed into the ground. Such a prior art ground screw is shown, for example, in Figure 1A. Ground screws like the ground screw 10 in 1A are manufactured and sold by Krinner, GmbH of Strasskirchen, Germany, among others. When installers encounter rocky soils or must install over bedrock, they predrill holes at the location of each ground screw and then drive the screws into the pre-drilled holes, attaching above-ground foundation hardware to the head of each screw.

[0007] When used in foundations for single-axis trackers, ground screws like that in Figure 1A are typically installed in adjacent pairs. The pairs are joined above-ground with an upside-down T bracket that presents a monopile interface for the single-axis tracker. This is seen, for example, in system 20 in Figure 1B. Ft. Meyers, Florida based TERRASMART installs foundations like system 20 using Krinner ground screws. While this may mitigate the problem of refusals, it does not optimize material savings and will only pencil out where less expensive alternatives won't work. Vertical foundations that support single-axis trackers must resist bending, whether made from H-piles or ground screws. Referring to FIG. 2B, when wind strikes the array, it generates a lateral force labeled F_L in the figure. The magnitude of this force is equal to F_L multiplied by the height of the pile above the point where the foundation is pinned to the ground (e.g.,

does not move). This force puts plumb foundation components into bending. Because structural members are generally poor at resisting bending, they must be overbuilt to withstand it.

[0008] Another proposed alternative to percussion driven H-piles and vertical ground screws, uses a pair of ground screws driven at acute angles to each other in an A-frame configuration. Unlike plumb monopiles or the double-screw foundation of Figure 1B, an A-frame has the advantage of converting lateral loads into axial forces of tension and compression in the legs. This is seen, for example, in published U.S. Patent Application, 2018/0051915 (herein after, “the ’915 application”). Figure 1C shows the system described in the ’915 application. In theory, such a system enables the legs to be thinner than those used, for example, in the system of 1B, because the legs are not subjected to bending. Figure 2C is a force diagram showing how lateral loads are translated in an A-frame such as that in 1C. Lateral load F_L puts tension on the windward leg and compression on the leeward leg. System 30 is potentially an improvement over plumb piles and parallel ground screws, however, any system that uses standard ground screws is at a cost disadvantage relative to other structures. Moreover, the ground screw’s closed geometry mandates a separate pre-drilling step where direct driving is not possible. Therefore, in their current form, and with conventional rotary driving and drilling equipment, it is not possible for ground screws to achieve cost parity with monopile foundations in anywhere other than in the worst soil conditions, and even in those conditions, there is room for significant improvement.

[0009] In recognition of these and other problems, it is an object of various embodiments of this disclosure to provide a truss or A-frame foundation for single-axis trackers and other applications that realizes the benefits of ground screws in a less costly, more robust, and flexible form factor, as well as machines and methods for installing such foundations.

BRIEF DESCRIPTION OF THE DRAWINGS

[0010] FIG. 1A shows a conventional ground screw;

- [0011]** FIG. 1B shows a conventional double ground screw foundation for single-axis trackers;
- [0012]** FIG. 1C shows a steeply sloped A-frame foundation for single-axis trackers using a pair of conventional ground screws;
- [0013]** FIG. 2A is a ground screw supporting a monopile foundation;
- [0014]** FIG. 2B is a force diagram showing how lateral loads are translated in a monopile foundation;
- [0015]** FIG. 2C is a force diagram showing how lateral loads are translated in an A-frame foundation;
- [0016]** FIGS. 3A-D show the manufacturing steps for a tapered ground screw;
- [0017]** FIG. 4A shows a screw anchor according to various embodiments of the invention;
- [0018]** FIG. 4B is a close-up view of the threaded end of the screw anchor of FIG. 4A;
- [0019]** FIG. 4C shows a screw anchor according to various other embodiments of the invention;
- [0020]** FIG. 5A is a cutaway view of a screw anchor and mandrel during driving according to various embodiments of the invention;
- [0021]** FIG. 5B shows a screw anchor being driven while a mandrel is simultaneously hammered through the center of the screw anchor;
- [0022]** FIG. 6A shows a pair of adjacent screw anchors driven into underlying ground to form the base of a truss foundation according to various embodiments of the invention;
- [0023]** FIG. 6B shows a completed truss foundation supporting a portion of a single-axis tracker according to various embodiments of the invention;
- [0024]** FIG. 7A shows a refusal of a screw anchor and mandrel while driving due to hitting bedrock according to various embodiments of the invention;
- [0025]** FIG. 7B shows an intermediate step of a process for in-situ refusal mitigation according to various embodiments of the invention;
- [0026]** FIG. 7C shows the screw anchor of 7B after in-situ refusal mitigation according to various embodiments of the invention;

- [0027] FIG. 7D shows the screw anchor of 7B after an alternative in-situ refusal mitigation technique according to various embodiments of the invention;
- [0028] FIG. 8 is a partial cutaway viewing showing an augured drill shaft according to various embodiments of the invention;
- [0029] FIGS. 9A-C shows various rock drill bits usable to perform in-situ refusal mitigation according to various embodiments of the invention;
- [0030] FIG. 10A and B are side and front view of a piece of heavy equipment with an attachment for installing screw anchors according to various embodiments of the invention;
- [0031] FIGS. 11 is a view of a portion of an attachment for driving a screw anchor with a rotary driver and mandrel according to various embodiments of the invention;
- [0032] FIG. 12 is an exploded view of an assembly for actuating a mandrel through a rotary driver and screw anchor according to various embodiments of the invention;
- [0033] FIG. 13 is a portion of a screw anchor according to various embodiments of the invention; and
- [0034] FIG. 14A-C are various views of a helical nut usable with a screw anchor according to various embodiments of the invention.

DETAILED DESCRIPTION

- [0035] The following description is intended to convey a thorough understanding of the embodiments described by providing a number of specific embodiments and details involving A-frame foundations used to support single-axis solar trackers. It should be appreciated, however, that the present invention is not limited to these specific embodiments and details, which are exemplary only. It is further understood that one possessing ordinary skill in the art in light of known systems and methods, would appreciate the use of the invention for its intended purpose.
- [0036] As discussed in the Background, ground screws are one alternative to conventional monopiles (e.g., H-piles, I-piles, post and cement, etc.). Ground screws are screw into underlying ground with a rotary driving using a combination of downward pressure and torque, much like driving a screw into wood. Usually, they are driven until

they are completely or almost completely buried and then other hardware such as mounting brackets, braces, or supports may be attached to the portion remaining above-ground to support signs, decks, small building frames, and single-axis solar trackers among other structures.

[0037] Like any screw, the ground screw's pointed tip serves at least two functions: one, it allows the screw to be precisely oriented over the insertion point and provides a lead-in to help keep it on path and to pull the screw into the ground when driving. Second, the point and taper increase pressure around the threads as the screw penetrates, helping them to better grip the soil. The tip may also displace small rocks that could impede driving. All these benefits, however, are realized during driving. After the screw is in the ground, the tip serves little purpose and may be less effective than the remainder of the screw at resisting axial forces due to its tapered geometry. One reason why ground screws are seldom used in large-scale single-axis trackers is that they are relatively difficult and expensive to manufacture compared to H-piles and therefore cost more. A process for making a ground screw is shown, for example, in Figures 3A-D.

[0038] The process starts with cutting a length of rounded hollow pipe to a desired length. Then, one end of the pipe is inserted in an oven or electric heater and until it reaches a supercritical temperature. The hot end is then inserted into a shrinking machine that closes the tip imparts a taper and point. Once that cools, a strip of metal is formed around the pipe in a thread pattern and is welded to the pipe's surface. After it cools, the finished screw is galvanized to complete the manufacturing process. The two hot-forming steps require a large amount of input energy and the welded thread form is much more expensive than equivalent structure formed in a cold process. Also, the intermediate hot steps preclude the use of metal that has been pre-galvanized. Post manufacturing galvanization is much more expensive than starting with pre-galvanized metal.

[0039] To a large extent, the way that ground screws are installed and used requires that this expensive, multi-step manufacturing process. Screws need a tip to assist with driving and monopiles must be overbuilt to withstand bending forces that are

orthogonal to the axis of the screw. The system shown in the '915 application overcomes the latter problem by translating the lateral load into axial forces of tension and compression, however, the magnitude of the tensile and compressive forces increases exponentially the steeper the legs are angled (e.g., the smaller the apex angle between the truss legs) – a fact not recognized in that '915 application. Therefore, even though the foundation shown in 1C may avoid bending, the large axial forces generated by the steep angles recommended will still require the ground screws to be overbuilt relative to A-frames oriented as less steep legs or with a larger apex angle. Moreover, because the system is built on ground screws, it still suffers from the inherent cost disadvantages discussed herein.

[0040] The inventors of this invention have proposed a foundation system, particularly well-suited for axial solar arrays (e.g., single axis trackers and fixed-tilt ground mounted arrays), that uses a pair of adjacent angled supports configured as a moderately angled A-frame (below 72.5 degrees) instead of a single vertical pile. The system is known commercially as EARTH TRUSS. FIG. 4A shows the base EARTH TRUSS component, screw anchor 200. Screw anchor 200 consists a section of elongated pipe having a substantially uniform diameter along its length that is open at both ends. These are important distinctions over conventional ground screws. The bottom or below-ground end of screw anchor 200 has an external thread form beginning proximate the lower end that increases in diameter as it extends up the pipe until it levels out to a uniform diameter for several more rotations. This is seen in greater detail in 4B, which shows only threaded portion 210 of anchor 200. As is discussed in greater detail herein, the significance of the tapered lead in may come in during driving as well as when doing in-situ refusal mitigation. The other end of exemplary anchor 200 in 4A has a connection portion 220, which in this example, is shown as a coupling. Connecting portion 220 has features that engage with the chuck of a rotary driver to enable screw anchor 200 to be driven. Connecting portion 220 also has at least one coupling feature to enable screw anchor 200 to be connected to other components that extend along substantially the same axis to make a two-piece leg.

- [0041]** It should be appreciated that in various embodiments, riving features may instead be stamped into the upper end of screw anchor 200 rather than part of a separate attached element. Moreover, a combination of camming and friction or other suitable mechanical technique may enable screw anchor 200 to be rotated into the ground without any driving features built into the upper end. In such embodiments, a separate connecting portion may be used or coupling elements may be built into other components above screw anchor 200.
- [0042]** Figure 4C shows screw anchor 250 according to various other embodiment of the invention. Screw anchor 250 differs from screw anchor 200 in that the it has a slight taper to the tip rather than having a tapered lead in on the external thread form. Although this may be more expensive to manufacture than screw anchor 200, as long as the opening at the tapered end is sufficiently large, it may enjoy all of the other benefits of anchor 200 as discussed herein. Other embodiments may utilize both a slightly tapered tip and thread form with a tapered lead-in.
- [0043]** In various embodiments, a screw anchor such anchor 200 or anchor 250 will be rotated into the ground using a rotary driver or other like device. The rotary driver may rotate the screw anchor from the top or may be partially or fully inserted into the pile to rotate it partially from within. Because the various screw anchors disclosed herein are open at both ends, and as discussed in greater detail herein, it is possible, and may be desirable to insert another tool into the shaft of the pile from above during driving to clear a path ahead of the pile, to increase soil pressure around the thread form, and even to excavate a cavity in solid rock to receive the pile.
- [0044]** Turning to Figures 5A and B, various embodiments of the invention take advantage of the open geometry of the screw anchor to insert tools into it during driving. In various embodiments, these tools may provide some of the benefits of a tip on a conventional ground screw and yet due to the hollow body, do not need to remain in the ground after the screw anchor is installed. To that end, Figure 5A is a partial cutaway view showing a portion of exemplary screw anchor 200 with mandrel 300 extending through its center. Mandrel 300 is an elongated member, preferably of high

strength steel and with a smaller outside diameter than the inside diameter of screw anchor 200. In various embodiments, mandrel 300 may have a detachable tip 310 that is profiled for the specific soil conditions present and to facilitate tip replacement without discarding the entire mandrel. Mandrel 300 may be actuated to apply downward pressure as screw anchor 200 is simultaneously rotated around it.

Alternatively, mandrel 300 may apply a hammering force.

[0045] In various embodiments, the open geometry of screw anchor 200 makes it possible for tools such as a mandrel to be independently operated within anchor 200 and to be removed after driving is complete, leaving only those component required to resist axial forces in the ground. As seen in Figures 5A and B, during installation mandrel 300 may be inserted into the top end of screw anchor 200, slid all the way down its length until it reaches the opposing, below-ground end and actuated to push or hammer against the underlying ground. In various embodiments, and as discussed in greater detail herein, mandrel 300 may be connected to a separate driver that is aligned on an axis overlapping with an axis through the center of mass of screw anchor 200. Mandrel 300 may travel with screw anchor 200 as it is rotated in to prevent soil from plugging into the center of screw anchor 200. Alternatively, mandrel 300 may push downward ahead of the screw anchor to help clear a path and create soil tension around external thread form 210. This may be true whether the mandrel exerts steady downward pressure, is reciprocated, or is hammered into the underlying soil.

[0046] Reciprocating, hammering or simply pushing down with the mandrel may also allow it to displace and/or break up smaller rocks that are in the driving path. Without such action, rocks and other obstructions may cause a refusal and/or damage screw anchor 200. In the field of solar pile driving, a refusal occurs when additional driving force fails to result in further embedment. Usually, this indicates that the pile has struck a rock, cementitious soil or, in the extreme case, solid bedrock. By reciprocating, hammering or pushing down with the mandrel, it functions as a chisel that can crumble small rocks, buried objects and pockets of dense or cementitious soil. This is shown and discussed in greater detail, in the context of Figures 7A-D.

[0047] Turning to Figures 6A and B, these figures show two stages of installation of a pair of adjacent screw anchors and a truss foundation for a single-axis tracker using such screw anchors according to various exemplary embodiments of the invention. In 6A, screw anchors 200 have been driven into the ground adjacent one another and inclined inward at acute angles (e.g., less than 90-degrees). In various embodiments, and as shown here, they may be driven until almost entirely embedded, so that only the end portion remains above ground. As shown in figures 5A and B, anchors 200 may be driven through the unique process described herein whereby screw anchor 200 is rotated into the underlying soil at the desired angle with a combination of torque and downward pressure by a rotary driver, while, at the same, time, a mandrel or other tool is actuated through the screw anchor to assist driving. Once both screw anchors 200 reach their respective target depths, above-ground components are attached.

[0048] In the example of 6A and B, upper legs 225 are inserted over connecting portions 220 to substantially extend the main axis of each screw anchor 200 toward the bearing housing. Free ends of each upper leg 225 are joined together to form a unitary A-framed-shaped truss by adapter 230. In various embodiments, and as shown here, adapter 230 may have a pair of symmetric connecting portions that extend down and away from the adapter to match the spacing and angle of upper legs 225. A bearing assembly, such as assembly 240 is attached to the top of adapter 230 and torque tube 245 rotatably captured within bearing 242.

[0049] Turning now to Figures 7A-D, these figures show various driving scenarios with a screw anchor and system for driving a screw anchor according to various exemplary embodiments of the invention. Starting with 7A, in this figure screw anchor 200 is driven into the supporting soil underlying the anchor. In various embodiments, and as discussed and shown herein, this is accomplished with a rotary driver or screw driving machine. At substantially the same time, mandrel 300 is actuated through screw anchor 200 to press down, hammer and/or reciprocate against the soil as anchor 200 travels along its path. In various embodiments, and as shown in the figure, mandrel tip 310 may project out of the below-ground end of screw anchor 200 as it is driven. In some

embodiments, it may stay at substantially the same position relative to the lower end of anchor 200, traveling down with anchor 200 to displace soil and increase soil pressure around the anchor's threads. In other embodiments, mandrel tip 310 may exert downward pressure independent of the pile. If the rotary driver encounters excessive driving resistance as indicated, for example, by a reduction or stoppage in downward travel or excessive resistance against the rotary driver or both, mandrel 300 may be partially retracted so that tip 310 no longer projects out of the anchor to allow dirt to plug in the end, thereby relieving the soil pressure retarding driving. This reduction in pressure may reduce resistance to the rotary driver. It is important when screwing a pile or ground screw into the ground that the pile continues moving forward so that it doesn't auger or core the hole, which will reduce the pile's resistance to axial forces.

[0050] At some point while driving, mandrel tip 310 in 7A encounters solid bedrock resulting in a refusal. In various embodiments, a unique in-situ refusal mitigation process begins that was previously impossible in the prior art with conventional ground screw or with H-piles. The refusal condition may in various embodiments be detected by an operator or by an automated feedback loop sensing the failure of the mandrel or anchor to penetrate any further. In various embodiments, the operator will remove the mandrel from anchor 200 and replace it with a rock drill such as drill 400. In some embodiments, the rock drill may be a different attachment to the same driver actuating the mandrel. In other embodiments, the rock drill may be a different machine, requiring the mandrel driver to be pivoted or otherwise moved out of the way to make room for the rock drill. Once out, mandrel 300 is replaced with a drill shaft 400 and rock drill bit 410. These components are inserted into the top end of anchor 200 and passed through it until reaching the bedrock below. In various embodiment, the same driver used to actuate the mandrel is used to actuate the rock drill. The rock drill may consist of a down-the-hole hammer and bit that uses compressed air to hammer the bit inside of anchor 200. Alternatively, the rock drill may be a top hammer whereby hammering action is applied to shaft 400 and this force is directly translated to rock bit 410.

[0051] As is known in the art, rock drills typically use pressurized air to generate the hammering action and to blow the crushed rock spoils out of the way. The specific action of the rock drill (e.g., hammering, rotating) will in part be dictated by the type of drill bit used. For example, a button bit typically employs hammering action alone whereas other types of bits may rely on a combination of hammering and rotary cutting.

[0052] In various embodiments the rock drill will continue its action until a cavity has been formed in the rock having the desired depth. This depth may be the minimum depth required to secure the screw anchor or the original target depth. In either case, once the cavity is crated, the rock drill is removed, or least partially withdrawn from anchor 200 so as not to project below it and the rotary driver is engaged to drive the anchor into the newly formed cavity. In various embodiments, the tapered lead-in on the threads will increase the likelihood that the application of torque and downward pressure on anchor 200 will guide it into the cavity. In some embodiments, screw anchor 200 may be driven all the way to the bottom of the cavity, such as shown in 7C. This will depend on the size of the bore relative to the outside diameter of the anchor, how clean and free of spoils the cavity is, and the geometry and dimensions of the thread form. In other embodiments, anchor 200 may not be able to be fully driven to the bottom of the cavity. This may be a consequence of the blind underground conditions (e.g., cleanliness of the borehole, density of soil above the borehole) or the dimensions of drill bit 410 or threads. In either case, it may only be possible to drive a portion of anchor 200 into the cavity. In some cases, driving anchor 200 as deeply as possible may provide sufficient engagement between the anchor threads and the wall of the cavity without additional steps. This could, in various embodiments, be confirmed by pulling up on anchor 200 with the rotary driver or another tool with a fixed force. In other cases, if sufficient engagement between the threads and the wall of the cavity is not achieved, additional steps may be required.

[0053] To that end, drill shaft 400 and bit 410 may be withdrawn from driven anchor 200 and a coupler or other device such as coupler 430 may be dropped down anchor 200 until it reaches the bottom of the cavity. In various embodiments, coupler 430 may

be a piece of rebar or other rigid material that is small enough to fit within anchor 200 but long enough to extend from the bottom of the cavity into anchor 200. The purpose of coupler 430 is to connect anchor 200 to the underlying rock. One or more centralizers 435 or other like devices may be used to maintain coupler 430's orientation within the center of anchor 200 as well as in the cavity. After coupler 430 is placed, a volume of pressurized grout, epoxy or other suitable material 440 may be injected via the above-ground end of anchor 200, filling the cavity completely and surrounding coupler 430 and the portion of anchor 200 containing the coupler. Once material 440 sets, anchor 200 will be firmly coupled to the bedrock.

[0054] Figure 8 shows an augered drill shaft usable with various embodiments of the invention. Depending on the type of bit used and whether a top hammer or bottom hammer is used, it may be necessary and/or desirable to use mechanical energy to remove spoils generated by the drill from the shaft of anchor 200. To that end, drill shaft 402 includes a series of helical threads circumscribing some, most or all of its length. These threads will tend to move material up and out of the inside of anchor 200 when the shaft is rotated in the correct direction (clockwise in the exemplary shaft 402 shown in the Figure). Also shown is male threaded portion 405 at the base of shaft 402 for attaching different drill bits. It should be appreciated that threaded portion 405 is exemplary only and meant merely to signify that tips may be removed from shaft 405 without needing to discard the entire shaft. In other embodiments, a female opening, a pin connection, conical threads, or other known fastening mechanisms or their functional equivalents may be used instead.

[0055] Turning now to Figures 9A-C, these figures show several different drill bits that may be used with various embodiments of the invention. The first bit, bit 410A is a cross bit or cross rock bit. It consists of four raised chisel-type blades oriented in a cross pattern. This type of bit is typically made of steel with the blades coated with titanium or made from hardened steel or carbide. The bit may be hammered and rotated to chisel and scrape through rock while the spoils are evacuated via the space between the four blades. Figure 9B shows tri-cone roller bit 410B. The tri-cone roller bit has three

rotating cone-shaped wheels covered in steel or carbide cutting teeth that are attached to a stationary head via a bearing connection. As the drill is rotated, these cones roll along the bottom of the bore hole in a circular pattern chipping away at the underlying rock. Downward pressure on the bit facilitates the cutting. Such bits are commonly used in water, gas and oil exploration and extraction. Spoils are drawn up an annulus in the center of the bit with compressed air or fluid. The last bit shown in 9C is percussion-type hammer bit 410C. Hammer bits are not sharp and do not use cutting as their primary boring mechanism. Rather, a series of hardened carbide buttons are embedded in the face of the bit. During rotation, a shank beats against an anvil or strike surface inside the bit head causing the buttons to pulverize any rock they come into contact with while rotation and compressed air sweeps the debris out of the way and into debris channels so that the next impact will again strike virgin rock. Any of the bits shown in Figures 9A-C, or any other commercially available or as of yet undeveloped bits may be used with the various embodiments of the invention.

[0056] In certain situations where drilling is required, it may be desirable to drill a cavity that has a slightly larger outside diameter than the base pile. For example, to create a cavity that is wide enough to at least partially accept the threaded end 210 of screw anchor 200. To that end, bit 410C in Figure 9C is one type of bit capable of drilling a larger diameter hole than the casing it is inserted in. This technique is often employed in drill-and-case applications where the diameter of the bore needs to be larger than the diameter of the casing to allow spoils to be ejected around the outer diameter of the pipe among other reasons. Bit 410C accomplishes this with one or more deployable wings, labeled “W” in the figure, that expand the cutting diameter of the bit once the bit is free of the anchor. When bit 410C is initially inserted into the end screw anchor 200, the one or more wings are recessed to be flush with the outside surface of the bit. This can be done mechanically or by an operator compressing them as the bit is inserted into the anchor. When the bit emerges from the other end, and the wings are no longer compressed by the inner surface of the anchor, so they expand to their relaxed position, either under spring action or via another deployment mechanism, thereby increasing

the cutting diameter of the bit. In various embodiments, additional carbide buttons may be formed on the cutting surface of the wings (e.g., the surface that is normal to the direction of drilling). In various embodiments, if the wings are spring loaded, the resistance from the rock will tend to keep them out, that is, at the expanded orientation. Once the desired depth has been achieved and the bit and shaft are drawn back into the bottom end of the anchor, pressure against the back of the one or more wings from the anchor opening will push them back to the recessed position, reducing the outside diameter of the bit, allowing it to be drawn up and out of the anchor. It should be appreciated that there are various other bits available for undercut drilling, including ones that are intentionally offset so that once they begin to rotate they sweep around a larger diameter circle.

[0057] Contrary to the cementitious and/or rocky soils that lead to refusals, some soils may be so loosely structured that they provide very little resistance to driving, but at the same time, lack the ability to resist axial forces of tension and compressions. In such soils, threaded screw anchor 200 alone may need more orthogonal surface area to provide the required resistance. To that end, Figures 14A-C show a helical nut according to various embodiments of the invention that may be usable with a screw anchor such as screw anchor 200 in Figure 13 to increase the anchors ability to resist axial forces in such soils. Starting with 14A and B, these figures show helical nut 270 according to various exemplary embodiments. As shown, helical nut 270 consists of main body portion 272 and helix 274. As seen in the cutaway view of 14B, the inside of main body portion 272 is threaded. In various embodiments, the depth and pitch of these threads will match the pitch and depth of external threads 210 on anchor 200. This will enable helical nut 270 to be spun onto anchor 200 until the tapered lead-in of the thread form projects further than the female thread depth in helical nut 270. When anchor 200 is driven into underlying ground with helical nut 270 attached, clockwise rotation of the anchor will reinforce rather than loosen the connection between nut 270 and threaded portion 210. The outside diameter of helix 274 can substantially increase the amount of

orthogonal surface area, creating a column or cone of resistance to pull-out and making it very difficult to further compress anchor 200 after it's driven.

[0058] Figure 14C shows another embodiment of a helical nut usable with a screw anchor according to various exemplary embodiments of the invention. Nut 280 of 14C consist of threaded retaining nut 282 and separate helix 280. In various embodiments, helix 280 has a pitch that matches the pitch of the threads on threaded portion 210 and a center opening slightly larger than the outside diameter of anchor 200 so that helix 280 can be threaded up anchor 200 to a desired location. Then, threaded retaining nut 282, which preferably has threads substantially matching those of helical nut 272, that is, threads that are the same pitch and depth of threaded portion 210 so that retaining nut 282 can also be threaded onto threaded portion 210 to press helix 285 against the external threads at the desired location and to capture it there. Driving the resulting helical screw anchor may be performed in the same manner as described herein.

[0059] Up to this point, the disclosure has focused on screw anchors and techniques for driving the screw anchor. The remainder of this disclosure will focus on exemplary machines and methods of operating machines to drive screw anchors into supporting ground while actuating a mandrel or rock drill through the screw anchor according to various embodiments of the invention. It should be appreciated that machines shown in these figures are exemplary only and should be considered in terms of their functionality with respect to driving screw anchors rather than their physical attributes as shown in the drawings. Different physical embodiments are possible while retaining the spirit and scope of the various embodiments of the invention.

[0060] Turning to FIGS. 10A and 1B, these figures show side and front views respectively of exemplary machine 600 for driving screw anchors according to various embodiments of the invention. As shown, machine 600 includes a main body 605 riding on a tracked chassis 610. It should be appreciated that machine 600 could instead have tires, a combination of tires and tracks, one or more floating pontoons, rails or other known means. As shown, machine 600 has an attachment, attachment 500, mounted to the end of articulating arm 620. In various embodiments, articulating arm 620 is part of the

base machine and can move through an arc of approximately 90 degrees from a stowed position where the arm is substantially perpendicular to the ground to an in-use position where the arm is substantially parallel to the ground. In various embodiments, the end of articulating arm 620 is also able to rotate through a range of angles about its axis (e.g., ± 35 -degrees from vertical) so that screw anchors may be driven into the ground at non-plumb angles. This also decouples the screw anchor driving axis from the orientation of the machine by allowing it to compensate for uneven terrain in at least the East-West direction. Alternatively, a rotator may be located at the end of arm 620 so that the entire arm does not have to rotate in order to rotate attachment 500. In various embodiments, the end of articulating arm 620 supports driving attachment 500 with a main axis that may be substantially perpendicular to articulating arm 620. Therefore, when arm 620 is in the stowed position, attachment 500 will be substantially parallel to the ground, minimizing its height, whereas when arm 620 is in the in-use position, driving attachment 500 will be substantially perpendicular to the ground.

[0061] As shown in the example of FIGS. 10A/10B, attachment 500 includes frame 510 that functions as a scaffold to support rotary or screw driver 550 and mandrel driver 520, and that provides a common axis for them to move along. In various embodiments, frame 510 includes a pair of parallel side members 510A/B that are interconnected by cross members. This configuration is exemplary only. Various trussed and/or reinforced supports, beams and cross members may be used to provide the requisite rigidity and strength. Frame 510 may also include one or more tracks that the mandrel driver and rotary driver travel on to limit their movement to axial movement only. The one or more tracks may be located between parallel side member 510A/B, or, alternatively, as shown in the figures, may be attached to the side members 510A/B. In still further alternatives, mandrel driver 520 and rotary driver 550 may travel on wheels inside recesses formed in parallel side members 510A/B. The specific mechanism used to limit movement to a single axis along attachment 500 is a design choice.

[0062] In various embodiments, one or more linked drive chains and corresponding motor assemblies may be used to move mandrel driver 520 and rotary driver 550 along the one or more tracks. In various embodiments, they may move independent of one another. In other embodiments, they may move together. In still further embodiments, both modes may be possible. For example, when driving, rotary driver 550 will apply torque while a motor driving chain 515 will generate downforce that is translated to the anchor via rotary driver 550. Therefore, from the perspective of the screw anchor the rotary driver is applying torque and axial force even the source of the axial force may be a motor driving the chain. Similarly, mandrel driver 520 may applying a hammering action to mandrel 300 however, axial downforce may also come from the motor driving chain 515, which in turn, pull mandrel driver 520 downwards. This force, however, is translated through the mandrel driver to the mandrel so from the perspective of the mandrel both of these axial forces (hammering and downward pressure) are coming from the mandrel driver.

[0063] In various embodiments, rotary driver 550 may be powered by electric current or by hydraulic actuation in a manner known in the art. Similarly, mandrel driver 520 may be powered by compressed air, electric current or by hydraulic actuation. Mandrel driver 520 may be a hydraulic drifter or other suitable device for generating downforce and/or hammering force. In various embodiments, and as shown in the figures, mandrel driver 520 and rotary driver 550 may be oriented concentrically on the frame in the direction of the one or more tracks so that the shaft of mandrel 300 can pass through rotary driver 550 and move up and down within driver 550 while it is rotating a screw anchor into the ground. In this manner, tip 310 of mandrel 300 may operate ahead of screw anchor 200, projecting out of its bottom (below-ground) opening, to clear a path for and ahead of screw anchor 200. This may also allow mandrel 300 to be dropped down through rotary driver once it is decoupled from driver 520 for repair and/or replacement without completely disassembling attachment 500.

[0064] With continued reference to FIGS. 10A and 10B, exemplary machine 600 has a main body portion 605 housing the machine's petrol engine or electric motor, a fuel

tank or power cell, a hydraulic system, counterweights if necessary, and a control interface, sitting on tracked chassis 610. Machine 600 may also have an air compressor and air lines for supplying pressurized air to an air hammer or other equipment, a power take-off for mechanically transferring power to external devices, an electrical connection for providing electric power to attachment 500, and one or more hydraulic interfaces for communicating hydraulic fluid to attachment 500, mandrel driver 520, and/or rotary driver 550. In the example of Figures 10A/B, articulating arm 620 projects away from one end of the machine (e.g., front or rear), functioning as an attachment support. In other embodiments, it may project from either side. In still further embodiments, arm 620 may be mounted on a rotatable turret that can rotate completely around a vertical axis over tracked chassis 610 to any radial orientation. Dotted lines in Figures 10B on either side of attachment 500 show how it can rotate about a rotation point to drive screw anchors into the ground at angles.

[0065] Figure 11 is a close-up view of mandrel driver 520 and rotary driver 550. For ease of illustration, the attachment and machine have been intentionally omitted. In the exemplary configuration shown here, mandrel 300 is attached to mandrel driver 520 via pin connection 521. As noted herein, in various embodiments, this may enable simplified removal of the mandrel 300 by removing the pin and allowing mandrel 300 to drop through rotary driver 550 under the force of gravity. In various embodiments, one or more bearings such as bearing 552 are located above and below rotary driver 550 to limit the motion of the mandrel 300 and prevent it from damaging rotary driver 550. Rotary driver 550 may have a rotating head such as head 555, chuck, or other device for transferring torque and downward pressure to screw anchor 200. The partial cutaway at the bottom of Figure 11 shows that the fitment of mandrel 300 within screw anchor 200. In this exemplary figure, movement of rotary driver 550 and mandrel driver 520 are facilitated via a chain and drive motor moving the chain. In various embodiments, rotary driver 550 is fixed to chain 515, while mandrel driver 520 is attached but able to be decoupled from chain 515 for independent movement or to stay in place. It should be appreciated that instead of a chain two or more hydraulic actuators may be used to

push and pull rotary driver 550 along its axis of travel and to make mandrel driver 520 travel with it or independent of it. The specific manner in which downforce is generated and the way that rotary driver 550 and mandrel driver 520 travel along their axis is a design choice.

[0066] As discussed herein, the ability to actuate tools through the screw anchor while driving is a major advantage relative to conventional ground screws. This is possible because both ends of the screw anchor are open. Having the ends open is accomplished with fewer rather than more manufacturing steps, allowing a less expensive and energy intensive manufacturing process. The tools can mimic the functionality and benefits of the ground screw tip, all of which are realized during driving, while providing better pull out and compressive resistance per unit of length because the tip is removed after driving. To accomplish this, depending on how torque is imparted to the screw anchor, it may be necessary for the mandrel to pass directly through the rotary driver. Figure 12 shows one assembly for accomplishing this, however, it should be appreciated that there are many possible ways of doing so.

[0067] Figure 12 is a partial exploded view of a drive train and gear assembly stack that allows mandrel 300 to actuate within rotary driver 550 without affecting its operation according to various embodiments of the invention. As shown, at its top end, output gear 551 is mechanically coupled to the output shaft of an electric or hydraulic motor. It may be directly coupled to the output or coupled via a transmission or other reduction gear assembly (not shown) to provide greater mechanical advantage. Output gear 551 is synchronized to two-part drive gear 552 consisting of driven portion 553 and driving portion 554. In various embodiments, driving portion 554 is splined to interface with splines in sun gear 576 that is the center of planetary gear assembly 575. Planetary gear assembly 575 consists of ring gear 571 on the inside of housing 570 that retains planetary gears 577 orbiting sun gear 576. As drive gear 551 rotates driven portion 553 of the drive gear, driving portion 554 rotates sun gear 576 in place. Sun gear 576 drives planetary gears 577, in this case, four planetary gears, to rotate within ring gear 571. Planetary carrier 580 is attached to the center of each planetary gear 577 with a bearing

to generate output power for the rotary driver. Planetary carrier 580 includes splined hub 581 that mates with splined driving head 582. A chuck or drive plate such as drive plate 554 in Figure 11 or driving chuck 555 in Figures 12 is connected to splined driving head 582 to transfer torque to the head of a screw anchor. Though now shown, one or more bearing collars may be positioned at the point where mandrel 300 enters and exists housing 570 of rotary driver 550 to limit its motion to the axial motion without affecting the rotary driver's motion.

[0068] The embodiments of the present inventions are not to be limited in scope by the specific embodiments described herein. Indeed, various modifications of the embodiments of the present inventions, in addition to those described herein, will be apparent to those of ordinary skill in the art from the foregoing description and accompanying drawings. Thus, such modifications are intended to fall within the scope of the following appended claims. Further, although some of the embodiments of the present invention have been described herein in the context of a particular implementation in a particular environment for a particular purpose, those of ordinary skill in the art will recognize that its usefulness is not limited thereto and that the embodiments of the present inventions can be beneficially implemented in any number of environments for any number of purposes. Accordingly, the claims set forth below should be construed in view of the full breath and spirit of the embodiments of the present inventions as disclosed herein.

CLAIMS:

1. A screw anchor driving assembly comprising:
a hollow screw anchor;
a rotary driver imparting torque to the screw anchor;
a mandrel passing through the hollow screw anchor; and
a mandrel driver imparting axial force to the mandrel.
2. The assembly according to claim 1, wherein the screw anchor has a thread form beginning at a first end and at least one driving feature at the second end for engaging with the driver.
3. The assembly according to claim 1, further comprising a mast wherein the rotary driver and mandrel driver are operable to travel along the mast with the mandrel passing through the rotary driver and the hollow screw anchor to drive the screw anchor into underlying soil.
4. The assembly according to claim 3, wherein the mast is rotatably attached to a piece of heavy equipment.
5. The assembly according to claim 4, wherein the mast is operable to rotate relative to the piece of heavy equipment to a first angle to drive a first screw anchor into supporting ground, to rotate in substantially the same plane to a second angle, and to drive a second screw anchor into supporting ground at the second angle, wherein the first and second screw anchors are part of a single truss foundation.
6. The assembly according to claim 1, further comprising a percussive rock drill attached to the mandrel driver in place of the mandrel to drill a cavity in subsurface rock through the hollow screw anchor.
7. The assembly according to claim 1, wherein the mandrel driver is a drifter.
8. An assembly for a screw anchor driving attachment for heavy equipment comprising:
an anchor driver;

a mandrel driver; and

a mandrel coupled to the mandrel driver and passing through the anchor driver.

9. The assembly according to claim 8, further comprising a screw anchor attached to the anchor driver, wherein the mandrel passes through the anchor driver and the screw anchor.

10. The assembly according to claim 8, further comprising a percussive rock drill attached to the mandrel driver in place of the mandrel, the percussive rock drill extending through the anchor driver and the screw anchor.

11. The assembly according to claim 8, further comprising a mast, wherein the screw driver and mandrel driver are attached to and operable to travel along the mast on a shared axis.

12. The assembly according to claim 11, wherein the anchor driver transfers torque to the screw anchor and a separate mechanism pulls the anchor driver along the mast to transfer axial forces to the screw anchor through the anchor driver.

13. The assembly according to claim 9, wherein the mast is rotatably coupled to the heavy equipment.

14. The assembly according to claim 14, wherein the mandrel driver is a drifter operable to apply a hammering force to the mandrel.

15. A method of screwing a screw anchor for a foundation comprising:
rotating an open threaded screw anchor into underlying soil with a screw driver; and
actuating a mandrel into the underlying soil through the open screw anchor with a mandrel driver.

16. The method of claim 14, wherein actuating the mandrel with a mandrel driver comprises applying an axial hammering force to the mandrel with the mandrel driver.

17. The method of claim 14, wherein rotating an open thread screw anchor with a screw driver comprises applying a combination of torque and axial force to the screw anchor.

18. The method of claim 14, wherein actuating a mandrel into the underlying soil through the screw anchor further comprises pausing the mandrel driver when the mandrel reaches a target depth and continuing to rotating the screw anchor into the underlying soil until it also reaches the target depth, whereupon the mandrel is withdrawn from the screw anchor.

19. The method according to claim 14, wherein if continued rotation of the screw anchor fails to result in further embedment:

- removing the mandrel from the screw anchor while leaving the partially driven screw anchor in-situ;

- disconnecting the mandrel from the mandrel driver;

- attaching a rock drill shaft and rock drill bit to the mandrel driver

- inserting the bit and shaft through the partially driven screw anchor;

- actuating bit and shaft with the mandrel driver to drill a cavity below the screw anchor; and

- rotating the screw anchor into to the cavity.

20. The method according to claim 19, wherein rotating the screw anchor into the cavity further comprises:

- inserting a coupling into the cavity through the open screw anchor and injecting pressurized fluid around the coupler, wherein the pressurized fluid is selected from the group consisting of grout, cement, resin, and epoxy.

ABSTRACT

A machine for rotary driving screw anchors into underlying ground and related. The machine has a mast with a rotary driver and a mandrel driver oriented on a common axis. The mandrel driver passes a mandrel through the rotary driver and the screw anchor to allow the mandrel to be actuated ahead of the screw anchor while the rotary driver drives the screw anchor into the ground. Alternatively, the mandrel driver may also drive a hammering drill through the screw anchor to clear a path ahead of it through subsurface rocks.