

AS. 149.126

Señora Directora
DIRECCIÓN DE NUEVAS CREACIONES
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO
E. S. D.

RESPUESTA A OFICIO No. 2624 DEL 26 DE FEBRERO DE 2021

REF: Expediente No. NC2021/0001410 - Solicitud de Patente Fase Nacional
A favor de **DRAGONFLY THERAPEUTICS, INC.**
Titulada: PROTEÍNAS DE UNIÓN MULTIESPECÍFICAS QUE SE UNEN A BCMA, NKG2D
Y CD16 Y MÉTODOS DE USO

JUAN PABLO CADENA SARMIENTO, vecino de Bogotá, portador de la tarjeta profesional No. 57492, obrando como apoderado de la sociedad **DRAGONFLY THERAPEUTICS, INC.**, según lo acredita el documento de poder presentado en esa Dirección, para dar cumplimiento al requerimiento notificado de manera automática el 01 de marzo de 2021, de acuerdo con el Artículo 38 y 39 de la Decisión 486 de la comisión de la Comunidad Andina me permito allegar:

1. Documentos de cesión del derecho a la patente otorgados por los inventores al solicitante.

De la señora Directora atentamente,



JUAN PABLO CADENA SARMIENTO
C.C. No. 80.410.337 de Usaquén
T. P. 57.492 del Consejo Superior de la Judicatura

Bogotá, 08 de marzo de 2021
JPC/jpg



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 7, 2020

PTAS

JONES DAY
250 VESEY STREET
NEW YORK, NY 10281-1047

506000309

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/03/2020

REEL/FRAME: 052313/0975
NUMBER OF PAGES: 12

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 14247-425-228

ASSIGNOR:
BIGELOW, MITCHELL

DOC DATE: 09/18/2019

ASSIGNOR:
CHANG, GREGORY P.

DOC DATE: 09/30/2019

ASSIGNOR:
CHEUNG, ANN F.

DOC DATE: 09/18/2019

ASSIGNOR:
GRINBERG, ASYA

DOC DATE: 09/18/2019

ASSIGNOR:
HANEY, WILLIAM

DOC DATE: 09/18/2019

ASSIGNOR:
WAGTMANN, NICOLAI

DOC DATE: 09/18/2019

ASSIGNEE:
DRAGONFLY THERAPEUTICS, INC.
35 GATEHOUSE DRIVE
WALTHAM, MASSACHUSETTS 02451

APPLICATION NUMBER:

FILING DATE: 08/08/2019

PATENT NUMBER:

ISSUE DATE:

PCT NUMBER: US2019045632

TITLE: MULTI-SPECIFIC BINDING PROTEINS THAT BIND BCMA, NKG2D AND CD16,
AND METHODS OF USE

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6047019

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MITCHELL BIGELOW	09/18/2019
GREGORY P. CHANG	09/30/2019
ANN F. CHEUNG	09/18/2019
ASYA GRINBERG	09/18/2019
WILLIAM HANEY	09/18/2019
NICOLAI WAGTMANN	09/18/2019
RECEIVING PARTY DATA	
Name:	DRAGONFLY THERAPEUTICS, INC.
Street Address:	35 GATEHOUSE DRIVE
City:	WALTHAM
State/Country:	MASSACHUSETTS
Postal Code:	02451
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US2019045632
CORRESPONDENCE DATA	
Fax Number:	(650)739-3900
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6507393939
Email:	DebbieWu@JonesDay.com, WTokmakidis@JonesDay.com
Correspondent Name:	JONES DAY
Address Line 1:	250 VESEY STREET
Address Line 4:	NEW YORK, NEW YORK 10281-1047
ATTORNEY DOCKET NUMBER:	14247-425-228
NAME OF SUBMITTER:	DEBBIE WU
SIGNATURE:	/Debbie Wu/
DATE SIGNED:	04/03/2020
Total Attachments: 10	

ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made by Mitchell Bigelow, Gregory P. Chang; Ann F. Cheung; Asya Grinberg; William Haney; and Nicolai Wagtmann (the "Assignors");

WHEREAS, Bradley M. Lunde, Bianka Prinz, and Assignors have invented certain new and useful improvements in **MULTI-SPECIFIC BINDING PROTEINS THAT BIND BCMA, NKG2D, AND CD16, AND METHODS OF USE**, set forth in International Application No. PCT/US2019/045632, filed on August 8, 2019; Taiwanese Patent Application No. 108128372, filed on August 8, 2019; and Argentine Patent Application No. P190102253, filed on August 8, 2019 (collectively, the "Applications"); and

WHEREAS, Dragonfly Therapeutics, Inc., a corporation having its principal place of business at 35 Gatehouse Drive, Waltham, Massachusetts 02451 (the "Assignee"), is desirous of acquiring all of Assignors' right, title and interest in and to said inventions and said Applications, and in and to any letters patent to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, Assignors' entire right, title and interest in and to the above-mentioned inventions and Applications, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said Applications, and any and all letters patent in the United States and all foreign countries and regions which may be granted therefor and thereon, and reissues, reexaminations and extensions of said letters patent, and all rights under any and all United States laws and foreign and international agreements, treaties or laws relating to the protection of industrial property, including but not limited to any cause(s) of action and damages accruing prior to this assignment, including all rights to exclude others from practicing the claimed inventions and all rights to seek any and all remedies in law or equity, including damages and injunctive relief, for past, present, and future infringement, and the right to claim priority from any of the above-mentioned Applications for any such applications and letters patent (collectively, the "Patent Rights"), the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors,

legal representatives and assigns, to the full end of the term or terms for which letters patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors' right, title and interest in and to the said inventions and Patent Rights above-mentioned, are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any of the Patent Rights, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions or Patent Rights is lawful and desirable.

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

GOODWIN PROCTER LLP

All practitioners at Customer Number 051414

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

Mitchell Bigelow

Mitchell Bigelow

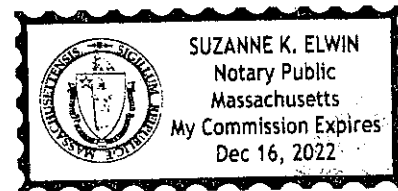
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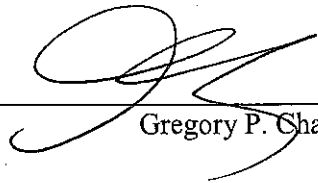
United States of America)
State of MASSACHUSETTS ss.:
County of MIDDLESEX)

On this 18TH day of SEPT., 2019, before me
personally came Mitchell Bigelow, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged execution
of the same.

Suzanne K. Elwin

Notary Public



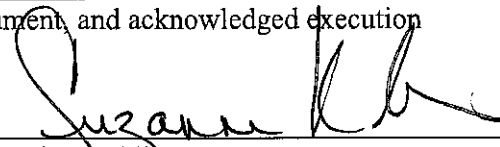


Gregory P. Chang

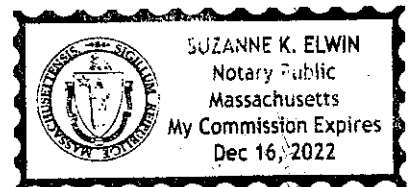
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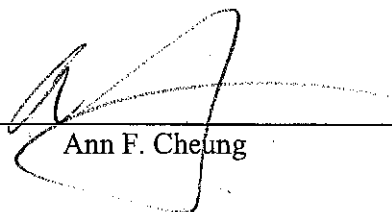
United States of America)
State of MASSACHUSETTS) ss.:
County of MIDDLESEX)

On this 30TH day of SEPT., 2019, before me
personally came Gregory P. Chang, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged execution
of the same.



Notary Public

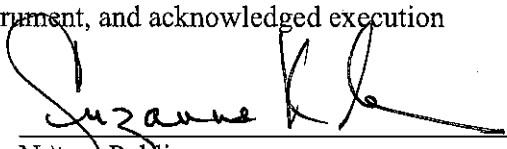


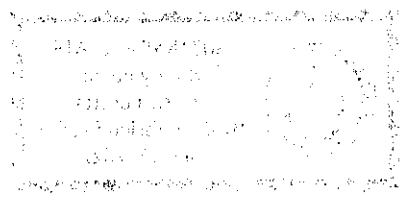

Ann F. Cheung

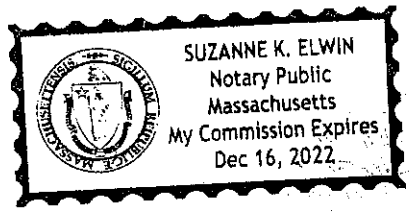
Date: 9/18/19

United States of America)
State of MASSACHUSETTS ss.:
County of MIDDLESEX)

On this 18TH day of SEPT., 2019, before me
personally came Ann F. Cheung, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged execution
of the same.


Notary Public





Date:

9/18/19

Asya Grinberg
Asya Grinberg

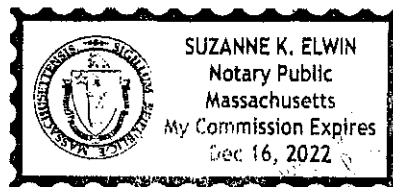
United States of America)

State of MASSACHUSETTS) ss.:

County of MIDDLESEX)

On this 18TH day of SEPT., 2019, before me
personally came Asya Grinberg to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged execution
of the same.

Suzanne K. Elwin
Notary Public



Date:

9/18/17

United States of America)

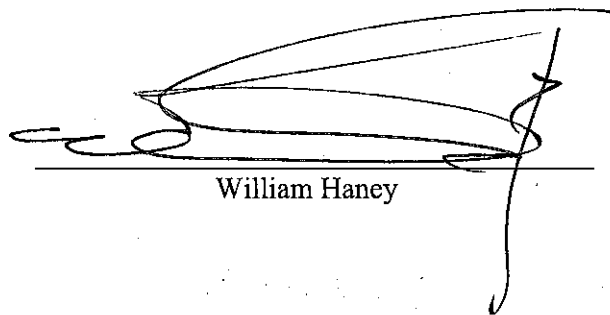
State of

MASSACHUSETTS ss.:

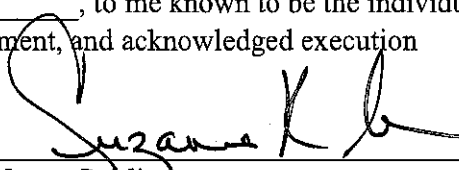
County of

MIDDLESEX)

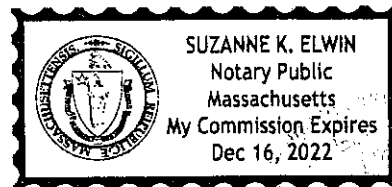
On this 18TH day of SEPT., 2019, before me
personally came William Haney, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged execution
of the same.



William Haney



Notary Public



Nicolai Wagtmann

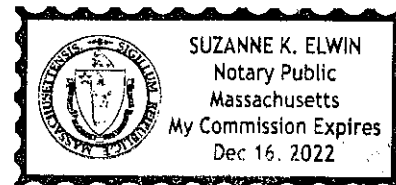
Nicolai Wagtmann

Date: 9/18/2019

United States of America)
State of MASSACHUSETTS) ss.:
County of MIDDLESEX)

On this 18TH day of SEPT., 2019, before me
personally came Nicolai Wagtmann, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged execution
of the same.

Suzanne K. Elwin
Notary Public



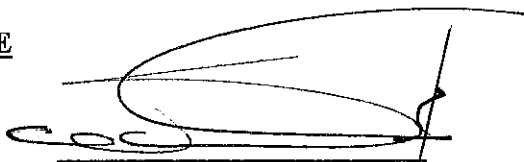
Accepted by:

ASSIGNEE'S AUTHORIZED REPRESENTATIVE

Date:

9/18/19

Signature:

A handwritten signature in black ink, appearing to read 'WILLIAM HANEY', written over a horizontal line.

Representative's Name:

WILLIAM HANEY

Representative's Title:

CEO



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 7, 2020

PTAS

JONES DAY
250 VESEY STREET
NEW YORK, NY 10281-1047

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RECORDATION DATE: 04/03/2020

REEL/FRAME: 052313/0931
NUMBER OF PAGES: 12

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 14247-425-228

ASSIGNOR:
LUNDE, BRADLEY M.

DOC DATE: 02/19/2020

ASSIGNOR:
PRINZ, BIANKA

DOC DATE: 02/19/2020

ASSIGNEE:
ADIMAB, LLC
7 LUCENT DRIVE
LEBANON, NEW HAMPSHIRE 03766

APPLICATION NUMBER:

FILING DATE: 08/08/2019

PATENT NUMBER:

ISSUE DATE:

PCT NUMBER: US2019045632

TITLE: MULTI-SPECIFIC BINDING PROTEINS THAT BIND BCMA, NKG2D AND CD16,
AND METHODS OF USE

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6047013

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
BRADLEY M. LUNDE	02/19/2020
BIANKA PRINZ	02/19/2020
RECEIVING PARTY DATA	
Name:	ADIMAB, LLC
Street Address:	7 LUCENT DRIVE
City:	LEBANON
State/Country:	NEW HAMPSHIRE
Postal Code:	03766
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US2019045632
CORRESPONDENCE DATA	
Fax Number:	(650)739-3900
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6507393939
Email:	DebbieWu@JonesDay.com, WTokmakidis@JonesDay.com
Correspondent Name:	JONES DAY
Address Line 1:	250 VESEY STREET
Address Line 4:	NEW YORK, NEW YORK 10281-1047
ATTORNEY DOCKET NUMBER:	14247-425-228
NAME OF SUBMITTER:	DEBBIE WU
SIGNATURE:	/Debbie Wu/
DATE SIGNED:	04/03/2020
Total Attachments: 10	
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ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made by Bradley M. Lunde and Bianka Prinz (the “Assignors”);

WHEREAS, Assignors and certain Dragonfly Inventors have invented certain new and useful improvements set forth in certain applications, the Dragonfly Inventors and corresponding applications listed on Schedule A attached hereto (such applications, the “Applications”); and

WHEREAS, Adimab, LLC, a limited liability company having its principal place of business at 7 Lucent Drive, Lebanon, New Hampshire 03766 (the “Assignee”), is desirous of acquiring all of Assignors’ right, title and interest in and to said inventions and said Applications, and in and to any letters patent to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, Assignors’ entire right, title and interest in and to the above-mentioned inventions and Applications, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said Applications, and any and all letters patent in the United States and all foreign countries and regions which may be granted therefor and thereon, and reissues, reexaminations and extensions of said letters patent, and all rights under any and all United States laws and foreign and international agreements, treaties or laws relating to the protection of industrial property, including but not limited to any cause(s) of action and damages accruing prior to this assignment, including all rights to exclude others from practicing the claimed inventions and all rights to seek any and all remedies in law or equity, including damages and injunctive relief, for past, present, and future infringement, and the right to claim priority from any of the above-mentioned Applications for any such applications and letters patent (collectively, the “Patent Rights”), the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which letters patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors' right, title and interest in and to the said inventions and Patent Rights above-mentioned, are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any of the Patent Rights, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions or Patent Rights is lawful and desirable.

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

GOODWIN PROCTER LLP

All practitioners at Customer Number 051414

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

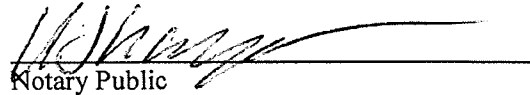


Bradley M. Lunde

Date: Feb 19th 2020

United States of America)
State of New Hampshire ss.:
County of Grafton)

On this 19th day of Feb, 2020, before me
personally came Bradley M. Lunde, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged execution
of the same.


Notary Public

URSZULA M. SHARPLES, Notary Public
State of New Hampshire
My Commission Expires September 13, 2022

Bianka Prinz
Bianka Prinz

Date: Feb 19th 2020

United States of America)
State of New Hampshire ss.:
County of Grafton)

On this 19th day of Feb, 2020, before me
personally came Bianka Prinz, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged execution
of the same.

U. Sharples
Notary Public

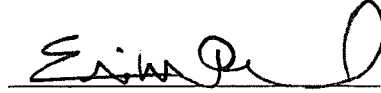
URSZULA M. SHARPLES, Notary Public
State of New Hampshire
My Commission Expires September 13, 2022

Accepted by:

ASSIGNEE'S AUTHORIZED REPRESENTATIVE

Date:

Signature:



Representative's Name:

ERIC KRAULAND

Representative's Title:

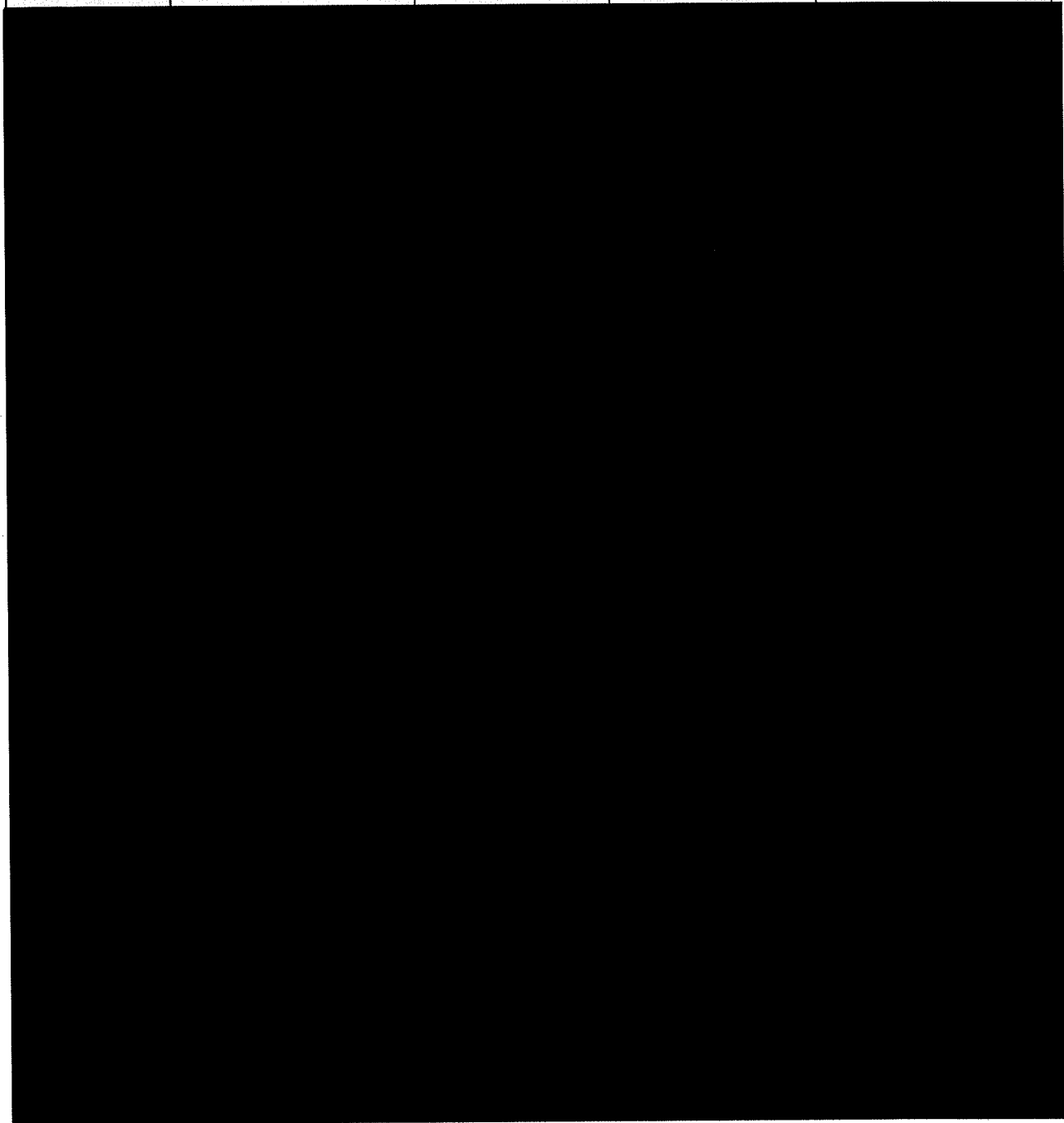
CHIEF SCIENTIFIC OFFICER

SCHEDULE A

<u>DOCKET NO.</u>	<u>TITLE</u>	<u>APPLICATION NO.</u>	<u>FILING DATE</u>	<u>DRAGONFLY INVENTORS</u>

<u>DOCKET NO.</u>	<u>TITLE</u>	<u>APPLICATION NO.</u>	<u>FILING DATE</u>	<u>DRAGONFLY INVENTORS</u>

<u>DOCKET NO.</u>	<u>TITLE</u>	<u>APPLICATION NO.</u>	<u>FILING DATE</u>	<u>DRAGONFLY INVENTORS</u>
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<u>DOCKET NO.</u>	<u>TITLE</u>	<u>APPLICATION NO.</u>	<u>FILING DATE</u>	<u>DRAGONFLY INVENTORS</u>
DFY-058WO	MULTI-SPECIFIC BINDING PROTEINS THAT BIND BCMA, NKG2D, AND CD16, AND METHODS OF USE	PCT/US19/45632	August 8, 2019	BIGELOW, Mitchell; CHANG, Gregory P.; CHEUNG, Ann F; GRINBERG, Asya; HANEY, William; WAGTMANN, Nicolai

<u>DOCKET NO.</u>	<u>TITLE</u>	<u>APPLICATION NO.</u>	<u>FILING DATE</u>	<u>DRAGONFLY INVENTORS</u>



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 7, 2020

PTAS

JONES DAY
250 VESEY STREET
NEW YORK, NY 10281-1047

506000307

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RECORDATION DATE: 04/03/2020

REEL/FRAME: 052313/0953
NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 14247-425-228

ASSIGNOR:
ADIMAB, LLC

DOC DATE: 02/24/2020

ASSIGNEE:
DRAGONFLY THERAPEUTICS, INC.
35 GATEHOUSE DRIVE
WALTHAM, MASSACHUSETTS 02451

APPLICATION NUMBER:

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PCT NUMBER: US2019045632

TITLE: MULTI-SPECIFIC BINDING PROTEINS THAT BIND BCMA, NKG2D AND CD16,
AND METHODS OF USE

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6047017

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	ADIMAB, LLC	02/24/2020
RECEIVING PARTY DATA		
Name:	DRAGONFLY THERAPEUTICS, INC.	
Street Address:	35 GATEHOUSE DRIVE	
City:	WALTHAM	
State/Country:	MASSACHUSETTS	
Postal Code:	02451	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	PCT Number:	US2019045632
CORRESPONDENCE DATA		
Fax Number:	(650)739-3900	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	6507393939	
Email:	DebbieWu@JonesDay.com, WTokmakidis@JonesDay.com	
Correspondent Name:	JONES DAY	
Address Line 1:	250 VESEY STREET	
Address Line 4:	NEW YORK, NEW YORK 10281-1047	
ATTORNEY DOCKET NUMBER:	14247-425-228	
NAME OF SUBMITTER:	DEBBIE WU	
SIGNATURE:	/Debbie Wu/	
DATE SIGNED:	04/03/2020	
Total Attachments: 8		
source=DFY-058WO (14247-425-228) EXECUTED Assignment (Adimab to Dragonfly)#page1.tif		
source=DFY-058WO (14247-425-228) EXECUTED Assignment (Adimab to Dragonfly)#page2.tif		
source=DFY-058WO (14247-425-228) EXECUTED Assignment (Adimab to Dragonfly)#page3.tif		
source=DFY-058WO (14247-425-228) EXECUTED Assignment (Adimab to Dragonfly)#page4.tif		
source=DFY-058WO (14247-425-228) EXECUTED Assignment (Adimab to Dragonfly)#page5.tif		
source=DFY-058WO (14247-425-228) EXECUTED Assignment (Adimab to Dragonfly)#page6.tif		

ASSIGNMENT/CONFIRMATION OF ASSIGNMENT OF PATENT RIGHTS

This Confirmatory Assignment, dated February 25, 2020 (the "Effective Date") is made by and between Adimab, LLC, a Delaware limited liability company having an address at 7 Lucent Drive, Lebanon, NH 03766, U.S.A. ("Assignor"), and Dragonfly Therapeutics, Inc., a corporation organized and existing under the laws of Delaware having business offices at 35 Gatehouse Drive, Waltham, MA 02451, U.S.A. ("Assignee").

WHEREAS, Assignor and Assignee are parties to that certain Second Amended and Restated Collaboration Agreement, dated October 25, 2018, amending and restating the First Amended and Restated Collaboration Agreement dated April 27, 2018, which in turn amended and restated the Collaboration Agreement dated June 6, 2016, as amended on May 19, 2017; October 5, 2017; and February 6, 2018 (the "Agreement");

WHEREAS, prior to the transfer memorialized pursuant to this Confirmatory Assignment, Assignor was in possession of all right, title and interest in, to and under the patents and patent applications listed on Schedule A attached hereto together with the invention(s) described therein (the "Invention") and any application(s), and their continuation(s), continuation(s)-in-part, divisional(s), reissue(s), reexamination(s), extension(s), and substitution(s) thereof and Letter(s) Patent issuing thereon (collectively, the "Patent Rights"); and

WHEREAS, pursuant to the Agreement and the Option Exercise Notice dated February 13, 2018, Assignor has transferred all of its right, title and interest in, to and under the Patent Rights and the Invention to Assignee;

NOW THEREFORE, for valuable consideration of US \$1.00, the receipt, adequacy and sufficiency of which is hereby acknowledged, Assignor and Assignee hereby agree:

Assignor, has sold, assigned, transferred and set over unto the said Assignee, its successors, legal representatives and assigns, and, solely to the extent that Assignor holds any of the rights, title, and/or interest in, to, and under the Patent Rights or the Invention or as otherwise specified in this paragraph, Assignor does hereby sell, assign, transfer, and set over unto the said Assignee, its successors, legal representatives and assigns, Assignor's entire right, title and interest in, to and under the said Invention, and the said Patent Rights and all applications claiming priority thereto under 35 U.S.C. § 119(e) and all divisions, renewals and continuations thereof, and all patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may be filed for said Invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said Patent Rights under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said Invention in any country or countries

Assignment / Confirmation of Assignment
of Patent Rights
Page 2 of 5

foreign to the United States and all extensions, renewals and reissues thereof; and all right to sue for infringement including past infringement and including all rights to exclude others from practicing the claimed inventions, and all rights to seek any and all remedies in law or equity, including damages and injunctive relief, for past, present, and future infringement.

Assignor hereby authorizes and requests the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this Confirmatory Assignment.

Assignor hereby covenants and agrees that Assignor has not executed, and will not execute, any agreement in conflict herewith.

Assignor hereby further covenants and agrees that Assignor will communicate to the Assignee, its successors, legal representatives and assigns, any facts known to Assignor respecting said Invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said Assignee, its successors, legal representatives and assigns, to obtain and enforce proper protection for said Invention in all countries, but at its or their expense.

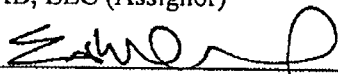
And, Assignor hereby authorizes the Assignee to record ownership of the Patent application and any patents issued thereon directly in Assignee's own name in any jurisdiction, municipality or agency in which recordation is required.

IN WITNESS WHEREOF, each of Assignor's and Assignee's authorized representatives has duly executed this Agreement as of the Effective Date.

[Signature Page Follows]

Assignment / Confirmation of Assignment
of Patent Rights
Page 3 of 5

ADIMAB, LLC (Assignor)

By: 

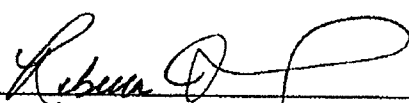
Print Name: ERIC KRAULAND

Title: CHIEF SCIENTIFIC OFFICER

Date: FEB. 24th 2020

Witness:

2/24/2020
Date


Rebecca Hammond

DRAGONFLY THERAPEUTICS, INC. (Assignee)

By: _____

Print Name: _____

Title: _____

Date: _____

Witness:

Date

ASSIGNMENT/CONFIRMATION OF ASSIGNMENT OF PATENT RIGHTS

This Confirmatory Assignment, dated February 25, 2020 (the "Effective Date") is made by and between Adimah, LLC, a Delaware limited liability company having an address at 7 Lucent Drive, Lebanon, NH 03766, U.S.A. ("Assignor"), and Dragonfly Therapeutics, Inc., a corporation organized and existing under the laws of Delaware having business offices at 35 Gatehouse Drive, Waltham, MA 02451, U.S.A. ("Assignee").

WHEREAS, Assignor and Assignee are parties to that certain Second Amended and Restated Collaboration Agreement, dated October 25, 2018, amending and restating the First Amended and Restated Collaboration Agreement dated April 27, 2018, which in turn amended and restated the Collaboration Agreement dated June 6, 2016, as amended on May 19, 2017; October 5, 2017; and February 6, 2018 (the "Agreement");

WHEREAS, prior to the transfer memorialized pursuant to this Confirmatory Assignment, Assignor was in possession of all right, title and interest in, to and under the patents and patent applications listed on Schedule A attached hereto together with the invention(s) described therein (the "Invention"); and any application(s), and their continuation(s), continuation(s)-in-part, divisional(s), reissue(s), reexamination(s), extension(s), and substitution(s) thereof and Letter(s) Patent issuing thereon (collectively, the "Patent Rights"); and

WHEREAS, pursuant to the Agreement and the Option Exercise Notice dated February 13, 2018, Assignor has transferred all of its right, title and interest in, to and under the Patent Rights and the Invention to Assignee;

NOW THEREFORE, for valuable consideration of US \$1.00, the receipt, adequacy and sufficiency of which is hereby acknowledged, Assignor and Assignee hereby agree:

Assignor, has sold, assigned, transferred and set over unto the said Assignee, its successors, legal representatives and assigns, and, solely to the extent that Assignor holds any of the rights, title, and/or interest in, to, and under the Patent Rights or the Invention or as otherwise specified in this paragraph, Assignor does hereby sell, assign, transfer, and set over unto the said Assignee, its successors, legal representatives and assigns, Assignor's entire right, title and interest in, to and under the said Invention, and the said Patent Rights and all applications claiming priority thereto under 35 U.S.C. § 119(e) and all divisions, renewals and continuations thereof, and all patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may be filed for said Invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said Patent Rights under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said Invention in any country or countries

Assignment / Confirmation of Assignment
of Patent Rights
Page 2 of 5

foreign to the United States and all extensions, renewals and reissues thereof; and all right to sue for infringement including past infringement and including all rights to exclude others from practicing the claimed inventions, and all rights to seek any and all remedies in law or equity, including damages and injunctive relief, for past, present, and future infringement.

Assignor hereby authorizes and requests the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this Confirmatory Assignment.

Assignor hereby covenants and agrees that Assignor has not executed, and will not execute, any agreement in conflict herewith.

Assignor hereby further covenants and agrees that Assignor will communicate to the Assignee, its successors, legal representatives and assigns, any facts known to Assignor respecting said Invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said Assignee, its successors, legal representatives and assigns, to obtain and enforce proper protection for said Invention in all countries, but at its or their expense.

And, Assignor hereby authorizes the Assignee to record ownership of the Patent application and any patents issued thereon directly in Assignee's own name in any jurisdiction, municipality or agency in which recordation is required.

IN WITNESS WHEREOF, each of Assignor's and Assignee's authorized representatives has duly executed this Agreement as of the Effective Date.

[Signature Page Follows]

Assignment / Confirmation of Assignment
of Patent Rights
Page 3 of 5

ADIMAB, LLC (Assignor)

By: [Signature]

Print Name: ERIC KRAULAND

Title: Chief Scientific Officer

Date: FEB. 24th 2020

Witness:

Date _____

~~DRAGONFLY THERAPEUTICS, INC. (Assignee)~~

By: [Signature]

Print Name: GARY MARTEL

Title: CFO

Date: 2/25/2020

Witness:

Date _____

Assignment / Confirmation of Assignment
of Patent Rights
Page 4 of 5

SCHEDULE A

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Assignment / Confirmation of Assignment of Patent Rights

Page 5 of 5

<u>DOCKET NO.</u>	<u>TITLE</u>	<u>APPLICATION NO.</u>	<u>FILING DATE</u>
DFY-058WO	MULTI-SPECIFIC BINDING PROTEINS THAT BIND BCMA, NKG2D, AND CD16, AND METHODS OF USE	PCT/US19/45632	August 8, 2019