



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 24, 2018

PTAS

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505250590

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 12/21/2018

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BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 73502-30028.00

ASSIGNOR:
CULP, PATRICIA

DOC DATE: 11/27/2018

ASSIGNOR:
LAM, HELEN

DOC DATE: 11/28/2018

ASSIGNOR:
HO, WEI-HSIEN

DOC DATE: 11/28/2018

ASSIGNOR:
PRESTA, LEONARD

DOC DATE: 11/26/2018

ASSIGNOR:
ROSENTHAL, ARNON

DOC DATE: 12/03/2018

ASSIGNEE:
ALECTOR LLC
151 OYSTER POINT BOULEVARD, SUITE
300
SOUTH SAN FRANCISCO, CALIFORNIA
94080

APPLICATION NUMBER: 62726053

FILING DATE: 08/31/2018

PATENT NUMBER:

ISSUE DATE:

TITLE: ANTI-CD33 ANTIBODIES AND METHODS OF USE THEREOF

ASSIGNMENT RECORDATION BRANCH

PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table><tr><th>Name</th><th>Execution Date</th></tr><tr><td>PATRICIA CULP</td><td>11/27/2018</td></tr><tr><td>HELEN LAM</td><td>11/28/2018</td></tr><tr><td>WEI-HSIEN HO</td><td>11/28/2018</td></tr><tr><td>LEONARD PRESTA</td><td>11/26/2018</td></tr><tr><td>ARNON ROSENTHAL</td><td>12/03/2018</td></tr></table>		Name	Execution Date	PATRICIA CULP	11/27/2018	HELEN LAM	11/28/2018	WEI-HSIEN HO	11/28/2018	LEONARD PRESTA	11/26/2018	ARNON ROSENTHAL	12/03/2018
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ARNON ROSENTHAL	12/03/2018												
RECEIVING PARTY DATA													
<table><tr><td>Name:</td><td>ALECTOR LLC</td></tr><tr><td>Street Address:</td><td>151 OYSTER POINT BOULEVARD, SUITE 300</td></tr><tr><td>City:</td><td>SOUTH SAN FRANCISCO</td></tr><tr><td>State/Country:</td><td>CALIFORNIA</td></tr><tr><td>Postal Code:</td><td>94080</td></tr></table>		Name:	ALECTOR LLC	Street Address:	151 OYSTER POINT BOULEVARD, SUITE 300	City:	SOUTH SAN FRANCISCO	State/Country:	CALIFORNIA	Postal Code:	94080		
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City:	SOUTH SAN FRANCISCO												
State/Country:	CALIFORNIA												
Postal Code:	94080												
PROPERTY NUMBERS Total: 1													
<table><tr><th>Property Type</th><th>Number</th></tr><tr><td>Application Number:</td><td>62726053</td></tr></table>		Property Type	Number	Application Number:	62726053								
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Application Number:	62726053												
CORRESPONDENCE DATA													
Fax Number: (415)268-7522													
Phone: (415)268-6844													
Email: JManggana@mofo.com													
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.													
Correspondent Name: JILLIAN PESIN-FULOP													
Address Line 1: MORRISON & FOERSTER LLP													
Address Line 2: 425 MARKET STREET													
Address Line 4: SAN FRANCISCO, CALIFORNIA 94105													
ATTORNEY DOCKET NUMBER:	73502-30028.00												
NAME OF SUBMITTER:	JILLIAN PESIN-FULOP												
Signature:	/Jillian Pesin-Fulop/												

Date:	12/21/2018
Total Attachments: 5 source=20181220_735023002800_Assignment_Signed#page1.tif source=20181220_735023002800_Assignment_Signed#page2.tif source=20181220_735023002800_Assignment_Signed#page3.tif source=20181220_735023002800_Assignment_Signed#page4.tif source=20181220_735023002800_Assignment_Signed#page5.tif	
RECEIPT INFORMATION EPAS ID: PAT5297371 Receipt Date: 12/21/2018	

ASSIGNMENT

This assignment is by:

- 1 Patricia CULP
c/o Alector LLC
151 Oyster Point Boulevard
Suite 300
South San Francisco, California 94080
- 2 Helen LAM
c/o Alector LLC
151 Oyster Point Boulevard
Suite 300
South San Francisco, California 94080
- 3 Wei-Hsien HO
c/o Alector LLC
151 Oyster Point Boulevard
Suite 300
South San Francisco, California 94080
- 4 Leonard PRESTA
c/o Alector LLC
151 Oyster Point Boulevard
Suite 300
South San Francisco, California 94080
- 5 Arnon ROSENTHAL
150 Normandy Lane
Woodside, California 94062

(each referred to in this Assignment as "Assignor"), whose residence or mailing address information is listed above.

This Assignment is to:

Assignee: Alector LLC
Address: 151 Oyster Point Boulevard, Suite 300, South San Francisco, CA, 94080
A juristic entity duly organized under and pursuant to the laws of: Delaware

(referred to in this Assignment as "Assignee"), which desires to acquire the entire right, title and interest in, to and under the patent application identified below and the inventions covered thereby.

Assignor invented certain new and useful inventions in:

ANTI-CD33 ANTIBODIES AND METHODS OF USE THEREOF

which are set forth in:

Serial No.: 62/726,053 Filing Date: August 31, 2018
(which identifying information may be added after execution)

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee or a predecessor in interest of the

Assignee, or if the Assignor has already done so via a prior agreement with the Assignee or a predecessor in interest of the Assignee then in confirmation of any obligation to do so in said prior agreement,

1. Assignor hereby sells, assigns, transfers and sets over, to Assignee, its successors, legal representatives and assigns, Assignor's entire right, title and interest in and to the above-mentioned inventions, provisional application and application for letters patent, any and all non-provisionals, divisions, continuations, and continuations-in-part claiming priority thereto or the benefit thereof, substitutions of said applications, and any and all letters patent or patents in the United States of America and all foreign countries or jurisdictions which may be granted therefor and thereon, including, without limitation, any modifications to such letters patent or patents such as through reissue, re-examination or other post-grant proceeding, any and all extensions of said letters patent or patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee (including any right to institute actions and to recover damages for past, present and future infringement), for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which letters patent or patents may be granted, as fully and entirely as the same would have been held and enjoyed by Assignor, had this sale and assignment not been made.

2. Assignor represents, warrants and covenants (a) that, at the time of execution and delivery of this agreement, Assignor is the sole and lawful owner of Assignor's entire right, title and interest in and to said inventions and said provisional application and application for letters patent, and that the same are unencumbered and that Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth in this agreement, or (b) that Assignor solely and lawfully owned Assignor's entire right, title and interest in and to said inventions and said provisional application and application for letters patent, and that the same were unencumbered and that Assignor previously sold, assigned, transferred and set over, to Assignee, its successors, legal representatives and assigns, or to a predecessor in interest of Assignee, Assignor's entire right, title and interest in and to said inventions and said provisional application and application for letters patent.

3. Assignor shall promptly sign and execute all papers and documents, take all lawful oaths, and do all acts necessary, required or useful for the procurement, maintenance, enforcement, defense or otherwise to secure title thereto to the Assignee, at the sole cost and expense of Assignee, its successors, legal representatives and assigns, in each case including, without limitation, arising from or relating to (a) said inventions, or said provisional application and application for letters patent; (b) any division, continuation, or continuation-in-part claiming priority thereto or the benefit thereof, or any substitution of any such application, or (c) any letters patent or patents for said inventions in any country or jurisdiction, including, without limitation, any modifications to such letters patent or patents such as through reissue, re-examination, or other post-grant proceeding. For purposes of this paragraph, "procurement, maintenance, enforcement, defense" shall include, without limitation, any preparation, prosecution, pre-grant proceeding, and post-grant proceeding, whether before a patent office or other administrative body or judicial body with jurisdiction therefor.

4. Assignor hereby authorizes and requests the Commissioner of Patents in the United States to issue the above mentioned letters patent of the United States to Assignee as the assignee of said inventions and the letters patent to be issued thereon for the sole use of Assignee, its successors, legal representatives and assigns.

5. Assignor hereby grants attorneys, all of Morrison & Foerster LLP, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark office or any foreign patent issuing authority for recordation of this document, including the power to insert on this assignment the application number and filing date of said application when known.

6. This assignment may be executed in one or more counterparts, with the same effect as if each signature were on the same document. Each counterpart so executed shall be deemed to be an original, and all such counterparts shall be construed together and shall constitute one agreement.

In witness whereby, executed by the undersigned on the date(s) opposite the undersigned name(s).

ASSIGNOR(s):

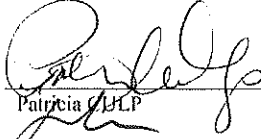
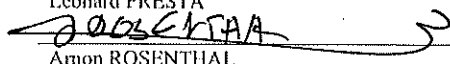
Date: _____	Signature: _____	Patricia CULP
Date: _____	Signature: _____	Helen LAM
Date: _____	Signature: _____	Wei-Hsien HO
Date: 11/26/2018	Signature: 	Leonard PRESTA
Date: 12/3/2018	Signature: 	Arnon ROSENTHAL

ASSIGNEE:

Date: _____	Signature: _____	Name: _____
		Title: _____
		Company: Alector LLC

In witness whereby, executed by the undersigned on the date(s) opposite the undersigned name(s).

ASSIGNOR(s):

Date: <u>27 Nov 2018</u>	Signature: 
Date: <u>11/25/18</u>	Signature: 
Date: _____	Signature: _____
Date: _____	Signature: _____
Date: <u>12/3/18</u>	Signature: 

Patricia J. L.P.
Helen LAM
Wei-Hsien HO
Leonard PRESTA
Aron ROSENTHAL

ASSIGNEE:

Date: _____	Signature: _____
	Name: _____
	Title: _____
	Company: Alector LLC

In witness whereby, executed by the undersigned on the date(s) opposite the undersigned name(s).

ASSIGNOR(s):

Date: _____	Signature: _____	Patricia CULP
Date: _____	Signature: _____	Helen LAM
Date: <u>11/28/18</u>	Signature: <u>Wei-Hsien HO</u>	Wei-Hsien HO
Date: _____	Signature: _____	Leonard PRESTA
Date: <u>12/3/18</u>	Signature: <u>Arnon ROSENTHAL</u>	Arnon ROSENTHAL

ASSIGNEE:

Date: <u>12/10/18</u>	Signature: <u>STEPHANIE YOUNGER</u>	Name: STEPHANIE YOUNGER
		Title: VP OF LEGAL
		Company: Alector LLC